

# **Drug Class Review**

## **Second Generation Antipsychotic Drugs<sup>†</sup>**

**Final Update 4 Evidence Tables**

**November 2013**

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**Shading indicates new information for Update 4.**

## Abbreviations used in evidence tables

| Abbreviation | Meaning   |
|--------------|---|
| AAP          | Atypical Antipsychotic  |
| ABC          | Aberrant Behavior Checklist   |
| ACT          | Active-control trial  |
| AD           | Alzheimer's Disease   |
| ADHD         | Attention deficit hyperactive disorder  |
| ADI-R        | Autism Diagnostic Interview-Revised   |
| AE           | Adverse event   |
| AIMS         | Abnormal Involuntary Movement Scale   |
| ALT          | Alanine aminotransferase  |
| AMDP-5       | Association for Methodology and Documentation in Psychiatry adverse event questionnaire |
| ANCOVA       | Analysis of covariance  |
| ANOVA        | Analysis of variance  |
| ASD          | Autism spectrum disorders   |
| ASEX         | Arizona Sexual Experience Scale   |
| AST          | Aspartate aminotransferase  |
| BARS         | Barnes Akathisia Rating Scale   |
| BAS          | Behavioral Approach System scale  |
| BEHAVE-AD    | Behavioral Pathology in Alzheimer's Disease   |
| bid          | Twice daily   |
| BIS          | Behavioral Inhibition System scale  |
| BMI          | Body mass index   |
| BNT          | Boston Naming Test  |
| BPAD         | Empirical Behavioral Pathology in Alzheimer's Disease scale                             |
| BPRS         | Brief Psychiatric Rating Scale  |
| BRMS         | Bech Rafaelsen Melancholia Scale  |
| BWISE        | Body weight, image and self-esteem evaluation questionnaire                             |
| CBCL         | Child Behavior Checklist  |
| CCT          | Controlled clinical trial   |
| CDI          | Children's Depression Inventory scale   |
| CDSS         | Calgary Depression Scale for Schizophrenia  |
| CERAD        | Consortium to Establish a Registry for Alzheimer's Disease                              |
| CGI          | Clinical global impressions (S, C and I versions)                                       |
| CGI-I        | Clinical global impression scale - Improvement  |
| CGI-S        | Clinical global impression scale - Severity   |
| CI           | Confidence interval   |
| CMAI         | Cohen-Mansfield Agitation Inventory   |
| CMMSE        | Cantonese version of Mini-Mental State Examination                                      |
| CNS          | Central nervous system  |
| COGLAB       | COGNitive LABoratory (computer-assisted cognitive test battery)                         |
| COPD         | Chronic obstructive pulmonary disease   |
| COSTART      | US FDA Coding Symbols for a Thesaurus of Adverse Reaction Terms                         |
| CPM          | Concomitant psychotropic medication   |
| CPRS         | Conners Parent Rating Scale   |
| CPT          | Continuous Performance Test   |
| CR           | Controlled release  |

| <b>Abbreviation</b> | <b>Meaning</b>   |
|---------------------|--|
| CSFQ                | Changes in Sexual Functioning Questionnaire                          |
| CSQ-8               | Client Satisfaction Questionnaire-8                                  |
| CTD                 | Cognitive Test for Delirium  |
| CUAD                | Chemical Use, Abuse, and Dependence Scale                            |
| CV                  | Cardiovascular   |
| CVA                 | Cerebrovascular accident   |
| CVLT                | California Verbal Learning Test                                      |
| CVS                 | Cardiovascular system  |
| d                   | Day  |
| DAI                 | Drug Attitude Inventory  |
| DAS                 | Disability Assessment Schedule                                       |
| DB                  | Double-blind   |
| DIEPSS              | Drug-induced Extrapyrimal Symptom Scale                              |
| DIS III             | Diagnostic Interview Schedule III                                    |
| DISCUS              | Dyskinesia Identification System Condensed User Scale                |
| dL                  | Deciliter  |
| DOTES               | Dosage Record and Treatment Emergent Symptom Scale                   |
| DSDT                | digit span distraction test  |
| DSM-III             | Diagnostic and Statistical Manual of Mental Disorders-Third Edition  |
| DSM-IV              | Diagnostic and Statistical Manual of Mental Disorders-Fourth Edition |
| DVP                 | Digital volume pulse   |
| E-BEHAVE-ED         | Empirical Behavioral Pathology in Alzheimer's Disease Rating Scale   |
| ECG                 | Electrocardiogram  |
| ECT                 | Electroconvulsive therapy  |
| EEG                 | Electroencephalogram   |
| EF                  | Ejection fraction  |
| EPS                 | Extrapyrimal symptoms  |
| ER                  | Extended release   |
| ESRS                | Extrapyrimal Symptom Rating Score                                    |
| FAST                | Functional Assessment Staging Rating Scale                           |
| FDA                 | US Food and Drug Administration                                      |
| FGIR                | Final Global Improvement Rating                                      |
| FU                  | Follow-up  |
| g                   | Gram   |
| GAF                 | Global Assessment of Functioning Scale                               |
| GAS score           | Global Assessment Scale Score  |
| GBAS                | General Behavior Assessment Scale                                    |
| GI                  | Gastrointestinal   |
| GP                  | General practitioner   |
| GPS                 | General Psychopathology Subscale                                     |
| h                   | Hour   |
| HAM-D               | Hamilton Depression Scale  |
| HAS                 | Hamilton Anxiety Scale   |
| HDI                 | Hamilton Depression Inventory  |
| HDL-C               | High density lipoprotein cholesterol                                 |
| HMO                 | Health maintenance organization                                      |
| HOMA                | Homoeostasis model assessment index                                  |

| <b>Abbreviation</b> | <b>Meaning</b>  |
|---------------------|---|
| HPL                 | Hyperprolactinemia  |
| HR                  | Hazard ratio  |
| HRQOL               | Health related quality-of-life  |
| ICD-10              | International Classification of Diseases, Tenth Revision  |
| ICD-9               | International Classification of Diseases, Ninth Revision  |
| IDS-C               | Inventory of Depressive Symptomatology-Clinician Rated  |
| INS                 | Insulin   |
| IR                  | Immediate release   |
| IRI                 | Insulin resistance index  |
| ISST                | Information-Seeking Skills Test   |
| ITT                 | Intention-to-treat  |
| L                   | Liter   |
| LA                  | Long acting   |
| LDL-C               | Low-density lipoprotein cholesterol   |
| LFT                 | Liver function test   |
| Li                  | Lithium   |
| LOCF                | Last Observation Carried Forward  |
| LQL                 | Lehman Quality of Life  |
| LS means            | Least squares means   |
| MADRS               | Montgomery-Asberg Depression Rating Scale   |
| MANCOVA             | Multivariate analysis of covariance   |
| MASC                | Multidimensional Anxiety Scale for Children   |
| mcg                 | Microgram   |
| MDB                 | Movement Disorder Burden  |
| MDD                 | Major depressive disorder   |
| MDE                 | Major depressive episode  |
| mg                  | Milligram   |
| min                 | Minute  |
| MINI                | Mini International Neuropsychiatric Interview   |
| MITT                | Mother-Infant Treatment Team  |
| mL                  | Milliliter  |
| MLDL                | Munich List of Quality-of-Life Dimensions   |
| MMSE                | Mini-Mental State Examination   |
| mo                  | Month   |
| MOSES               | Multidimensional Observational Scale for Elderly Subjects   |
| MSQ                 | Medication Satisfaction Questionnaire   |
| N                   | Sample size (entire sample)   |
| n                   | Subgroup sample size  |
| NA                  | Not applicable  |
| NAART-R             | North American Adult Reading Test-Revised   |
| NINCDS-ADRDA        | National Institute of Neurological and Communicative Diseases and Stroke/Alzheimer's Disease and Related Disorders Association                  |
| NINDS-AIREN         | National Institute of Neurological Disorders and Stroke and the Association Internationale pour la Recherche et l'Enseignement en Neurosciences |
| NIP                 | National Institute of Psychiatry  |
| NMS                 | Neuroleptic malignant syndrome  |
| NOSGER              | Nurses' Observation Scale for Geriatric Patients  |
| NOSIE               | Nurses' Observation Scale for Inpatient Evaluation  |

| Abbreviation | Meaning  |
|--------------|--|
| NPI          | Neuropsychiatric Inventory   |
| NPI-NH       | Neuropsychiatric Inventory-Nursing Home                                  |
| NR           | Not reported   |
| NRS          | Neurologic Rating Scale  |
| NS           | Not significant  |
| NSA          | Negative Symptom Assessment  |
| NSD          | No significant difference  |
| OAS          | Overt Aggression Scale   |
| OAS-M        | Modified Overt Aggression Scale  |
| OR           | Odds ratio   |
| <i>P</i>     | <i>P</i> value   |
| P            | Placebo  |
| PANSS        | Positive and Negative Syndrome Scale                                     |
| PANSS-D      | PANSS Depression Cluster   |
| PANSS-EC     | Positive and Negative Syndrome Scale-Excited Component                   |
| PCT          | Placebo-controlled trial   |
| PDD          | Pervasive developmental disorder   |
| PDD-NOS      | Pervasive developmental disorder - not otherwise specified               |
| PDS          | Progressive Deterioration Scale  |
| PEAT         | Penn Emotional Acuity Test   |
| PETiT        | Personal Evaluation of Transitions in Treatment                          |
| PGDRS        | Psychogeriatric Dependency Rating Scale                                  |
| PGWB         | Psychological General Well-Being   |
| PPR          | Positive Psychopathology Rating  |
| PPY          | Per person year  |
| PRAEQ        | Prolactin Related Adverse Event Questionnaire                            |
| PSP scale    | Personal and Social Performance scale                                    |
| PSQI         | Pittsburgh Sleep Quality Index   |
| Q-LES-Q      | Quality of Life Enjoyment and Satisfaction Questionnaire                 |
| qd           | Once daily   |
| QLDS         | Quality-of-Life in Depression Scale                                      |
| QLI          | Lehman Brief Quality-of-Life Interview                                   |
| QOL          | Quality-of-life  |
| QUALID       | Quality-of-Life in Late-Stage Dementia scale                             |
| RAAP         | Rating of Aggression Against People and/or Property Scale                |
| RAVLT        | Rey Auditory Verbal Learning Task  |
| RBANS        | Repeatable Battery for the Assessment of Neuropsychological Status       |
| RCT          | Randomized controlled trial  |
| RDQ          | Reflux Disease Questionnaire   |
| RFS          | Role Functioning Scale   |
| RODOS-UK     | UK Risperidone Olanzapine Drug Outcomes Studies in Schizophrenia Program |
| RR           | Relative risk  |
| SADS-CB      | Schedule for Affective Disorders and Schizophrenia-Change Bipolar Scale  |
| SAFE         | Social Adaptive Functioning Evaluation                                   |
| SAGE         | Systematic Assessment of Geriatric drug use via Epidemiology             |
| SANS         | Scale for Assessment of Negative Symptoms                                |
| SAPS         | Scale for the Assessment of Positive Symptoms                            |

| <b>Abbreviation</b> | <b>Meaning</b>   |
|---------------------|--|
| SAR-S               | Simpson Angus Rating Scale for Extrapyramidal Side Effects   |
| SAS                 | Social Adjustment Scale                                      |
| SB                  | Single-blind   |
| SCID                | Structural Clinical Interview for DSM-IV                     |
| SD                  | Standard deviation   |
| SE                  | Standard error   |
| SFS                 | Social Functioning Scale                                     |
| SIP                 | Sickness Impact Profile                                      |
| SMB                 | Suicide Monitoring Board                                     |
| SOFA                | Social and Occupational Functioning Assessment               |
| SOT                 | Standard olanzapine tablets                                  |
| SR                  | Sustained release  |
| SSPA                | Social Skills Performance Assessment                         |
| SSRI                | Selective serotonin reuptake inhibitor                       |
| SSTICS              | Subjective Scale to Investigate Cognition in Schizophrenia   |
| SUD                 | Substance use disorder                                       |
| SVLT                | Serial Verbal Learning Test                                  |
| SWMT                | Spatial Working Memory Test                                  |
| SWN                 | Subjective Well-being under Neuroleptic Treatment Scale      |
| SWS                 | Slow-wave sleep  |
| TA                  | Typical Antipsychotic drugs (e.g. haloperidol, perphenazine) |
| TAS                 | Total Aggression Severity                                    |
| TC                  | Total cholesterol  |
| TD                  | Tardive dyskinesia   |
| TEAEs               | Treatment emergent adverse events                            |
| TESS                | Treatment Emergent Symptom Scale                             |
| tid                 | Three times daily  |
| TMT                 | Trail Making Test  |
| TNR                 | Treatment nonresponsive                                      |
| ToL test            | Tower of London test   |
| UKU-SERS            | Udvalg for Kliniske Undersogelser Side Effect Rating Scale   |
| VAS                 | Visual analog scale  |
| vs.                 | Compared with (versus)                                       |
| WAIS-R              | Wechsler Adult Intelligence Scale - Revised                  |
| WCST                | Wisconsin Card Sorting Test                                  |
| WD                  | Withdrawal   |
| WHO                 | World Health Organization                                    |
| WHO-QL              | World Health Organization - Quality-of-Life                  |
| WHR                 | Waist-hip circumference ratio                                |
| WISC-R              | Wechsler Intelligence Scales for Children - Revised          |
| WMS-R               | Wechsler Memory Scale - Revised                              |
| XR                  | Extended release   |
| y                   | Year   |
| Y-BOCS              | Yale-Brown Obsessive-Compulsive Scale                        |
| YMRS                | Young Mania Rating Scale                                     |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|---|--|---------------------------|---|---|
| <b>Study design</b>  |   |  |                           |   |   |
| <b><i>Trials on Adolescents</i></b>                              |   |  |                           |   |   |
| AstraZeneca<br>D1441C00112<br>DB RCT<br>International (43 sites) | Inclusion: Male and female inpatient and outpatient adolescents (aged 13 to 17 ys), with a DSM-IV diagnosis of schizophrenia as confirmed by the Schedule for Affective Disorders and Schizophrenia for School-Aged Children Present and Lifetime Version were recruited for the study; PANSS total score of $\geq 60$ and a score of 4 or greater on delusions (P1), conceptual disorganization, (P2), or hallucinations (P3) at both screening and randomization. | Quetiapine 400 mg/d vs Quetiapine 800 mg/d or P<br><br>given in divided doses either bid or tid<br>6 wks | NR                        | Mean age (SD):<br>15.41 (1.32) ys<br><br>58.6% male<br><br>61.4% Caucasian<br>12.3% black<br>18.2% oriental<br>8.2% other | Quetiapine 400 mg/d vs Quetiapine 800 mg/d vs P<br><br>DSM-IV diagnosis:<br>Schizophrenia, disorganized: 8.2% vs 6.8% vs 6.8%<br>Schizophrenia, paranoid: 72.6% vs 67.6% vs 71.2%<br>Schizophrenia, residual: 0 vs 1.4% vs 0<br>Schizophrenia, undifferentiated: 19.2% vs 24.3% vs 21.9% v<br><br>Mean PANSS score (SD): 98.1 (15.41) vs 97.7 (15.32) vs 97.2 (16.83)<br>Mean PANSS Positive Symptom Subscale score (SD): 23.3 (5.80) vs 23.8 (4.84) vs 24.5 (5.57)<br>Mean PANSS Negative Symptom Subscale score (SD) 25.4 (5.65) vs 25.8 (5.43) vs 24.8 (5.85)<br>Mean Sum of PANSS Items S1, S2, and S3 scores (SD): 8.7 (3.86) vs 8.3 (3.74) vs 8.3 (3.98)<br>Mean Children GAS score (SD): 43.4 (9.16) vs 42.6 (11.12) vs 41.8 (11.39) |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled   | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| <b><i>Trials on</i></b>   |  |  |   |
| <b><i>Adolescents</i></b>   |  |  |   |
| AstraZeneca<br>D1441C00112<br>DB RCT<br>International (43<br>sites) | NR/NR/268 enrolled<br>and 222 randomized | NR/NR/222                                    | <p>Quetiapine 400 mg/d vs Quetiapine 800 mg/d vs P; P values are vs P</p> <p>Mean change PANSS total score: -27.31 (P=0.043) vs -28.44 (P=0.009) vs -19.15</p> <p>Mean change PANSS positive symptom subscale score: -8.56 (P=0.075) vs -9.34 (P=0.008) vs -6.51</p> <p>Mean change PANSS negative symptom subscale score: -6.35 (P=0.239) vs -6.21 (P=0.245) vs -5.09</p> <p>Mean change Sum of PANSS items S1, S2, and S3 scores: -2.58 (P=0.059) vs -2.39 (P=0.091) vs -1.51</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>       | <b>Study design</b> | <b>Adverse effects reported</b>                    |
|---------------------------|---------------------|--|
| <b><i>Trials on</i></b>   |                     |  |
| <b><i>Adolescents</i></b> |                     |  |
| AstraZeneca               |                     | Quetiapine 400 mg/d vs Quetiapine 800 mg/d vs P    |
| D1441C00112               |                     |  |
| DB RCT                    |                     | Any AEs: 79.5% vs 74.3 vs 60.0% vs 71.2%           |
| International (43 sites)  |                     | Serious AEs: 5.5% vs 6.8% vs 5.3% vs 5.9%          |
|                           |                     | n (%)  |
|                           |                     | Somnolence: 20 (27.4) vs 22 (29.7) vs 5 (6.7)      |
|                           |                     | Headache: 6 (8.2) vs 16 (21.6) vs 14 (18.7)        |
|                           |                     | Dizziness: 6 (8.2) vs 11 (14.9) vs 4 (5.3)         |
|                           |                     | Dry mouth: 3 (4.1) vs 7 (9.5) vs 1 (1.3)           |
|                           |                     | Insomnia: 9 (12.3) vs 7 (9.5) vs 17 (22.7)         |
|                           |                     | Agitation: 6 (8.2) vs 6 (8.1) vs 10 (13.3)         |
|                           |                     | Tachycardia: 4 (5.5) vs 6 (8.1) vs 0               |
|                           |                     | Increased appetite: 3 (4.1) vs 5 (6.8) vs 3 (4.0)  |
|                           |                     | Fatigue: 4 (5.5) vs 4 (5.4) vs 3 (4.0)             |
|                           |                     | Irritability: 2 (2.7) vs 4 (5.4) vs 0              |
|                           |                     | Nausea: 3 (4.1) vs 4 (5.4) vs 13 (17.3)            |
|                           |                     | Sedation: 4 (5.5) vs 4 (5.4) vs 3 (4.0)            |
|                           |                     | Vomiting: 3 (4.1) vs 4 (5.4) vs 6 (8.0)            |
|                           |                     | Anxiety: 4 (5.5) vs 3 (4.1) vs 5 (6.7)             |
|                           |                     | Diarrhea: 4 (5.5) vs 1 (1.4) vs 4 (5.3)            |
|                           |                     | No AEs related to prolactin. No deaths.            |
|                           |                     | Changes in mean weight: +2.2 vs +1.8 vs -0.4 kg    |
|                           |                     | Changes in mean pulse rate: +6 vs +3.9 vs -1.4 BPM |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>       |   |
|---------------------------|---|
| <b>Study design</b>       | <b>Extrapyramidal symptoms</b>  |
| <b><i>Trials on</i></b>   |   |
| <b><i>Adolescents</i></b> |   |
| AstraZeneca               | Quetiapine 400 mg/d vs Quetiapine 800 mg/d vs P   |
| D1441C00112               |   |
| DB RCT                    | n(%)  |
| International (43 sites)  | AEs associated with EPS: 9 (12.3%) vs 10 (13.5%) vs 4 (5.3%)                                    |
|                           | Majority of patients showed no change in EPS as assessed by SAR-S, AIMS and BARS                |
|                           | Incidence of anticholinergic medication use for treatment of emergent EPS: 5.48% vs 1.35% vs 0% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| <b><i>Trials on</i></b>   |   |          |
| <b><i>Adolescents</i></b>   |   |          |
| AstraZeneca<br>D1441C00112<br>DB RCT<br>International (43<br>sites) | Total WD: NR<br>WD due to AEs: 14                       |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year          |   |   |                                      | Age  |  |
|-----------------------|---|---|--------------------------------------|--|--|
| Study design          | Eligibility criteria  | Interventions<br>(drug, dose, duration)                                     | Allowed other medications            | Gender   | Other population characteristics                                 |
| Arango, 2009<br>Spain | Inclusion - with a diagnosis of psychosis (i.e., schizophrenia or any other psychotic disorder according to DSM-IV criteria; first episode of psychosis before the age of 18, lasting less than 1 year after onset of the first positive symptom; 12–18 years of age. Exclusion - if the psychotic symptoms appeared to result from acute intoxication or withdrawal; DSM-IV criteria for any substance abuse, mental retardation, or pervasive developmental disorder, suffered from any organic central nervous system disorder, history of traumatic brain injury with loss of consciousness, were pregnant or breast-feeding, or were taking olanzapine or quetiapine before enrolment. | Quetiapine vs. olanzapine<br>532.8 (459.6) vs. 9.7 (6.5) mg/day<br>180 days | Yes except for other anti-psychotics | Mean age 16 yrs<br>78% male<br>82% Caucasian<br>4% Caribbean Black<br>12% Hispanic<br>2% Gipsy | Schizophrenia 34%<br>Bipolar disorder 26%<br>Other psychoses 40% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--------------------------------------|--|---|---|
| Arango, 2009<br>Spain                | NR/NR/50                                       | 17/7/50   | <p>Quetiapine baseline/6 months vs. olanzapine baseline/6 months</p> <p>CGI <math>5.04 \pm 1.30 / 2.96 \pm 1.40</math> vs <math>5.46 \pm 0.86 / 3.54 \pm 1.30</math> <math>P = 0.605</math></p> <p>YOUNG <math>15.70 \pm 12.85 / 5.50 \pm 6.39</math> vs. <math>18.73 \pm 12.69 / 6.34 \pm 9.62</math> <math>P = 0.464</math></p> <p>HAMILTON <math>17.27 \pm 9.69 / 8.00 \pm 6.70</math> vs. <math>17.83 \pm 10.03 / 9.12 \pm 7.91</math> <math>P = 0.660</math></p> <p>GAF <math>41.17 \pm 15.56 / 67.79 \pm 16.79</math> vs. <math>37.58 \pm 17.33 / 61.88 \pm 16.01</math> <math>P = 0.118</math></p> <p>PANSS Positive <math>23.25 \pm 7.25 / 15.08 \pm 4.07</math> vs. <math>12 \pm 4.10 / 14.04 \pm 4.75</math> <math>P = 0.118</math></p> <p>PANSS Negative <math>21.88 \pm 6.835 / 16.29 \pm 5.15</math> vs. <math>26.58 \pm 8.34 / 22.15 \pm 7.24</math> <math>P = 0.340</math></p> <p>PANSS General <math>46.05 \pm 11.26 / 34.45 \pm 9.89</math> vs. <math>52.96 \pm 10.84 / 35.42 \pm 8.88</math> <math>P = 0.093</math></p> <p>PANSS Total <math>91.05 \pm 21.42 / 67.29 \pm 17.86</math> vs. <math>105.65 \pm 19.97 / 71.62 \pm 17.33</math> <math>P = 0.41</math></p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>                 |
|---------------------|---------------------|---|
| Arango, 2009        |                     | Quetiapine vs. olanzapine n (%)                 |
| Spain               |                     | Concentration difficulties 16 (67) vs. 18 (72)  |
|                     |                     | Asthenia/lassitude/increased fatigability       |
|                     |                     | 19 (79) vs. 19 (73)                             |
|                     |                     | Sleepiness/sedation 19 (79) vs. 21 (84)         |
|                     |                     | Failing memory 14 (58) vs. 12 (52)              |
|                     |                     | Depression 9 (37) vs. 11 (44)                   |
|                     |                     | Tension/inner unrest 15 (62) vs. 13 (54)        |
|                     |                     | Increased duration of sleep 11 (46) vs. 12 (48) |
|                     |                     | Reduced duration of sleep 4 (17) vs. 6 (25)     |
|                     |                     | Increased dream activity 9 (39) vs. 6 (26)      |
|                     |                     | Emotional indifference 7 (29) vs. 14 (56)       |
|                     |                     | Rigidity 4 (17) vs. 7 (29) $P < 0.05$           |
|                     |                     | Hypokinesia/akinesia 11 (46) vs. 14 (54)        |
|                     |                     | Tremor 7 (37) vs. 13 (50)                       |
|                     |                     | Akathisia 6 (26) vs. 8 (32)                     |
|                     |                     | Accommodation disturbances 6 (26) vs. 7 (32)    |
|                     |                     | Increased salivation 10 (42) vs. 13 (52)        |
|                     |                     | Reduced salivation 9 (39) vs. 2 (8)             |
|                     |                     | Constipation 10 (42) vs. 7 (27)                 |
|                     |                     | Polyuria/polydipsia 7 (30) vs. 8 (31)           |
|                     |                     | Orthostatic dizziness 3 (13) vs. 5 (21)         |
|                     |                     | Palpitations/tachycardia 11 (46) vs. 8 (35)     |
|                     |                     | Increased tendency to sweat 8 (33) vs. 7 (28)   |
|                     |                     | Weight gain 13 (72) vs. 20 (91)                 |
|                     |                     | Amenorrhea 1 (20) vs. 4 (50)                    |
|                     |                     | Increased sexual desire 1 (6) vs. 5 (28)        |
|                     |                     | Dry vagina 0 (0) vs. 2 (22)                     |
|                     |                     | Tension headache 6 (25) vs. 6 (24)              |
|                     |                     | Weight gain                                     |
|                     |                     | 15.5 kg, vs. 5.4 kg,                            |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |  |
|---------------------|--|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>               |
| Arango, 2009        | Quetiapine vs. olanzapine n (%)              |
| Spain               | Rigidity 4 (17) vs. 7 (29) $P < 0.05$        |
|                     | Hypokinesia/akinesia 11 (46) vs. 14 (54)     |
|                     | Tremor 7 (37) vs. 13 (50)                    |
|                     | Akathisia 6 (26) vs. 8 (32)                  |
|                     | Accommodation disturbances 6 (26) vs. 7 (32) |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--------------------------------------|---|-----------------|
| Arango, 2009<br>Spain                | 17 withdrawals<br>0 due to Aes                                  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications            | Age<br>Gender<br>Ethnicity                  | Other population characteristics                         |
|------------------------------|--|--|--------------------------------------|---|--|
| Gothelf 2003<br>Israel       | Adolescents with a diagnosis of schizophrenia was established according to DSM-IV criteria | Risperidone 3.3 (1.1) mg/day (range 1–5), for olanzapine 12.9 (3.1) mg/day (range 10–20), and for haloperidol 8.3 (3.8) mg/day (range 5–15).<br><br>Duration 8 weeks | Lorazepam and anticholinergic agents | Mean age 17 yrs<br>63% male<br>Ethnicity NR | Paranoid 49%<br>Undifferentiated 30%<br>Disorganized 21% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--------------------------------------|--|---|---|
| Gothelf 2003<br>Israel               | NR/NR/43                                       | 4/0/39  | Baseline / 8 weeks<br>Positive symptoms<br>Risperidone 17.4 (6.9) / 13.2 (3.8)<br>Olanzapine 15.0 (4.9) / 13.3 (8.0)<br>Haloperidol 21.3 (8.9) / 13.0 (5.8)<br>Negative symptoms<br>Risperidone 24.2 (9.3) / 20.8 (8.4)<br>Olanzapine 18.1 (11.0) / 14.9 (8.0)<br>Haloperidol 20.3 (8.0) / 16.4 (8.5)<br>Total Scores<br>Risperidone 90.2 (26.4) / 73.9 (19.1)<br>Olanzapine 71.6 (23.8) / 61.6 (28.4)<br>Haloperidol 86.1 (24.4) / 66.3 (21.8)<br>Effect of Week F(2,72) 12.7, p 0.001 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>                                |
|---------------------|---------------------|--|
| Gothelf 2003        |                     | Risperidone vs. Olanzapine vs. Haloperidol n (%)               |
| Israel              |                     | Concentration difficulties 2 (11.8) vs. 7 (36.8) vs. 3 (42.9)  |
|                     |                     | Increased fatigability 2 (11.8) vs. 8 (42.1) vs. 5 (71.4)      |
|                     |                     | Sleepiness/sedation 3 (17.6) vs. 9 (47.4) vs. 3 (42.9)         |
|                     |                     | Failing memory 2 (11.8) vs. 7 (36.8) vs. 2 (28.6)              |
|                     |                     | Depression 2 (11.8) vs. 5 (26.3) vs. 5 (71.4)                  |
|                     |                     | Tension/inner rest 3 (17.6) vs. 7 (36.8) vs. 2 (28.6)          |
|                     |                     | Increased duration of sleep 4 (23.5) vs. 9 (47.4) vs. 3 (42.9) |
|                     |                     | Reduced duration of sleep 1 (5.9) vs. 4 (21.1) vs. 0           |
|                     |                     | Increased dream activity 1 (5.9) vs. 4 (21.4) vs. 0            |
|                     |                     | Accommodation disturbances 1 (5.9) vs. 2 (10.5) vs. 0          |
|                     |                     | Increased salivation 5 (29.4) vs. 4 (21.1) vs. 1 (14.3)        |
|                     |                     | Reduced salivation 0 vs. 1 (5.3) vs. 1 (14.3)                  |
|                     |                     | Nausea/vomiting 1 (5.9) vs. 2 (10.5) vs. 1 (14.3)              |
|                     |                     | Constipation 1 (5.9) vs. 3 (15.8) vs. 2 (28.6)                 |
|                     |                     | Micturition disturbances 3 (17.6) vs. 1 (5.3) vs. 1 (14.3)     |
|                     |                     | Polyuria/polydipsia 3 (17.6) vs. 2 (10.5) vs. 2 (28.6)         |
|                     |                     | Orthostatic dizziness 4 (23.5) vs. 3 (15.8) vs. 1 (14.3)       |
|                     |                     | Palpitations/tachycardia 2 (11.8) vs. 4 (21.1) vs. 0           |
|                     |                     | Pruritus 0 vs. 3 (15.8) vs. 0                                  |
|                     |                     | Diminished sexual desire 1 (5.9) vs. 4 (21.1) vs. 1 (14.3)     |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |                         |
|--------------|-------------------------|
| Study design | Extrapyramidal symptoms |
| Gothelf 2003 |                         |
| Israel       |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--------------------------------------|---|-----------------|
| Gothelf 2003                         | 4 withdrawals   |                 |
| Israel                               | 0 due to Aes  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                   |  | Interventions                     |                           | Age             |                                  |
|--------------------------------|--|-----------------------------------|---------------------------|-----------------|----------------------------------|
| Study design                   | Eligibility criteria                         | (drug, dose, duration)            | Allowed other medications | Gender          | Other population characteristics |
|                                |  |                                   |                           | Ethnicity       |                                  |
| <b><i>Trials on Adults</i></b> |  |                                   |                           |                 |                                  |
| Addington, 2004                | Schizophrenia, schizoaffective disorder, 18- | ziprasidone 40-80 mg BID. (N=149) | NR                        | Mean age: 35 ys | NR                               |
| DB, RCT, parallel              | 65 ys of age, PANSS total score >60, a       | or risperidone 3-5mg BID. (N=147) |                           | 72.5% Male      |                                  |
| Addington 2009                 | score of >4 on 2 of the PANSS core items.    | 8 wks duration                    |                           | Ethnicity NR    |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                           | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| <b><i>Trials on Adults</i></b>                         |  |  |  |
| Addington, 2004<br>DB, RCT, parallel<br>Addington 2009 | NR/NR/296                              | NR/NR/198                                    | <p>Efficacy evaluations: LS mean change from baseline to last visit:</p> <p>PANSS total: Z: -25.8 vs R: -27.3</p> <p>CGI-S: Z: -1.1 vs R: -1.2</p> <p>PANSS negative subscale: Z: -6.4 vs R: -6.4</p> <p>BPRSd total: Z: -15.2 vs R: -15.9</p> <p>BPRSd core: Z: -5.5 vs R: -6.0</p> <p>GAF: Z: 16.5 vs R: 15.6</p> <p>Body weight increase (&gt;7% change):<br/>Z: 10(8.2%) vs R: 20(16.0%)</p> <p>Body weight decrease (&gt;7% change):<br/>Z: 9(7.4%) vs R: 3(2.4%)</p> <p>Long term data from 44 wks extension study (Addington 2009) Z vs R</p> <p>Mean change from baseline in PANSS total Change(SE) -28.0 (3.8) vs -33.2 (3.3), p=0.29</p> <p>Mean change from baseline in CGI-S (SE) -1.2 (0.2) vs -1.6 (0.2), p=0.22</p> <p>Mean change from baseline in GAF (SE) 14.4 (3.0) vs -19.1 (3.6), p=0.22</p> <p>Mean change from baseline in MADRS total score -5.2 (1.3) vs -4.3 (1.2), p=0.63</p> |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>            | <b>Study design</b> | <b>Adverse effects reported</b>   |
|--------------------------------|---------------------|---|
| <b><i>Trials on Adults</i></b> |                     |   |
| Addington, 2004                | DB, RCT, parallel   | Treatment-emergent AEs reported:<br>Z: 113 (75.8%) vs R: 122(83.0%)   |
| Addington 2009                 |                     | <p>Events reported by patients:</p> <p>Insomnia: Z: 37(24.8%) vs R: 18(12.2%)</p> <p>Somnolence: Z: 31(20.8%) vs R: 26(17.7%)</p> <p>Agitation: Z: 24(16.1%) vs R: 20(13.6%)</p> <p>Headache: Z: 23(15.4%) vs R: 27(18.4%)</p> <p>Akathisia: Z: 19(12.8%) vs R: 30(20.4%)</p> <p>Tremor: Z: 15(10.1%) vs R: 14(9.5%)</p> <p>Sexual Dysfunction Questionnaire:</p> <p>Symptom absent at baseline and present at last visit:</p> <p>Erectile dysfunction: Z: 8% vs R: 10%</p> <p>Ejaculatory dysfunction: Z: 3% vs R: 11%</p> <p>Increased libido:</p> <p>Males: Z: 1% vs R: 5%</p> <p>Females: Z: 10% vs R: 0%</p> <p>Decreased libido:</p> <p>Males: Z: 9% vs R: 15%</p> <p>Females: Z: 5% vs R: 3%</p> <p>Orgastic dysfunction:</p> <p>Males: Z: 5% vs R: 13%</p> <p>Females: Z: 0% vs R: 0%</p> <p>AEs reported in the 44 wks continuation study (Addigton 2009) occurring in &gt;10% of patients<br/>Z vs R</p> <p>Agitation:16.1% vs 16.9%, Akathisia: 27.4% vs 28.6%, Anxiety: 16.1% vs 11.7%, Constipation: 6.5% vs 11.7%, Dizziness: 11.3% vs 7.8%, Headache: 21.0% vs 23.4%, Hypertonia: 3.2% vs 11.7%, Insomnia: 32.3% vs 18.2%, Nausea: 14.5% vs 9.1%, Respiratory tract infection: 8.1 vs 15.6%, Somnolence: 24.2 vs 28.6%, Tremor: 11.3% vs 13.0%, vomiting: 12.9 vs 3.9%</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                   |   |
|--------------------------------|---|
| Study design                   | Extrapyramidal symptoms   |
| <b><i>Trials on Adults</i></b> |   |
| Addington, 2004                | Simpson-Angus scores:   |
| DB, RCT, parallel              | Z: -0.57 (0.33) vs R: -0.23 (0.33); P=.04   |
| Addington 2009                 | Barnes Akathisia scores:  |
|                                | Z: -0.28 vs R: +0.28 (0.21); P=.04  |
|                                | AIMS scores:  |
|                                | Z: -0.04 (0.17) vs R: -0.25 (0.17); P=.3  |
|                                | MDB scores:   |
|                                | Z: 0.20 vs R: 0.35; P=.015  |
|                                | Number of patients who experienced a movement disorder AE:                                |
|                                | R: 54(36.7%) vs Z: 44(29.5%)  |
|                                | % of patients with Extrapyramidal reaction in 44 week continuation study (Addington 2009) |
|                                | Z vs O: 12.9% vs 9.1%   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|--------------------------------|---|----------|
| <b><i>Trials on Adults</i></b> |   |          |
| Addington, 2004                | 98 WD;  |          |
| DB, RCT, parallel              | 18 WD due to AE   |          |
| Addington 2009                 |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                    | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications                                    | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|---|---|---|--|---|---|
| Akerele, 2007<br>RCT                            | Met DSM-IV criteria for schizophrenia or schizoaffective disorder; met DSM-IV criteria for current cocaine and/or marijuana abuse or dependence; and were using marijuana at least twice/week, or cocaine at least once/week on average during 3 mos prior to study enrollment<br><br>Exclusion criteria: pregnant; currently psychologically dependent on alcohol or other drugs such that they had significant WD symptoms in the past (except nicotine and caffeine); unstable psychiatric symptomatology; unstable medical condition; enzyme function tests > 3 times upper limit of normal; history of seizures or neuroleptic malignant syndrome; commission of violent crime in past 2 yrs; not responded to olanzapine or risperidone in past; or score > 30 on positive and negative sub-scales of Positive and Negative Symptom Scale | olanzapine: 5-20 mg/d<br>risperidone: 3-9 mg/d<br>duration: 14 wks  | NR   | Mean age: 35.5 yrs<br>Male: 89%<br>African American: 54%<br>Hispanic: 32%<br>Caucasian: 14% | Current marijuana use: 93%<br>Current cocaine use: 78.6%  |
| Alvarez, 2006<br>RCT, open-label<br>Outpatients | DSM-IV schizophrenia diagnosis; baseline summary SANS score ≥10; age 18-65 yrs; if previously treated with antipsychotics, only those patients treated with first generation drugs accepted; no psychiatric hospitalizations within 3 mos of study entry  | olanzapine 10 mg/d*<br>risperidone 3 mg/d*<br>*recommended starting doses; titration allowed at investigator's discretion<br><br>mean doses during time on trial:<br>olanzapine 12.2 mg/d (SD 5.8)<br>risperidone 4.9 mg/d (SD 2)<br><br>end point mean doses:<br>olanzapine 13.1 mg/d (SD 6.9;<br>median 10 mg/d)<br>risperidone 5.1 mg/d (SD 2.3;<br>median 6 mg/d) | biperiden; benzodiazepines up to 40 mg/d diazepam equivalent | Mean age: 36.3 yrs<br>72% male<br>Ethnicity NR  | Schizophrenia type: paranoid 64%; residual 19%; undifferentiated 13%; disorganized 3%; catatonic <1%<br><br>Mean SANS summary score: 14.3<br>Mean CGI: 4.4<br>Mean Calgary Depression Score: 4.2<br><br>Statistically significant difference between intervention groups for mean baseline weight (O 73.8 kg v R 80.5 kg; P=0.0005) and mean baseline BMI (O 25.9 v R 27.5; P=0.0072) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                    | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Akerele, 2007<br>RCT                            | 76/29/28                               | 12 dropped out/16<br>completed               | <p>Marijuana use:<br/>Urine toxicology showed significant decrease in both groups (<math>Z = -2.52</math>, <math>P = 0.01</math>)<br/>Self-reported marijuana craving showed significant x time interaction (<math>Z = 2.06</math>, <math>P = 0.04</math>) for risperidone group; virtually no change in craving severity for olanzapine group</p> <p>Cocaine use:<br/>No significant differences in terms of cocaine craving over time</p> <p>Self-reported drug use:<br/>Olanzapine group reported on avg. significantly fewer ds of use than risperidone group (3 ds vs. 4.3 ds; <math>Z = -2.27</math>, <math>P = 0.02</math>)</p> <p>PANSS positive and negative subscales:<br/>Severity decreased over time on positive subscale for both groups (<math>Z = -2.53</math>, <math>P = 0.01</math>) but no significant between-group differences (<math>Z = 0.49</math>, <math>P = 0.62</math>)<br/>Severity did not decrease significantly over time for negative subscale (<math>Z = 0.34</math>, <math>P = 0.73</math>)</p> <p>HAM-D<br/>Mean scores at study end were approximately 7 points for both groups; no significant difference between groups in mean change from baseline (olanzapine 0.14 [0.91], risperidone 0.03 [0.70]; <math>t = 0.031</math>, <math>df = 20</math>, <math>P = 0.76</math>)</p> <p>AIMS<br/>Worsening of abnormal movements: olanzapine=0, risperidone=1<br/>Improvement of abnormal movements: olanzapine=3, risperidone=4</p> |
| Alvarez, 2006<br>RCT, open-label<br>Outpatients | NR/NR/250                              | 87/12/235 efficacy;<br>247 safety            | <p>SANS summary score, mean change from baseline: O -6.0 v R -4.7; <math>P = 0.0151</math>; effect size 0.34<br/>Affective flattening, mean change from baseline: O -9.1 v R -6.5; <math>P = 0.0065</math>; effect size 0.39<br/>Speech difficulty, mean change from baseline: O -5.2 v R -4.2; <math>P = 0.0747</math>; effect size 0.22<br/>Avolition/apathy, mean change from baseline: O -4.7 v R -3.5; <math>P = 0.0283</math>; effect size 0.03<br/>Anhedonia/unsociability, mean change from baseline: O -4.8 v R -3.5; <math>P = 0.1216</math>; effect size 0.26<br/>Attention, mean change from baseline: O -3.6 v R -2.6; <math>P = 0.1106</math>; effect size 0.34<br/>SANS composite, mean change from baseline: O -27.4 v R -20.4; <math>P = 0.0183</math>; effect size 0.35</p> <p>SAPS summary score and SAPS composite score changes favored olanzapine (<math>P = 0.0207</math> and <math>P = 0.0115</math> respectively)<br/>CGI score significantly favored olanzapine (<math>P = 0.0082</math>)<br/>No SS difference in Calgary Depression Score (<math>P = 0.9745</math>)</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>            | <b>Adverse effects reported</b>  |
|---------------------|--------------------------------|--|
| Akerele, 2007       | RCT                            | Sedation: olanzapine 54%, risperidone 77%<br>No WDs in either group due to AEs   |
| Alvarez, 2006       | RCT, open-label<br>Outpatients | <p>Percentage of pts experiencing any AE: O 62.9% (n=78) v R 72.4% (n=89); P=NS</p> <p>Mean weight gain: O 3.8 kg (SD 6.1) v R 2.1 kg (SD 6.0)</p> <p>Proportion of pts with weight increase &gt;7%: O 40.7% (n=35) v R 17.3% (n=13); P=0.0012</p> <p>Specific AEs: O v R</p> <p>Anxiety: 12.1% (n=15) v 13.8% (n=17); P=0.6866</p> <p>Insomnia: 6.5% (n=8) v 13.8% (n=17); P=0.0549</p> <p>Tremor: 5.6% (n=7) v 13.8% (n=17); P=0.0301</p> <p>Libido decrease: 5.6% (n=7) v 6.5% (n=8); P=0.7775</p> <p>Akathisia: 1.6% (n=2) v 8.9% (n=11); P=0.0099</p> <p>Somnolence: 4.0% (n=5) v 6.5% (n=8); P=0.3844</p> <p>Headache: 5.6% (n=7) v 4.1% (n=5); P=0.5636</p> <p>Weight increase: 6.5% (n=8) v 2.4% (n=3); P=0.1264</p> <p>Hypertension: 5.6% (n=7) v 3.3% (n=4); P=0.3620</p> <p>Appetite increased: 6.5% (n=8) v 1.6% (n=2); P=0.1023</p> <p>Muscle rigidity: 1.6% (n=2) v 6.5% (n=8); P=0.596</p> <p>Sexual dysfunction: 0.8% (n=1) v 5.7% (n=7); P=0.0357</p> |

| Author, year  | Extrapyramidal symptoms |
|---------------|-------------------------|
| Study design  |                         |
| Akerele, 2007 | NR                      |
| RCT           |                         |

### Second generation antipsychotic drugs

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>            | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Akerele, 2007<br>RCT                            | 12 total WD<br>0 due to AE                                      |                 |
| Alvarez, 2006<br>RCT, open-label<br>Outpatients | 72 total WD<br>10 due to AEs                                    |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  | Interventions  |                               | Age   |  |
|--|--|--|-------------------------------|---|--|
| Study design   | Eligibility criteria   | (drug, dose, duration)   | Allowed other medications     | Gender  | Other population characteristics   |
| Apiquian, 2003<br>Mexico<br>Mexican First-<br>Episode Psychotic<br>Study           | Between 18 and 45 yr old and met DSM-IV criteria for schizophrenia, schizoaffective or provisional schizophreniform disorders; if they were on their first psychiatric admission due to psychosis (with a maximum duration of illness of 5 yr) and had a baseline Positive and Negative Syndrome Scale (PANSS) positive syndrome score greater than 17 points with two items scoring at least 4<br>Exclusion- had received treatment for a period longer than 1 month with an equivalent dose of 5 mg/d haloperidol, if they had concomitant medical or neurological illness, current substance abuse or a history of substance dependence, history of bipolar disorder; high risk for suicide or were agitated. | Risperidone (1 mg/d), olanzapine (5 mg/d) or haloperidol (1 mg/d).<br>6 mos  | Biperiden and benzodiazepines | Mean age 25.5 yrs<br>73.8% male<br>Ethnicity: NR                            | Schizophrenia (61.9% n=26), schizoaffective disorder (16.7%, n=7) and schizophreniform disorder, provisional (21.4%) |
| AstraZeneca<br>D1444C00133,<br>2006<br>DB RCT<br>Multicenter (40<br>sites) in U.S. | Inclusion: acutely ill male and females aged 18-65 diagnosed with DSM-IV schizophrenia; with PANSS total score >=70 and CGI-S >=4.   | 5 treatment groups (double-dummy): NR<br>Quetiapine SR: 400 mg/d, 600 mg/d, 800 mg/d<br>Quetiapine IR: 800 mg/d<br>P<br>6 wks duration |                               | Mean age 41<br>28.5% female<br>32.5% Caucasian<br>58.4% Black<br>1.3% Asian | 82.7% paranoid subtype<br>14.5% undifferentiated subtype   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b>                     | <b>Results</b>   |
|--|--|---|--|
| Apiquian, 2003<br>Mexico<br>Mexican First-<br>Episode Psychotic<br>Study           | NR/NR/36                                       | 12/NR/30  | Mean scores at endpoint<br>Haloperidol vs. Risperidone vs. Olanzapine<br>Total 38 vs. 65.7 vs. 38.5<br>Positive 7.4 vs. 13.3 vs. 8.4<br>Negative 11.5 vs. 17.3 vs. 10.8<br>CDSS 1.6 vs. 4.3 vs. 0.4  |
| AstraZeneca<br>D1444C00133,<br>2006<br>DB RCT<br>Multicenter (40<br>sites) in U.S. | Screened NR<br>Eligible NR<br>565 enrolled     | 232 (42.6%)<br>withdrew<br>Lost to followup NR<br>544 (96.2%)<br>analyzed | P vs Quetiapine SR 400 mg vs SR 600 mg vs SR 800 mg vs IR 800 mg/d:<br>PANSS total score, LS mean change from baseline: -12.1 vs -13.8 vs -16.8 vs -14.8 vs -15.0<br>Quetiapine SR at each of the 3 doses and quetiapine IR 800 mg/d were not statistically superior to P.<br><br>PANSS response, % of patients responding ( $\geq 30\%$ improvement in PANSS total score): 20.7 vs 19.5 vs 26.7 vs 23.6 vs 22.9<br>CGI-S, LS mean change from baseline: -0.5 vs -0.6 vs -0.6 vs -0.6 vs -0.6<br>CGI-I, % of patients showing improvement (defined as much improved, improved, and minimally improved): 56.8 vs 65.5 vs 67.3 vs 62.7 vs 61.5. On improvement there was no superiority to P for any of the quetiapine dose groups.<br>No differences between quetiapine IR 800 mg/d and P on any outcome. |

| Author, year   |   |
|--|---|
| Study design   | Adverse effects reported  |
| Apiquian, 2003<br>Mexico<br>Mexican First-<br>Episode Psychotic<br>Study           | NR  |
| AstraZeneca<br>D1444C00133,<br>2006<br>DB RCT<br>Multicenter (40<br>sites) in U.S. | P vs Quetiapine SR 400 mg vs SR 600 mg vs SR 800 mg vs IR 800 mg/d, % of group:<br>Dry mouth: 2.6 vs 21.1 vs 17.1 vs 17.7 vs 16.5<br>Sedation: 9.4 vs 21.1 vs 17.1 vs 13.3 vs 21.7<br>Somnolence: 2.6 vs 16.7 vs 10.5 vs 13.3 vs 14.8<br>Dizziness: 6.8 vs 12.3 vs 9.5 vs 7.1 vs 9.6<br>Headache: 15.4 vs 10.5 vs 6.7 vs 10.6 vs 8.7<br>Constipation: 7.7 vs 7.9 vs 4.8 vs 8.0 vs 7.8<br>Dyspepsia: 10.3 vs 7.9 vs 3.8 vs 1.8 vs 0.9<br>Arthralgia: 1.7 vs 6.1 vs 0 vs 1.8 vs 1.7<br>Psychotic disorder: 4.3 vs 6.1 vs 3.8 vs 1.8 vs 1.7<br>Agitation: 6.0 vs 5.3 vs 5.7 vs 2.7 vs 3.5<br>Fatigue: 0 vs 3.5 vs 4.8 vs 2.7 vs 5.2<br>Nausea: 8.5 vs 3.5 vs 6.7 vs 6.2 vs 4.3<br>Schizophrenia: 1.7 vs 3.5 vs 5.7 vs 5.3 vs 4.3<br>Diarrhea: 1.7 vs 1.8 vs 1.9 vs 5.3 vs 6.1<br>Stomach discomfort: 2.6 vs 1.8 vs 1.0 vs 2.7 vs 5.2<br>Vomiting 5.1 vs 1.8 vs 3.8 vs 7.1 vs 2.6 |

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |  |
|--|--|
| Study design   | Extrapyramidal symptoms  |
| Apiquian, 2003<br>Mexico<br>Mexican First-<br>Episode Psychotic<br>Study           | Haloperidol vs. Risperidone vs. Olanzapine<br>mean BAS 0 vs. 0.6 vs. 0.4<br>mean AIMS 0.3 vs. 0 vs. 0.1                              |
| AstraZeneca<br>D1444C00133,<br>2006<br>DB RCT<br>Multicenter (40<br>sites) in U.S. | A slight increase in EPS-related AEs occurred in quetiapine SR 800 mg/d and IR 800 mg/d compared with P. No other details specified. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Apiquian, 2003<br>Mexico<br>Mexican First-<br>Episode Psychotic<br>Study           |   |                 |
| AstraZeneca<br>D1444C00133,<br>2006<br>DB RCT<br>Multicenter (40<br>sites) in U.S. | 232 WDs;<br>60 withdrew due to AE                               |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics   |
|---|---|---|---------------------------|--|--|
| AstraZeneca, 2010<br>5077IL/0089<br>RCT, Open-label<br>multi-center USA | 18-65 years, DSM IV schizophrenia or schizoaffective disorder, qualifying Lens Opacities Classification System II lens opacity score assessment   | Quetiapine titrated over 8 days to a flexible dosing range of 200-800 mg/d, in 2 or 3 doses/d<br><br>Risperidone titrated over 8 days to a flexible dosing range of 2-8 mg/d, in 1 or doses/d | NR                        | Age: approximately 40 y<br>Gender: approximately 40% female<br>Ethnicity: approximately 50% caucasian, 40% black   | "Representative of general schizophrenia or schizoaffective disorder population"   |
| AstraZeneca, Data on File, Study D1444C00132<br>DB RCT                  | Acutely ill male and female patients, 18 to 65 ys of age, diagnosed with schizophrenia as stated in DSM-IV; PANSS total score of at least 70 and a CGI Severity of Illness score of at least 4 at randomization | Quetiapine SR 400 mg/d, 600 mg/d and 800 mg/d, quetiapine IR 400 mg/d and P<br><br>6 wks  | NR                        | P vs Quetiapine SR 400 vs 600 vs 800 vs Quetiapine IR 400<br><br>Mean age (SD): 34.1 (12.1) vs 34.1 (9.6) vs 34.2 (9.9) vs 34.4 (10.3) vs 34.4 (10.2)<br>Male: 58.3% vs 70.3% vs 55.0% vs 59.8% vs 58.0%<br><br>Caucasian: 59.1% vs 56.8% vs 59.5% vs 60.7% vs 59.7%<br>Black: 4.3% vs 4.5% vs 3.6% vs 4.3% vs 5.9%<br>Oriental: 36.5% vs 38.7% vs 36.0% vs 35.0% vs 34.5%<br>Other: 0 vs 0 vs 0.9% vs 0 | P vs Quetiapine SR 400 vs 600 vs 800 vs Quetiapine IR 400<br><br>DSM-IV diagnosis, schizophrenic subtype n (%)<br>--Disorganized: 5 (4.3) vs 8 (7.2) vs 5 (4.5) vs 5 (4.3) vs 2 (1.7)<br>--Catatonic: 1 (0.9) vs 2 (1.8) vs 0 vs 1 (0.8)<br>--Paranoid: 79 (68.7) vs 71 (64.0) vs 72 (64.9) vs 75 (64.1) vs 88 (73.9)<br>--Undifferentiated: 30 (26.1) vs 30 (27.0) vs 34 (30.6) vs 37 (31.6) vs 28 (23.5)<br><br>Mean PANSS (SD): 96.2 (13.3) vs 95.8 (13.9) vs 96.8 (14.1) vs 97.3 (14.7) vs 96.5 (16.0)<br>Mean CGI severity of illness (SD): 4.9 (0.7) vs 4.9 (0.7) vs 4.9 (0.7) vs 5.0 (0.7) vs 4.9 (0.6) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results   |
|--|--|--|---|
| AstraZeneca,<br>2010<br>5077IL/0089<br>RCT, Open-label<br>multi-center USA | NR/1837/1098                           | 732/NR/329 primary<br>analysis; 1082<br>safety | <p>Efficacy: Quetiapine vs. Risperidone:<br/>First relapse (%) by 24 months: 30.5% vs. 26.0%<br/>mean PANSS, CGI, Quality of Life Enjoyment and Satisfaction Questionnaire, and Personal Evaluation of Transitions in Treatment;<br/>All NSD between treatment groups</p> <p>Risk differences for increase in lens opacity, difference vs. risperidone (95%CI):<br/>Cortical opacification: -0.035 (-0.072 to 0.001), p=0.063<br/>Nuclear opalescence: -0.012 (-0.028 to 0.004), p=0.165<br/>Posterior subcapsular opacification: -0.017 (-0.055 to 0.022), p=0.396<br/>Any: -0.058 (-0.111 to -0.005), p=0.035</p>   |
| AstraZeneca, Data<br>on File, Study<br>D1444C00132<br>DB RCT               | NR/NR/588                              | 142/NR/573                                     | <p>P vs Quetiapine SR 400 vs 600 vs 800 vs Quetiapine IR 400 (P value is vs P)</p> <p>LS mean from baseline in PANSS total score: -18.8 vs -24.8 (P&lt;0.05) vs -30.9 (P&lt;0.001) vs -31.3 (P&lt;0.001) vs -26.6 (P&lt;0.01)<br/>PANSS response: 30.4% vs 44.1% (P&lt;0.05) vs 60.4% (P&lt;0.001) vs 56.4% (P&lt;0.001) vs 52.9% (P&lt;0.01)<br/>LS mean from baseline in CGI Severity of Illness score: -1.0 vs 1.3 vs -1.5 (P&lt;0.001) vs -1.6 (P&lt;0.001) vs -1.3 (P&lt;0.05)<br/>CGI Global Improvement score, % of patients showing improvement: 60.0% vs 73.9% (P&lt;0.05) vs 79.3% (P&lt;0.01) vs 76.9% (P&lt;0.01) vs 75.6% (P&lt;0.05)</p> <p>Quetiapine SR 600 mg/d and SR 800 mg/d groups demonstrated significant improvement compared to P for the PANSS Negative symptom subscale score and PANSS depression cluster score at d 42</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                                 | <b>Study design</b>                                       | <b>Adverse effects reported</b>  |
|---|---|--|
| AstraZeneca, 2010                                   | 5077IL/0089 RCT, Open-label multi-center USA              | Quetiapine vs. Risperidone:<br>Any AE (%): 93.0 vs. 88.7<br>AE with outcome death (%): 1.2 vs. 0.4<br>SAE (%): 25.8 vs. 23.0<br>Suicide, n: 1 vs. 1<br>QT prolongation (%): 0.7 vs. 0<br>Diabetes (%): 3.1 vs. 5.2<br>Neutropenia or Agranulocytosis (%): 1.0 vs. 1.8<br>Suicidality (%): 4.8 vs. 4.6<br>Somnolence (%): 50.0 vs. 23.8<br>>7% weight gain (%): 21.9 vs. 20.7   |
| AstraZeneca, Data on File, Study D1444C00132 DB RCT | P vs Quetiapine SR 400 vs 600 vs 800 vs Quetiapine IR 400 | AEs n (%): 50 (42.4) vs 51 (45.1) vs 62 (54.9) vs 56 (46.3) vs 66 (53.7)<br>Serious AEs n (%): 2 (1.7) vs 2 (1.8) vs 3 (2.7) vs 1 (0.8) vs 6 (4.9)<br>Death: 0 vs 0 vs 0 vs 0 vs 1<br>Insomnia n (%): 23 (19.5) vs 13 (11.5) vs 7 (6.2) vs 9 (7.4) vs 13 (10.6)<br>Somnolence n (%): 2 (1.7) vs 8 (7.1) vs 10 (8.8) vs 14 (11.6) vs 9 (7.3)<br>Dizziness n (%): 1 (0.8) vs 6 (5.3) vs 10 (8.8) vs 8 (6.6) vs 7 (5.7)<br>Headache n (%): 8 (6.8) vs 6 (5.3) vs 4 (3.5) vs 4 (3.3) vs 2 (1.6)<br>Sleep disorder n (%): 11 (9.3) vs 4 (3.5) vs 6 (5.3) vs 4 (3.3) vs 6 (4.9)<br>Constipation n (%): 5 (4.2) vs 2 (1.8) vs 6 (5.3) vs 5 (4.1) vs 1 (0.8) |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                                 |  |
|--|--|
| Study design                                 | Extrapyramidal symptoms  |
| AstraZeneca, 2010                            | Quetiapine vs. Risperidone:  |
| 5077IL/0089                                  | EPS (%): 12.5 vs. 21.4   |
| RCT, Open-label                              | Tardive dyskinesia (%): 0.9 vs. 1.0  |
| multi-center USA                             |  |
|  |  |
|  |  |
|  |  |
|  |  |
| AstraZeneca, Data on File, Study D1444C00132 | "Incidence of EPS-related AEs was consistent across the quetiapine SR and IR groups and similar to P"  |
| DB RCT                                       | Few patients using anticholinergic medication for symptoms of EPS in all groups<br>Overall the assessment of parkinsonian and akathisia symptomatology as assessed by mean SAS and BARS scores indicated that quetiapine treatments were similar to P, and an improvement or no worsening in symptomatology in all active treatment groups |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--|---|-----------------|
| AstraZeneca,<br>2010<br>5077IL/0089<br>RCT, Open-label<br>multi-center USA   | Total: 732<br>Due to AE: 195  |                 |
| AstraZeneca, Data P vs Quetiapine SR 400 vs 600 vs 800 vs Quetiapine IR 400<br>on File, Study<br>D1444C00132<br>DB RCT |   |                 |
|  | Total WD: 33 vs 30 vs 21 vs 31 vs 27<br>WD due to AEs: 3 (2.5%) vs 6 (5.3%) vs 3 (2.7%) vs 3 (2.5%) vs 6 (4.9%) |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                 | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications                   | Age<br>Gender<br>Ethnicity                        | Other population characteristics  |
|--|--|--|---|---|---|
| Atmaca, 2003<br>Inpatients                                   | Schizophrenia<br>Exclusion: Co-morbid Axis I disorders, severe physical illness, history of alcohol/substance abuse, history of lipid-lowering treatment, presence of endocrinologic disorder, autoimmune, pulmonary, infectious diseases, neoplasms.  | 6 week study<br>quetiapine(N=14):<br>olanzapine(N=14):<br>risperidone(N=14):<br>clozapine(N=14):<br>control group w/no treatment(N=11):            | Biperiden hydrochloride,<br>benzodiazepines | Mean age: 30.2 ys<br>54.6% Female<br>Ethnicity NR | 29% psychotropic drug naïve   |
| Azorin, 2001<br>DB, multicenter<br>(France and<br>Canada)    | Diagnosis: schizophrenia (DSM-IV),<br>Treatment-resistant: severe, chronic<br>disease and poor response to previous<br>neuroleptic drugs (no period of good<br>functioning for ≥ 24 mos despite use of two<br>antipsychotic drugs; current episode<br>without significant improvement for ≥ 6 mos<br>despite use of antipsychotic equivalent to<br>haloperidol, 20 mg, for ≥ 6 wks; total BPRS<br>≥ 45; CGI ≥ 4) | clozapine 200–<br>900 mg/d<br>Mean dose 597.5 mg/d;<br>risperidone 2–15mg/d<br>Mean dose 8.3 mg/d<br>individual dose titration<br>Duration: 12 wks | NR  | Mean age 37.8 ys<br>71% male<br>Ethnicity NR      | Mean PANSS score: 111<br>Mean BPRS score: 62<br>Mean CGI-S score: 5.5   |
| Bai, 2006<br>Single-blind, RCT,<br>single center<br>(Taiwan) | Symptomatic stable hospitalized patients<br>18-65 w/ DSM IV diagnosis of<br>schizophrenia treated for 3 mos with oral<br>risperidone, good health<br>Exclusion due to neuroleptic malignant<br>syndrome, organic disease of the CNS and<br>seizure disorder; violent behavior; suicide<br>risk.  | Oral risperidone: 2-6 mg/d<br>Long-acting risperidone: 20-50 mg<br>every 2 wks<br>Duration: 12 wks active treatment                                | Anticholinergics and<br>benzodiazepines     | Mean age: 46.4<br>Male: 50%<br>Ethnicity: NR      | Risperidone long-acting injection vs<br>oral risperidone<br>PANSS Total 65.2 ± 17.6 vs 70.2 ±<br>19.6<br>CGI-S 3.96 ± 0.20 vs 3.92 ± 0.28<br>GAF 64.4 ± 10.4 vs 59.6 ± 11.4 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                 | Number screened/<br>eligible/ enrolled             | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Atmaca, 2003<br>Inpatients                                   | NR/NR/71   | NR/NR/64                                     | Mean scores changes at Endpoint:<br>Quetiapine:<br>Body weight: 4.41; (p<.05), PANSS score: (p<.01), BMI: (P=.26)<br>Olanzapine:<br>Body weight: 8.92; (p<.01), PANSS score: (p<.001), BMI: (p<.05)<br>Risperidone:<br>Body weight: 0.54; (P=.91), PANSS score: (p<.01), BMI: (P=.71)<br>Clozapine:<br>Body weight: 6.52; (p<.01), PANSS score: (p<.01), BMI: (p<.05)<br>No treatment/control group:<br>Body weight: -1.32; (P=.82), PANSS score: (p<.01), BMI: (P=.62)   |
| Azorin, 2001<br>DB, multicenter<br>(France and<br>Canada)    | NR/NR/273<br>olanzapine = 138<br>risperidone = 135 | 72/3/256                                     | Mean change from Baseline to 12 wks (ITT)<br>clozapine/risperidone:<br>BPRS: -23.3/-17.7 (ANCOVA p = 0.006)<br>CGI-S: -1.8/-1.4 (p = 0.008)<br>PANSS total: -37.5/-29.9 (p = 0.02)<br>PANSS positive: -10.4/-8.3 (p = 0.02)<br>PANSS negative: -8.8/-7.1 (p = 0.06)<br>PANSS general psychopathology: -18.3/-14.1 (p = 0.008)<br>Calgary Depression Scale: -3.2/-2.3 (p = 0.10)<br>Psychotic Anxiety Scale: --18.5/-13.5 (p = 0.02)<br>Psychotic Depression Scale: -24.8/-20.2 (p = 0.15)<br>Responders (Kane criteria): 48.4%/43.1% (p<0.38)<br>Improvement in BPRS of 20%, 30%, 40%: SS C>R, 50% NS |
| Bai, 2006<br>Single-blind, RCT,<br>single center<br>(Taiwan) | NR/NR/50   | 1/NR/49                                      | Change from baseline - LA risperidone vs. regular risperidone<br>Total PANSS -0.16 vs. -2.4 P=NS<br>Negative -0.64 vs. 0.08 P=NS<br>Positive 0.72 vs. -1.24 P=0.022<br>CGI-S -0.08 vs. -0.04 P=NS<br>Side effects UKU -2.12 vs. -0.13 P=0.037   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                             | <b>Adverse effects reported</b>  |
|---------------------|---|--|
| Atmaca, 2003        | Inpatients                                      | NR   |
| Azorin, 2001        | DB, multicenter<br>(France and<br>Canada)       | Adverse Effects Reported:<br>clozapine 78.7%<br>risperidone 82.8% (P=0.44)<br>AEs SS more frequent:<br>clozapine: convulsions, dizziness, sialorrhea, tachycardia, somnolence<br>risperidone: EPS, insomnia, dry mouth |
| Bai, 2006           | Single-blind, RCT,<br>single center<br>(Taiwan) | See results  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |  |
|--|--|
| <b>Study design</b>  | <b>Extrapyramidal symptoms</b>   |
| Atmaca, 2003<br>Inpatients                                   | NR   |
| Azorin, 2001<br>DB, multicenter<br>(France and<br>Canada)    | AEs SS more frequent:<br>clozapine: convulsions, dizziness, sialorrhea, tachycardia, somnolence<br>risperidone: EPS, insomnia, dry mouth   |
| Bai, 2006<br>Single-blind, RCT,<br>single center<br>(Taiwan) | Risperidone long-acting injection vs Oral risperidone change from BL<br>AIMS: $-3.20 \pm 4.7$ vs $-4.36 \pm 3.9$<br>BARN: $-0.04 \pm 1.74$ vs $-0.2 \pm 1.11$<br>SAS: $-3.50 \pm 5.57$ vs $-2.95 \pm 5.82$ |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                         | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>                | <b>Comments</b>                       |
|--|--|---------------------------------------|
| Atmaca, 2003<br>Inpatients                                   | NR; NR   |                                       |
| Azorin, 2001<br>DB, multicenter<br>(France and<br>Canada)    | Overall 72 (26%)<br>Due to AE: 28 (10%)<br>clozapine: 11.6%, risperidone 10.3% | BPRS score extracted from PANSS score |
| Bai, 2006<br>Single-blind, RCT,<br>single center<br>(Taiwan) | 1 and 1  |                                       |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                      | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics |
|---|--|--|--|---|----------------------------------|
| Bellack, 2004<br>DB, substudy<br>within larger trial              | Patients with schizophrenia or schizoaffective disorder, including those with adjunctive medications or history of poor compliance and substance abuse; at least two previous trials of a conventional antipsychotic at doses equivalent to 600 (1st trial) and 250-500 (2nd trial) mg/d chlorpromazine; and a rating of at least moderate on BPRS or SANS subscales   | clozapine: 500mg/d; max 800 mg/d after 5 wks<br><br>risperidone: 6 mg/d, max 16 mg/d after 5 wks<br><br>Duration: 29 wks | Not specified  | Not specified for full study population.<br>Of 72 subjects assessed for social competence at baseline:<br>mean age 41.4 ys<br>73% male<br>58% Caucasian | Illness                          |
| Bender, 2006<br>(Companion to Naber 2005)<br>DB, RCT - sub sample | Inclusion- considered for clozapine therapy, i.e. they had a documented history that they had either failed to respond to at least one antipsychotic other than clozapine and olanzapine or had experienced intolerable side-effects during these prior antipsychotic treatments, 18 to 65 ys and a normalized BPRS score of at least 24 at baseline. Exclusion- pregnant or lactating and a history of substance abuse or dependence within the past 3 mos and serious, unstable somatic illnesses, previous use of olanzapine and/or clozapine | subsample of 54 patients from 114 [olanzapine (n = 30) vs. clozapine (n = 24) for 24 wks                                 | benzodiazepines for agitation (lorazepam up to 8 mg/d, diazepam up to 60 mg/d, oxazepam up to 100 mg/d, temazepam up to 30 mg/d) or chloral hydrate up to 1500 mg/d for insomnia, and biperiden up to 6 mg/d for treatment-emergent EPS. | Mean age 33 ys<br>67% male<br>Ethnicity: NR   | Age of onset 25.2 ys             |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled       | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|---|--|--|--|
| Bellack, 2004<br>DB, substudy<br>within larger trial                    | NR/NR/107 enrolled<br>Number per group<br>NR | Total loss to f/u:<br>47% (MASC), 66%<br>(WCST)<br>Loss of efficacy:<br>36%<br>Subject WD 32%<br>Adverse reactions<br>17%<br>Number of WDs<br>varied and<br>crossover by test<br>administered. | Symptoms:<br>Change in CGI:<br>risperidone: -1.42 (95%CI -1.93 to -0.99);<br>clozapine: -1.48 (95%CI -2.11 to -0.99)<br>WD due to lack of efficacy:<br>38% of risperidone<br>15% of clozapine (SS different, p-value NR)<br>Social Skill and Problem Solving:<br>At week 29:<br>risperidone: SS decrease in perseverative errors<br>clozapine: SS decrease in verbal score<br>Change in Effect Size for verbal behavior:<br>risperidone: 0.33 (95%CI: 0.01 to 0.79);<br>clozapine: -0.037 (95%CI -0.47 to 0.30).   |
| Bender, 2006<br>(Companion to<br>Naber 2005)<br>DB, RCT - sub<br>sample | NR/NR/54                                     | 23/NR/31   | Schizophrenia symptoms, extrapyramidal side-effects and cognitive performance improved significantly in the course of either drug treatment. Stroop test performance and Tower of London planning time improved significantly over 26 wk compared to baseline and 4-wk follow-up assessment while Wisconsin Card Sorting and Tower of London execution time improved significantly after 4 wk with no further improvement after 26 wk. Improved executive function was not related to improving positive symptoms and easing extrapyramidal side-effects, thus indicative of a primary treatment effect of either antipsychotic. However, Stroop reaction time improved with olanzapine while clozapine had a stronger effect on improving negative symptoms, thus suggestive of a differential drug effect. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                 |                                 |
|-------------------------------------|---------------------------------|
| <b>Study design</b>                 | <b>Adverse effects reported</b> |
| Bellack, 2004                       | NR                              |
| DB, substudy<br>within larger trial |                                 |
| Bender, 2006                        | NR                              |
| (Companion to<br>Naber 2005)        |                                 |
| DB, RCT - sub<br>sample             |                                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                        |                                   |
|-------------------------------------|-----------------------------------|
| Study design                        | Extrapyramidal symptoms           |
| Bellack, 2004                       | NR                                |
| DB, substudy<br>within larger trial |                                   |
| Bender, 2006                        | SAS Olanzapine vs. clozapine n=31 |
| (Companion to<br>Naber 2005)        | Baseline 0.5(0.5) vs.0.6(0.4)     |
| DB, RCT - sub<br>sample             | 26 wks 0.2(0.2) vs 0.1 (0.1)      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                    | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|---|---|--|
| Bellack, 2004<br>DB, substudy<br>within larger trial                    | 17% of WD due to AE's but numbers per drug not clear            | While some differences are apparent between drugs on results for verbal score and problem solving, changes were not considered clinically important by authors. Lack of ITT, low power, and poor reporting make result difficult to interpret or generalize. |
| Bender, 2006<br>(Companion to<br>Naber 2005)<br>DB, RCT - sub<br>sample | 23 WD   | Completers analysis.   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |   |   |   | Age   |  |
|---|---|---|---|---|--|
| Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Gender<br>Ethnicity                             | Other population characteristics   |
| Bitter, 2004<br>RCT, Multicenter<br>(Hungary & South<br>Africa) | Hospitalized patients 18-65 yrs, with<br>schizophrenia; minimum BPRS score<br>(items 1-7) of 42, and have failed to<br>respond to standard treatment with typical<br>antipsychotics (at least 1 trial of 4-6 wks,<br>400-600mg chlorpromazine or equivalents)<br>due to insufficient effectiveness or<br>intolerable side effects | 180<br>18 wks   | Episodic use of<br>benzodiazepines not allowed,<br>stable doses of chronically<br>used benzodiazepines allowed<br>with max doses,<br>anticholinergic meds to treat<br>new or worsening EPS<br>allowed but all other uses not<br>allowed | Mean age 38<br>48% white<br>60% male            | NR, stated to have NS differences  |
| Bondolfi, 1998<br>DB, RCT, single-<br>center<br>Inpatients      | Chronic schizophrenia (DSM-II-R);<br>Treatment-resistant: failed to respond or<br>intolerant of ≥ 2 different classes of<br>antipsychotic drugs in appropriate doses<br>for ≥ 4 wks each; total PANSS 60–120  | clozapine: 150–<br>400 mg/d<br>mean 291 mg/d;<br>risperidone: 3–<br>12 mg/d<br>mean 6.4 mg/d<br><br>Duration: 8 wks | lorazepam and<br>oxazepam (sleep<br>induction), biperiden<br>and procyclidine<br>(EPS),<br>clothiapine (emergency<br>treatment)<br>as required  | Mean age: 37.2 ys<br>70.9% Male<br>Ethnicity NR | Mean age at onset: 23 ys<br>Mean age at first hospitalization: 26 ys<br>Mean # hospitalizations 6.1<br>Mean # mos in hospital: 36.6<br><br>100% inpatient<br>Schizophrenia type:<br>paranoid: 58%<br>disorganized: 27.9%<br>undifferentiated: 8.1%<br>residual: 5.8% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                    | Number screened/<br>eligible/ enrolled           | Withdrawn/<br>Lost to follow-up/<br>Analyzed                                   | Results  |
|---|--|--|--|
| Bitter, 2004<br>RCT, Multicenter<br>(Hungary & South<br>Africa) | 189/150/147                                      | 7/NR/140 for<br>efficacy<br>assessments<br>62/NR/147 for<br>safety assessments | Change in PANSS total:<br>clozapine -37.9<br>olanzapine -37.7 (NS)<br>Change in PANSS positive<br>clozapine -11.8<br>olanzapine -11.7 (NS)<br>Change in PANSS negative<br>clozapine -7.7<br>olanzapine -7.6 (NS)<br>Change in CGI-S<br>clozapine -1.5<br>olanzapine -1.4 (NS)<br>Kane criteria:<br>clozapine 60.8%<br>olanzapine 57.9% (NS)<br>PANSS criteria for Response: NS differences between groups<br>Discontinue study due to lack of efficacy:<br>clozapine 4.2%<br>olanzapine 5.3% |
| Bondolfi, 1998<br>DB, RCT, single-<br>center<br>Inpatients      | NR/NR/86<br><br>clozapine: 43<br>risperidone: 43 | 18/0/86  | Clozapine vs risperidone (p value)<br>Proportion with 20% improvement:<br>67% vs 65% (p = 0.30)<br>Mean Change at 8 wks (ITT) All NS<br>PANSS total: -23.2 vs -27.4<br>PANSS positive: -6.7 vs -8.3<br>PANSS negative: -6.1 vs -6.0<br>PANSS general psychopathology: -10.4 vs 12.2<br>Survival Analysis indicated risperidone patients responded faster than clozapine patients   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                          | <b>Adverse effects reported</b>  |
|---------------------|--|--|
| Bitter, 2004        | RCT, Multicenter<br>(Hungary & South Africa) | clozapine, olanzapine, p-value<br>Weight gain:<br>9.5%, 9.2%, P=0.958<br>Mean change in weight: NS<br>Somnolence:<br>14.9%, 2.6%, P=0.008<br>Dizziness:<br>8.1%, 1.3%, P=0.049<br>Hypersalivation:<br>6.8%, 1.3%, P=0.089<br>Postural hypotension:<br>5.4%, 1.3%, P=0.163<br>Back Pain<br>0.0%, 5.3%, P=0.045<br>NS difference on CBC parameters<br>EPS:<br>Baseline to Endpoint on SAS, AIMS, or HAS: NS difference<br>Treatment emergent akathisia (HAS $\geq 3$ ) or dyskinesia: NS Difference<br>Treatment emergent parkinsonism: NR in either group |
| Bondolfi, 1998      | DB, RCT, single-center<br>Inpatients         | Adverse effects reported, risperidone vs clozapine:<br>Asthenia/lassitude/increased fatigability: 28% vs 51% (p<0.05)<br>Weight gain: 23% vs 37% (P=0.24)<br>Sleepiness/sedation: R: 30% vs C: 47% (NS)<br>Failing memory: R: 21% vs C: 35% (NS)<br>Concentration difficulties: R: 16% vs C: 26% (NS)<br>Increased duration of sleep: R: 19% vs C: 21% (NS)<br>Nausea/vomiting: R: 16% vs C: 21% (NS)<br>Orthostatic dizziness: R: 12% vs C: 21% (NS)<br>Reduced duration of sleep: R: 14% vs C: 7% (NS)<br>Diminished sexual drive: R: 9% vs 5% (NS)    |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>      |  |
|--------------------------|--|
| <b>Study design</b>      | <b>Extrapyramidal symptoms</b>   |
| Bitter, 2004             | EPS:   |
| RCT, Multicenter         | Baseline to Endpoint on SAS, AIMS, or HAS: NS difference   |
| (Hungary & South Africa) | Treatment emergent akathisia (HAS $\geq 3$ ) or dyskinesia: NS Difference  |
|                          | Treatment emergent parkinsonism: NR in either group  |
|                          |  |
| Bondolfi, 1998           | EPS:   |
| DB, RCT, single-center   | "No significant difference between the groups at endpoint in the mean total ESRS scores, the different cluster scores, or the different cluster scores on the parkinsonism scales" - data NR |
| Inpatients               | Proportion scoring 0 (clozapine vs risperidone) at week 8 on ESRS:   |
|                          | Total with 0 on ESRS total score: 37% vs 54% (NS)  |
|                          | % with 0 on ESRS parkinsonism score: 37% vs 61% ( $p = 0.03$ )   |
|                          | % with 0 on ESRS dystonia: 98% vs 95% (NS)   |
|                          | % with 0 on ESRS dyskinesia: 84% vs 84% (NS)   |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                            | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|---|---|--|
| Bitter, 2004<br>RCT, Multicenter<br>(Hungary & South<br>Africa) | Overall: 85 (58%)<br>Due to AE:<br>clozapine 7<br>olanzapine 7  | Refractoriness includes intolerance, does<br>not use Kane criteria.  |
| Bondolfi, 1998<br>DB, RCT, single-<br>center<br>Inpatients      | Overall 18 (21%)<br>Due to AE: 2.3% (2.3% in each group)        | Differences at baseline: # mos in hospital,<br>PANSS positive; analyses presented<br>focus on within group differences more<br>than between group comparisons.<br>Dose of clozapine low. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |   |  | Age  |  |
|--|--|---|--|--|--|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications              | Gender   | Other population characteristics   |
| Breier, 1999<br>DB, RCT, single-center (NIH Clinical Center)<br>Unclear if inpatient | Diagnosis: schizophrenia (DSM-IV); Partial response to neuroleptic drugs: (i) history of residual positive and/or negative symptoms after ≥ 6 week trial of therapeutic dose of neuroleptic agent; (ii) at least minimum level of positive (4 positive BPRS items > 8) and/or negative (SANS score > 20) symptoms at time of evaluation for study; (iii) at least minimum level of positive and negative symptoms after prospective trial of ≥ 2 wks of fluphenazine, 20 mg/d (range 10–30 mg/d) | clozapine: 200–600 mg/d; fixed dose mean 403.6 mg/d;<br>risperidone: 2–9 mg/d; fixed dose mean 5.9 mg/d<br>Duration: 6 wks<br><br>fluphenazine treatment for ≥ 2 wks; then, 66% patients underwent drug-free period | benztropine mesylate (EPS) as required | Mean, age: 35.0 ys, range 18–55 ys<br>66% male<br>Ethnicity NR | History: duration of illness, about 12.5 ys; chronic schizophrenia; partial response to neuroleptic drugs* |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|---|--|---|---|
| Breier, 1999<br>DB, RCT, single-<br>center (NIH<br>Clinical Center)<br>Unclear if inpatient | NR/NR/29                                       | NR/NR/29  | Mean Change in score (clozapine/risperidone, <i>P</i> value)<br>BPRS total: -6.36/-4.73 ( <i>P</i> = 0.19)<br>BPRS Positive symptoms: -2.5/-1.0 ( <i>P</i> = 0.04)<br>BPRS Responders (20% improvement): 35.7%/20% ( <i>P</i> = 0.34)<br>SANS: -2.14/4.4 ( <i>P</i> = 0/54)<br>HAM-D: -4.5/-1.92 ( <i>P</i> = 0.25) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |                                      |
|----------------------|--------------------------------------|
| <b>Study design</b>  | <b>Adverse effects reported</b>      |
| Breier, 1999         | Mean change in SAR-S                 |
| DB, RCT, single-     | clozapine: -0.93                     |
| center (NIH          | risperidone: +0.26 (P=0.05)          |
| Clinical Center)     | Mean Change in serum Prolactin:      |
| Unclear if inpatient | clozapine: -41.1ng/ml                |
|                      | risperidone: +11.8 (P=0.001)         |
|                      | Growth Hormone, cortisol: changes NS |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                                 |   |
|--|---|
| Study design                                 | Extrapyramidal symptoms                                 |
| Breier, 1999                                 | Clozapine vs risperidone:                               |
| DB, RCT, single-center (NIH Clinical Center) | Simpson-Angus Rating Scale Mean Change: -8 vs 2, P=0.05 |
| Unclear if inpatient                         |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Breier, 1999<br>DB, RCT, single-<br>center (NIH<br>Clinical Center)<br>Unclear if inpatient | NR/NR   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |   |   |  | Age  |  |
|---|---|---|--|--|--|
| Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Gender   | Other population characteristics   |
| Breier, 2005<br>DB, parallel-group<br>28 week RCT,<br>multicenter<br>(Europe, North<br>and South<br>America)<br>Inpatients and<br>outpatients | Schizophrenia (DSM-IV); baseline score of 42 or higher on BPRS; score of 4 or higher on at least one positive symptom item of the Positive and Negative Syndrome Scale; score of 4 or higher on CGI | olanzapine: 5-20 mg/daily (mean: 15.27)<br>ziprasidone 40-160 mg/d (mean: 115.96) | lorazepam ( $\leq 4$ mg/d);<br>benzodiazepine or hypnotic<br>monotherapy during study<br>period 2 ( $\leq 10$ mg/d of<br>diazepam equivalents<br>recommended). Benzotropine<br>mesylate or biperiden up to 6<br>mg/d if EPS occurred or<br>existed at visit 1. | mean age: O: 40.1 $\pm$ 11.6; Z: 38.2 $\pm$ 12.1; P=0.04<br>Gender (%) male: O: 180 (65%); Z: 172 (63.5%)<br>Caucasian: 43.6%<br>African descent 26.3%<br>Hispanic: 22.6%<br>Other: 7.5% | Mean Age at onset of disease ys: O: 23.9; Z: 22.8<br>Number of previous episodes, n O: 7; Z: 7.2<br>Baseline Positive and Negative Syndrome Scale total score: O: 99.8; Z: 102 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b>   | <b>Results</b>   |
|---|--|---|--|
| Breier, 2005<br>DB, parallel-group<br>28 week RCT,<br>multicenter<br>(Europe, North<br>and South<br>America)<br>Inpatients and<br>outpatients | NR/NR/548                                      | 268 (discontinued) /<br>24/280<br><br>Lack of efficacy (O:<br>20 vs. Z 37 ,<br>P=0.02) and<br>aggravation of<br>psychosis (O: 4 vs.<br>Z: 12, P=0.05) | SANS summary score, mean change from baseline: O -6.0 v R -4.7; P=0.0151; effect size 0.34<br>Affective flattening, mean change from baseline: O -9.1 v R -6.5; P=0.0065; effect size 0.39<br>Speech difficulty, mean change from baseline: O -5.2 v R -4.2; P=0.0747; |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>   |
|---------------------|--|---|
| Breier, 2005        | DB, parallel-group   | Montgomery Asberg Depression Rating Scale: LOCF: Mean Chg in Score at 28 wk: O: (n=270) vs. Z: (n=260) (difference -7.1 vs. -5.5 (p=0.05))  |
|                     | 28 week RCT, multicenter (Europe, North and South America) | 7.5 vs. 8.1 (p= NS) ---using Mixed-Effects Model<br>Hamilton Anxiety Rating Scale: LOCF Mean Chg in Score at 28 wk O (n=270) vs. Z (n=261)<br>-5.8 vs. -4.3 (p=0.002)<br>4.5 vs. 5.2, (p=NS)-using Mixed-Effects Model  |
|                     | Inpatients and outpatients                                 | AE: Treatment-Emergent AE in 28 week: O: (n=277) ; Z: (n=271)<br>AE: statistically different rates or occurred in at least 10%): O: % vs. Z: %; p<br>Any: 75.1% vs. 80.4%; NS<br>Headache, Anxiety, Anorexia, all NS<br>Weight increase: 12.6% vs. 1.8%; <0.001<br>Appetite increase: 7.2% vs. 1.8%; 0.02<br>Insomnia: 6.9% vs. 22.1%; <0.001<br>Vomiting: 4% vs. 9.2%; 0.02<br>Dystonia: 0 vs. 2.2%; 0.02<br>Hypotension: 0 vs. 1.8%; 0.03<br>Weight (kg): LOCF: Mean Change in Value at 28 wk: O:(n=269) vs. Z:(n=260) (diff btw groups)<br>3.06 vs. -1.12 (p<0.001)<br>Mean Fasting gluc. (mmol/L): LOCF: Mean Chg at 28 wk: O: (n=228) vs. Z: (n=219)<br>0.28 vs. -0.01 (NS)<br>TC (mmol/L): LOCF: Mean Chg at 28 wk: O: (n=215) vs. Z: (n=203)<br>0.08 vs. -0.33 (p<0.002)<br>HDL (mmol/L): LOCF Mean Chg at 28 wk: O: (n=212) vs. Z: (n=201)<br>-0.06 vs. 0.02 (p<0.001)<br>LDL (mmol/L): LOCF Mean Chg at 28 wk O: (n=204) vs. Z: (n=196)<br>0.02 vs. -0.27 (p=0.02)<br>TG (mmol/L): LOCF Mean Chg at 28 wk O: (n=215) vs. Z: (n=203)<br>0.39 vs. -0.24 (p<0.001)<br>Prolactin level (pmol): LOCF Mean Chg at 28 wk: O: (n=250) vs. Z: (n=241)<br>0.20 vs. 0.38 (NS)<br>QTc interval (msec): LOCF Mean Chg at 28 wk: O: (n=270) vs. Z: (n=259)<br>4.81 vs. 5.58 (NS) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>   | <b>Extrapyramidal symptoms</b>  |
|---------------------|---|---|
| Breier, 2005        | DB, parallel-group<br>28 week RCT,<br>multicenter<br>(Europe, North<br>and South<br>America)<br>Inpatients and<br>outpatients | <p>Simpson-Angus Rating Scale: Mean Change in Score BL to Endpoint: O: (n=268) vs. Z: (n=260)<br/>           Difference btw. groups: -1.16 vs. -0.82 (p=NS)<br/>           Baseline to maximum: -0.05 vs. 0.62 (p&lt;0.001)</p> <p>Barnes Rating Scale for Drug-Induced Akathisia, Mean Change in Score BL to Endpoint: O<br/>           (n=270) vs Z (n=260)<br/>           Difference btw. groups: -0.21 vs. -0.10 (p=0.04)<br/>           Baseline to maximum: 0.19 vs. 0.30 (p=0.03)</p> <p>Abnormal Involuntary Movement Scale: Mean Change in Score BL to Endpoint: O (n=268) vs. Z<br/>           (n=261)<br/>           Difference btw. groups: -0.53 vs. -0.45 (p=NS)<br/>           Baseline to maximum: 1.47 vs. 1.83 (p=0.01)</p> <p>Use of BZD: Z 53.5% vs. O: 40.4 %, p=0.003.<br/>           More Z pts took BZD for 1-14 ds than O (22.9% vs. 14.8%, p=0.02) but not for durations &gt;14 ds<br/>           (30.6% vs. 25.6%, p=0.22).<br/>           More Z pts than O pts received at least one dose of an anticholinergic (15.5% vs. 7.2%,<br/>           p=0.003).<br/>           More Z pts took an anticholinergic than O pts for 1-14 ds<br/>           (8.9% vs. 1.4%, p&lt;0.001 but not for duration &gt; 14 ds<br/>           (6.6% vs. 5.8%, p=0.73).</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|---|---|---|
| Breier, 2005<br>DB, parallel-group<br>28 week RCT,<br>multicenter<br>(Europe, North<br>and South<br>America)<br>Inpatients and<br>outpatients | 268 (discontinued) / 73 (O: 32, Z: 41)                          | Compliant with study drug regimen:<br>O: 97.8% vs. Z 94.9%; $p < 0.001$<br>Because there was a higher percentage of<br>dropouts in the Z group, the analysis with<br>the LOCF may have had a greater<br>likelihood of detecting a SS difference in<br>the case of smaller effect sizes that favor<br>O. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity                                  | Other population characteristics   |
|---|--|--|-----------------------------|---|--|
| Buchanan<br>2012<br>DB RCT  | Schizophrenia, Men and women 18 ys or older (primarily outpatients), PANSS score of 20 or greater, had to be clinically stable for 5 mos before screening. | Asenapine = 10 mg. Max dose.<br>Olanzapine = 20 mg. Max dose.<br>Duration: 26 wks            | Anti-parkinsons medications | Mean Age: 43<br>Male = 26%<br>Female = 74%<br>Ethnicity: NR | Three deaths were reported in the EH study.<br>(1) Committed suicide during initial cross-titration period.<br>(1) Hospitalized with suspected tuberculosis and died of metastatic lung cancer.<br>(1) Committed suicide during the 30-d follow-up period. |
| Byerly, 2008<br>DB RCT<br>5 Dallas County public mental health outpatient clinics | Outpatients (n=42, age ≥18 ys) with schizophrenia or schizoaffective disorder who experienced risperidone-associated sexual dysfunction.                   | Risperidone mean dose=4.1 mg (1.2) n=22<br>Quetiapine mean dose=290.0 mg(55.2) n=20<br>6 wks | Yes- antidepressants        | Mean age 42.3 yrs<br>52.4% male<br>Ethnicity NR             | Risperidone vs. quetiapine<br>ASEX total at baseline, M (S.D.) 22.4 (4.6) vs. 22.8 (5.1)<br>PANSS total at baseline, M (S.D.) 78.2 (12.2) vs. 74.1 (12.2)<br>PANSS total at week 6, M (S.D.) 72.1 (6.2) vs. 71.5 (6.2)                                     |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled  | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|---|--|--|
| Buchanan<br>2012<br>DB RCT   | "XX/XX"/949<br><br>*Need to find and<br>download Figure A<br>supplemental<br>material to<br>determine-- not<br>provided with the<br>pdf." | "XX/XX"/Asenapine:<br>EH, N =216;WH, N<br>= 234. Olanzapine:<br>EH, N = 217, WH, N<br>= 218).<br><br>*Need to find and<br>download Figure A<br>supplemental<br>material to<br>determine -- not<br>provided with the<br>pdf." | Effectiveness:EH and WH core studies<br>(LS Mean + SE), change from baseline, 26 weeks<br>The 16-item Negative Symptom Assessment Scale (NSA-<br>16) Total score:<br>EH - Asenapine: -12.2 + 0.81, Olanzapine: -12.5 + 0.76<br>WH - Asenapine: -9.7 + 0.95, Olanzapine: -9.2 + 0.89<br><br>Quality of life scale:<br>EH - Asenapine: 11.7 + 1.14, Olanzapine: 11.8 + 1.05<br>WH - Asenapine: 11.1 + 1.54, Olanzapine: 7.1 + 1.41<br><br>PANSS negative subscale:<br>EH - Asenapine: 27 -7.1 + 0.38, Olanzapine: 26 -6.6 + 0.35<br>WH - Asenapine: -6.3 + 0.48, Olanzapine: -6.5 + 0.44<br><br>PANSS Marder factor for negative symptoms:<br>EH - Asenapine: -8.0 + 0.40, Olanzapine: -7.4 + 0.37<br>WH - Asenapine: -7.0 + 0.48, Olanzapine: -6.7 + 0.45<br><br>PANSS Total score:<br>EH - Asenapine: -13.6 + 0.93, Olanzapine: -14.2 + 0.87<br>WH - Asenapine: -11.6 + 1.14, Olanzapine: -13.8 + 1.07<br><br>PANSS positive subscale:<br>EH - Asenapine: -0.1 + 0.23, Olanzapine: -1.0 + 0.23<br>WH - Asenapine: 0.1 + 0.28, Olanzapine: -0.9 + 0.28<br><br>PANSS Marder factor scores:<br>52-week completion rates:<br>EH 84.3% , WH 66.3%, asenapine<br>EH 89.0% , WH 80.9%, olanzapine |
| Byerly, 2008<br>DB RCT<br>5 Dallas County<br>public mental<br>health outpatient<br>clinics | NR/NR/42  | 6/6/1936   | ASEX at week 6 (SD)<br>Risperidone 20.53 (5.78) vs. quetiapine 18.51 (5.69) P = 0.30   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |
|--|--|
| Study design   | Adverse effects reported   |
| Buchanan<br>2012<br>DB RCT   | <p>EH and WH core studies, n (%)</p> <p>Treatment-emergent AEs: 180 (74.7) 165 (68.8) and 190 (77.9) 184 (82.1)</p> <p>Treatment-emergent SAEs: 26 (10.8) 14 (5.8) and 28 (11.5) 15 (6.7)</p> <p>Treatment-related AEs: 133 (55.2) 131 (54.6) and 158 (64.8) 137 (61.2)</p> <p>Treatment-related SAEs: 11 (4.6) 8 (3.3) and 9 (3.7) 7 (3.1)</p> <p>Treatment-emergent AEs reported by &gt;5% of subjects:</p> <p>Insomnia: 38 (15.8) 26 (10.8) and 43 (17.6) 26 (11.6)</p> <p>Headache: 31 (12.9) 23 (9.6) and 33 (13.5) 23 (10.3)</p> <p>Somnolence: 30 (12.4) 27 (11.3) and 36 (14.8) 43 (19.2)</p> <p>Anxiety: 23 (9.5) 20 (8.3) and 26 (10.7) 16 (7.1)</p> <p>Dizziness: 9 (3.7) 5 (2.1) and 18 (7.4) 21 (9.4)</p> <p>Sedation: 7 (2.9) 9 (3.8) and 16 (6.6) 17 (7.6)</p> <p>Worsening of schizophrenia: 17 (7.1) 9 (3.8) and 15 (6.1) 12 (5.4)</p> <p>Agitation: 15 (6.2) 3 (1.3) and 10 (4.1) 6 (2.7)</p> <p>Nausea: 13 (5.4) 9 (3.8) and 20 (8.2) 11 (4.9)</p> <p>Fatigue: 11 (4.6) 16 (6.7) and 12 (4.9) 8 (3.6)</p> <p>Increased weight: 11 (4.6) 51 (21.3) and 23 (9.4) 48 (21.4)</p> <p>Dry mouth: 4 (1.7) 3 (1.3) and 9 (3.7) 18 (8.0)</p> <p>Increased appetite: 3 (1.2) 6 (2.5) and 8 (3.3) 12 (5.4)</p> |
| Byerly, 2008<br>DB RCT<br>5 Dallas County<br>public mental<br>health outpatient<br>clinics | NR   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |
|--|--|
| Study design   | Extrapyramidal symptoms  |
| Buchanan<br>2012<br>DB RCT   | <p>extrapyramidal effects:</p> <p>EH and WH core studies, n (%)</p> <p>Any 20: (8.3) 8 (3.3) and 40 (16.4) 27 (12.1)</p> <p>Akathisia: 7 (2.9) 3 (1.3) and 22 (9.0) 13 (5.8)</p> <p>Parkinsonism: 5 (2.1) 4 (1.7) and 12 (4.9) 10 (4.5)</p> <p>Dyskinesia: 2 (0.8) 1 (0.4) and 5 (2.0) 2 (0.9)</p> <p>Dystonia: 4 (1.7) 3 (1.3) and 4 (1.6) 1 (0.4)</p> <p>Oculogyric crisis: 1 (0.4) 0 (0.0) and 0 (0.0) 0 (0.0)</p> <p>Bradykinesia: 2 (0.8) 0 (0.0) and 1 (0.4) 0 (0.0)</p> <p>Gait disturbance: 1 (0.4) 0 (0.0) and 1 (0.4) 1 (0.4)</p> <p>Tardive dyskinesia: 0 (0.0) 0 (0.0) and 1 (0.4) 2 (0.9)</p> <p>Cogwheel rigidity: 0 (0.0) 0 (0.0) and 0 (0.0) 1 (0.4)</p> <p>Head titubation: 0 (0.0) 0 (0.0) and 0 (0.0) 1 (0.4)</p> |
| Byerly, 2008<br>DB RCT<br>5 Dallas County<br>public mental<br>health outpatient<br>clinics | NR   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events  | Comments             |
|--|--|----------------------|
| Buchanan<br>2012<br>DB RCT   | <p>Withdrawals due to adverse events:<br/>EH and WH core studies, n (%)</p> <p>Discontinuation due to treatment-emergent AEs:<br/>36 (14.9) 17 (7.1) and 40 (16.4) 30 (13.4)</p> <p>Discontinuation treatment-related AEs:<br/>30 (12.4) 15 (6.3) and 30 (12.3) 20 (8.9)</p> |                      |
| Byerly, 2008<br>DB RCT<br>5 Dallas County<br>public mental<br>health outpatient<br>clinics | 6 WD<br>due to AEs NR  | Completers analysis. |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Eligibility criteria</b>  | <b>Interventions<br/>(drug, dose, duration)</b>   | <b>Allowed other medications</b> | <b>Age<br/>Gender<br/>Ethnicity</b>             | <b>Other population characteristics</b> |
|--|--|---|----------------------------------|---|---|
| <b>Study design</b><br>Canive, 2006<br>DB, RCT,<br>crossover | Inpatients 18-65 yrs.; met DSM-IV criteria for schizophrenia determined by SCID-I; rating at screening of moderate or greater on at least 1 of 4 PANSS psychoticism screening items; decrease in PANSS total score between screen and baseline of no more than 20 points; PANSS total score at baseline with a minimum level of severity of 60; rating at screening of moderate or greater on CGI Severity of Illness item; good health; negative urine drug screen and no history of alcoholism or drug abuse in 3 mos prior to enrollment; no other psychotropic medications | olanzapine: avg. dose 15 mg/d<br>risperidone: avg. dose 6 mg/d<br>Duration: Two 8 week treatment phases | NR                               | Mean age: 42 yrs<br>Gender: NR<br>Ethnicity: NR | NR                                      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|---------------------------------------|--|---|---|
| Canive, 2006<br>DB, RCT,<br>crossover | NR/NR/15                                       | 6 withdrawn/9<br>analyzed                             | <p>Improvement occurred on most negative and positive symptom scales regardless of assigned medication.</p> <p>Main effects and/or linear trends found for PANSS positive, PANSS negative, PANSS general, PANSS total, CGI severity, SANS alogia, SANS anhedonia, SANS attention, SANS avolition, and SANS total scores.</p> <p>For PANSS positive and CGI, all improvements occurred between week 1 (unmedicated) and week 8 (end of 1st drug treatment phase) and remained constant between week 10 and week 18.</p> <p>Both medications led to significant improvements on all PANSS subscales; olanzapine led to greater improvements on PANSS General and PANSS Total; means for all scales followed pattern of olanzapine being more efficacious than risperidone; CGI scores improved during first treatment period and held steady during second.</p> <p>Both medications led to significant improvements in SANS Anhedonia, SANS Avolition, SANS Attention, SANS Alogia, and SANS total scores; olanzapine led to greater improvements on SANS Attention; means for all scales followed pattern of olanzapine being more efficacious; olanzapine also more effective for treating negative symptoms as shown by analysis performed using all SANS subscales and the PANSS negative subscale.</p> <p>No improvements found on movement rating scales, with no main effects or interactions for AIMS, Barnes, and Simpson-Angus scales (all Fs &lt;1.4, Ps &gt;0.27).</p> <p>Both medications showed consistent improvement across assessments at wks 1, 8, and 18 in scores for memory storage, attention, and verbal fluency; no significant improvements in test scores for working memory; no difference between medications seen for any of the neuropsychologic test scores.</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year          |                          |
|-----------------------|--------------------------|
| Study design          | Adverse effects reported |
| Canive, 2006          | NR                       |
| DB, RCT,<br>crossover |                          |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year          |                         |
|-----------------------|-------------------------|
| Study design          | Extrapyramidal symptoms |
| Canive, 2006          | NR                      |
| DB, RCT,<br>crossover |                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design          | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---------------------------------------|---|----------|
| Canive, 2006<br>DB, RCT,<br>crossover | WD: 6<br>WD due to AE: NR                               |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |  |   | Age  |  |
|---|--|--|---|--|--|
| Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Gender<br>Ethnicity  | Other population characteristics                                     |
| Canuso 2009<br>DB RCT<br>India, Russia, the<br>Ukraine, and the<br>United States<br>Inpatient | Inclusion: 18 to 65 ys; schizophrenia (paranoid, disorganized, or undifferentiated types); acute exacerbation < 4 wks but > 4 ds; symptom scores $\geq 4$ (at least moderate) on at least two of the PANSS items of hostility, excitement, tension, uncooperativeness, and poor impulse control, and a total combined score $\geq 17$ for these items; a score $\geq 5$ (at least markedly ill) on CGI-S and were hospitalized or required hospitalization.<br>Exclusion: DSM-IV axis I diagnosis (except for schizophrenia and substance abuse); an axis II diagnosis of MR or borderline personality disorder; acute psychotic symptoms explained by substance use or medical illness; evidence for imminent risk of self-harm; a history of treatment resistance; treatment with quetiapine, paliperidone extended-release, or risperidone for 7 or more ds prior; sensitivity to paliperidone extended-release, risperidone, or quetiapine; depot antipsychotic treatment within one cycle before baseline; and ECT within 3 mos | Paliperidone extended-release (mean 9.8 mg), quetiapine (599.1 mg), or P for 6 wks | After 1st 14 ds, the additive-therapy phase, any psychotropic medication, including antipsychotics, was permitted | 36 yrs old<br>66% male<br>45% Caucasian<br>37% Asian<br>16% Black<br>1% Hispanic<br>1% other | Paranoid 91%<br>Undifferentiated 6%<br>Disorganized 3%               |
| Chan<br>2010<br>Rater-blinded   | Schizophrenia, 18-65, women, DSM-IV score ( $>4$ ).  | Risperidone= 6 mg. Max dose.<br>Olanzapine = 20 mg. Max dose.<br>Duration: 8 wks   | Anticholinergic drugs   | Mean Age: 41<br>Male = 46%<br>Female = 54%<br>Ethnicity = NR                                 | Duration of illness (ys) = 12<br>Duration of Antipsychotics (ys) = 8 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|---|--|---|---|
| Canuso 2009<br>DB RCT<br>India, Russia, the<br>Ukraine, and the<br>United States<br>Inpatient | NR/NR/399                              | 116/21/394 it and<br>397 safety<br><br>WDs by group<br>Paliperidone 34<br>(21.3%)<br>Quetiapine 53<br>(33.3%)<br>P 29 (36.3%) | Between-Group Least-Squares Mean Differences in Change Scores on Efficacy Measures (SE) at 42 ds<br>Paliperidone vs. Quetiapine / Paliperidone vs. P / Quetiapine vs. P<br>PANNS total -4.7* (2.0) / -7.8* (2.5) / -3.1 (2.5)<br>Positive subscore -1.1 (0.6) / -1.9*(0.8) / -0.8 (0.8)<br>Negative subscore -1.2* (0.5) / -2.1* (0.6) / -1.0 (0.6)<br>CGI-S -0.3*(0.1) / -0.5* (0.1) / -0.2 (0.1)<br>CGI-C -0.1 (0.1) / -0.4* (0.2) / -0.3 (0.2)<br><br>* P < 0.05 |
| Chan<br>2010<br>Rater-blinded   | 94/70/70                               | NR/NR*/35<br><br>*4 with irregular f/u.   | Effectiveness:<br>(Risperidone vs. Olanzapine) Mean ( +SD)<br>CGI-S: -0.5 (1.0) vs. -0.9 (1.1)<br>BPRS total scores: -4.9 (8.3) vs. -4.7 (6.6)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year       |   |
|--------------------|---|
| Study design       | Adverse effects reported                          |
| Canuso 2009        | Paliperidone vs. quetiapine vs. P                 |
| DB RCT             | Participants with at least one AE                 |
| India, Russia, the | 119 (75.3) vs. 123 (77.4) vs. 54 (67.5)           |
| Ukraine, and the   | GI disorders                                      |
| United States      | Constipation 7 (4.4) vs. 12 (7.5) vs. 2 (2.5)     |
| Inpatient          | Diarrhea 2 (1.3) vs. 8 (5.0) vs. 2 (2.5)          |
|                    | Dry mouth 5 (3.2) vs. 10 (6.3) vs. 1 (1.3)        |
|                    | Dyspepsia 4 (2.5) vs. 8 (5.0) vs. 4 (5.0)         |
|                    | Vomiting 12 (7.6) vs. 10 (6.3) vs. 2 (2.5)        |
|                    | General disorders                                 |
|                    | Asthenia 10 (6.3) vs. 8 (5.0) vs. 6 (7.5)         |
|                    | Weight increase 5 (3.2) vs. 9 (5.7) vs. 2 (2.5)   |
|                    | Nervous system disorders                          |
|                    | Akathisia 15 (9.5) vs. 10 (6.3) vs. 5 (6.3)       |
|                    | Dizziness 6 (3.8) vs. 24 (15.1) vs. 1 (1.3)       |
|                    | Drooling 13 (8.2) vs. 4 (2.5) vs. 1 (1.3)         |
|                    | Headache 23 (14.6) vs. 19 (11.9) vs. 13 (16.3)    |
|                    | Hypertonia 19 (12.0) vs. 6 (3.8) vs. 3 (3.8)      |
|                    | Sedation 7 (4.4) vs. 17 (10.7) vs. 3 (3.8)        |
|                    | Somnolence 18 (11.4) vs. 24 (15.1) vs. 2 (2.5)    |
|                    | Tremor 31 (19.6) vs. 12 (7.5) vs. 12 (15.0)       |
|                    | Psychiatric disorders                             |
|                    | Agitation 7 (4.4) vs. 5 (3.1) vs. 4 (5.0)         |
|                    | Depressed mood 4 (2.5) vs. 0 (0) vs. 4 (5.0)      |
|                    | Insomnia 19 (12.0) vs. 16 (10.1) vs. 12 (15.0)    |
|                    | Schizophrenia 9 (5.7) vs. 14 (8.8) vs. 10 (12.5)  |
| Chan 2010          | Overall adverse events:                           |
| Rater-blinded      | (Risperidone vs. Olanzapine) N (%)                |
|                    | Headache: 4 (11.4) vs. 1 (2.9)                    |
|                    | Blurred vision: 2 (5.7) vs. 0 (0)                 |
|                    | Nausea: 2 (5.7) vs. 0 (0)                         |
|                    | Dizziness: 2 (5.7) vs. 3 (8.6)                    |
|                    | Thirst: 0 (0) vs. 2 (5.7)                         |
|                    | Drowsiness: 5 (14.3) vs. 4 (11.4)                 |
|                    | Weakness: 4 (11.4) vs. 6 (17.1)                   |
|                    | Palpitation: 3 (8.6) vs. 2 (5.7)                  |
|                    | Postural hypotension: 1 (2.9) vs. 1 (2.9)         |
|                    | Constipation: 2 (5.7) vs. 3 (8.6)                 |
|                    | Body weight change >7%: 6 (17.1) vs. 9 (25.7)     |
|                    | Psychotic symptoms worsening: 2 (5.7) vs. 3 (8.6) |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year       |   |
|--------------------|---|
| Study design       | Extrapyramidal symptoms   |
| Canuso 2009        | Paliperidone vs. quetiapine vs. p                                       |
| DB RCT             |   |
| India, Russia, the | Change in LSM (SE)  |
| Ukraine, and the   | Simpson-Angus Scale total score -0.1 (0.2) vs. -0.4 (0.2) vs. 0.2 (0.3) |
| United States      | AIMS total score -0.1 (0.2) vs. -0.2 (0.2) vs. -0.2(0.2)                |
| Inpatient          |   |
|                    | BAS, rating for global severity of akathisia, shifts from baseline n(%) |
|                    | Worsened 11 (7.1) vs. 6 (4.0) vs. 5 (6.5)                               |
|                    | Unchanged 130 (84.4) vs. 125 (83.3) vs. 62 (80.5)                       |
|                    | Improved 13 (8.4) vs. 19 (12.7) vs. 10 (13.0)                           |
| Chan               | Extrapyramidal effects:   |
| 2010               | Parkinsonism total scores of ESRS: -0.6 (1.4) vs. -0.4 (2.0)            |
| Rater-blinded      | Dystonia total scores of ESRS: -2.5 (5.7) vs. -1.1 (4.7)                |
|                    | Parkinsonism global impression of ESRS: 0.1 (0.2) vs. -0.3 (0.2)        |
|                    | Dystonia global impression of ESRS: -0.2 (0.1) vs. -0.1 (0.2)           |
|                    | Akathisia global impression of ESRS: -0.3 (1.8) vs. -0.7 (0.8)          |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design  | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| Canuso 2009<br>DB RCT<br>India, Russia, the<br>Ukraine, and the<br>United States<br>Inpatient | 116 WD<br>31 due to AEs                                 |          |

Chan  
2010  
Rater-blinded

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year        |  |   |                                | Age  |                                     |
|---------------------|--|---|--------------------------------|--|-------------------------------------|
| Study design        | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications      | Gender   | Other population characteristics    |
|                     |  |   |                                | Ethnicity  |                                     |
| Chan<br>2010<br>RCT | Schizophrenia, schizoaffective,<br>schizophreniform disorder, 18-70, female,<br>DSM-IV (>4). | Risperidone= 6 mg. Max dose.<br>Olanzapine = 20 mg. Max dose.<br>Duration: 24 wks | Benzodiazepines<br>Propranolol | Mean Age: 45<br>Male = 35%<br>Female = 65%<br>Ethnicity = NR | • Psychotic symptoms worsening = 5% |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year        | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---------------------|--|--|---|
| Chan<br>2010<br>RCT | 81/60/60                               | 16/NR*/30<br><br>*7 with irregular f/u.      | (Risperidone vs. Olanzapine) Mean ( +SD)<br>CGI -S: 60.6 (1.3) vs. -0.5 (1.5)<br>BPRS total score: -4.4 (16.8) vs. -2.7 (8.1) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>   |
|---------------------|---------------------|---|
| Chan<br>2010<br>RCT |                     | Overall adverse events:<br>(Risperidone vs. Olanzapine) N (%)<br>Drowsiness: 6 (20) vs. 4(13)<br>Weakness: 5 (17) vs. 4(13)<br>Dizziness: 5 (17) vs. 5 (17)<br>Headache: 4 (13) vs. 3 (10)<br>Palpitation: 4 (13) vs. 1 (3)<br>Nausea: 4 (13) vs. 0 (0)<br>Constipation: 3 (10) vs. 1 (3)<br>Muscle ache: 2 (7) vs. 3 (10)<br>Thirst: 2 (7) vs. 3 (10)<br>Blurred vision: 2 (7) vs. 2 (7)<br>Psychotic symptoms worsening: 1 (3) vs. 2 (7)<br>Dyspnea: 1 (3) vs. 2 (7)<br>Postural hypotension: 0 (0) vs. 1 (3) |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |  |
|--------------|--|
| Study design | Extrapyramidal symptoms                              |
| Chan         | Extrapyramidal effects: (Risperidone vs. Olanzapine) |
| 2010         | Mean +SD   |
| RCT          | AIMs total score: -7.4 (6.9) vs. -6.2 (8)            |
|              | Dyskenisia: -1.7 (2.8) vs. -1.4 (1.9)                |
|              | Parkinsonism: 0.1 (1.2) vs. -0.6 (1.3)               |
|              | Akathisia: -0.1 (1.4) vs. -0.9 (2.3)                 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design | Total withdrawals; withdrawals<br>due to adverse events  | Comments |
|------------------------------|--|----------|
| Chan<br>2010<br>RCT          | Withdrawals due to adverse events: NR<br>Time to withdrawal: NR<br>(no severe Aes were reported) |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |   |  | Age  |   |
|---|--|---|--|--|---|
| Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)                         | Allowed other medications  | Gender   | Other population characteristics          |
| Chan, 2007<br>DB, RCT, parallel,<br>multicenter<br>Inpatients | Nonpregnant, non-lactating; 18-65 yrs.;<br>primary diagnosis of DSM-IV schizophrenia<br>or schizoaffective disorder; hospitalized<br>due to acute relapse; evidence of response<br>to antipsychotic medication; PANSS total<br>score of at least 60 and a minimum score<br>of 4 on at least 2 of the 4 items of the<br>PANSS positive subscale; patients taking<br>long-acting neuroleptic could be included if<br>time period of at least 1 treatment cycle<br>plus 1 week had elapsed since last<br>injection.<br><br>Exclusion criteria:<br>psychiatric disorder other than<br>schizophrenia or schizoaffective disorder<br>requiring pharmacotherapy; serious<br>suicidal ideations; first episode of<br>schizophrenia or schizoaffective disorder;<br>clinically significant neurologic abnormality<br>other than tardive dyskinesia or EPS;<br>current diagnosis of psychoactive<br>substance dependence or history of drug or<br>alcohol abuse within 1 mo of study start;<br>any acute or unstable medical condition;<br>treatment with an investigational drug<br>within 4 wks of start of P washout. | aripiprazole: 15 mg/d<br>risperidone: 6 mg/d<br>Duration: 4 wks | Benzodiazepines for anxiety<br>or insomnia; intramuscular<br>benzodiazepines for emerging<br>agitation if deemed necessary<br>by investigatory;<br>anticholinergic drugs for EOS<br>not permitted during washout<br>but allowed for treatment of<br>EPS during double-blind<br>period if deemed necessary<br>(dose of anticholinergic drug<br>could not exceed an<br>equivalent of 6 mg/d of<br>benztropine) | Mean age: 35 yrs<br>Male: 54%<br>Ethnicity: NR | Schizophrenia: 96%<br>Schizoaffective: 4% |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                          | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|---|--|---|---|
| Chan, 2007<br>DB, RCT, parallel,<br>multicenter<br>Inpatients | 95/12/83                                       | 83 analyzed   | <p>Both groups showed significant improvement in primary and secondary efficacy parameters (all P values &lt; 0.001)</p> <p>Both treatments demonstrated rapid onset of efficacy with statistically significant effects from week 1 (P&lt;0.001 for primary efficacy parameter; P&lt;0.007 for all secondary efficacy parameters)</p> <p>Responders (defined as CGI-I score <math>\leq</math> 2 or <math>\geq</math> 30% decrease from baseline in PANSS total score):<br/> aripiprazole 51%<br/> risperidone 68%<br/> No significant difference; P=0.126</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                          | <b>Adverse effects reported</b>   |
|---------------------|--|---|
| Chan, 2007          | DB, RCT, parallel, multicenter<br>Inpatients | <p>Experienced at least 1 treatment emergent AE: aripiprazole: 84%, risperidone: 79% (no statistical difference between groups)</p> <p>AEs (aripiprazole vs. risperidone), all P values &gt;0.05 between groups:</p> <p>Abdominal pain: 6% vs. 0%</p> <p>Abdominal pain, upper: 8% vs. 3%</p> <p>Constipation: 10% vs. 12%</p> <p>Diarrhea: 8% vs. 3%</p> <p>Nausea: 4% vs. 6%</p> <p>Toothache: 6% vs. 9%</p> <p>Vomiting: 10% vs. 3%</p> <p>Nasopharyngitis: 6% vs. 0%</p> <p>Akathisia: 2% vs. 12%</p> <p>Dizziness: 4% vs. 12%</p> <p>Extrapyramidal disorder: 12% vs. 24%</p> <p>Headache: 8% vs. 3%</p> <p>Agitation: 8% vs. 0%</p> <p>Anxiety: 2% vs. 6%</p> <p>Insomnia: 27% vs. 21%</p> <p>Psychotic disorders: 16% vs. 6%</p> <p>Both groups showed mild body weight gain with no statistical difference [mean (SD)] aripiprazole vs. risperidone:<br/>0.9 (2.2) kg vs. 1.5 (2.5) kg<br/>&gt;7% weight increase: 4% vs. 12%; P=0.221</p> <p>Serum prolactin levels, change from baseline aripiprazole vs. risperidone:<br/>-9.0 (96.4) vs. 55.4 (42.3) mg/dL; P&lt;0.001)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                      |   |
|-----------------------------------|---|
| Study design                      | Extrapyramidal symptoms   |
| Chan, 2007                        | Overall EPS -related AEs lower in aripiprazole than risperidone group   |
| DB, RCT, parallel,<br>multicenter | EPS: aripiprazole 12%, risperidone 24%  |
| Inpatients                        | Akathisia: aripiprazole 2%, risperidone 12%   |
|                                   | For relief of EPS, 25% of aripiprazole patients and 12% of 41% of risperidone patients used anticholinergics as concomitant medications |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                          | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Chan, 2007<br>DB, RCT, parallel,<br>multicenter<br>Inpatients | Total: 22 (26.5%)<br>Due to AE: 7 (8.4%)                        |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|---|--|--|---|---|
| Chiu, 2006<br>Prospective, RCT, open-label study to evaluate pancreatic beta-cell function | 18-60 yrs; BMI 20-30 kg/m <sup>2</sup> ; fasting glucose level of 110 mg/dL or less; no personal or family history of diabetes; DSM-IV diagnosis of schizophrenia<br><br>Exclusion criteria:<br>Axis I disorder except schizophrenia; current substance abuse; medical conditions that could confound glycoregulatory assessment, including diabetes mellitus and other endocrine diseases; severe CV, hepatic, or renal disease; malignancy; epilepsy; pregnancy | olanzapine: 10 mg/d<br>risperidone: 2 mg/d<br>Duration: 2 wks  | Not allowed: medications (e.g., lithium, carbamazepine, valproic acid, propranolol, tricyclic antidepressant, SSRI) that may influence body weight, glucose/lipid metabolism, or drug disposition.<br><br>Others: NR | Mean age (SD): 37.3 (8.3) yrs<br>Male: 69%<br>Taiwanese: 100%   | No significant differences between treatment groups in weight, BMI, glucose, insulin, total cholesterol, triglyceride, HDL, LDL, and leptin |
| Chowdhury, 1999  | Schizophrenia by ICD10, aged 15–60 ys; duration of illness > 6 mos and received at least one full course of treatment with conventional antipsychotic drugs (either chlorpromazine, 600–800 mg daily, haloperidol or trifluoperazine in equivalent doses) without adequate response; patients intolerant to traditional neuroleptic drugs because of intractable neurological and non-neurological side-effects, necessitating WD of drug or inadequate dosing    | Clozapine initial dose 50 mg/d, increased by 50 mg to 150 mg/d by week 2. By week 3, dose range 250–300 mg/d.<br>Risperidone 1mg bid starting dose, then 2 mg bid from d 2 onwards.<br>After week 1, 6 mg daily up to maximum 8 mg/d<br>Duration:16 wks<br><br>Mean maximum daily dose, clozapine, 343 mg daily; risperidone, 5.8 mg | NR   | Mean age (SD):<br>clozapine 30.3 (8.78) ys<br>risperidone 32.43 (9.79) ys<br>clozapine 73.3% male<br>risperidone 76.7% male<br>Ethnicity NR | Paranoid subtype, clozapine 56.67%; risperidone 60%;<br>Other subtypes included hebephrenia, residual and undifferentiated                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled       | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Chiu, 2006<br>Prospective, RCT,<br>open-label study<br>to evaluate<br>pancreatic beta-<br>cell function | NR/NR/26                                     | 0/0/26                                       | <p>Risperidone group: weight, BMI, fasting glucose, fasting insulin, triglyceride, total cholesterol, HDL, LDL, and leptin did not change significantly</p> <p>Olanzapine group: weight, BMI, fasting glucose, fasting insulin, triglyceride, total cholesterol, HDL, LDL, and leptin did not change significantly</p> <p>No significant difference between groups for glucose disappearance rate or insulin sensitivity</p> <p>Insulin secretion decreased significantly in olanzapine group (P=0.004)</p>  |
| Chowdhury, 1999   | NR/72/60<br>clozapine: 30<br>risperidone: 30 | 14/3/NR                                      | <p>PANSS scores total (positive, negative, general subscales):</p> <p>Clozapine: (n= 30) 93.16 (SD 9.57) (22.0,SD 6.74;23.67,SD 6.46;47.53,SD 7.18)(n= 30) 92.97,SD 14.80 (21.67,SD 5.92;23.73,SD 8.66;47.57,SD 8.72)</p> <p>Risperidone: (n= 24) 50.0,SD 17.80 (10.08,SD 3.06;14.08,SD 6.66;25.83,SD 8.74)(n= 22) 50.45,SD 20.74 (10.04,SD 3.26;14.55,SD 8.33;25.86,SD 9.98)</p> <p>Treatment success rate (&gt; 20% reduction from baseline on PANSS) total; positive; negative; general subscales:</p> <p>Clozapine: 80%;80%;73.33%;80%66.7%;66.7%;63.33%;66.7%</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |  |
|--|--|
| Study design   | Adverse effects reported   |
| Chiu, 2006   | NR   |
| Prospective, RCT, open-label study to evaluate pancreatic beta-cell function |  |
| Chowdhury, 1999  | Clozapine: tachycardia 76.66%; hypersalivation 60%; sedation 60%; weight gain 43.33%; constipation 30%; leucocytosis 26.66%. (1 patient suffered an episode of seizure)<br>Risperidone: constipation 50%; dry mouth 46.66%; weight gain 43.33%; akathisia 36.67%; insomnia 33.33%; tachycardia 30%; impotence 26.66% |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                         |
|--|-------------------------|
| Study design   | Extrapyramidal symptoms |
| Chiu, 2006   | NR                      |
| Prospective, RCT, open-label study to evaluate pancreatic beta-cell function |                         |
| Chowdhury, 1999  | NR                      |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b> |
|--|--|-----------------|
| Chiu, 2006<br>Prospective, RCT, open-label study<br>to evaluate<br>pancreatic beta-<br>cell function | 0 WD<br>0 due to AEs   |                 |
| Chowdhury, 1999  | clozapine: 6/30 (20%)<br>Due to AE: 4/30 (13.3%)<br>risperidone: 8/30 (26.7%)<br>Due to AE: 3/30 (10%) |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|---|--|--|--|---|---|
| Chrzanowski et al., 2006<br>(Extension of Pigott 2003)<br>RCT, open-label extension | (1) stable patients who had completed the acute phase, and (2) patients who met the protocol criteria for relapse and had completed at least 2 wks of double-blind therapy.  | aripiprazole (15–30 mg/d) or olanzapine (10–20 mg/d)<br>52 wks   | Other antipsychotics, investigational agents, or participation in another study were not allowed.  | Mean age: 41.5<br>54% male<br>96% white<br>1% African American<br>2% Hispanic                                     | Weight- mean 73.0 kg<br>Age at time of 1st diagnosis 30.4 ys  |
| Chue, 2005<br>DB, RCT, double-dummy, multicenter, parallel, noninferiority study    | Inpatients or outpatients aged 18-65; DSM-IV diagnosis of schizophrenia; total PANSS score > 50; no clinically relevant abnormal biochemistry, hematology or urinalysis lab values; remained symptomatically stable as indicated by stable oral dose and stable CGI scores for last 4 wks of oral risperidone run-in period<br><br>Exclusion criteria:<br>Moderate or severe symptoms of tardive dyskinesia at study entry; history of neuroleptic malignant syndrome, known to be risperidone unresponsive; required mood stabilizers; had been treated with clozapine in 2 mos prior to screening or depot antipsychotic within one treatment cycle of screening or antidepressant within 30 ds of run-in period | Oral risperidone: 2-6 mg/d<br>Long-acting risperidone: 25-75 mg every 2 wks<br>Duration: 12 wks active treatment | Anticholinergic medication could be initiated for emergent or worsening movement disorders and propranolol could be initiated for emergent or worsening akathisia; medication prescribed for sleep could be continued if used before study entry, or temazepam, zopiclone, zolpidem or chloral hydrate could be initiated during the study; lorazepam or oxazepam could be given intermittently for agitation<br><br>Concomitant psychotropic meds received during double-blind treatment included antiparkinsonians and sedatives (lorazepam, oxazepam, clonazepam and zopiclone) | Mean age: 40.0 yrs<br>Male: 64.7%<br>White: 87.8%<br>Black: 5.5%<br>Asian: 2.5%<br>Hispanic: 0.15%<br>Other: 4.1% | Oral vs long-acting risperidone<br>Schizophrenia type:<br>paranoid: 60.7% vs 62.7%<br>undifferentiated: 17.4% vs 17.9%<br>residual: 15% vs 13.5%<br>disorganized: 6.5% vs 5.0%<br>catatonic: 0.6% vs 0.9% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|---|--|---|--|
| Chrzanowski et al., 2006<br>(Extension of Pigott 2003)<br>RCT, open-label extension | NR/NR/214                              | 67/8/214  | <p>PANSS Total scores of aripiprazole -21.8 and olanzapine -23.8 (p=0.606)</p> <p>Aripiprazole vs. Olanzapine</p> <p>Chronic, stable</p> <p>mean changes at 52 wks</p> <p>PANSS Positive -0.41 vs. -0.86</p> <p>PANSS Negative -1.89 vs. -2.01</p> <p>CGI-S -1.89 vs. -2.01</p> <p>At 52 wks</p> <p>CGI-I 3.17 vs. 3.08</p><br><p>Acute psychosis</p> <p>mean changes at 52 wks</p> <p>PANSS Positive -6.30 vs. -7.47</p> <p>PANSS Negative -4.54 vs. -3.84</p> <p>CGI-S -0.75 vs. -0.87</p> <p>At 52 wks</p> <p>CGI-I 2.98 vs. 2.89</p>   |
| Chue, 2005<br>DB, RCT, double-dummy, multicenter, parallel, noninferiority study    | NR/779 (run-in period)/642             | <p>2 withdrawn before beginning DB treatment</p><br><p>541 analyzed for efficacy</p> <p>640 analyzed for safety</p> | <p>Changes <math>\pm</math> (SE) in PANSS at endpoint, oral risperidone vs. long-acting risperidone, 95%CI</p> <p>PANSS total: <math>-6.3 \pm (0.7)</math> vs. <math>-5.4 \pm (0.7)</math>; -0.90, 2.78</p> <p>Positive symptoms: <math>-2.0 \pm (0.3)</math> vs. <math>-1.7 \pm (0.3)</math>; -0.34, 0.99</p> <p>Negative symptoms: <math>-1.6 \pm (0.3)</math> vs. <math>-1.5 \pm (0.3)</math>; -0.59, 0.82</p> <p>Disorganized thoughts: <math>-1.2 \pm (0.2)</math> vs. <math>-1.1 \pm (0.2)</math>; -0.34, 0.71</p> <p>Uncontrolled hostility/excitement: <math>-0.4 \pm (0.1)</math> vs. <math>-0.3 \pm (0.1)</math>; -0.22, 0.43</p> <p>Anxiety/depression: <math>-1.0 \pm (0.2)</math> vs. <math>-0.9 \pm (0.2)</math>; -0.25, 0.57</p><br><p>CGI scores improved in both treatment groups; percentage of patients rated as not ill or with mild illness increased from 46.9% to 57.8% in oral risperidone group and from 49.2% to 57.9% in long-lasting risperidone group</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>        | <b>Study design</b>                           | <b>Adverse effects reported</b>   |
|----------------------------|---|---|
| Chrzanowski et al., 2006   | Aripiprazole vs. Olanzapine n(%)              | Insomnia 24 (24) vs. 29 (26)  |
| (Extension of Pigott 2003) |   | Anxiety 10 (10) vs. 12 (11)   |
| RCT, open-label extension  |   | Headache 9 (9) vs. 13 (12)  |
|                            |   | Somnolence 9 (9) vs. 8 (7)  |
|                            |   | Infection 7 (7) vs. 5 (5)   |
|                            |   | Nervousness 6 (6) vs. 5 (5)   |
|                            |   | Akathisia 5 (5) vs. 6 (5)   |
|                            |   | Reaction schizophrenic 5 (5) vs. 6 (5)  |
|                            |   | Flu syndrome 4 (4) vs. 9 (8)  |
|                            |   | CNS stimulation 4 (4) vs. 6 (5)   |
|                            |   | Lightheadedness 3 (3) vs. 7 (6)   |
|                            |   | Tremor 3 (3) vs. 7 (6)  |
|                            |   | Extrapyramidal syndrome 3 (3) vs. 6 (5)   |
|                            |   | Weight gain 0 vs. 6 (5)   |
| Chue, 2005                 | Oral risperidone vs. long-acting risperidone: |   |
| DB, RCT, double-dummy,     |   | Overall AEs: 59.9% vs. 61.1%  |
| multicenter,               |   | Insomnia: 9.0% vs. 9.7%   |
| parallel,                  |   | Anxiety: 7.2% vs. 10.0%   |
| noninferiority study       |   | Headache: 7.2% vs. 8.2%   |
|                            |   | Psychosis: 4.7% vs. 5.3%  |
|                            |   | No significant changes in vital signs, ECG including QTc interval and lab values other than prolactin from baseline to endpoint; adverse effects potentially attributable to prolactin elevation reported in 2.5% of oral risperidone group and 1.3% of long-acting risperidone group |
|                            |   | No between-group differences or changes from baseline in ESRS total or cluster scores   |
|                            |   | Pain at injection site was low (mean scores 18-20 on 100 point VAS scale) and comparable between P and risperidone  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |  |
|---|--|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>   |
| Chrzanowski et al., 2006<br>(Extension of Pigott 2003)<br>RCT, open-label extension       | SAS (aripiprazole, -0.08; olanzapine-pine, -0.24; p=0.442),<br>AIMS (aripiprazole, -0.42; olanzapine, -0.26; p=0.198),<br>BARS (aripiprazole, -0.06; olanzapine, -0.13; p=0.176)<br>EPS-related AEs Olanzapine 18 vs aripiprazole 10%<br>Concomitant anticholinergic use for EPS aripiprazole, 22% vs. olanzapine, 26% |
| Chue, 2005<br>DB, RCT, double-dummy,<br>multicenter,<br>parallel,<br>noninferiority study | No statistically significant difference between treatment groups at any timepoint on CGI<br>dyskinesia, parkinsonism, or dystonia scales or in stage of parkinsonism   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>        | <b>Comments</b> |
|---|--|-----------------|
| Chrzanowski et al.,<br>2006<br>(Extension of<br>Pigott 2003)<br>RCT, open-label<br>extension  | 66 WD<br>8 due to AEs  |                 |
| Chue, 2005<br>DB, RCT, double-<br>dummy,<br>multicenter,<br>parallel,<br>noninferiority study | 113 total WDs<br>WD due to AEs: Oral vs LA risperidone<br>4.7% vs 5.6% |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|--|--|--|---|---|
| Citrome<br>2012<br>DB RCT  | DSM - Schizophrenia, schizo-affective disorder, 18-75, male or female, duration of illness for at least a y, clinically stable for 8 wks, CGI-S score of >4, and PANSS of <4.  | Lurasidone = 120 mg. Max dose.<br>Risperidone = 6 mg. Max dose<br>Duration = 12 mos                          | Medications used for movement disorders.<br>• Benzotropine<br>• Biperiden<br>• Trihexyphenidyl<br>• Propranolol<br>• Diphenhydramine<br>• Amantadine | Mean Age: 42<br>Male = 69%<br>Female = 31%<br>Ethnicity:<br>Hispanic or Latino = 21%<br>Not Hispanic or Latino = 79%<br>American Indian or Alaska Native = 1%<br>Asian = 3%<br>Black or African American = 52%<br>Native Hawaiian or other Pacific Islander = 1%<br>White = 39%<br>Other = 6% | Previous hospitalizations for schizophrenia or schizoaffective disorder.<br>0 = 20%<br>1 = 18%<br>2 = 15%<br>3 = 13%<br>4 or more = 34% |
| Ciudad, 2006<br>(Companion to Alvarez 2006)<br>RCT, multicenter, open-label, parallel, flexible-dose study | Outpatient; 18-65 yrs; DSM-IV diagnosis of schizophrenia; baseline SANS global score >= 10.<br><br>Exclusion criteria:<br>hospitalization in psychiatry department within 3 mos prior to enrollment; treatment with either injectable depot antipsychotic within 2 wks of enrollment, or clozapine, olanzapine, risperidone, or sertindole within previous mo; severe risk of suicide or allergy; severe diseases other than schizophrenia requiring hospitalization within previous 3 mos; glaucoma; history or presence of unclassified seizures, leucopenia or jaundice; pregnancy. | olanzapine: mean dose 12.2 mg/d<br>risperidone: mean dose 4.9 mg/d<br>Duration: 48 wks randomized assessment | Biperiden (up to 6 mg/d) to treat EPS symptoms but not as preventive measure; benzodiazepines/hypnotics up to 40 mg/d diazepam equivalent            | Age: 36.5 yrs.<br>Male: 72.3%<br>Spanish: 100%  | Body weight:<br>Olanzapine: 73.6 kg<br>Risperidone: 80.8 kg   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|---|--|---|---|
| Citrome<br>2012<br>DB RCT   | 109/629/629                            | 103/65/621  | <p>Relapse overall: 114/608 (19%)<br/>(Lurasidone vs. Risperidone)</p> <p>Relapse: 82/410 (20%) vs 32/198 (16%)</p> <p>Positive and Negative Syndrome Scale:</p> <p>Clinical Global Impression-Severity: decreased from baseline to month 12 (MMRM): - 0.4; (95% CI - 0.5 to - 0.3) vs. (- 0.4; 95% CI - 0.5 to - 0.2)</p> <p>MADRS total score: decreased from baseline to month 12 (MMRM): - 0.8; 95% CI - 1.6 to - 0.0) vs. - 2.4; 95% CI - 3.4 to - 1.4)</p>  |
| Ciudad, 2006<br>(Companion to<br>Alvarez 2006)<br>RCT, multicenter,<br>open-label,<br>parallel, flexible-<br>dose study | NR/NR/250                              | <p>250 randomized; 3<br/>terminated before<br/>receiving study<br/>meds; 12 had no<br/>post-baseline<br/>efficacy data</p> <p>Safety analysis: 247<br/>Efficacy analysis:<br/>235</p> | <p>Significant within-group SFS total score improvements seen in both treatment groups (P=0.0006)</p> <p>In olanzapine group, significant improvements also seen in social engagement/WD (P&lt;0.0001), interpersonal communication (P&lt;0.0001), independence (performance, P=0.0014), and independence (competence, P&lt;0.0001) scores</p> <p>In risperidone group, significant improvements observed for social engagement/WD (P=0.0284) and interpersonal communication (P&lt;0.0001); significant worsening seen in occupation/employment category (P=0.0092)</p> <p>Olanzapine patients showed greater improvement over baseline in SFS total score and all SFS domains compared to risperidone patients, with significant between-group differences on the SFS total score and all SFS domains except interpersonal communication and prosocial activities; greatest intergroup divergence in SFS-related endpoints was occupation/employment domain (P=0.0024)</p> <p>Visit-wise comparisons showed significant differences of olanzapine over risperidone in SFS total score at all visits.</p> <p>Reduction in effectiveness measures from baseline, mean change (SD) olanzapine vs. risperidone:</p> <p>SANS global: 5.93 (0.4) vs. 4.53 (0.4), P=0.0151</p> <p>SANS total: 32.9 (2.3) vs. 24.97 (2.4), P=0.0168</p> <p>SANS composite: 26.65 (2.0) vs. 20.45, P=0.0183</p> <p>SAPS global: 3.31 (0.3) vs. 2.41 (0.3), P=0.0207</p> <p>SAPS total: 18.98 (1.5) vs. 13.65 (1.6), P=0.0116</p> <p>SAPS composite: 15.66 (1.2) vs. 11.25 (1.3), P=0.0115</p> <p>CGI-S: 1.0 (1.0) vs. 0.6 (1.1), P=0.0082</p> <p>Higher proportion of olanzapine subjects showed clinical response : 69.2% vs. 48.7%, P=0.0014</p> |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |
|---|--|
| Study design  | Adverse effects reported   |
| Citrome<br>2012<br>DB RCT   | Incidence of treatment-emergent adverse events reported<br>in > 5% of patients in either treatment group:<br>(Lurasidone vs. Risperidone)<br>Nausea: (16.7 vs. 10.9%),<br>Insomnia (15.8 vs. 13.4%)<br>Sedation (14.6 vs. 13.9%)<br>(Risperidone vs. Lurasidone)<br>Increased weight (19.8 vs. 9.3%)<br>Somnolence (17.8 vs. 13.6%)<br>Headache (14.9 vs. 10.0%)               |
| Ciudad, 2006<br>(Companion to<br>Alvarez 2006)<br>RCT, multicenter,<br>open-label,<br>parallel, flexible-<br>dose study | Most Frequent AEs (drug groups combined) :<br>anxiety: 13%<br>insomnia: 10.1%<br>tremor: 9.7%<br><br>AEs (olanzapine vs. risperidone):<br>tremor: 5.6% vs. 13.8%; P=0.0301<br>akathisia: 1.6% vs. 8.9%; P=0.0099<br>sexual dysfunction: 0.8% vs. 5.7%; P=0.0357<br>weight gain: 3.8kg [SD=6.1] vs. 2.1 kg [SD=6.0]; P=0.5467<br>>7% weight increase: 40.7% vs. 17.3%; P=0.0012 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |  |
|---|--|
| Study design  | Extrapyramidal symptoms  |
| Citrome<br>2012<br>DB RCT   | Extrapyramidal effects:<br>in >5% of patients in either treatment group:<br>(Lurasidone vs. Risperidone) |
| Ciudad, 2006<br>(Companion to<br>Alvarez 2006)<br>RCT, multicenter,<br>open-label,<br>parallel, flexible-<br>dose study | NR for olanzapine vs. risperidone  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Total withdrawals; withdrawals<br>due to adverse events  | Comments |
|---|--|----------|
| Citrome<br>2012<br>DB RCT   | <p>Withdrawals due to adverse events:<br/>All-cause discontinuation rates higher<br/>for lurasidone versus risperidone:<br/>lurasidone group, 90/419 (21.5%), vs risperidone<br/>group, 29/202 (14.4%),<br/>Number needed to harm (NNH): 14 (95% CI 8–113)</p> <p>Median survival time to discontinuation for any<br/>cause:<br/>181 days (95% CI 143–217 days) vs. 293 days (95% CI 179 days)</p> |          |
| Ciudad, 2006<br>(Companion to<br>Alvarez 2006)<br>RCT, multicenter,<br>open-label,<br>parallel, flexible-<br>dose study | <p>Total WD: 72 (30.6%)<br/>WD due to AEs: 10 (4.3%)</p>   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|---|--|--|---------------------------|--|---|
| Conley, 2001  | Schizophrenia or Schizoaffective disorder by DSM-IV diagnosis, baseline PANSS score, 60–120, aged 18–64 ys; out- or inpatients hospitalized ≤4 wks | risperidone 2–6 mg/d (flexible dose); NR<br>oral<br>olanzapine 5–20 mg/d; oral<br>Duration: 8 wks<br>Both drugs given qd according to following regimens: ds 1–2, 2 mg risperidone or 10 mg olanzapine; ds 3–7, 2–4 mg risperidone or 5–10 mg olanzapine; ds 8–14, 2–6 mg risperidone or 5–15 mg olanzapine; ds 15–56, 2–6mg risperidone or 5–20 mg olanzapine |                           | Mean age:<br>risperidone 41.0<br>(11.0) ys<br>olanzapine 38.9<br>(10.5) ys<br>72.7% male<br>Ethnicity NR | 79% were outpatients<br><br>Schizophrenia (n= 325) or schizoaffective disorder (n= 52)<br><br>Duration of illness: mean risperidone 16.5 (10.5) ys, olanzapine 15.4 (10.6) ys |
| Conley, 2003<br>Kelly, 2003<br>DB, crossover<br>Inpatients<br><br>Funding: NIHM grant | Schizophrenia  | olanzapine: 50 mg/d, and clozapine: 450 mg/d, each for 8 wks   | NR                        | Mean age: 38 ys  | 100% inpatients   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled         | Withdrawn/<br>Lost to follow-up/<br>Analyzed        | Results   |
|--|--|---|---|
| Conley, 2001   | NR/NR/377<br>risperidone 188<br>olanzapine 189 | Risperidone<br>53/NR/188<br>olanzapine<br>43/NR/189 | Change scores: PANSS total; PANSS positive; PANSS negative; PANSS disorganized thoughts; PANSS uncontrolled hostility;<br>PANSS anxiety/depression:<br>Risperidone: (n= 134) -16.0 (16.6);-5.6 (6.4);-3.5 (6.0);-2.9 (4.6);-1.4 (2.8);-2.5 (3.6)<br>Olanzapine: (n= 144) -15.4 (16.8);-4.8 (6.4);-3.3 (5.7);-3.5 (4.7);-1.7 (2.7);-2.2 (3.4)<br>Response: ≥20% reduction in PANSS; 40% reduction in PANSS; CGI-I much or very much improved:<br>Risperidone: 69/188;34/188;60/188(data not available for all participants)<br>Olanzapine: 68/189;23/189;58/189 (data not available for all participants)<br>CGI-S:<br>Risperidone: (n= 133) not ill/very mild/mild n= 67, moderate/marked n= 62, severe/extremely severe n= 4<br>Olanzapine: (n= 145) not ill/very mild/mild n= 69, moderate/marked n= 75, severe/extremely severe n= 1<br>Change scores: ESRS total, questionnaire, parkinsonism, akathisia, and dyskinesia:<br>Risperidone: (n= 133) -1.3 (4.6);-0.6 (2.4);-0.8 (3.4);-0.2 (1.0);-0.4 (2.4)<br>Olanzapine: (n= 145) -1.6 (4.1);-0.5(2.4);-1.0 (3.3);-0.2 (0.8);-0.5 (2.2)   |
| Conley, 2003<br>Kelly, 2003<br>DB, crossover<br>Inpatients<br><br>Funding: NIHM<br>grant | NR/NR/13                                       | NR/NR/13  | Change scores from baseline:<br>clozapine vs olanzapine:<br>Total BPRS: C: -6.5 vs O: -1.0<br>Positive: C: -1.7 vs O: -0.5<br>Negative: C: +0.5 vs O: +1.3<br>Activation: C: -1.7 vs O: -0.6<br>Anxiety/depression: C: -2.5 vs O: -1.6<br>Hostility: C: -1.1 vs O: -0.1<br>CGI-S: C: -0.3 vs O: +0.1<br>Laboratory Values:<br>Baseline fasting blood glucose (mg/dL): O: 94.6 + 14.4; C: 92.8 +10.2<br>Change in fasting blood glucose (mg/dL): O: 3.4 + 27.8; C: 10.8 + 2.9<br>Baseline total cholesterol (mg/dL): O: 198.0 + 44.0; C: 209.6 + 28.6<br>Change in total cholesterol (mg/dL): O: 4.3 + 35.6; C: 37.6 + 41.2<br>Baseline serum triglycerides (mg/dL): O: 141.4 + 40.4; C: 181.0 + 146.2<br>Change in serum triglycerides (mg/dL): O: 6.6 + 33.1; C: 162.8 + 258.1<br>Baseline alanine aminotransferase (ALT) (IU/L): O: 42.4 + 49.8; C: 22.0 + 13.5<br>Change in alanine aminotransferase (ALT) (IU/L): O: -12.3 + 28.2; C: 14.6 + 20.0<br>Baseline aspartate aminotransferase (AST) (IU/L): O: 23.7 + 15.9; C: 18.0 + 5.1<br>Change in aspartate aminotransferase (AST) (IU/L): O: -3.6 + 7.0; C: 10.4 + 11.5<br>Baseline lactate dehydrogenase (LDH) (IU/L): O: 153.4 + 45.5; C: 128.6 + 6.7<br>Change in lactate dehydrogenase (LDH) (IU/L): O: -1.6 + 41.3; C: 88.2 + 125.5 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>   |
|---------------------|---------------------|---|
| Conley, 2001        |                     | <p>All risperidone vs olanzapine</p> <p>Serious AEs: 15/188 vs 22/189; psychosis: 8/188 vs 8/189; suicide attempt: 2/188 vs 5/189; agitation: 3/188 vs 3/189; depression: 3/188 vs 3/189; insomnia: 3/188 vs 2/189; hallucinations: 2 vs 3; drug abuse: 0 vs 3; CV symptoms: 0 vs 3; GI disorders: 0 vs 3; other: 14 vs 21</p> <p>Weight gain: 3.4 lb (SD 7.8) vs 7.2 lb (SD 11.2); increase in body weight of 7%: 18/155 vs 44/161</p> <p>Less serious AEs: somnolence: 69/188 vs 73/189; insomnia: 45 vs 35; headache: 41 vs 32; agitation: 29 vs 40; dry mouth: 21 vs 42; rhinitis: 30 vs 31; dizziness: 26 vs 27; anxiety: 20 vs 23; vision abnormalities: 12 vs 19</p> |
| Conley, 2003        |                     | Dry mouth: O: 8(80%), C: 2(20%)   |
| Kelly, 2003         |                     | Blurry vision: O: 4(40%), C: 0  |
| DB, crossover       |                     | Urinary hesitancy: O: 0, C: 1(10%)  |
| Inpatients          |                     | Constipation: O: 6(60%), C: 1(10%)  |
|                     |                     | Tachycardia: O: 2(20%), C: 0  |
| Funding: NIHM grant |                     | Diarrhea: O: 3(30%), C: 0   |
|                     |                     | Nausea: O: 9(90%), C: 6(60%)  |
|                     |                     | Dyspepsia: O: 3(30%), C: 7(70%)   |
|                     |                     | Headache: O: 6(60%), C: 4(40%)  |
|                     |                     | Somnolence: O: 10(100%), C: 10(10%)   |
|                     |                     | Lethargy: O: 6(60%), C: 9(90%)  |
|                     |                     | Myoclonus: O: 1(10%), C: 3(30%)   |
|                     |                     | Stuttering: O: 0, C: 2(20%)   |
|                     |                     | Sialorrhea: O: 1(10%), C: 8(80%)  |
|                     |                     | Sweating: O: 1(10%), C: 5(50%)  |
|                     |                     | Urinary frequency: O: 1(10%), C: 4(40%)   |
|                     |                     | Dysphagia: O: 0, C: 2(20%)  |
|                     |                     | Orthostasis: O: 3(30%), C: 1(10%)   |
|                     |                     | Dizziness: O: 6(60%), C: 6(60%)   |
|                     |                     | Increased appetite: O: 4(40%), C: 5(50%)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |  |
|---------------------|--|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>   |
| Conley, 2001        | Extrapyramidal symptoms: 45/188 vs 38/189. Patients using antiparkinsonian medication: 61/188 vs 53/189<br>Outcome: change scores: ESRS total, questionnaire, parkinsonism, akathisia, and dyskinesia<br>Risperidone: (n = 133) -1.3 (4.6); -0.6 (2.4); -0.8 (3.4); -0.2 (1.0); -0.4 (2.4)<br>Olanzapine: (n = 145) -1.6 (4.1); -0.5 (2.4); -1.0 (3.3); -0.2 (0.8); -0.5 (2.2) |
| Conley, 2003        | SAS scores   |
| Kelly, 2003         | decreased by 1.3 clozapine   |
| DB, crossover       | increased 0.3 olanzapine   |
| Inpatients          | Akathisia  |
|                     | 20% clozapine  |
| Funding: NIHM grant | 20% olanzapine   |
|                     | 1 subject received benztropine while on olanzapine   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                       | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--|---|-----------------|
| Conley, 2001   | Risperidone 53/188 (28.2%)<br>Due to AE 22/188 (11.7%)<br>Olanzapine 43/189 (22.8%)<br>Due to AE 17/189 (8.99%) |                 |
| Conley, 2003<br>Kelly, 2003<br>DB, crossover<br>Inpatients | 6 WD<br>1 WD due to AE  |                 |
| Funding: NIHM<br>grant                                     |   |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |  |  | Age   |  |
|--|--|--|--|---|--|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Gender  | Other population characteristics   |
| Conley, 2005<br>RCT, parallel, DB<br>X 12 wks<br>Inpatients -<br>treatment resistant | Between 18 - 65 ys who met DSM-IV criteria for schizophrenia, and were treatment resistance: (definition: persistent positive psychotic symptoms at study entry "moderate" severity ( $\geq 4$ points on a 1-7 point scale) on 2 of 4 psychosis items on the BPRS; persistent global illness severity (BPRS $\geq 45$ points on the 18-item scale and a CGI score of $\geq 4$ points; 2 prior failed treatment trials with 2 different antipsychotic at doses of at least 600mg/d chlorpromazine equivalents, each of at least 6 wks duration; and no stable period of good social/occupational functioning within the previous 5 ys). | Risperidone 3-5mg/d (Mean $4.31 \pm 0.63$ mg/d),<br>Quetiapine 300 mg to 500 mg/d (Mean $463.6 \pm 50.5$ mg/d);<br>Fluphenazine 10-15 mg/d (Mean $13.2 \pm 1.17$ mg/d (flexible dosing to target doses during the initial week of therapy) | up to 10mg/d of lorazepam prn;<br>benztropine (up to 4mg/d) and<br>propranolol 30-120mg/d if<br>experiencing EPS | Mean age: $44.3 \pm 7.6$<br>Male: 85%<br>African-American: 58%<br>Ethnicity: NR | During lead-in phase, 12 (23%) were treated with olanzapine and 40 (77%) with conventional antipsychotics. Mean chlorpromazine dosing equivalents were $724.3 \pm 564.6$ mg/d for those treated with conventional antipsychotics (n=40) and $18.2 \pm 6.0$ mg/d for those treated with olanzapine (n=12).<br>Positive Psychopathology Rating:<br>Significant time effect for all groups: $p=0.05$ ; no drug-by time effect |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>   |
|--|--|---|--|
| Conley, 2005<br>RCT, parallel, DB<br>X 12 wks<br>Inpatients -<br>treatment resistant | NR/52/40                                       | NR/2/38   | <p>Discontinuation Rate: NS</p> <p>Psychopathology Ratings: BL to Endpoint</p> <p>Total BPRS score: <math>\geq 20\%</math> decrease noted in 23% of R subjects, 25% quetiapine subjects, and 15% fluphenazine-treated subjects; <math>p=0.89</math></p> <p>CGI severity score: No change</p> <p>Positive: (final change score: R: <math>1.77 \pm 1.31</math>; Q: <math>0.67 \pm 1.02</math>, F: <math>0.92 \pm 0.93</math> ;combined, <math>p=0.05</math>)</p> <p>Negative: (final change score: R: -0.15 points; Q: 0.42 points, F: -0.23 points, <math>p=0.01</math>). Significant time-by-drug interactions was noted driven primarily by fluphenazine during wks 1-11</p> <p>Anxiety/depression-(final change score: R: <math>-1.15 \pm 5.91</math>, Q: <math>-1.33 \pm 3.70</math>, F: <math>-1.08 \pm 5.20</math>; <math>p=NS</math>)</p> <p>Hostility: <math>p=NS</math></p> <p>Activation: <math>p=NS</math></p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>   |
|---------------------|--|---|
| Conley, 2005        | RCT, parallel, DB<br>X 12 wks<br>Inpatients -<br>treatment resistant | <p>"No significant differences in side effects noted among the groups" R (n=13) vs. Q (n=12); F (n=12)</p> <p>Dry mouth: 15%, 33%, 17%</p> <p>Blurry vision: 15%, 17%, 17%</p> <p>Urinary hesitancy: 0, 17%, 17%</p> <p>Constipation: 0, 17%, 17%</p> <p>Diarrhea: 15%, 17%, 0</p> <p>Nausea: 23%, 8%, 17%</p> <p>Dyspepsia: 7%, 8%, 23%</p> <p>Headache: 54%, 42%, 42%</p> <p>Somnolence: 38%, 25%, 33%</p> <p>Lethargy: 31%, 17%, 25%</p> <p>Insomnia: 23%, 25%, 42%</p> <p>Anxiety: 15%, 8%, 8%</p> <p>Urinary frequency: 8%, 8%, 0</p> <p>Increased appetite: 23%, 35%, 17%</p> <p>Dizziness: 23%, 8%, 8%</p> <p>Orthostasis: 38%, 8%, 17%</p> <p>Weight reduction at endpoint: R: <math>-0.65 \pm 2.43</math> kg; Q: <math>-1.2 \pm 11.22</math> kg; F: <math>-2.6 \pm 5.7</math> kg; p=NS</p> <p>QOL Interview at Endpoint:<br/> How do you feel about your life in general (endpoint compared to BL): R (+0.9), Q: (+0.1), F-(-0.9)<br/> Endpoint: Mean rating for all questions: R: 4.73 (mostly satisfied), Q: 4.65 (mostly satisfied),<br/> and F: 4.07 (mixed); p=NS</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>  |
| Conley, 2005        | "No significant differences among the group with all 3 groups showing improvements" |
| RCT, parallel, DB   | Benztropine was given to 36%, 17%, 30% of F, R and Q -treated pts; p=NS             |
| X 12 wks            | Propranolol was given to 1 pts in each of the drug groups                           |
| Inpatients -        | lorazepam was given to 82%, 75%, 70% of F, R, and Q pts; p=NS                       |
| treatment resistant | SAS: Q: all improved -1.64 points, R: -1.3 points; F: -0.69 points; p=NS            |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>           | <b>Comments</b>  |
|--|---|--|
| Conley, 2005<br>RCT, parallel, DB<br>X 12 wks<br>Inpatients -<br>treatment resistant | 18 total WD<br>2 due to AEs (both on quetiapine-1-abnormal EKG, 1-tremor) | Doses were increased in 39%, 58%, and 31% for R, Q, F respectively. Doses were lowered in 1 subject each on F and R.<br>QoL Interview: The risperidone group had the lowest ratings at baseline, and no significant differences were noted after controlling for it. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year          |   |  |   | Age   |  |
|-----------------------|---|--|---|---|--|
| Study design          | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Gender  | Other population characteristics                               |
| Crespo-Facorro, 2006  | 15-60 yrs; met DSM-IV criteria for principal diagnosis of schizophreniform disorder, schizophrenia, schizoaffective disorder, brief reactive psychosis, schizotypal   | Haloperidol: 3-9 mg/d<br>Risperidone: 3-6 mg/d<br>olanzapine: 5-20 mg/d<br>6 weeks | Lormetazepam and clonazepam permitted for management of agitation, general behavior disturbances, and/or insomnia; if clinically significant EPS occurred, anticholinergic medication (biperiden at dose of up to 8 mg/d) was allowed; antidepressants (sertraline) and mood stabilizers (lithium) permitted if clinically needed | Mean age: 27.3 yrs<br>Male: 62.2%<br>100% Spanish | No previous antipsychotic treatment: 98.3%<br>Inpatient: 63.4% |
| Crespo-Facorro, 2009  | personality disorder or psychosis not otherwise specified; habitually living in the catchment area; no prior treatment with antipsychotic medication or, if previously treated, a total lifetime of adequate antipsychotic treatment < 6 wkss; current psychotic symptoms of moderate severity or greater assessed by 1 of the 5 items on the SAPS; referred to PAFIP |  |   |   |  |
| Crespo-Facorro, 2011b |   |  |   |   |  |
| Spain                 |   |  |   |   |  |
|                       | Exclusion criteria:<br>DSM-IV diagnosis of mental retardation;<br>met DSM-IV criteria for drug dependence   |  |   |   |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|------------------------------|--|--|---|
| Crespo-Facorro, 2006         | 202/182/182                            | 10 withdrawn after randomization             | <b>Mean change (SD) from baseline to endpoint (haloperidol vs. olanzapine vs. risperidone)</b><br>CGI-S: -2.5 (1.0) vs. -2.2 (1.1) vs. -2.2 (1.0); P=0.266<br>BPRS: -25.3 (14.1) vs. -24.5 (14.9) vs. -21.6 (12.0); P=0.308<br>SANS: -1.1 (6.5) vs. -3.5 (6.0) vs. -2.1 (5.3); P=0.137<br>SAPS: -9.7 (4.9) vs. -9.0 (4.8) vs. -9.6 (4.3); P=0.679<br>HAM-D: -5.5 (8.4) vs. -8.3 (6.8) vs. -5.8 (7.5); P=0.132<br>CDS: -0.1 (3.6) vs. -1.2 (3.3) vs. -0.7 (3.0); P=.256<br>YMRS: -6.4 (4.5) vs. -6.6 (4.9) vs. -5.9 (4.8); P=0.720<br><b>Clinical response rate (&gt;= 40% BPRS total improvement from baseline):</b><br>haloperidol: 57.1%<br>risperidone: 52.5%<br>olanzapine: 63.6%<br><b>Mean time to response (SD):</b><br>haloperidol: 4.32 wkss (0.24)<br>risperidone: 4.85 wkss (0.21)<br>olanzapine: 4.36 wkss (0.23)<br><b>Cognitive changes at one y follow-up for 69 patients</b><br>olanzapine vs risperidone<br>mean (SD) change in SAPS score: -10.70(5.36) vs -11.33(5.01)<br>mean (SD) change in SANS score: -3.50(8.22) vs -2.41 (7.94)<br>mean (SD) change in CDSS: -0.70(3.55) vs -0.70(3.55) vs -0.59 (2.88)<br><b>Mean change (SD) from baseline to 1 year (Haloperidol (n=24), Olanzapine (n=37), Risperidone (n=41), P):</b><br>CGI: -3.0 (1.1), -2.9 (1.2), -2.5 (1.4), 0.242<br>BPRS Total: -28.8 (11.1), -29.5 (14.1), -22.3 (14.9), 0.050<br>SANS: -1.3 (6.9), -3.9 (7.1), -0.8 (7.5), 0.140<br>SAPS: -11.5 (4.4), -10.6 (5.0), -10.9 (5.6), 0.797<br>H-DRS: -8.6 (8.3), -9.6 (8.5), -6.0 (7.2), 0.133<br>CDSS: -1.4 (3.6), -1.5 (3.3), -0.3 (2.5), 0.205<br>YMRS: -5.5 (4.5), -6.8 (5.9), -6.5 (5.1), 0.626<br><b>Per protocol sample: mean (SD) severity of extrapyramidal sx from baseline to 1 year (Haloperidol, Olanzapine, Risperidone, P)</b><br>BAS: 0.54 (0.98), 0.00 (0.00), 0.32 (0.72), 0.007<br>Simpson-Angus Scale: 0.46 (1.77), -0.48 (1.74), 0.27 (1.57), 0.057 |
| Crespo-Facorro, 2009         |  | 172 analyzed                                 |   |
| Crespo-Facorro, 2011b        |  |  |   |
| Spain                        |  |  |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b> | <b>Adverse effects reported</b>   |
|-----------------------|---------------------|---|
| Crespo-Facorro, 2006  |                     | Mean change (SD) from baseline to endpoint in EPS severity (haloperidol vs. olanzapine vs. risperidone)<br>BAS: 0.66 (1.16) vs. 0.13 (0.64) vs. 0.36 (0.91); P=0.012  |
| Crespo-Facorro, 2009  |                     | Simpson Angus Scale: 2.27 (2.62) vs. 0.25 (1.61) vs. 1.31 (2.55); P=0.000<br>AEs reported (risperidone vs. olanzapine vs. haloperidol):   |
| Crespo-Facorro, 2011b |                     | Concentration difficulties: 14.3% vs. 3.6% vs. 3.3%; P=0.044<br>Asthenia: 42.9% vs. 29.1% vs. 27.9%; P=0.169  |
| Spain                 |                     | Sleepiness/sedation: 46.4% vs. 45.5% vs. 23.0%; P=0.012<br>Increased duration of sleep: 23.2% vs. 12.7% vs. 6.6% P=0.033<br>Increased salivation: 17.9% vs. 3.6% vs. 14.8%; P=0.055<br>Reduced salivation: 12.5% vs. 12.7% vs. 4.9%; P=0.270<br>Weight gain (increase $\geq 4$ kg): 8.9% vs. 47.3% vs. 23.0%; P<0.001<br>Erectile dysfunction: 13.9% vs. 3.0% vs. 7.9%; P=0.244<br>Ejaculatory dysfunction: 5.6% vs. 0.0% vs. 13.2%; P=0.072<br>Amenorrhea: 10.0% vs. 0.0% vs. 8.7% P=0.549 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |   |
|-----------------------|---|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>  |
| Crespo-Facorro, 2006  | Prescribed anticholinergics for EPS during treatment (haloperidol vs. risperidone vs. olanzapine): 74.5% vs. 32.8% vs. 3.8%; P<0.0001   |
| Crespo-Facorro, 2009  | Rigidity: 14.3% vs. 0.0% vs. 4.9%; P=0.005<br>Hypokinesia: 19.6% vs. 1.8% vs. 8.2%; P=0.006   |
| Crespo-Facorro, 2011b | Tremor: 7.1% vs. 3.6% vs. 8.2%; P=0.633<br>Akathisia: 23.2% vs. 5.5% vs. 14.8%; P=0.029   |
| Spain                 | Per protocol sample: severity of extrapyramidal sx. change from baseline after 1 yr follow-up period<br>Haloperidol mean (SD) vs. Olanzapine mean (SD) vs. Risperidone (SD), P<br>BAS: 0.54 (0.98) vs. 0.00 (0.00) vs. 0.32 (0.72), 0.007<br>Simpson-Angus Scale: 0.46 (1.77) vs. -0.48 (1.74) vs. 0.27 (1.57), 0.057 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|------------------------------|---|----------|
| Crespo-Facorro,<br>2006      |   |          |
| Crespo-Facorro,<br>2009      |   |          |
| Crespo-Facorro,<br>2011b     |   |          |
| Spain                        |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year         |  |  |  | Age  |  |
|----------------------|--|--|--|--|--|
| Study design         | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Gender   | Other population characteristics   |
| Crespo-Facorro, 2011 | Age 15-60 years, experiencing first psychotic episode, <6 weeks lifetime antipsychotic treatment, meet DSM-IV criteria for brief psychotic disorder, schizophreniform disorder, schizophrenia, schizoaffective disorder. Excluded DSM-IV criteria for drug dependence or mental retardation, history of neurological disease or head injury. | Haloperidol: n, 56; mean dose, 2.9 (1.4) mg/d<br>Olanzapine: n, 55; mean dose, 10.1 (3.9) mg/d<br>Risperidone: n, 63; mean dose, 3.4 (1.8) mg/d<br>3 yrs | As clinically indicated, Lormetazepam; Clonazepam; Biperiden, up to 8 mg/d; Setraline; Lithium | Age, mean: 27.4<br>Gender: 38% female<br>Ethnicity: NR | Age, psychosis onset: 26y<br>Duration of illness: 25 months<br>Duration of psychosis: 11 months<br>Diagnosis: Schizophrenia, 60.8%; Schizophreniform, 24.1%; Schizoaffective, 2.4%, Brief psychotic disorder, 5.4%; Unspecified psychotic disorder, 7.2% |
| Crespo-Facorro, 2012 |  |  |  |  |  |
| Spain                |  |  |  |  |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                          | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                     | Results  |
|---|--|--|--|
| Crespo-Facorro, 2011<br>Crespo-Facorro, 2012<br>Spain | 243/184/174                            | 21/NR/174<br>analyzed for remission, 164<br>analyzed for relapse | <p>Haloperidol vs. Olanzapine vs. Risperidone:<br/> Relapse Rate: 11.1% vs. 18.5% vs. 13.8%; p=0.541<br/> Time to relapse, mean (95% CI): 10.9 (10.89-11.72) vs. 10.78 (9.99-11.56) vs. 10.98 (10.25-11.71); p=0.857<br/> Relapse, adherent vs. non-adherent: 11.2% vs. 26.9%, p=0.040</p> <p>Remission at 1 year: 25% vs. 32.7% vs. 34.9%; <math>\chi^2=1.471</math>, p=0.479<br/> Remission at 1 year, patients continuing on drug: 25% vs. 43.2% vs. 41.5%, p=0.308<br/> Remission, adherent vs. non-adherent: 36.9% vs. 27.6%, p=0.347</p> <p>Treatment discontinuation rate and time to discontinuation: (Haloperidol %, Olanzapine %, Risperidone %, P)<br/> Discontinuation for any cause: 80.4, 50.9, 66.7, 0.005<br/> Discontinuation, insufficient efficacy: 17.9, 12.7, 6.3, 0.155<br/> Discontinuation, side effect: 32.1, 12.7, 25.4, 0.050<br/> Discontinuation, noncompliance: 16.1, 5.5, 6.3, 0.095<br/> Discontinuation, dropout: 14.3, 20.0, 28.6, 0.158</p> <p>Adherence and global functioning @ 3 yr follow-up:<br/> Adherence NSD between tx (83.3% haloperidol, 68.2% olanzapine, 78.9% risperidone, p=0.605)<br/> Global functional outcome NSD between tx (81.8% haloperidol-tx, 63% olanzapine-tx, 71.4% risperidone-tx w/ good functionality @ 3 yr follow-up, p=0.505)</p> <p>Clinical efficacy:<br/> No advantages to any of the 3 txs in reduction of symptomology @ 3 yr</p> <p>Safety:<br/> NSD in increment of extrapyramidal signs @ 3 yrs between txs (p=0.132)<br/> NSD in treatment-emergent parkinsonism between treatment arms (p=0.114)<br/> Greater increase in akathisia severity w/ haloperidol tx @ 3 yr assessment (p=0.013)<br/> Sig. increase in akathisia severity in risperidone-tx patients compared to olanzapine-tx patients (p=0.042)<br/> Sig. higher number in haloperidol-tx group experienced tx-emergent akathisia compared to risperidone-tx and olanzapine-tx patients (p=0.013)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Study design</b> | <b>Adverse effects reported</b>   |
|----------------------|---------------------|---|
| Crespo-Facorro, 2011 |                     | Haloperidol % vs. Olanzapine % vs. Risperidone %, P<br>Concentration difficult: 9.1 vs. 7.7 vs. 0.0, 0.419  |
| Crespo-Facorro, 2012 |                     | Asthenia: 9.1 vs. 23.1 vs. 0.0, 0.057<br>Daytime drowsiness: 0.0 vs. 34.6 vs. 10.0, 0.022   |
| Spain                |                     | Increased sleep hours: 9.1 vs. 11.5 vs. 5.0, 0.739<br>Akathisia: 27.3 vs. 0.0 vs. 5.0, 0.011<br>Sialorrhea: 0.0 vs. 0.0 vs. 15.0, 0.053<br>Dry mouth: 0.0 vs. 7.7 vs. 10.0, 0.571<br>Weight gain: 9.1 vs. 26.9 s. 20.0, 0.473<br>Amenorrhea (only females, n=23): 0.0 vs. 0.0 vs. 40.0, 0.043<br>Sexual dysfunctions (only males, n=34): 14.3 vs. 5.9 vs. 40.0, 0.078 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year         |                         |
|----------------------|-------------------------|
| Study design         | Extrapyramidal symptoms |
| Crespo-Facorro, 2011 | NR                      |
| Crespo-Facorro, 2012 |                         |
| Spain                |                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                                | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| Crespo-Facorro,<br>2011<br>Crespo-Facorro,<br>2012<br>Spain |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|--|--|---|---|---|
| Crespo-Facorro, 2013<br>Spain                                      | (1) 15–60 years; (2) living in the catchment area; (3) experiencing their first episode of psychosis; (4) no prior treatment with antipsychotic medication or, if previously treated, a total lifetime of adequate antipsychotic treatment of less than 6 weeks; (5) DSM-IV criteria for brief psychotic disorder, schizophreniform disorder, schizophrenia, or schizoaffective disorder. Patients were excluded for any of the following reasons: (1) meeting DSM-IV criteria for drug dependence, (2) meeting DSM-IV criteria for mental retardation, (3) having a history of neurological disease or head injury. | Aripiprazole 5–30 mg/day<br>Ziprasidone 40–160 mg/day<br>Quetiapine 100– 600 mg/day<br>Rapid titration schedule (5 days), until optimal dose | Antimuscarinic medication, lorazepam and clonazepam, were permitted for clinical reasons. No antimuscarinic agents were administered prophylactically. Antidepressants and mood stabilizers were permitted if clinically needed | Mean age 32.0<br>53% male<br>95% White  | Age at psychosis onset: mean 30.8<br>Duration of illness: mean 23.8 months<br>Diagnosis = schizophrenia: 54%<br>Inpatient: 66%<br>Family history: 24% |
| Cutler, 2008<br>DB RCT<br>35 centers United States and 9 in India. | Men and women aged 18 to 65 ys, a BMI between 18 and 35 kg/m <sup>2</sup> , schizophrenia, CGI-S or 4 or more, PANSS > 70 and rating of 4 (moderate) or greater on at least 2 of PANSS Positive symptoms: delusions, conceptual disorganization, hallucinations, and suspiciousness/persecution.   | 3 wks - lloperidone 24 mg n=295<br>Ziprasidone 160 mg n=149<br>P n=149.  | Zolpidem (or similar medication) and Benzotropine   | Age 39.9 yrs<br>79.6% male<br>35.1% white<br>50.4% black<br>8.8% Asian<br>0.5% American Indian<br>0.3% Pacific Islander<br>4.9% other | Diagnosis<br>Schizophrenia, disorganized 3.9%<br>Schizophrenia, paranoid 84.5%<br>Schizophrenia, undifferentiated 11.6%                               |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                       | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results   |
|--|--|--|---|
| Crespo-Facorro, 2013<br>Spain                                      | 249/224/224                            | Quetiapine: 54, 11 LTFU/51<br>Ziprasidone: 42; 6 LTFU/56<br>Aripiprazole: 28/ 10 LTFU/68 | Response ( $\geq 40$ % BPRS and $\leq 4$ CGI) aripiprazole, 84.8 %; ziprasidone, 88.9 %; quetiapine, 76.0 %; $p = 0.195$ .<br>Response = at least 50 % decrease in total BPRS: aripiprazole, 84.8 %; ziprasidone, 87.0 %; quetiapine, 76.0 %; $p = 0.285$<br>Treatment discontinuation for any cause: Quetiapine 82.3 %, aripiprazole 43.6 %, ziprasidone 66.1 %. $p < 0.001$<br>Time to discontinuation: aripiprazole 106.71 (95 % CI, 75.19–138.22), ziprasidone 129.88 (95 % CI, 95.50–164.25) and quetiapine 77.24 (95 % CI, 52.88–101.59); $p < 0.001$ |
| Cutler, 2008<br>DB RCT<br>35 centers United States and 9 in India. | 913/ NR / 593                          | 212 / 0 / 593  | <p>Iloperidone vs. Ziprasidone vs. P</p> <p>Adjusted mean changes</p> <p>BPRS 7.39 (0.63)* vs. 7.21 (0.89)* vs. 4.62 (0.91)</p> <p>PANSS-P 4.21 (0.34)*** vs. 4.23 (0.48)*** vs. 2.22 (0.49)</p> <p>PANSS-N 2.96 (0.27)* vs. 3.06 (0.38)* vs. 1.91 (0.39)</p> <p>PANSS-GP 4.94 (0.54) vs. 5.24 (0.76) vs. 3.18 (0.77)</p> <p>CGI-S 0.65 (0.05)** vs. 0.67 (0.08)* vs. 0.39 (0.08)</p> <p>*<math>P &lt; 0.05</math> (2-tailed) vs P</p> <p>**<math>P &lt; 0.01</math> (2-tailed) vs P</p> <p>***<math>P &lt; 0.001</math> (2-tailed) vs P</p>                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |
|--|--|
| Study design   | Adverse effects reported   |
| Crespo-Facorro, 2013<br>Spain                                      | Discontinuation due to adverse effects: quetiapine 11.3%, ziprasidone 29 % and aripiprazole 10.3 %; p =0.005.  |
| Cutler, 2008<br>DB RCT<br>35 centers United States and 9 in India. | <p>Iloperidone vs. Ziprasidone vs. P n(%)</p> <p>At least 1 AE 255 (85) vs. 130 (87) vs. 108 (74)</p> <p>Dizziness 51 (17) vs. 20 (13) vs. 11 (8)</p> <p>Sedation 38 (13) vs. 41 (27) vs. 12 (8)</p> <p>Weight increased 34 (11) vs. 7 (5) vs. 3 (2)</p> <p>Dry mouth 26 (9) vs. 11 (7) vs. 1 (0.7)</p> <p>HR increased 24 (8) vs. 9 (6) vs. 1 (0.7)</p> <p>Nasal congestion 25 (8) vs. 5 (3) vs. 4 (3)</p> <p>Tachycardia 28 (9) vs. 3 (2) vs. 1 (0.7)</p> <p>EPS 10 (3) vs. 14 (9) vs. 3 (2)</p> <p>Agitation 10 (3) vs. 10 (7) vs. 4 (3)</p> <p>Orthostatic hypotension 21 (7) vs. 0 vs. 3 (2)</p> <p>Somnolence 12 (4) vs. 9 (6) vs. 2 (1)</p> <p>Restlessness 11 (4) vs. 8 (5) vs. 3 (2)</p> <p>Anxiety 9 (3) vs. 8 (5) vs. 1 (0.7)</p> <p>Akathisia 4 (1) vs. 11 (7) vs. 0</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |
|--|--|
| Study design   | Extrapyramidal symptoms  |
| Crespo-Facorro, 2013<br>Spain                                      | <p>No significant differences in the increment of extrapyramidal signs at 1 year (SARS total score) between treatments (p =0.510).</p> <p>The percentage of patients with treatment– emergent parkinsonism (SARS total score &gt; 3 at 6-week, 3-month or/and 1-year assessments, with total score of &lt; 3 at baseline): aripiprazole=17.7 %; ziprasidone= 19.6 % and quetiapine 14.3 %; p =0.794</p> <p>Severity of akathisia (BAS total score) at 12-months:p =0.185 across groups</p> <p>Treatment–emergent akathisia (BAS global score of &gt;2 at 6-week, 3-month or/and 1-year, given a score &lt; 2 at baseline): aripiprazole- 30.6 %, ziprasidone 26.0 % quetiapine14.0 %; p =0.142</p> |
| Cutler, 2008<br>DB RCT<br>35 centers United States and 9 in India. | <p>Iloperidone vs. Ziprasidone vs. p n(%)</p> <p>EPS 10 (3) vs. 14 (9) vs. 3 (2)</p> <p>Additional results presented graphically</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                     | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>                               | <b>Comments</b> |
|--|---|-----------------|
| Crespo-Facorro,<br>2013<br>Spain   | Quetiapine: 54; 7 due to AE<br>Ziprasidone: 42; 18 due to AE<br>Aripiprazole: 28; 8 due to AE |                 |
| Cutler, 2008<br>DB RCT<br>35 centers United<br>States and 9 in<br>India. | 212 total<br>40 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|---|--|---|---|--|---|
| Daniel, 1996<br>Crossover   | Patients with chronic schizophrenia or schizoaffective disorder, with treatment failures or intolerant to conventional antipsychotic side effects  | clozapine or risperidone; dose titrated by clinician<br>x 6 wks. Dose was held stable during wks 5 & 6.<br><br>mean clozapine dose: 375mg/d (range 75-800mg)<br>mean risperidone dose: 6.1mg/d (range 1-10mg) | estazolam, lorazepam for insomnia, lorazepam for agitation, benzotropine for EPS. Other psychoactive drugs continued, but no dose changes allowed. Drugs used: valproic acid, fluoxetine, paroxetine, sertraline, clonazepam, and clorazepate | Mean age 33.8 ys (22-51)<br>35% male<br>ethnicity NR   | Mean age at onset: 22.7 (15-32)<br>mean # prior hospitalizations: 3.9 (1-10)<br>mean # prior antipsychotic trials: 4.3 (2-8)<br>95% outpatients |
| Davidson, 2007<br>RCT, DB, PCT, parallel, multicenter (international sites) | Male & female ≥ 18 ys of age and experiencing an acute episode of schizophrenia, as represented by a PANSS total score between 70 and 120. Must have been diagnosed with schizophrenia according to DSM-IV criteria for at least 1 y prior to screening and have agreed to voluntary hospitalization for a minimum of 14 ds. | Paliperidone ER (3mg, 9mg, and 15mg) as qd dosing compared with P or Olanzapine 10mg/d in a 6-week study.   | Benzodiazepines were permitted with a stable dose for at least 3 mos. Benzotropine 1 or 2mg bid or biperiden 2mg 3 times daily were permitted for movement disorder treatment.  | Mean age: 36.8 ys<br>68.0% male<br>32.0% female<br>49.0% white<br>21.0% black/ African American<br>24% Asian<br>6% Other | Previous antipsychotic therapy atypical 59<br>conventional 55<br>PANSS total score 93.0<br>age at diagnosis 25.1<br>weight 75.2 Kg              |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b>   | <b>Results</b>  |
|---|--|---|---|
| Daniel, 1996<br>Crossover   | NR/NR/20 enrolled                              | 3 withdrawn (during risperidone treatment): 1 due to AEs, 1 due to AEs and lack of effect, 1 withdrew after achieving satisfactory response, in order to obtain non-study drug<br>17 analyzed | No significant difference on PANSS total, positive or negative subscales, or CGI (data NR).<br>No significant differences on cognitive tests (after application of Bonferroni adjustment for multiple comparisons)  |
| Davidson, 2007<br>RCT, DB, PCT,<br>parallel,<br>multicenter<br>(international<br>sites) | 732/NR/618                                     | 253/6/605   | Paliperidone ER = significant improvements in PANSS total and PANSS factor scores ( $p < 0.05$ ) and in personal and social functioning ( $p < 0.001$ ) compared with P. 59% completed 6-week study.<br>PANSS total score in P vs. Paliperidone ER = $-2.8 \pm 20.9$ , $-15.0 \pm 19.6$ , $-16.3 \pm 21.8$ and $-19.9 \pm 18.4$ , respectively. PANSS Marder factor shows paliperidone ER improvement over P ( $P \leq 0.005$ ) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                                       | <b>Adverse effects reported</b>  |
|---------------------|---|--|
| Daniel, 1996        | Crossover   | <p>7/17 (41%) required Anti-EPS meds while on risperidone</p> <p>0 required Anti-EPS meds while on clozapine</p> <p>Prior to Bonferroni adjustment:</p> <p>Sleepiness/lack of alertness: SS more with clozapine</p> <p>Restlessness/insomnia: SS more with risperidone</p> <p>Inability to think clearly/inability to concentrate:</p> <p>SS related to clozapine dose</p> <p>After correction:</p> <p>restlessness NSly different</p> <p>no dose correlation apparent</p>   |
| Davidson, 2007      | RCT, DB, PCT, parallel, multicenter (international sites) | <p>Study discontinuation similar in all groups (2-5%). TEAEs in all groups were insomnia, headache and tachycardia.</p> <p>Serious TEAEs were low in all treatment groups ( P = 7%, paliperidone ER 3mg = 6%, paliperidone ER 9mg = 10%, paliperidone ER 15mg = 5%, and olanzapine = 6%)</p> <p>Most commonly reported TEAE as serious was psychosis (6% in P, 5% in paliperidone ER 3mg, 6% in paliperidone ER 9mg, 3% in paliperidone ER 15 and olanzapine groups).</p> <p>Glucose related AE's across all groups = n = 6</p> <p>SAS = no statistically significant increase in paliperidone ER 3 mg and 15 mg groups compared to P. Increase in SAS global score for paliperidone ER 9 mg compared to P (p=0.004)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |  |
|---------------------|--|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>   |
| Daniel, 1996        | 7/17 (41%) required Anti-EPS meds while on risperidone                                   |
| Crossover           | 0 required Anti-EPS meds while on clozapine  |
| Davidson, 2007      | BARS = absent in 76-79% of patients in p, paliperidone ER 9mg and 15mg groups and 85% in |
| RCT, DB, PCT,       | paliperidone ER 3mg group.   |
| parallel,           | AIMS score reported as 0.0.  |
| multicenter         | Most movement disorder-related TEAEs = mild or moderate                                  |
| (international      |  |
| sites)              |  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|---|---|---|
| Daniel, 1996<br>Crossover   | 3/20 (15%) total WD<br>2/20 (10%) due to AEs                    | Results NR by first intervention/second intervention. Not possible to evaluate effect of order of assignment, although authors use Bonferroni adjustment to correct for this. |
| Davidson, 2007<br>RCT, DB, PCT,<br>parallel,<br>multicenter<br>(international<br>sites) | 253 total WD<br>23 due to AEs                                   |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|--|--|---|---|---|--|
| Deberdt, 2008<br>DB RCT  | Males and females between 18 and 75 ys of age and diagnosed with schizophrenia or schizoaffective disorder according DSM-IV: a confirmed psychotic episode within the last 5 ys prior to enrollment; clinically stable for at least 15 ds on a fixed dose of olanzapine (10–20 mg/d) prior to enrollment; obese (BMI [BMI] 30 kg/m2) or overweight (BMI 25 kg/m2 and 30 kg/m2) with at least one CV risk factor (diabetes mellitus or impaired fasting glucose, dyslipidemia, elevated blood pressure, or waist circumference 102 cm for men or 88 cm for women); free of any other significant medical illness at enrollment.           | Olanzapine group:<br>continue with original olanzapine treatment, then 7.5-20 mg/d; Mean modal dose of 16.9 mg/d; 24 wks<br><br>Quetiapine griyo: olanzapine dose gradually decreased and completely discontinued by d 7, with quetiapine dose gradually increased to 300-800 mg/d; mean modal dose of 439.7 mg/d; 24 wks | concomitant medications with primary central nervous system activity were not allowed in this protocol. | Olanzapine vs Quetiapine<br><br>Age (SD): 45.4 (9.4) vs 42.5 (11.5) ys<br>Gender: NR<br>Ethnicity: NR | Olanzapine vs Quetiapine<br><br>Mean time on olanzapine (SD) 67.5 (98.5) vs 69.4 (107.8) wks; P=0.554<br>Mean total PANSS (SD): 61.1 (17.9) vs 65.9 (20.4); P= 0.033<br>Mean BMI (SD): 34.6 kg/m2 (7.1) vs 37.5 kg/m2 (8.6); P=0.042   |
| Dollfus, 2005<br>DB, RCT   | Age 18-65 pts with post-psychotic depression according to DSM-IV criteria with maximum PANSS score of 28 and minimum total MADRS score of 16 at screening and baseline   | Olanzapine 5-15 mg/d<br>Risperidone 4-8 mg/d  | benzodiazepines; biperidine   | Mean age: 39.3 yrs<br>69.7% male<br>Ethnicity NR  | Use of biperiden during study: 9% (7/76 enrolled pts)  |
| Emsley, 1999<br>Australia, Belgium, Canada, France, Germany, Great Britain, Korea, The Netherlands, South Africa, and Sweden | 15 to 45 ys; had a diagnosis of provisional schizophreniform disorder (295.40) or schizophrenia without prior treatment according to DSM-III-R; psychotic symptoms requiring an oral antipsychotic agent; had received a maximum of 3 ds of emergency treatment for this disorder; Exclusion- had clinically relevant neurological, electrocardiographic, or laboratory test abnormalities; pregnant or lactating; women of reproductive age not using adequate contraception; mental illness other than schizophreniform disorder or schizophrenia (according to Axis I of DSM-IH-R); psychoactive substance abuse (DSM-III—R criteria) | Risperidone or haloperidol 2- 8 mg/d for 6 wkss   | Antiparkinsonian drugs or benzodiazepines   | Median age 24-26 ys<br>Male 67%<br>62% white<br>17% oriental<br>15% black<br>6% other                 | Age at onset of first symptoms of psychosis (median)=23.5 ys<br>Primary diagnosis (% patients):<br>Provisional schizophreniform disorder=93.5<br>Paranoid schizophrenia=4.5<br>Undifferentiated schizophrenia=1.5<br>Disorganized schizophrenia=0.5<br><br>Level of functioning (% patients):<br>1-20=11.4<br>21-50=74.6<br>51-80=13.9 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Deberdt, 2008<br>DB RCT  | NR/NR/133                              | 57/NR/133                                    | <p>Olanzapine vs Quetiapine</p> <p>Hospitalization for psychiatric reasons after Visit 2: 1 (1.47%) vs 5 (7.69%); P=NS<br/> 20% worsening in PANSS Total score and increase in Level of Care for psychiatric reason after Visit 2: 0 vs 2 (3.08%); P=NS<br/> 20% worsening on the PANSS Total score 7 and worsening of CGI-S by at least one level compared to baseline and CGI-S score: 4(10.29%) vs 7 (10.77%); P=NS<br/> Patients meeting at least one of the above criteria: 8 (11.76%) vs 10 (15.38%); P=NS</p> <p>Discontinuations due to psychiatric AEs higher in quetiapine group (P=0.031)</p> <p>Improvements in PANSS total scores throughout study for both groups (shown in figure 3). At wks 13 and 19, improvement from baseline was no longer significant for quetiapine group, and significantly worse than olanzapine group.</p> |
| Dollfus, 2005<br>DB, RCT   | NR/NR/76                               | NR/NR/76                                     | <p>Mean change from baseline in MADRS score at 8 wks: O -14.1 (SD 8.4) v R -14 (SD 8.8); p reported as not SS (no figure provided)<br/> Mean change from baseline in positive PANSS score at 8 wks (or at point of WD) in pts with MADRS decrease of <math>\geq 30\%</math>: O -2 (SD 4.4) v R -2.9 (SD 3.4)<br/> Mean change from baseline in negative PANSS score at 8 wks (or at point of WD) in pts with MADRS decrease of <math>\geq 30\%</math>: O -6.2 (SD 6.1) v R -6.2 (SD 5.4)</p>  |
| Emsley, 1999<br>Australia, Belgium,<br>Canada, France,<br>Germany, Great<br>Britain, Korea, The<br>Netherlands,<br>South Africa, and<br>Sweden | NR/NR/NR                               | 46/NR/182                                    | <p>Clinically improved according to total PANSS scores<br/> Risperidone 63% vs. haloperidol 56% (p = 0.19), and<br/> Improved according to total BPRS scores<br/> Risperidone 65% and haloperidol 55% (p = 0.08)<br/> CGI change scale - much or very much improved;<br/> Risperidone 71% vs. haloperidol 70%</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>  |
|---------------------|--|--|
| Deberdt, 2008       | DB RCT   | <p>Weight gain higher in olanzapine group from wks 2 to week 13 (<math>P&lt;0.05</math>). No difference in weight gain at last visit.</p> <p>LOCF analysis showed no significant between group differences in weight (<math>P=0.088</math>), BMI (<math>P=0.15</math>), fasting glucose (<math>P=0.228</math>), HbA1c (<math>P=0.318</math>), cholesterol (<math>P=0.471</math>), LDL (<math>P=0.981</math>), HDL (<math>P=0.872</math>), Insulin (<math>P=0.262</math>) and triglycerides (<math>P=0.167</math>).</p> <p>No statistically significant differences in treatment-emergent AEs between treatment groups. Most common (<math>\geq 5\%</math>) in the olanzapine treatment group were sedation, vomiting, anxiety, hypertension, insomnia, pharyngolaryngeal pain, somnolence, weight decrease, and weight increase. In the quetiapine treatment group, most common (<math>\geq 5\%</math>) were sedation, anxiety, insomnia, weight increase, headache, constipation, dry mouth, auditory hallucination, paranoia, and agitation.</p> |
| Dollfus, 2005       | DB, RCT  | NR   |
| Emsley, 1999        | Australia, Belgium, Canada, France, Germany, Great Britain, Korea, The Netherlands, South Africa, and Sweden | <p>Haloperidol vs. risperidone</p> <p>Total AEs 90% vs. 78% <math>p &lt; 0.05</math></p> <p>Insomnia 16% vs. 10%</p> <p>Headache 10% in each group</p> <p>Agitation 11% vs. 8%</p> <p>Anxiety 8% in each group</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year        |  |
|---------------------|--|
| Study design        | Extrapyramidal symptoms                                      |
| Deberdt, 2008       | NR   |
| DB RCT              |  |
|                     |  |
| Dollfus, 2005       | NR   |
| DB, RCT             |  |
|                     |  |
| Emsley, 1999        | Antiparkinsonian medications required -                      |
| Australia, Belgium, | haloperidol 75% vs. risperidone 50%; $p < 0.001$             |
| Canada, France,     | Shift from baseline  |
| Germany, Great      | Haloperidol vs. risperidone                                  |
| Britain, Korea, The | Questionnaire 5.1 vs. 3.9 $p = 0.101$                        |
| Netherlands,        | Hypokinesia factor 5.4 vs. 4.5 $p = 0.273$                   |
| South Africa, and   | Hyperkinesia factor 2.4 vs. 1.4 $p = 0.007$                  |
| Sweden              | Parkinsonism total 8.1 vs. 6.1 $p = 0.060$                   |
|                     | Parkinsonism + dystonia 8.6 vs. 6.3 $p = 0.060$              |
|                     | Parkinsonism + dystonia + dyskinesia 9.0 vs. 6.5 $p = 0.046$ |
|                     | CGI Parkinsonism severity 2.2 vs. 1.9 $p = 0.150$            |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>   |
|--|---|---|
| Deberdt, 2008<br>DB RCT  | Olanzapine vs Quetiapine<br><br>Total WD: 20 vs 37<br>WD due to AEs: NR (total given in figure; 20-25%) |   |
| Dollfus, 2005<br>DB, RCT   | NR / NR   | Study did not enroll an adequate number of patients to achieve statistical significance (76 pts enrolled vs 160 intended N) |
| Emsley, 1999<br>Australia, Belgium,<br>Canada, France,<br>Germany, Great<br>Britain, Korea, The<br>Netherlands,<br>South Africa, and<br>Sweden |   |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |   | <b>Interventions</b>   |                                  | <b>Age</b>                                |   |
|---|---|--|----------------------------------|---|---|
| <b>Study design</b>   | <b>Eligibility criteria</b>                 | <b>(drug, dose, duration)</b>  | <b>Allowed other medications</b> | <b>Gender</b>                             | <b>Ethnicity</b>  |
| Feldman, 2003<br>Sutton, 2001<br>(Tran, 1997 sub-analysis)<br>RCT, multicenter, multinational (6 European, South Africa and US)<br>Post-hoc Analysis of Negative symptoms in older patients | Subset of Tran - patients aged 50 to 65 ys. | olanzapine 10-20mg/d<br>risperidone 4-8mg/d<br>Duration: 28 wks<br>mean dose for subset NR | NR                               | Mean age: 57<br>92.3% white<br>56.4% male | 82% schizophrenia diagnosis<br>64% had prominent negative symptoms<br>mean # prior episodes: 10 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled      | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|---|--|--|
| Feldman, 2003<br>Sutton, 2001<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Negative<br>symptoms in older<br>patients | NR/NR/39<br>19 olanzapine<br>20 risperidone | 20/NR/39                                     | <p>At 8 wks:</p> <p>Mean change in total PANSS:<br/>olanzapine 27.2, risperidone 21.0 (NS)</p> <p>Mean change in PANSS positive:<br/>olanzapine -6.8, risperidone -6.5 (NS)</p> <p>Mean change in PANSS General Psychopathology<br/>olanzapine: -10.8, risperidone: -10.0 (NS)</p> <p>Mean change PANSS negative:<br/>olanzapine: -8.8, risperidone: -4.9 (p = 0.032)</p> <p>Mean change SANS summary:<br/>olanzapine: -3.6, risperidone: -2.1</p> <p>Mean change SANS composite<br/>olanzapine: -13.0, risperidone: -6.5</p> <p>Mean change CGI-S<br/>olanzapine -0.8, risperidone: -0.7</p> <p>At 28 wks:</p> <p>Overall, change in scores decreased slightly</p> <p>Differences remained NS for all but PANSS negative (p=0.032)</p> <p>Differences on SANS remained NS for summary and composite scores</p> <p>Analysis of 5 components revealed SS on 2 items:<br/>Affective flattening:<br/>olanzapine: -5.2, risperidone -0.6 (p=0.033)</p> <p>Alogia<br/>olanzapine: -3.8, risperidone: -0.3 (p=0.007)</p> |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |   |
|---|---|
| <b>Study design</b>   | <b>Adverse effects reported</b>   |
| Feldman, 2003   | % Olanzapine, % Risperidone, (p-value)  |
| Sutton, 2001  | Weight gain   |
| (Tran, 1997 sub-analysis)   | 25%, 0%, (p=0.047)  |
| RCT, multicenter, multinational (6 European, South Africa and US) | Mean weight gain:<br>4.7kg, 0.6kg (p=0.052)   |
| Post-hoc Analysis of Negative symptoms in older patients          | With >20% incidence, but NS difference:<br>somnolence 25%, 32%<br>agitation 10%, 21%<br>anxiety 30%, 5% (p=0.091)                       |
|   | EPS:<br>For measures of EPS, data for only 12 olanzapine and 9 risperidone available<br>AIMS, BAS, and SAS NS difference, small changes |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |  |
|---|--|
| Study design  | Extrapyramidal symptoms  |
| Feldman, 2003   | For measures of EPS, data for only 12 olanzapine and 9 risperidone available |
| Sutton, 2001  | AIMS, BAS, and SAS NS difference, small changes                              |
| (Tran, 1997 sub-analysis)   |  |
| RCT, multicenter, multinational (6 European, South Africa and US) |  |
| Post-hoc Analysis of Negative symptoms in older patients          |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|---|---|---|
| Feldman, 2003<br>Sutton, 2001<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Negative<br>symptoms in older<br>patients | 20 total WD<br>6 due to AE                                      | Small N; power for statistical differences<br>lacking.<br>Length of current episode: 120 ds for<br>risperidone patients, 61 ds for olanzapine<br>patients, but NS difference<br>olanzapine: 70% male; risperidone: 42%<br>male. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year        | Study design | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|---------------------|--------------|---|--|---|---|---|
| Fleischhacker, 2009 | DB RCT       | 18 and 65 ys of age, who were diagnosed with schizophrenia (according to the DSM-IV criteria) and were in acute relapse and who had demonstrated a previous response to antipsychotic drugs.  | Olanzapine mean 15.4 mg/d n=348<br>Aripiprazole mean 23.0 mg/d n=355<br>6 week duration  | Benzodiazepines and 4 mg/d lorazepam (or 20 mg/d diazepam) for anxiety plus 1–2 mg lorazepam (5–10 mg diazepam) if needed for sleep and anticholinergic drugs for extrapyramidal symptoms (EPS) | Mean age olanzapine 37.3 aripiprazole 35.9 yrs<br>% male olanzapine 56 aripiprazole 57<br>% white olanzapine 90 aripiprazole 92<br>% black olanzapine 5 aripiprazole 4<br>% other olanzapine 5 aripiprazole 5 | Diagnosis olanzapine vs. aripiprazole<br>Schizophrenia Type, n (%)<br>Disorganized 28 (8) vs. 28 (8)<br>Catatonic 1 (1) vs. 1 (1)<br>Paranoid 272 (78) vs. 276 (78)<br>Residual 4 (1) vs. 9 (3)<br>Undifferentiated 43 (12) vs. 41 (12) |
| Fleischhacker, 2012 | DB RCT       | Schizophrenia for at least a y, men and women (>18 yr), PANSS score between 60-120, BMI >15.0kg/m2.   | • PP (intramuscular gluteal injection) = 100 mg. Max dose.<br>• P<br>• RIS-LAI (gluteal injection) = 50 mg. Max dose.<br>Duration: 53 wks. | • Risperidone<br>• Oral lorazepam = 6 mg. Max dose.<br>• Other benzodiazepines<br>• Oral propranolol<br>• Antidepressants were allowed if used at a stable dose 30 ds before screening.         | Mean Age = 41<br>Men = 59%<br>White = 92%<br>Black = 4%<br>Asian = 2.5%<br>American Indian or Alaskan Native = .5%<br>Other = 1.5%  | Prior Hospitalization<br>• None = 11%<br>• Once = 18%<br>• Twice = 16%<br>• Three= 13%<br>• Four or More = 42%  |
| Gaebel 2010         | Multi-Center | Symptomatically stable adults, >18 ys, DSM-IV criteria for schizophrenia or shizoffective disorder. Considered symptomatically stable when using stable dose >4 wks (including monotherapy with oral risperidone <6mg daily, olanzapine <20 mg daily, or a conventional neuroleptic <10 mg haloperidol or its equivalent) and were living in the same residence for >30 ds. | RLAI = 50 mg. Max dose.<br>Quetiapine = 750 mg. Max dose.<br>Duration: 2 ys  | NR  | Mean Age = 42<br>Male = 58%<br>Female = 42%<br>Ethnicity: NR  | Schizophrenia = 82%<br>Schizoffective disorder = 18%  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                                | Results   |
|--|--|---|---|
| Fleischhacker,<br>2009<br>DB RCT<br>Multinational -<br>Australia, Europe,<br>and South Africa<br>Multicenter (119) | NR/NR/750                              | 181 / 0 / 703   | Mean change in PANSS Total score olanzapine: -29.5 vs. aripiprazole: -24.6<br>Mean change in CGI-S olanzapine, 1.42; vs. aripiprazole, 1.25<br>Mean CGI-I score olanzapine, 2.23; vs. aripiprazole, 2.50<br>Responders olanzapine, 78%; vs. Aripiprazole 73%  |
| Fleischhacker,<br>2012<br>DB<br>RCT<br>Multi-Center  | 807/749/749                            | 410/23/ ITT analysis<br>set :674 patients,<br>per-protocol<br>analysis: 570 | Effectiveness:<br>Symptom response:<br>Improved PSP scores compared to baseline: ITT analysis set, 43% (n=138/322) of PP group vs. 46% (n=148/323) RIS-LAI group<br>Responders, 30% improvement in PANSS total score compared to baseline: ITT, 44% (n=152/343) vs PP group vs 54% (n=179/329) for the RIS-LAI group.<br><br>Positive and Negative Syndrome Scale:<br>Mean (S.D.) change from baseline to endpoint in PANSS total score: -11.6 (21.22) PP; -14.4 (19.76) RIS-LAI (per-protocol analysis set, primary measure) ; least-squares means difference: -2.6 (95% CI -5.84 to 0.61) |
| Gaebel<br>2010<br>Multi-Center   | 808/808/710                            | 395/19/666  | RLAI vs Quetiapine<br><br>Relapse: 16.5% vs, 31.3%<br><br>Symptom response:<br>PANSS Total Scores at endpoint: mean (N): 63.4 (326) vs. 72.1 (325)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                                 | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---|---------------------|--|
| Fleischhacker, 2009                                 | DB RCT              | Significant weight gain at Week 26 - olanzapine 40%vs. aripiprazole 21%; p < .05<br>Mean weight gain at Week 26 - olanzapine 4.30 kg vs. aripiprazole 0.13 kg  |
| Multinational - Australia, Europe, and South Africa | Multicenter (119)   | Olanzapine vs. aripiprazole - n (%)<br>Weight Gain 73 (21) vs. 21 (6)<br>Insomnia 71 (21) vs. 95 (27)<br>Anxiety 45 (13) vs. 56 (16)<br>Somnolence 37 (11) vs. 15 (4)<br>Asthenia 32 (9) vs. 27 (8)<br>Headache 28 (8) vs. 54 (15)<br>Reaction Schizophrenic 24 (7) vs. 32 (9)<br>Akathisia 21 (6) vs. 33 (9)<br>Dry mouth 20 (6) vs. 10 (3)<br>Agitation 18 (5) vs. 23 (7)<br>Nausea 12 (3) vs. 30 (9)<br>Tremor 11 (3) vs. 21 (6)<br>Vomiting 10 (3) vs. 23 (7)<br>Psychosocial Support 8 (2) vs. 21 (6)<br>Extrapyramidal Syndrome 4 (1) vs. 20 (6) |
| Fleischhacker, 2012                                 | DB RCT              | Overall, the rates of TEAEs: PP 76% vs. RIS-LAI 9%<br>Insomnia: 15% vs. 15%<br>Psychotic disorder: 14% PP vs 12% RIS-LAI   |
| Multi-Center  |                     | Worsening or relapse of schizophrenia: 12% PP vs. 9% RIS-LAI<br>Anxiety: 10% PP vs. 15% RIS-LAI<br>Headache: 9% PP vs. 11% RIS-LAI<br><br>Treatment-emergent glucose-related AEs: N=14<br>RIS-LAI N=8 vs. PP N=14<br>Study related death: 3  |
| Gaebel 2010   | Multi-Center        | Overall adverse events:<br>Treatment-emergent potentially prolactin-related AEs: 5% vs. 2%<br>Hyperprolactinemia: 13.1% vs. 1.5%<br>Somnolence: 2% vs. 11%<br>Weight gain: 7% vs. 6%, mean end point increases 1.25±6.61 vs. 0±6.55 kg   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |  |
|---|--|--|
| Study design  | Extrapyramidal symptoms  |  |
| Fleischhacker, 2009                                 | Mean change at Week 52   |  |
| DB RCT  | Simpson-Angus Scale Total score  |  |
| Multinational - Australia, Europe, and South Africa | olanzapine 1.2 vs. aripiprazole .7 (p < .001; LOCF analysis).            |  |
| Multicenter (119)                                   | Barnes Akathisia Global Clinical Assessment score                        |  |
|   | olanzapine .10 vs. aripiprazole no change (p = .043; LOCF analysis).     |  |
|   | EPS related AEs olanzapine 44 (13%) vs. aripiprazole 73 (21%)            |  |
| Fleischhacker, 2012                                 | Extrapyramidal effects:  |  |
| DB RCT  | Treatment-emergent EPS-related adverse events: 6% PP vs. 10% RIS-LAI     |  |
| Multi-Center  | Akathisia: N=2 PP only   |  |
|   | Neuroleptic malignant syndrome: N=1 PP, only aNo Tardive dyskinesia: N=0 |  |
| Gaebel 2010   | Extrapyramidal AEs: 10% vs. 6%   |  |
| Multi-Center  |  |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b> |
|--|--|-----------------|
| Fleischhacker,<br>2009<br>DB RCT<br>Multinational -<br>Australia, Europe,<br>and South Africa<br>Multicenter (119) | 181 WD<br>55 due to AEs  |                 |
| Fleischhacker,<br>2012<br>DB<br>RCT<br>Multi-Center  | Withdrawals due to adverse events:<br>Neuroleptic malignant syndrome: N=1 PP<br>(Reports withdrawal due to any event)<br>AEs occurred in 10% of patients with RLAI and 6% with quetiapine. |                 |
| Gaebel<br>2010<br>Multi-Center   | Withdrawals due to adverse events: 4.6%  |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity                | Other population characteristics |
|---|---|--|---|---|----------------------------------|
| Garyfallos, 2003  | 50 acute ward patients fulfilling DSM IV criteria for schizophrenia, schizophreniform or schizoaffective disorder; at time of admission, they had not been on antipsychotic treatment | During stable period, mean doses:<br>olanzapine: 18 mg/d (range: 10-20 mg/d)<br>risperidone: 7.7 mg/d (range: 6-12 mg/d)<br><br>8-week study | Anticholinergic and lorazepam allowed if clinically indicated   | Mean age: NR<br>68% male<br>Ethnicity: NR | NR                               |
| Glick, 2004<br>Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use | see above   | see above  | Any required to treat patient and reduce risk of suicide. See results section for numbers of patients taking CPMs | see above                                 | see above                        |
| Green, 2004<br>Sub-analysis of Lieberman 2003: Effects of comorbid substance abuse                        | Same as Lieberman 2003  | Same as Lieberman 2003   | Same as Lieberman 2003  | Same as Lieberman 2003                    | Same as Lieberman 2003           |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Garyfallos, 2003  | NR/NR/50                               | 0/0/50                                       | Mean change in PANSS totals score at endpoint:<br>olanzapine: -26 vs risperidone: -32.7   |
| Glick, 2004<br>Subanalysis of<br>InterSePT<br>showing patterns<br>of concomitant<br>psychotropic<br>medication (CPM)<br>use | see above                              | NR/NR/NR                                     | <p>Patients who received at least 1 Concomitant Psychotropic Medication (CPM) / study duration:<br/>Clozapine: 92.4% vs olanzapine: 91.8%<br/>Mean number of CPM/patient: 3.8 (SD: 2.9) for clozapine vs 4.22 (SD: 3.16) for olanzapine</p> <p>Patients receiving CPM and least squares mean (LSM) daily dose, clozapine vs olanzapine:<br/>Antipsychotics: clozapine 85.6% vs olanzapine 81.7%, p = NR<br/>LSM daily dose: 2.1mg (SD: 0.33 mg) vs 3.8mg (SD: 0.34mg), p&lt;0.001<br/>Antidepressants: clozapine 50.3% vs olanzapine 56.6%, p= NR<br/>LSM daily dose: 16.7mg (SD: 1.05mg) vs 20.7mg (0.97mg), p&lt;0.01<br/>Sedative/anxiolytics: clozapine 59.3% vs olanzapine 66.0%, p = NR<br/>LSM daily dose: 6.3mg (SD: 0.64mg) vs 10.1mg (0.61mg), p&lt;0.001<br/>Mood stabilizers: clozapine 25.0% vs olanzapine 30.2%, p = NR<br/>LSM daily dose: 487.3mg (SD: 43.2mg) vs 620.6mg (SD: 39.9mg), p&lt;0.05</p> <p>Daily dose of CPM in suicide attempters (ATs) and non-attempters (NATs):<br/>(Numbers of patients per group: ATs C=102, O=141; NATs: C=388, O=349 patients)<br/>Antipsychotics: for ATs: C: 2.7 vs O: 4.8, p=0.15; and for NATs: C: 2.1 vs O: 3.8, p=0.001<br/>Antidepressants: for ATs: C: 20.7 vs O: 23.8, p=0.20; and for NATs: C: 15.6 vs O: 19.3, p&lt;0.01<br/>Sedatives/anxiolytics: for ATs: C: 8.9 vs O: 12.1, p&lt;0.05; and for NATs: C: 5.7 vs O: 9.6 p&lt;0.001<br/>Mood stabilizers: for ATs: C: 535.7 vs O: 656.2, p=0.26; and for NATs: C: 503.9 vs 624.9, p&lt;0.05</p> |
| Green, 2004<br>Sub-analysis of<br>Lieberman 2003:<br>Effects of<br>comorbid<br>substance abuse                              | Same as Lieberman<br>2003              | Same as Lieberman<br>2003                    | <p><u>Within-group (olanzapine or haloperidol) RR (95% CI) of response for non-substance abusers compared to substance abusers:</u><br/>Substance abuse disorder: olanzapine=1.24 (0.98, 1.57), haloperidol=1.01 (0.80, 1.29)<br/>Alcohol use disorder: olanzapine=1.47 (1.21, 1.79), haloperidol=1.10 (0.85, 1.42)<br/>Cannabis use disorder: olanzapine=1.18 (0.92, 1.50), haloperidol=0.99 (0.76, 1.28)</p> <p><u>Mean change in PANSS Total Score for substance use vs non-substance use within olanzapine or haloperidol groups (all p-values NS):</u><br/>Substance abuse vs non-substance abuse: olanzapine=17.37 vs 19.77, haloperidol=15.20 vs 18.43<br/>Alcohol abuse vs non-alcohol abuse: olanzapine=15.27 vs 19.73, haloperidol=14.13 vs 18.09<br/>Cannabis use vs non-cannabis use: olanzapine=15.94 vs 20.16, haloperidol=13.44 vs 18.64</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>   |
|---------------------|--|---|
| Garyfallos, 2003    |  | Mean change (SD) at endpoint, olanzapine vs risperidone:<br>Weight Change: +4.2 (2.6) vs +2.0 (0.7), p<0.001<br>BMI Change: +1.4 (0.8) vs +0.7(0.3), p<0.001<br>Triglycerides: +43.5 (26.9) vs +7.5 (20.1), p<0.001<br>Cholesterol: +10.2 (23.1) vs + 0.7 (16.4) , p=NS |
| Glick, 2004         | Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use | NR in this paper, for general InterSePT, see above  |
| Green, 2004         | Sub-analysis of Lieberman 2003: Effects of comorbid substance abuse                        | NR  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |  |
|--|--|
| Study design   | Extrapyramidal symptoms                            |
| Garyfallos, 2003   | NR   |
| Glick, 2004  | NR in this paper, for general InterSePT, see above |
| Subanalysis of InterSePT showing patterns of concomitant psychotropic medication (CPM) use |  |
| Green, 2004  | NR   |
| Sub-analysis of Lieberman 2003: Effects of comorbid substance abuse                        |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Garyfallos, 2003  | NR / NR   |                 |
| Glick, 2004<br>Subanalysis of<br>InterSePT<br>showing patterns<br>of concomitant<br>psychotropic<br>medication (CPM)<br>use | NR in this paper, for general InterSePT, see above              |                 |
| Green, 2004<br>Sub-analysis of<br>Lieberman 2003:<br>Effects of<br>comorbid<br>substance abuse                              |   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                  | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity                                | Other population characteristics   |
|---|---|--|---|---|--|
| Green, 2006<br>Companion to<br>Lieberman, 2003:<br>Two-y data | Same as Lieberman 2003  | Same as Lieberman 2003   | Same as Lieberman 2003  | Same as Lieberman 2003                                    | Same as Lieberman 2003   |
| Grootens, 2011<br>The Netherlands &<br>Belgium                | 18-40 years; DSM-IV diagnosis of schizophrenia, schizoaffective disorder, or schizophreniform disorder; maximum lifetime exposure to antipsychotics <16 weeks; duration of illness <5 years; CGI-S ≥5. Excluded DSM-IV diagnosis of substance dependency or positive drug screen for amphetamines, cocaine or opioids, epilepsy, mental disease, history of psychosurgery | Ziprasidone, n=39; dose: 40, 60 or 80mg twice daily; mean dose, 104mg/d; duration: 8 weeks<br>Olanzapine, n=35; dose: 10, 15 or 20mg/d; mean dose, 14mg/d; duration: 8 weeks | Prescribed if needed:<br>Biperiden, Propanolol,<br>Temazepam or Oxazepam<br>up to 20mg/d,<br>Benzodiazepines, Lithium,<br>Antidepressants | Age, mean: 24<br>Gender: 17.6%<br>female<br>Ethnicity: NR | Diagnosis: 36.5% Schizophreniform disorder; 39.2% Schizophrenia, paranoid; 9.5% Schizophrenia, disorganized; 1.4% Schizophrenia, residual; 5.4% Schizophrenia, undifferentiated; 8.1% Schizoaffective disorder |
| Guerje, 1998<br>Thomas, 1998                                  | Diagnosis: schizophrenia, schizophreniform or schizoaffective disorders; Min score of 36 on BPRS as extracted from PANSS (items scored 1-7)   | olanzapine 10-20mg/d<br>risperidone 4-8mg/d<br>Duration: 30 wks  | NR  | Mean age 35 - 36<br>58% male<br>89% Caucasian             | Duration of Hospitalization prior 12 mos:<br>means 12 to 19 ds<br>Baseline PANSS means 89 to 95<br>Baseline BPRS: means 32 to 35   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                  | Number screened/<br>eligible/ enrolled                              | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|---|---|---|---|
| Green, 2006<br>Companion to<br>Lieberman, 2003:<br>Two-y data | Same as Lieberman<br>2003   | 216 (82%)<br>withdrawn/14 (5%)<br>lost to fu<br>(olanzapine=11%<br>vs haloperidol=3%,<br>p=0.0138)/N<br>analyzed unclear<br>(see comment) | <b>PANSS Total Score: no differences between olanzapine and haloperidol groups at wkss 12, 24, 52 and 104 (data NR, Figure 1 reflects symptom changes over time based on results of a mixed repeated measure model analysis)</b><br><br><b>MADRS: Lower values for olanzapine vs haloperidol at wkss 12 (p&lt;0.008) and 24 (p&lt;0.045), but not at wkss 52 and 104 (data NR)</b><br><br><b>% patients remaining on treatment at 2 ys: olanzapine=23.4% vs haloperidol=12.1%, p&lt;0.0161</b><br><b>Mean survival time in treatment (ds): olanzapine=322.09 vs haloperidol=230.38, p&lt;0.0085</b><br><br><b>Response rates (% patients): olanzapine=67.18% vs haloperidol=59.85%, p=NS</b><br><b>Remission rates (% patients): olanzapine=57.25% vs haloperidol=43.94%, p&lt;0.036</b><br><b>Time to remission: trend toward shorter time for olanzapine (p=0.12)</b> |
| Grootens, 2011<br>The Netherlands &<br>Belgium                | 81/74/74  | NR/NR/61  | Olanzapine vs. Ziprasidone<br>Clinical response: 61% vs. 60%, P=1.00<br>Remission: 35% vs. 40%, P=0.80<br><br>Olanzapine vs. Ziprasidone, difference score at endpoint<br>PANSS positive: -6.70 vs. -5.62, P=0.91<br>PANSS negative: -2.76 vs. -2.38, P=0.88<br>PANSS general psychopathology: -7.82 vs. -6.41, P=0.45<br>PANSS total: -17.15 vs. -14.86, P=0.68<br>CGI Severity: -0.97 vs. -0.85, P=0.66<br>Heinrich QOL: -1.20 vs. -2.42, P=0.63<br>Calgary Depression Scale for Schizophrenia: -1.27 vs. -0.21, P=0.19   |
| Guerje, 1998<br>Thomas, 1998                                  | NR/NR/65<br>olanzapine = 21<br>risperidone = 21<br>haloperidol = 23 | 36/0/62   | Compared with risperidone-treated patients, olanzapine-treated patients showed greater reduction in PANSS total (and PANSS psychopathology, and BPRS total score.<br>Greater proportion also achieved reduction of 20% or more on PANSS total score at week 30.<br>At week 30, olanzapine-treated patients had better profile of QOL (SF-36 and disease-specific QOL in Schizophrenia scale)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                      | <b>Adverse effects reported</b>  |
|---------------------|--|--|
| Green, 2006         | Companion to Lieberman, 2003: Two-y data | WDs due to AE's: olanzapine=7/131 (5%) vs haloperidol=19/132 (14.4%); p=0.0147 (StatsDirect)<br>Weight gain (mean kg): olanzapine=10.2 vs haloperidol=4.0, p-value NR<br>Greater than 7% weight gain (% patients): olanzapine=72% vs haloperidol=42%, p<0.0001<br>Cholesterol level (mg/dl): olanzapine=140 vs haloperidol=133, p=0.005<br>Non-fasting glucose level: greater with olanzapine at wkss 12 and 24, but not later (data NR)<br>Fasting blood glucose: similar in both groups (data NR)<br>At least 1 abnormal SGOT: olanzapine=54.2% vs haloperidol=22%, p<0.0001<br>At least 1 abnormal SGPT: olanzapine=63.4% vs haloperidol=28.8%, p<0.0001<br>At least 1 abnormal prolactin level: olanzapine=49.6% vs haloperidol=67.4%, p<0.0040<br>Serum prolactin level at endpoint: no between-group differences (data NR) |
| Grootens, 2011      | The Netherlands & Belgium                | Olanzapine vs. Ziprasidone<br>Weight gain: 57.1% vs. 12.8%, p<0.001; Increased appetite: 14.3% vs. 0, p=0.02; GI, Fatigue/sedation, sexual side effects, hypersalivation, headach, extrapyramidal symptoms and tremors, sychiatric symptoms, suicide attempts/suicidality all NSD between groups<br><br>Metabolic parameters, difference scores at endpoint (olanzapine vs. ziprasidone):<br>SGOT/ASAT: 8.0 vs. -10.7, p=0.02<br>SGPT/ALAT: 21.8 vs. -7.3, p<0.001<br>Cholesterol: 0.48 vs. -0.24, p=0.001<br>Triglycerides: 0.41 vs. -0.21, p=0.008<br>QTc, systolic blood pressure, diastolic blood pressure, heart rate, fasting glucose, Hb1Ac, Prolactin all NSD between groups   |
| Guerje, 1998        | Thomas, 1998                             | Trend for olanzapine-treated patients to evidence fewer treatment-emergent adverse effects   |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>  |
| Green, 2006         | Simpson-Angus Scale (max value): olanzapine=4.57 vs haloperidol=2.28, $p<0.001$               |
| Companion to        | Barnes Scale (max value): olanzapine=2.83 vs haloperidol=0.98, $p<0.0001$                     |
| Lieberman, 2003:    | AIMS: no between-groups difference, data NR   |
| Two-y data          | Anticholinergic use (% patients): olanzapine=20% vs haloperidol=47%, $p<0.0001$               |
|                     |   |
| Grootens, 2011      | Barnes Akathisia Rating Scale, overall; Abnormal Involuntary Movement Scale, total score; St. |
| The Netherlands &   | Hans Rating Scale, total score; All NSD   |
| Belgium             |   |
|                     |   |
| Guerje, 1998        | No differences found by rating scales or spontaneously reported AE.                           |
| Thomas, 1998        |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                          | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|---|---|--|
| Green, 2006<br>Companion to<br>Lieberman, 2003:<br>Two-y data |   | It was noted that not all subjects finished all measurements at their final visit before dropping out, so on any given measure there were fewer than 263 with follow-up visits, but no N's were provided for any outcomes. |
| Grootens, 2011<br>The Netherlands &<br>Belgium                |   |  |
| Guerje, 1998<br>Thomas, 1998                                  | 36/NR   | 3 risperidone patients withdrawn due to "sponsor decision."  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|--|--|--|--|---|--|
| Hardy, 2011<br>randomized,<br>double-blind   | Age 18-65; stable psychiatric illness [no hospitalizations for $\geq 3$ months, total score on Brief Psychiatric Rating Scale (BPRS) $\leq 42$ and scores $\leq 4$ on each BPRS positive symptom item]<br>Exclusion criteria: treatment with olanzapine, risperidone or depot antipsychotics within 4 weeks of study entry, or with clozapine within 2 years of entry; BMI 40 kg/m <sup>2</sup> ; diabetics; patients with severe fasting hypertriglyceridaemia; use of medications known to affect insulin secretion or sensitivity | Olanzapine mean dose 12.9mg/d<br>Risperidone mean dose 4.3mg/d<br>Duration: 12 wks | During the 'washout phase (pts discontinued previous antipsychotic treatment for at least five plasma half-lives (3-10 days)), patients were allowed limited use of haloperidol, benzodiazepines and anticholinergic medications as needed.<br><br>During the 12 week treatment period, except for selective serotonin reuptake inhibitors, use of other antipsychotics and mood stabilizers was prohibited. | Mean age : 43<br>Gender: 34% female<br>Caucasian 68%<br>African decent 71%<br>Hispanic 11%<br>Others 3.5% | Diagnosis<br>Schizophrenia, paranoid 65.4%<br>Schizoaffective disorder 33.1%<br>Schizophrenia, undifferentiated 1.5%<br>Mean BPRS total 15 |
| Harvey 2006<br>(Companion to<br>Zhong 2006)<br>DB, RCT<br>Inpatients for 1st<br>week then<br>outpatients | Male and female; 18–65 ys of age; a diagnosis of DSM-IV schizophrenia, a baseline PANSS score of $\geq 60$ , a CGI severity rating $\geq 4$ , and a score of $\geq 4$ on one of the following PANSS positive symptom subscale items: delusions, conceptual disorganization, hallucinatory behavior, or suspiciousness/persecution; stable laboratory and ECG (ECG) results and to have a negative urine drug screen at study entry.  | Quetiapine 400 mg<br>Risperidone 4 mg<br>8 wks                                     | Sleep medication and benzodiazepines were allowed as needed but were not allowed within 24 hs of clinical or neuropsychological assessments  | Mean age- 40 yrs<br>77% male<br>50% Caucasian<br>41% African-American<br>8% Hispanic<br>2% Asian          |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled              | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|---|--|--|
| Hardy, 2011<br>randomized,<br>double-blind   | NR/NR/130   | NR/NR/74<br>33 from risp group<br>and 41 from olan<br>group completed<br>baseline and<br>endpoint clamp<br>measurements. | Change from baseline to last observation LS mean, Olanzapine vs Risperidone:<br>LDL: 2.32 vs -3.09<br>HDL: 2.7 vs 1.54<br>Weight: 3.90 vs 2.16<br>BMI: 1.29 vs .69   |
| Harvey 2006<br>(Companion to<br>Zhong 2006)<br>DB, RCT<br>Inpatients for 1st<br>week then<br>outpatients | NR/ NR/673 of which<br>289 had valid<br>assessments | NR/NR.NR   | There were no overall differences between the treatments in their impact on social competence and neuropsychological performance.<br><br>Change from baseline (SD) risperidone vs. quetiapine<br>PANSS Total 21.53 (19.22) vs.22.52 (22.10) P = 0.68<br>Negative subscore 4.76 (5.69) vs. 5.37 (5.69) P = 0.41<br>Positive subscore 6.83 (5.82) vs. 6.69 (5.80) P = 0.85 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |   |
|--|---|
| Study design   | Adverse effects reported  |
| Hardy, 2011<br>randomized,<br>double-blind   | Frequency of discontinuation due to AEs was higher in risperidone pts than olanzapine pts (p=.023)<br>Data NR |
| Harvey 2006<br>(Companion to<br>Zhong 2006)<br>DB, RCT<br>Inpatients for 1st<br>week then<br>outpatients | NR  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |   |
|--|---|
| Study design   | Extrapyramidal symptoms   |
| Hardy, 2011<br>randomized,<br>double-blind   | Baseline mean EPS scores, no follow up EPS scores reported.<br>Simpson-gangus .9<br>Barnes Akathisia .3<br>Abnormal involuntary movement scale 34 |
| Harvey 2006<br>(Companion to<br>Zhong 2006)<br>DB, RCT<br>Inpatients for 1st<br>week then<br>outpatients | NR  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|--|---|---|
| Hardy, 2011<br>randomized,<br>double-blind   | NR  |   |
| Harvey 2006<br>(Companion to<br>Zhong 2006)<br>DB, RCT<br>Inpatients for 1st<br>week then<br>outpatients | NR/NR   | Sub- analysis of Zhong K, Harvey P,<br>Brecher M, Sweitzer D: A randomized, DB<br>study of quetiapine and risperidone in the<br>treatment of schizophrenia.<br>Neuropsychopharmacology 2004;<br>29(suppl 1):S232. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications | Age<br>Gender<br>Ethnicity              | Other population characteristics  |
|--|---|---|---------------------------|---|---|
| Harvey, 2003a<br>(Harvey, 2002a;<br>Harvey, 2002b;<br>Harvey, 2002c all<br>= Sub-analysis of<br>Jeste, 2003)<br>RCT, multicenter<br>(US, Austria,<br>Israel, Norway,<br>Poland and The<br>Netherlands) | Patients > 60 yrs with schizophrenia or<br>schizoaffective disorder. PANSS scores 50-<br>120 at baseline. Inpatient, outpatient,<br>nursing home, board and care patients.  | olanzapine: flexible dose 5-20mg/d<br>mean modal dose: 11.46mg<br>risperidone 1-3mg/d<br>mean modal dose: 1.95mg<br>Duration: 8-wks | unclear                   | Mean age 71<br>36% male<br>60% white    | N Prior Admits: 5.65<br>mean total PANSS score: 77<br>mean MMSE: 25<br>mean BQoL: 4.66<br>mean HAM-D: 7.66<br>mean ESRS: 11.4 |
| Harvey, 2003b<br>(Harvey,<br>2002a,b,c &<br>Harvey, 2003a all<br>= Sub-group<br>analysis of Conley,<br>2001)<br>RCT, multicenter<br>(US)   | Schizophrenia or schizoaffective disorder;<br>baseline PANSS score 60-120; age 18-64<br>yrs; inpatient or outpatient (hospitalized <=/<br>4wks at screening); not refractory to<br>treatment with olanzapine or risperidone). | olanzapine 5-20mg/d<br>risperidone 2-6mg/d<br>qd dosing<br>titration unclear<br>Duration: 8 wks                                     | not specified             | Mean age 40<br>73% male<br>Ethnicity NR | Mean # prior hospitalizations: 6.3<br>Mean Total PANSS score: 81  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled   | Withdrawn/<br>Lost to follow-up/<br>Analyzed                | Results  |
|--|--|---|--|
| Harvey, 2003a<br>(Harvey, 2002a;<br>Harvey, 2002b;<br>Harvey, 2002c all<br>= Sub-analysis of<br>Jeste, 2003)<br>RCT, multicenter<br>(US, Austria,<br>Israel, Norway,<br>Poland and The<br>Netherlands) | NR/NR/176<br>79 olanzapine<br>74 risperidone   | 67/NR/153<br>55 olanzapine<br>54 risperidone                | Attention:<br>SS change from baseline in both groups on TMT-A, not CPT<br>NS difference between groups<br>Memory:<br>SS change from baseline in both groups on both tests<br>NS difference between groups<br>Executive domain:<br>olanzapine: NS change from baseline on any test<br>risperidone: SS change from baseline on TMT-B, WCST total errors, and verbal fluency<br>NS difference between groups<br>Analysis of categories of improvement (markedly, substantially, slightly or not improved)<br>NS difference between drugs on any test except TMT-A: olanzapine SS > substantial or markedly improved, AND SS> not improved<br>MANCOVA analysis of change in scores from baseline as function of medication: NS differences between groups<br>MANCOVA analysis of completer/non-completer status and endpoint scores: NS differences between groups |
| Harvey, 2003b<br>(Harvey,<br>2002a,b,c &<br>Harvey, 2003a all<br>= Sub-group<br>analysis of Conley,<br>2001)<br>RCT, multicenter<br>(US)   | NR/NR/377*<br>189 olanzapine<br>188 risperidone<br>*an unknown number<br>of patients were<br>enrolled at 2<br>additional sites,<br>whose data were<br>removed after it was<br>deemed low quality." | 96/11/n varied by<br>test and time-point<br>(range 258-363) | Overall:<br>SS changes from baseline for each drug on all measures except category fluency and SWMT (5-s delay). After Bonferroni adjustment, CVLT delayed recognition showed NS difference to baseline.<br><br>Olanzapine vs Risperidone:<br>NS difference on any variable<br><br>Treatment x time effects:<br>WCST total errors: risperidone > olanzapine (p = 0.042), BUT NS after Bonferroni adjustment.<br><br>Stratification by improvements of 0.5 or 1.0 SD : NS difference between drug<br>40% improved by 0.5 SD<br>15% improved by 1.0 SD<br><br>Anticholinergic med effects: NS<br>Analyses of effect of smoking status and dose: NS   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |   |                |                            |                            |                             |                                 |                   |
|---|---|----------------|----------------------------|----------------------------|-----------------------------|---------------------------------|-------------------|
| Study design  | Adverse effects reported                        |                |                            |                            |                             |                                 |                   |
| Harvey, 2003a   | NR  |                |                            |                            |                             |                                 |                   |
| (Harvey, 2002a;<br>Harvey, 2002b;<br>Harvey, 2002c all<br>= Sub-analysis of<br>Jeste, 2003)<br>RCT, multicenter<br>(US, Austria,<br>Israel, Norway,<br>Poland and The<br>Netherlands) |   |                |                            |                            |                             |                                 |                   |
| Harvey, 2003b   | NR  |                |                            |                            |                             |                                 |                   |
| (Harvey,<br>2002a,b,c &<br>Harvey, 2003a all<br>= Sub-group<br>analysis of Conley,<br>2001)<br>RCT, multicenter<br>(US)   |   | <b>Placebo</b> | <b>Paliperi-<br/>done6</b> | <b>Paliperi-<br/>done9</b> | <b>Paliperi-<br/>done12</b> | <b>Total paliperi-<br/>done</b> | <b>Olanzapine</b> |
|   | Total # s/AEs                                   | 79 (63)        | 74 (60)                    | 77 (63)                    | 95 (73)                     | 346 (66)                        | 81(63)            |
|   | Psychiatric disorders                           |                |                            |                            |                             |                                 |                   |
|   | Insomnia  | 22(17)         | 14 (11)                    | 20 (16)                    | 16 (12)                     | 50 (13)                         | 18 (14)           |
|   | Somnolence                                      | 7 (6)          | 5 (4)                      | 8 (7)                      | 10 (8)                      | 23 (6)                          | 18 (14)           |
|   | Agitation                                       | 7 (6)          | 8 (7)                      | 5 (4)                      | 3 (2)                       | 16 (4)                          | 3 (2)             |
|   | Anxiety   | 7 (6)          | 5 (4)                      | 5 (4)                      | 6 (5)                       | 16 (4)                          | 7 (5)             |
|   | Psychosis                                       | 8 (6)          | 4 (3)                      | 0                          | 4 (3)                       | 8 (2)                           | 4 (3)             |
|   | Central and peripheral nervous system disorders |                |                            |                            |                             |                                 |                   |
|   | Extrapyramidal<br>disorder                      | 1 (1)          | 4 (3)                      | 9 (7)                      | 13 (10)                     | 26 (7)                          | 2 (2)             |
|   | Hyperkinesia                                    | 4 (3)          | 4 (3)                      | 7 (6)                      | 14 (11)                     | 25 (7)                          | 5 (4)             |
|   | Headache  | 10 (8)         | 1 (1)                      | 8 (7)                      | 10 (8)                      | 19 (5)                          | 8 (6)             |
|   | Hypertonia                                      | 0              | 1 (1)                      | 7 (6)                      | 5 (4)                       | 13 (3)                          | 0                 |
|   | Heart rate and rhythm disorders                 |                |                            |                            |                             |                                 |                   |
|   | Tachycardia                                     | 13 (10)        | 22 (18)                    | 17 (14)                    | 29 (22)                     | 68 (18)                         | 18 (14)           |
|   | Gastro-intestinal system disorders              |                |                            |                            |                             |                                 |                   |
|   | Saliva<br>increased                             | 1 (1)          | 1 (1)                      | 2 (2)                      | 10 (8)                      | 13 (3)                          | 0                 |
|   | Vomiting  | 2 (2)          | 2 (2)                      | 2 (2)                      | 6 (5)                       | 10 (3)                          | 1 (1)             |
|   | Cardiovascular disorders, general               |                |                            |                            |                             |                                 |                   |
|   | ECG<br>abnormal<br>specific                     | 3 (2)          | 4 (3)                      | 5 (4)                      | 9 (7)                       | 18 (5)                          | 2 (2)             |
|   | Hypotension<br>postural                         | 1 (1)          | 4 (3)                      | 3 (2)                      | 7 (5)                       | 14 (4)                          | 6 (5)             |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                                     |
|--|-------------------------------------|
| Study design   | Extrapyramidal symptoms             |
| Harvey, 2003a<br>(Harvey, 2002a;<br>Harvey, 2002b;<br>Harvey, 2002c all<br>= Sub-analysis of<br>Jeste, 2003)<br>RCT, multicenter<br>(US, Austria,<br>Israel, Norway,<br>Poland and The<br>Netherlands) | NR                                  |
| Harvey, 2003b<br>(Harvey,<br>2002a,b,c &<br>Harvey, 2003a all<br>= Sub-group<br>analysis of Conley,<br>2001)<br>RCT, multicenter<br>(US)   | NR - check anticholinergic med use? |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|--|---|---|
| Harvey, 2003a<br>(Harvey, 2002a;<br>Harvey, 2002b;<br>Harvey, 2002c all<br>= Sub-analysis of<br>Jeste, 2003)<br>RCT, multicenter<br>(US, Austria,<br>Israel, Norway,<br>Poland and The<br>Netherlands) | 67/NR   | Analysis of correlations of baseline scores on individual tests to significant change in test showed some significant findings.<br>Dose comparisons: higher relative doses of olanzapine used than risperidone. |
| Harvey, 2003b<br>(Harvey,<br>2002a,b,c &<br>Harvey, 2003a all<br>= Sub-group<br>analysis of Conley,<br>2001)<br>RCT, multicenter<br>(US)   | 96 ((25%)<br>39 (10.3% of total N) due to AE                    | Analysis of correlations of baseline scores on individual tests to significant change in test showed some significant findings.<br>Mean doses NR.   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year | Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--------------|---|--|--|--|--|---|
| Hatta 2008   | Open-label CT<br>pseudorandomized                                       | Inclusion: Patients in psychiatric emergency departments with acute agitation (PANSS-EC score $\geq 15$ ).   | Patients seen during 1st mo of study were assigned to olanzapine 10 mg oral disintegrating tablet. Patients seen in June were assigned to risperidone oral solution 3 mg. Same dose could be given at anytime if patient remained agitated. Patients with previously effective treatment on olanzapine or risperidone were treated with the same drug. | Anticholinergic meds not permitted unless acute EPS appeared.<br>Adjunctive drugs not allowed during 1st h of treatment. | Mean age 38<br>512% male (Note:<br>41% in olanzapine vs.<br>62% in risperidone;<br>P=0.08)<br>Study in Japan,<br>ethnicity NR; | Olanzapine (N=34) vs. risperidone (N=53):<br>N (% of group) kept on drug used previously: 3 (8.8) vs. 10 (18.9)<br>Schizophrenia, schizotypal, and delusional disorders (%): 79.4 vs. 62.3<br>Mood disorders (%): 11.8 vs. 15.1 |
|              | Multicenter (7)<br>Japan  | Exclusion: Patients who refused oral medication  | Follow-up: 60 mins after initial dose;<br>12 hs for EPS.   |  |  |   |
| Hatta 2009   | RCT- rater blinded<br>Psychiatric<br>emergency<br>centers (15)<br>Japan | Inclusion: 18–64 ys old, newly admitted as emergency cases, and met criteria of the ICD-10 for schizophrenia, acute schizophrenia-like psychotic disorder, or schizoaffective disorder.<br><br>Exclusion: obvious complications such as liver dysfunction, renal dysfunction, heart failure, respiratory failure, or diabetes mellitus; were pregnant or who wanted to become pregnant | Risperidone (3–12 mg/d; n=20),<br>Olanzapine (10–20 mg/d; n=17),<br>Quetiapine (300–750 mg/d; n=20),<br>or Aripiprazole (12–30mg/d; n=21), for 8 wks   | Benzodiazepines and<br>anticholinergics  | Mean age 41 yrs<br>42% male<br>100% Asian  | Antipsychotic-naïve 38%<br>Schizophrenia 96%<br>Acute schizophrenia-like psychotic disorder 1%<br>Schizoaffective disorder 3%   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>   |
|---|--|---|--|
| Hatta 2008<br>Open-label CT<br>pseudorandomized<br>Multicenter (7)<br>Japan           | 853/90/87                                      | 0/0/87  | Olanzapine oral disintegrating tablet (N=34) vs. risperidone oral solution (N=53)<br>CGI-C mean (SD): 2.8 (1.3) vs. 3.2 (1.4); P=0.22<br>Additional injection due to worsening of symptoms, N (%): 4 (11.8) vs. 5 (9.4); P=0.73<br>Repeated-measures ANOVA: PANSS-EC scores decreased progressively in both groups, with no significant difference between groups (F=2.94; P=0.09).          |
| Hatta 2009<br>RCT- rater blinded<br>Psychiatric<br>emergency<br>centers (15)<br>Japan | 813/334/80                                     | 29/0/78   | Risperidone vs. olanzapine vs. quetiapine vs. aripiprazole<br>CGI-C 3.4 (1.7) vs. 2.8 (1.1) vs. 4.1 (2.1) vs. 4.4 (2.1)<br>PANSS (mean change from baseline)<br>Total -24.7 (27.9) vs. -33.4 (20.8) vs. -28.9 (28.6) vs. -18.4 (26.0)<br>Positive scale -10.8 (10.9) vs. -12.6 (9.3) vs. -9.4 (8.6) vs. -6.5 (9.1)<br>Negative scale -3.3 (5.6) vs. -5.6 (5.7) vs. -6.3 (9.5) vs. -3.8 (5.2) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |  |
|---------------------|--|
| <b>Study design</b> | <b>Adverse effects reported</b>  |
| Hatta 2008          | Olanzapine vs. risperidone, N (%):   |
| Open-label CT       | 0 (0) vs. 3 (5.7); P=0.91  |
| pseudorandomize     | Change in heart rate (beats/min), mean: -9.2 vs. 1.1; P=0.03   |
| d                   | 1 patient with bradycardia (47 beats/min) at 60 min, a decline from 76 beats/min at time 0.                        |
| Multicenter (7)     |  |
| Japan               |  |
|                     |  |
| Hatta 2009          | Poorly reported AEs ; Comparisons between groups - mean change from baseline for weight (p=0.098), fasting glucose |
| RCT- rater blinded  | (p=0.17), cholesterol (p=0.88), or triglycerides (p=0.62). Sexual side effects and sedation were not observed.     |
| Psychiatric         |  |
| emergency           |  |
| centers (15)        |  |
| Japan               |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year       |   |
|--------------------|---|
| Study design       | Extrapyramidal symptoms   |
| Hatta 2008         | Olanzapine vs. risperidone, N (%):                                    |
| Open-label CT      | 0 (0) vs. 3 (5.7); P=0.91   |
| pseudorandomized   |   |
| Multicenter (7)    |   |
| Japan              |   |
|                    |   |
| Hatta 2009         | Risperidone vs. olanzapine vs. quetiapine vs. aripiprazole            |
| RCT- rater blinded | Extrapyramidal symptoms (DIEPSS)                                      |
| Psychiatric        | Any symptoms 13/20 (65%) vs. 8/17 (47%) vs. 5/20 (25%) vs. 8/21 (38%) |
| emergency          | Parkinsonism 12/20 (60%) vs. 5/17 (29%) vs. 5/20 (25%) vs. 7/21 (33%) |
| centers (15)       | Akathisia 5/20 (25%) vs. 2/17 (12%) vs. 2/20 (10%) vs. 4/21 (19%)     |
| Japan              | Dystonia 3/20 (15%) vs. 1/17 (6%) vs. 0/20 (0%) vs. 0/21 (0%)         |
|                    | Dyskinesia 1/20 (5%) vs. 0/17 (0%) vs. 1/20 (5%) vs. 0/21 (0%)        |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Hatta 2008<br>Open-label CT<br>pseudorandomized<br>Multicenter (7)<br>Japan           | 0 WD<br>0 due to AEs  |                 |
| Hatta 2009<br>RCT- rater blinded<br>Psychiatric<br>emergency<br>centers (15)<br>Japan | 29 WD<br>1 due to AEs   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                               | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity                           | Other population characteristics   |
|--|---|---|--|--|--|
| Huang, 2005<br>RCT, blinding -<br>NR, Taiwan<br>Inpatients | Inclusion: Inpatients with schizophrenia according to DSM-IV.<br>Exclusion: Systemic diseases.  | conventional antipsychotic drugs (haloperidol 10–15 mg/d, sulpiride 800–1200 mg/d, and loxapine 100–150 mg/d) and atypical antipsychotic drugs (risperidone 3–5 mg/d, olanzapine 10–20 mg/d, and clozapine 100–300 mg/d)<br>3 wks | NR   | Mean age 32.4 yrs<br>51% male<br>Ethnicity NR        | mean BMI= 23.8<br>mean TC=175.0 mg/dl;<br>mean TG=110.5 mg/dl;<br>mean HDL=43.3 mg/dl;<br>mean VLDL=21.2 mg/dl<br>mean LDL=110.4 mg/dl;<br>mean TC/HDL=4.3<br>mean LDL/HDL=2.8 |
| Ingole, 2009<br>Open-label RCT<br>Single site, India       | Inclusion: Newly diagnosed DSM-IV patients with schizophrenia; male or females aged 18–60.<br>Exclusion: Patients with history of taking antipsychotics before study; patients with history of diabetes mellitus; patients taking antidiabetic treatment; patients with documented CV diseases. | Oral olanzapine 5 mg two times a d<br>Oral risperidone 3 mg two times a d<br>12 wks duration  | Rescue medications available for managing emergency and side effects: lorazepam, trihexyphenidyl, clonazepam | Mean age 26<br>41.7% male<br>100% nationals of India | NR   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                               | Number screened/<br>eligible/ enrolled    | Withdrawn/<br>Lost to follow-up/<br>Analyzed     | Results   |
|--|---|--|---|
| Huang, 2005<br>RCT, blinding -<br>NR, Taiwan<br>Inpatients | NR/126/97                                 | NR/NR/97   | <p>Haloperidol - no significant changes in any of the lipid profile levels.<br/> sulpiride had significantly decreased ratio of LDL/HDL (t = 2.576, P=0.024).<br/> Loxapine decreased ratios of TC/HDL (t = 3.127, P=0.009) and LDL/HDL (t = 5.027, P=0.000).<br/> risperidone - significantly increased TC (t =2.292, P=0.032) and HDL levels (t =4.735, P=0.000) and significantly decreased ratios of TC/HDL (t = 3.065, P=0.006) and LDL/HDL (t = 3.043, P=0.006).<br/> Olanzapine - significantly increased TG level (t =2.480, P=0.026).<br/> clozapine had significantly increased TG (t =2.179, P=0.049) and VLDL levels (t =2.213, P=0.044)</p> <p>Changes from baseline Haloperidol vs. sulpiride vs. loxapine vs. risperidone vs. olanzapine vs. clozapine<br/> TC (mg/dl) 4.3 vs. -5.3 vs. -3.7 vs. 12.7 vs. 12.9 vs. -3.8<br/> TG (mg/dl) 25.9 vs. 9.5 vs -26.8 vs. 8.9 vs. 50.3 vs. 28.7<br/> HDL (mg/dl) 3.7 vs. 3.2 vs. 3.6 vs. 8.1 vs. 2.2 vs. -2.3<br/> VLDL (mg/dl) 5.2 vs. 1.8 vs.1.0 vs. 1.7 vs. 10.1 vs. 5.9<br/> LDL (mg/dl) 5.1 vs. -17.6 vs. -8.3 vs. 2.9 vs. 0.5 vs. -7.4<br/> TC/HDL 0.2 vs.-0.3 vs. -0.6 vs. -0.6 vs. -0.1 vs. 0.2<br/> LDL/HDL 0.1 vs. -0.3 vs. -0.5 vs. -0.5 vs. -0.3 vs. 0.0</p> |
| Ingole, 2009<br>Open-label RCT<br>Single site, India       | Screened NR<br>Eligible NR<br>60 enrolled | 0 withdrawn<br>0 lost to followup<br>60 analyzed | <p>Olanzapine and risperidone were both associated with significantly (p&lt;0.001) elevated body weight and BMI at 6 and 12 wks.<br/> Significant increase (p&lt;0.001) in fasting blood sugar level occurred in olanzapine, but not in risperidone.</p> <p>Mean change ±SEM from baseline at 6wks, olanzapine vs risperidone:<br/> Body weight (kg): 1.77 ±0.157 vs 1.17 ±0.240; p&lt;0.05<br/> BMI (kg/m2): 0.68 ±0.059 vs 0.48 ±0.097; p&lt;0.05<br/> Blood sugar level (mg/dL): 7.33 ±0.569 vs 0.30 ±0.699; p&lt;0.001</p> <p>Mean change ±SEM from baseline at 6wks, olanzapine vs risperidone:<br/> Body weight (kg): 4.67 ±0.193 vs 2.20 ±0.246; p&lt;0.001<br/> BMI (kg/m2): 1.80 ±0.090 vs 0.9 ±0.101; p&lt;0.001<br/> Blood sugar level (mg/dL): 17.43 ±1.316 vs 1.03 ±0.652; p&lt;0.001</p>  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year       |                          |
|--------------------|--------------------------|
| Study design       | Adverse effects reported |
| Huang, 2005        | NA                       |
| RCT, blinding -    |                          |
| NR, Taiwan         |                          |
| Inpatients         |                          |
|                    |                          |
| Ingle, 2009        | Abstracted in Results    |
| Open-label RCT     |                          |
| Single site, India |                          |

| Author, year   |                          |
|--|--------------------------|
| Study design   | Extrapyrarmidal symptoms |
| Huang, 2005<br>RCT, blinding -<br>NR, Taiwan<br>Inpatients | NR                       |
| Ingle, 2009<br>Open-label RCT<br>Single site, India        | NR                       |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                       | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Huang, 2005<br>RCT, blinding -<br>NR, Taiwan<br>Inpatients | NR / NR   |                 |
| Ingole, 2009<br>Open-label RCT<br>Single site, India       | 0 WD<br>0 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |   |  |  | Age  |   |
|---|---|--|--|--|---|
| Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Gender   | Other population characteristics  |
| InterSePT;<br>Meltzer, 2003<br>Potkin, 2003a<br>Meltzer, 1996<br>RCT, open-label,<br>masked ratings,<br>multicenter (67<br>sites, 11 countries;<br>US, Europe, South<br>Africa, South<br>America) | Patients with schizophrenia, or<br>schizoaffective disorder considered to be at<br>high risk for committing suicide by meeting<br>at least one of the following criteria: 1) a<br>history of previous attempts or<br>hospitalizations to prevent a suicide<br>attempt in the 3 ys before enrollment, 2)<br>moderate to severe current suicidal<br>ideations with depressive symptoms, or 3)<br>command hallucinations for self-harm<br>within 1 week of enrollment. | Clozapine or olanzapine<br>Dose determined by treating clinician<br>Duration: 2 ys | Any required to treat patient<br>and reduce risk of suicide<br>Both groups seen<br>weekly/biweekly - clozapine<br>group for blood monitoring,<br>olanzapine for vital sign<br>monitoring | Mean age 37.1 yrs<br>% male: 61.4%<br>Ethnicity:<br>71% White<br>15% Black<br>1.3% Oriental<br>13% Other | 62% Schizophrenic<br>38% Schizoaffective<br>Mean # suicide attempts: 3.4<br>83% had attempted suicide at least<br>once<br>63% had attempted suicide in last 36<br>mos<br>84% had been hospitalized to prevent<br>suicide attempt<br>27% Treatment resistant<br>NS difference at baseline on PANSS,<br>CGI-SS, ISST, CDS, and Covi-Anxiety<br>scales |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled                           | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|---|--|---|--|
| InterSePT;<br>Meltzer, 2003<br>Potkin, 2003a<br>Meltzer, 1996<br>RCT, open-label,<br>masked ratings,<br>multicenter (67<br>sites, 11 countries;<br>US, Europe, South<br>Africa, South<br>America) | 1065 screened<br>980 eligible and<br>enrolled (490 per<br>group) | 24 (2.4%) never<br>received drug<br>380 (39%) withdrew<br>early:<br>10% withdrew<br>consent<br>8% due to AE's<br>7% lost to follow-up<br>980 analyzed<br><br>ITT analysis<br>includes any data<br>obtainable on<br>patients who left the<br>study, method of<br>analyzing data for<br>those whose data<br>were not obtainable<br>was NR | Type 1 events (C vs O)<br>HR 0.76 (95% CI 0.58 to 0.97)<br>Cox-proportional hazard model (including treatment, # prior suicide attempts, active substance or alcohol abuse, country, sex and age group as variables): HR 0.74 (95% CI 0.57 to 0.96)<br>Clozapine also superior on individual measures (significant suicide attempts, hospitalizations to prevent suicide)<br>Kaplan-Meier estimates indicate SS reduction in 2-y event rate in clozapine group (p=0.02, NNT = 12)<br>Type 2 events: (C vs O)<br>HR 0.78 (95% CI 0.61 to 0.99)<br>Other outcomes:<br>Drop-outs due to unsatisfactory anti-suicidal effect: 1% vs 0% (p - 0.03) (as determined by treating physician)<br>olanzapine: SS higher rates of antidepressants and anxiolytics used<br>olanzapine: SS higher rates of rescue interventions to prevent suicide<br>Suicide deaths: NS (5 clozapine, 3 olanzapine)<br>Predictive Factors:<br>Risk of suicide: clozapine SS < olanzapine in:<br>Schizophrenic patients, No hospitalizations to prevent suicide w/in 36 mos, 2-3 lifetime suicide attempts, no Hx alcohol abuse, smokers, high ISST, Covi-Anxiety Scale and CDI scale scores |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Study design</b> | <b>Adverse effects reported</b>  |
|----------------------|---------------------|--|
| InterSePT;           |                     | Overall number NR, but stated NS difference  |
| Meltzer, 2003        |                     | Rate of serious AE NR, but stated NS difference  |
| Potkin, 2003a        |                     | Most frequent AEs:   |
| Meltzer, 1996        |                     | clozapine: hypersalivation, somnolence, weight gain, and dizziness   |
| RCT, open-label,     |                     | olanzapine: weight gain, somnolence, dry mouth, and dizziness  |
| masked ratings,      |                     | clozapine vs olanzapine:   |
| multicenter (67      |                     | Somnolence 45.9% vs 24.7% (p<0.001)  |
| sites, 11 countries; |                     | Weight Gain: 31.3% vs 55.6% (p<0.001)  |
| US, Europe, South    |                     | Dizziness: 26.9% vs 12.4% (p<0.001)  |
| Africa, South        |                     |  |
| America)             |                     | Other AEs with SS difference:  |
|                      |                     | clozapine causes SS lower rate:  |
|                      |                     | insomnia, akathisia, muscle rigidity, dry mouth  |
|                      |                     | olanzapine causes SS lower rate:   |
|                      |                     | convulsions, postural hypotension, syncope, dysarthria, constipation, hypersalivation, dyspepsia, nausea, vomiting, urinary incontinence, weakness, WBC count decreased (5.8% vs 0.8%)   |
|                      |                     | Other outcomes clozapine SS lower rate than olanzapine:  |
|                      |                     | Suicidal ideation, suicide attempts, laceration, depression, mood alteration, mood disorder, drug abuse, alcoholism. All of these were also considered under efficacy analysis. The comparisons here are based only on patients who received drug. |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |                         |
|---|-------------------------|
| Study design  | Extrapyramidal symptoms |
| InterSePT;<br>Meltzer, 2003<br>Potkin, 2003a<br>Meltzer, 1996<br>RCT, open-label,<br>masked ratings,<br>multicenter (67<br>sites, 11 countries;<br>US, Europe, South<br>Africa, South<br>America) | NR                      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>   |
|---|---|---|
| InterSePT;<br>Meltzer, 2003<br>Potkin, 2003a<br>Meltzer, 1996<br>RCT, open-label,<br>masked ratings,<br>multicenter (67<br>sites, 11 countries;<br>US, Europe, South<br>Africa, South<br>America) | 379 total<br>Due to AE: 8.4% clozapine, 6.7% olanzapine<br><br>When add in w/d due to abnormal labs or lab test procedure result: 9%<br>clozapine, 6.7% olanzapine (NS) | Study powered to assess all significant<br>suicide attempts (successful/non-<br>successful).<br><br>Drug and alcohol abuse found to be a<br>significant predictor of suicide attempt,<br>and SS > drug abuse in the olanzapine<br>group reported as AE. Baseline<br>prevalence of use NR.<br><br>Mean doses seem non-comparable; mean<br>dose clozapine = 274mg (+/- 155 SD),<br>mean dose olanzapine = 16.6mg (+/-<br>6.4mg SD). |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |   |                                  | Age   |   |
|--|---|---|----------------------------------|---|---|
| Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications        | Gender  | Ethnicity   |
| Jerrel, 2002<br>RCT, open-label<br>with economic<br>analysis   | Medicaid patients age 18-54, with schizophrenia or schizoaffective disorder and $\geq 2$ acute psychiatric hospitalizations within 12 mos, and noncompliant with outpatient treatment and not taking atypical antipsychotics for 6-8 wks or more during the prior 3 mos. Patients screened during acute inpatient stay. | olanzapine, risperidone or continue on typical antipsychotic as prescribed.<br>Doses determined by treating physician.<br>Average doses:<br>olanzapine: 12-15mg/d<br>risperidone: 4-6mg/d<br>haloperidol: 14-17mg/d<br>Duration: 12 mos | Discretion of treating physician | Mean age 36.91<br>68% male<br>29% white   | Other population characteristics<br>72% schizophrenic<br>Mean prior inpatient admits: 9.75<br>Acute hospitalization ds in past 6 mos: 12.56<br>Atypical antipsychotic use: 29%<br>Supplemental antipsychotic use: 17%<br>Anti-EPS med use: 72%<br>Taking mood stabilizer: 49% |
| Jeste, 2003<br>Jeste, 2002<br>Jeste, 2001<br>RCT, multinational<br>(US, Israel,<br>Poland, Norway,<br>The Netherlands,<br>Austria)<br>1 full paper, 2 conf<br>proc | Patients aged 60+ with chronic schizophrenia or schizoaffective disorder; without dementia; with baseline PANSS score range 50-120, inpatient (hospitalized $\leq 4$ wks at screening) or outpatient (including nursing home, boarding care and hospitalized patients receiving only board and care).                   | olanzapine: flexible dose 5-20mg/d<br>mean modal dose: 11.1 mg<br>risperidone 1-3mg/d<br>mean modal dose: 1..9 mg<br>Duration: 8-wks  | lorazepam                        | Mean age: 71.1<br>35% male<br>77% white<br>17% black<br>3% Hispanic<br>2% Asian | 85% schizophrenia<br>15% schizoaffective disorder<br>mean baseline PANSS score: 77.1  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled  | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|---|--|--|
| Jerrel, 2002<br>RCT, open-label<br>with economic<br>analysis   | NR/343/343<br>Final group of 108:<br>olanzapine 30<br>risperidone 36<br>Typicals 42 | 235/ NR /108<br>Patients or<br>physician could<br>withdraw patient<br>after randomization<br>but prior to<br>receiving<br>medication.<br>74 patients refused<br>146 physicians<br>refused to have<br>patients enrolled | Treatments Received: Logistic regression analysis:<br>Prescribed assigned med significantly decreased over time (OR 0.19 (95% CI 0.09 to 0.43), but NS between groups<br>Compliance with assigned med, odds of being prescribed a supplemental antipsychotic, odds of being prescribed a mood stabilizer<br>were higher with risperidone vs typicals, and olanzapine vs typicals, but no difference between atypicals.<br>PANSS positive:<br>NS group x time interaction, but scores SS decreased over time<br>PANSS negative:<br>NS group x time interaction, but scores SS decreased over time<br>BPRS:<br>NS group x time interaction, but scores SS decreased over time<br>DIS-II-R Mania and Depression scores:<br>NS group x time interaction, but scores SS increased over time<br>CUAD:<br>NS group x time interaction, but scores SS decreased over time<br>RFS:<br>NS group x time interaction, but role functioning SS decreased over time<br>Self-report Psych Function:<br>NS group interaction effect<br>Time to Discharge:<br>Kaplan-Meier Survival Analysis and Cox proportional hazard analysis:<br>NS difference between groups<br>Time to Rehospitalization:<br>Kaplan-Meier Survival Analysis and Cox proportional hazard analysis:<br>NS difference between groups:<br>Client satisfaction:<br>NS by group, but increased over 1st 3 mos (p<0.03) |
| Jeste, 2003<br>Jeste, 2002<br>Jeste, 2001<br>RCT, multinational<br>(US, Israel,<br>Poland, Norway,<br>The Netherlands,<br>Austria)<br>1 full paper, 2 conf<br>proc | 203/176/175   | 41/1/174   | Baseline PANSS score reduced by >=20%:<br>58% risperidone, 59% olanzapine (within groups P<0.005).<br>Change in mean Ham-D score:<br>-1.8 risperidone (p<0.01, within group)<br>-1.5 olanzapine (p<0.05, within group).<br>CGI improved in 32.5% risperidone, 36% olanzapine.<br>Between-group differences NS for PANSS, Ham-D, and CGI.   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Study design</b>                    | <b>Adverse effects reported</b>   |
|----------------------|--|---|
| Jerrel, 2002         | RCT, open-label with economic analysis | <p>Use of Anti-EPS drugs:</p> <p>SS decrease in use over time (OR 0.51 (95% CI 0.28 to 0.90), but no difference between groups</p> <p>After controlling for time-dependent effects of anticholinergic drug use:</p> <p>DISCUS:</p> <p>SS time effect; decrease from baseline to 12 mos (p =0.0007)</p> <p>S-A EPS</p> <p>SS time effect; lower scores from baseline to 12 mos (p&lt;0.0001)</p> <p>GBAS:</p> <p>SS decrease in ratings baseline to 12 mos (p=0.002)</p> |
| Jeste, 2003          |  | Risperidone vs olanzapine:  |
| Jeste, 2002          |  | Somnolence 13.8% vs 13.6% (ns)  |
| Jeste, 2001          |  | Insomnia 16.1% vs 10.2% (ns)  |
| RCT, multinational   |  | Dizziness 10.3% vs 11.4% (ns)   |
| (US, Israel,         |  | EPS 9.8% vs 15.9% (ns)  |
| Poland, Norway,      |  | 7% Weight gain 5.1% vs 14.8% (p=0.043)  |
| The Netherlands,     |  |   |
| Austria)             |  |   |
| 1 full paper, 2 conf |  |   |
| proc                 |  |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |   |
|----------------------|---|
| <b>Study design</b>  | <b>Extrapyramidal symptoms</b>  |
| Jerrel, 2002         | Use of Anti-EPS drugs:  |
| RCT, open-label      | SS decrease in use over time (OR 0.51 (95% CI 0.28 to 0.90), but no difference between groups |
| with economic        | After controlling for time-dependent effects of anticholinergic drug use:                     |
| analysis             | DISCUS:   |
|                      | SS time effect; decrease from baseline to 12 mos (p =0.0007)                                  |
|                      | S-A EPS   |
|                      | SS time effect; lower scores from baseline to 12 mos (p<0.0001)                               |
|                      | GBAS:   |
|                      | SS decrease in ratings baseline to 12 mos (p=0.002)   |
|                      |   |
| Jeste, 2003          | EPS 9.8% vs 15.9% (ns)  |
| Jeste, 2002          | 7% Weight gain 5.1% vs 14.8% (p=0.04)   |
| Jeste, 2001          |   |
| RCT, multinational   |   |
| (US, Israel,         |   |
| Poland, Norway,      |   |
| The Netherlands,     |   |
| Austria)             |   |
| 1 full paper, 2 conf |   |
| proc                 |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                         | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>  |
|--|---|--|
| Jerrel, 2002<br>RCT, open-label<br>with economic<br>analysis | NR (3 patients not included in rehospitalization analysis due to never being discharged from index hospitalization) | Study focused on patients with recent hospitalizations and who were either non-compliant with treatment or whose treatment was not stabilized. |

Jeste, 2003  
 Jeste, 2002  
 Jeste, 2001  
 RCT, multinational  
 (US, Israel,  
 Poland, Norway,  
 The Netherlands,  
 Austria)  
 1 full paper, 2 conf  
 proc

Total: 41/175 (23%)

Due to AE: 5.7% risperidone, 5.7% olanzapine



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity                          | Other population characteristics  |
|--|---|--|---------------------------|---|---|
| Josiassen, 2010<br>United States                                 | Male or female; between 18 and 30 years;<br>DSM-IV diagnosis for first episode of schizophrenia, schizoaffective disorder, schizophreniform disorder or psychosis NOS; active and measurable psychotic symptoms of at least one month duration but not more than 12 months;<br><br>Exclusion criteria: non-english speaking; mental retardation as assessed using the Wechsler Adult Intelligence Scale or as noted in historical records; pregnant or nursing females; serious, unstable medical illness; a documented history of seizures; known allergy to any prior antipsychotic medications; serious risk of assaultive behaviour; serious risk of suicide; or participation in an investigational drug trial within 30 days. | Aripiprazole (5-20mg/d; n=19)<br>Mean (SD) starting dose (TDD, mg): 5.5 (1.58)<br>Mean (SD) final dose (TDD, mg): 14.5 (4.38)<br><br>Risperidone (.5-6mg/d; n=16) Mean (SD) starting dose (TDD, mg): .75 (.26)<br>Mean (SD) final dose (TDD, mg): 2.9 (1.42)<br><br>Olanzapine (2.5-20/d; n=14)<br>Mean (SD) starting dose (TDD, mg): 2.86 (.91)<br>Mean (SD) final dose (TDD, mg): 13.2 (4.21)<br><br>Quetiapine (50-800mg/d; n=11)<br>Mean (SD) starting dose (TDD, mg): 54.5 (15.1)<br>Mean (SD) final dose (TDD, mg): 513.6 (150.2)<br><br>Duration: 8 weeks | NR                        | Mean age: 22.8<br>Gender: 70% male<br>Ethnicity: NR | Diagnosis:<br>Schizophreniform: 33%<br>Schizophrenia: 52%<br>Schizoaffective: 3.3%<br>Psychosis NOS: 11.7 |
| Kahn, 2009<br>50 sites in 14 countries<br>data from EUFEST study | first episode schizophrenia patients with minimal prior antipsychotic treatment   | haloperidol (1-4 mg/d; n=103), amisulpride (200-800 mg/d; n=104), olanzapine (5-20 mg/d; n=105), quetiapine (200-750 mg/d; n=104), or ziprasidone (40-160 mg/d; n=82)<br><br>12 months   | NR                        | NR  | NR  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Josiassen, 2010<br>United States                                       | NR/NR/60                               | NR/NR/60                                     | Aripiprazole vs risperidone vs olanzapine vs quetiapine<br>PANSS total: -30.4% vs -24.2% vs -35.7% vs -29.4%<br>PANSS positive: -44.7% vs -31.4% vs -49.6 vs -42.4%<br>PANSS negative: -22.7% vs -20.8% vs -28.9% vs -23.9%   |
| Kahn, 2009<br>50 sites in 14<br>countries<br>data from<br>EUFESt study | NR/NR/498                              | 243/NR/not clear                             | haloperidol vs amisulpride vs olanzapine vs quetiapine vs ziprasidone<br><br>Treatment discontinuations: 72% vs 40% vs 33% vs 53% vs 45%<br><br>Comparisons with haloperidol showed lower risks for discontinuation for amisulpride (HR, 0.36; 95% CI, 0.23 to 0.55), olanzapine (HR, 0.27; 95% CI, 0.17 to 0.42), quetiapine (HR, 0.49; 95% CI, 0.33 to 0.73), and ziprasidone (HR, 0.47; 95% CI, 0.29 to 0.76). |

| Author, year   |  |
|--|--|
| Study design   | Adverse effects reported   |
| Josiassen, 2010<br>United States                                 | Mean % weight change: 7% vs 7.3% vs 6.9% vs 7.9%<br>% obese: 0% vs 3.2% vs 14% vs 0% |
| Kahn, 2009<br>50 sites in 14 countries<br>data from EUFEST study | NR   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                         |
|--|-------------------------|
| Study design   | Extrapyramidal symptoms |
| Josiassen, 2010<br>United States                                       | NR                      |
| Kahn, 2009<br>50 sites in 14<br>countries<br>data from<br>EUFEST study | NR                      |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events | Comments  |
|--|---|---|
| Josiassen, 2010<br>United States                                       |   |   |
| Kahn, 2009<br>50 sites in 14<br>countries<br>data from<br>EUFEST study |   | data from the European First Epidsode<br>Schizophrenia Trial (EUFEST) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)                                | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics                      |
|---|--|--|--|--|---|
| Kane 2009<br>DB RCT<br>Multinational,<br>multicenter (60)                               | Inclusion: Inpatients or outpatients; 18-65<br>yrs; schizophrenia diagnosis; initial PANSS<br>75 or more; minimum of 4 on one of<br>PANNS positive; CGI-S of 4 or more at<br>screening and randomization; CGI-I 3 or<br>more at randomization<br><br>Exclusion: Pregnancy; lactation; significant<br>medical illness   | Olanzapine vs. aripiprazole<br>Mean doses 16.7 vs. 19.3 mg/d<br>28 wks | Benzodiazepines  | Mean 38 ys<br>68% male<br>30% White<br>31% African descent<br>32% Hispanic<br>7% other | 16% inpatients and 84% outpatients                    |
| Kane, 2007<br>DB, RCT, P and<br>active-controlled,<br>multicenter<br>(Europe and India) | Inclusion: Male or female; ≥18 ys; acute<br>episode of schizophrenia; diagnosed with<br>schizophrenia according to DSM-IV criteria<br>for at least 1 y prior to screening and have<br>agreed to voluntary hospitalization for a<br>minimum of 14 ds.<br><br>Exclusion: Substance dependence within 6<br>mos, a medical condition that could affect<br>absorption, metabolism or excretion of the<br>study drug; tardive dyskinesia or<br>neuroleptic malignant syndrome; significant<br>risk for suicide or violent behavior,;<br>pregnant or breastfeeding, patients<br>receiving a depot antipsychotic within 120<br>ds or paliperidone palmitate. | Paliperidone ER 6 mg, 9 mg, 12 mg<br>P<br>Olanzapine 10mg<br>6 wks     | Benzodiazepine and<br>antidepressants assuming a<br>stable dose for at least 3 mos<br>and benztropine 1 or<br>2 mg bid or biperiden 2 mg tid<br>was also permitted for the<br>treatment of movement<br>disorders | Mean age 37.1 ys<br>52% male<br>86% white<br><1% Asian<br>14% other                    | Age at diagnosis 27.0 ys<br>Baseline PANSS total 93.9 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Kane 2009<br>DB RCT<br>Multinational,<br>multicenter (60)                               | 726/645/566                            | 263/47/566                                   | Olanzapine vs. aripiprazole<br>CGI-I 2.7 vs. 2.8 P = 0.279<br>Change in<br>PANSS -30.2 vs. -25.9 P = 0.014<br>PANSS-P -5.9 vs. -5.0 P = 0.025<br>PANSS-N -8.8 vs. -7.6 P = 0.053<br>CGI-S -1.2 vs. -1.1 P = 0.336  |
| Kane, 2007<br>DB, RCT, P and<br>active-controlled,<br>multicenter<br>(Europe and India) | 680/NR/630                             | 215/7/628                                    | <p>P - paliperidone6 - paliperidone9 - paliperidone12</p> <p>Total PANSS score mean (SD)</p> <p>Baseline 94.1 (10.7) 94.3 (10.5) 93.2 (11.9) 94.6 (11.0)</p> <p>Change from baseline -4.1 (23.2) -17.9 (22.2) -17.2 (20.2) -23.3 (20.1)</p> <p>p-value &lt; compared to P 0.001 0.001 0.001</p> <p>≥30% decrease in PANSS total<br/>paliperidone6 =56%, paliperidone9 =51%, paliperidone12 =61%, P=30%; p&lt; 0.001 for all paliperidone ER groups vs P.</p> <p>classified as 'marked' or 'severely ill' on the CGI-S scale baseline vs. endpoint<br/>paliperidone6 62.6% vs 21.3%<br/>paliperidone9 57.3% vs 23.0%<br/>paliperidone12 64.4% vs 16.3%<br/>P 59.5% vs 50.8%<br/>olanzapine 64.1% vs 23.5%</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>            |
|---------------------|--|--|
| Kane 2009           | DB RCT   | Olanzapine vs. aripiprazole                |
|                     | Multinational, multicenter (60)                                  | Insomnia 16.7 vs. 27.4 P = 0.002           |
|                     |  | Weight increase 16.4 vs. 7.0 P = 0.001     |
|                     |  | Somnolence 14.6 vs. 8.4 P = 0.025          |
|                     |  | Headache 11.7 vs. 17.5                     |
|                     |  | Increased appetite 11.7 vs. 6.7 P = 0.047  |
|                     |  | Anxiety 7.8 vs. 10.9                       |
|                     |  | Fatigue 7.8 vs. 6.3                        |
|                     |  | Dizziness 6.8 vs. 8.4                      |
|                     |  | Dry mouth 6.8 vs. 5.3                      |
|                     |  | Exacerbation of schizophrenia 6.4 vs. 5.6  |
|                     |  | Sedation 6.4 vs. 2.8 P = 0.046             |
|                     |  | Nausea 6.0 vs. 8.1                         |
|                     |  | Akathisia 5.3 vs. 9.1                      |
|                     |  | Depression 3.9 vs. 1.1 P = 0.032           |
|                     |  | Upper abdominal pain 1.8 vs. 5.3 P = 0.038 |
| Kane, 2007          | DB, RCT, P and active-controlled, multicenter (Europe and India) |  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>                          |
| Kane 2009           | Olanzapine vs. aripiprazole                             |
| DB RCT              | Change in BAS -0.1 vs. -0.1                             |
| Multinational,      | Change in SAS -1.2 vs. -0.9                             |
| multicenter (60)    | Change in AIMS -0.5 vs. -0.2                            |
|                     |   |
| Kane, 2007          | Akathisia, as assessed by the BARS, was rated as absent |
| DB, RCT, P and      | 92%–93% paliperidone <sup>6</sup> and P                 |
| active-controlled,  | 90% of the paliperidone <sup>9</sup>                    |
| multicenter         | 87% of the paliperidone <sup>12</sup> .                 |
| (Europe and India)  | 93% olanzapine  |
|                     | use of anti-cholinergic medication                      |
|                     | 6% P  |
|                     | 11% paliperidone <sup>6</sup>                           |
|                     | 17% of the paliperidone <sup>9</sup>                    |
|                     | 22% of the paliperidone <sup>12</sup>                   |
|                     | 8% olanzapine   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Kane 2009<br>DB RCT<br>Multinational,<br>multicenter (60)                               | 263 WG<br>53 due to AEs   |                 |
| Kane, 2007<br>DB, RCT, P and<br>active-controlled,<br>multicenter<br>(Europe and India) | 215 W<br>38 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year    |  |   |                                 | Age                |                                   |
|-----------------|--|---|---------------------------------|--------------------|-----------------------------------|
| Study design    | Eligibility criteria                             | Interventions<br>(drug, dose, duration) | Allowed other medications       | Gender             | Other population characteristics  |
| Kane, 2010      | 18-75 years, DSM-IV schizophrenia,               | A. Low dose olanzapine injection, 150   | Benzodiazepines and sedative-   | Mean Age: 38.96    | Age at illness onset: 25.62 years |
| McDonnell, 2011 | clinically stable outpatient status for at least | mg every 2 weeks                        | hypnotics as sleep aids,        | Gender: 35% female | Baseline PANSS total, mean: 55.89 |
| McDonnell, 2011 | 4 weeks before first study visit                 | B. Medium dose olanzapine               | anticholinergic medications for | Ethnicity: 71.2%   |                                   |
| Erratum         |  | injection, 405 mg every 4 weeks         | treatment emergent EPS (no      | Caucasian          |                                   |
| DB, RCT active- |  | C. High dose olanzapine injection,      | prophylactic use)               |                    |                                   |
| controlled,     |  | 300 mg every 2 weeks                    |                                 |                    |                                   |
| multicenter,    |  | D. Very low reference dose, 45 mg       |                                 |                    |                                   |
| international   |  | every 4 weeks                           |                                 |                    |                                   |
|                 |  | E. Stabilized oral dose olanzapine,     |                                 |                    |                                   |
|                 |  | 10, 15 or 20 mg/d                       |                                 |                    |                                   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Kane, 2010<br>McDonnell, 2011<br>Erratum<br>DB, RCT active-<br>controlled,<br>multicenter,<br>international | 1315/1205/1065                         | 312/14/1062                                  | <p>Very low dose injection vs. low dose injection vs. medium dose injection vs. high dose injection vs. stabilized oral dose</p> <p>Mean (SE) Change from baseline</p> <p>PANSS total: 7.2 (1.6) vs. 2.7 (1.3) vs. -0.1 (0.8) vs. -2.2 (1.1) vs. -2.5 (0.7); p&lt;0.001 overall; NSD high dose injection vs. stabilized oral dose (p=0.61)</p> <p>PANSS positive: 3.0 (0.5) vs. 1.3 (0.4) vs. 0.6 (0.2) vs. 0.2 (0.3) vs. -0.2 (0.2); p&lt;0.001 overall; NSD high dose injection vs. stabilized oral dose (p=0.31)</p> <p>PANSS negative: 0.5 (0.4) vs. -0.1 (0.4) vs. -0.7 (0.2) vs. -1.0 (0.4) vs. -1.1 (0.4); p&lt;0.001 overall; NSD high dose injection vs. stabilized oral dose (p=0.77)</p> <p>Brief Psychiatric Rating Scale: 4.6 (1.0) vs. 2.3 (0.8) vs. 0.3 (0.5) vs. -1.0 (0.6) vs. -1.1 (0.4); p&lt;0.001 overall; NSD high dose injection vs. stabilized oral dose (p=0.64)</p> <p>CGI-S: 0.3 (0.1) vs. 0.1 (0.1) vs. -0.0 (0.0) vs. -0.0 (0.1) vs. -0.1 (0.0); p&lt;0.001 overall; NSD vs. stabilized oral dose: low dose injection (p=0.12), medium dose injection (p=0.15), high dose injection (0.79)</p> <p>Patients free of exacerbation (%): 69 vs. 84 vs. 90 vs. 95 vs. 93</p> <p>Risk of Exacerbation:</p> <p>2-week vs. 4-week dosing schedules: HR, 1.0; 95% CI, 0.6 to 1.8; p=0.89</p> <p>2-week injection regimen vs. oral formulation: HR, 1.5; 95%CI, 0.8 to 2.7; p=0.17</p> <p>4-week injection regimen vs. oral formulation: HR, 1.4; 95%CI, 0.8 to 2.6; p=0.21</p> <p>Very low dose injection vs. low dose injection: HR, 2.1; 95%CI, 1.2 to 3.7; p=0.007</p> <p>Very low dose injection vs. medium dose injection: HR, 3.5; 95%CI, 2.2 to 5.8; p&lt;0.001</p> <p>Very low dose injection vs. high dose injection: HR, 7.4; 95%CI, 3.1-17.5; p&lt;0.001</p> <p>Low dose injection vs. high dose injection: HR, 3.5; 95% CI, 1.4 to 8.7; p=0.008</p> <p>Mean changes in metabolic measures from baseline to endpoint:</p> <p>Olz LAI mean (SD) vs. Oral Olz mean (SD), Treatment P-value</p> <p>Weight (kg): +1.0 (4.1) vs. +1.3 (4.0), 0.34</p> <p>BMI (kg/m<sup>2</sup>): +0.4 (1.4) vs. +0.5 (1.4), 0.33</p> <p>Gluc (mg/dL): +3.1 (23.1) vs. +1.3 (16.2), 0.17</p> <p>TChol (mg/dL): -2.3 (28.0) vs. -6.0 (32.8), 0.17</p> <p>HDL (mg/dL): -0.5 (9.2) vs. -0.3 (8.1), 0.95</p> <p>LDL (mg/dL): -1.5 (25.5) vs. -6.4 (27.8), 0.039</p> <p>Trigly (mg/dL): -4.3 (122.5) vs. +11.3 (97.6), 0.07</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Adverse effects reported</b>   |
|---------------------|---|
| <b>Study design</b> |   |
| Kane, 2010          | Deaths: 0   |
| McDonnell, 2011     | SAEs, Total: 57; schizophrenia (11), psychotic disorder (8), acute psychosis (5), suicidal ideation (3)                         |
| McDonnell, 2011     |   |
| Erratum             | Very low dose injection vs. low dose injection vs. medium dose injection vs. high dose injection vs. stabilized oral dose       |
| DB, RCT active-     | Insomnia (%): 15 vs. 8 vs. 7 vs. 6 vs. 4; very low dose significantly different than medium and high dose injection and         |
| controlled,         | stabilized oral dose (p<0.05)   |
| multicenter,        | Weight increase (%): 4 vs. 9 vs. 5 vs. 11 vs. 8; high dose injection significantly different than medium dose and very low dose |
| international       | injections  |
|                     | Headache (%): <1 vs. 5 vs. 3 vs. 2 vs. 4; very low injection significantly different than stabilized oral dose and low dose     |
|                     | injections  |
|                     |   |
|                     | Treatment-emergent adverse events: Olz LAI % vs. Oral Olz %, P  |
|                     | Patients with $\geq 1$ TEAE: 52.1 vs. 46.9, 0.15  |
|                     | Weight increased: 7.2 vs. 7.5, 0.90   |
|                     | Insomnia: 7.2 vs. 4.0, 0.06   |
|                     | Nasopharyngitis: 4.3 vs. 4.3, >0.99   |
|                     | Anxiety: 4.8 vs. 2.8, 0.17  |
|                     | Headache: 3.2 vs. 4.3, 0.36   |
|                     | Somnolence: 3.8 vs. 2.8, 0.46   |
|                     | Influenza: 2.0 vs. 2.8, 0.49  |
|                     | Fatigue: 2.0 vs. 2.2, 0.81  |
|                     | Dizziness: 1.3 vs. 2.8, 0.13  |
|                     | Injection site pain: 2.3 vs. 0.9, 0.20  |
|                     | Hallucination: 2.3 vs. 0.6, 0.07  |
|                     |   |
|                     | Corrected in Erratum:   |
|                     | During randomized treatment phase, serious adverse events were reported among 42 patients, one of which was metabolic-          |
|                     | related (hyperglycemia).  |
|                     | 29 patients discontinued participation due to adverse events (2 due to weight increase, 1 due to hyperglycemia, 1 due to        |
|                     | diabetes mellitus).   |
|                     | The percentages of patients who experienced treatment-emergent adverse events did not differ significantly between Olz LAI      |
|                     | and Oral Olz treatment groups.  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year               |   |
|----------------------------|---|
| Study design               | Extrapyramidal symptoms   |
| Kane, 2010                 | Very low dose injection vs. low dose injection vs. medium dose injection vs. high dose injection  |
| McDonnell, 2011            | vs. stabilized oral dose  |
| McDonnell, 2011            |   |
| Erratum                    | Mean (SD) change from baseline, p-value vs. very low dose injection   |
| DB, RCT active-controlled, | Simpson-Angus Total: -0.35 (2.20) vs. -0.35 (1.53), p=.81 vs. -0.28 (1.67), p=0.66 vs. -0.43 (1.78), p=0.81 vs. -0.14 (1.90), p=0.34                  |
| multicenter,               | Barnes Global Score:-0.05 (0.56) vs. 0.00 (0.50), p=0.45 vs. 0.01 (0.52), p=0.26 vs. -0.18 (0.73), p=0.02 vs. -0.03 (0.41), p=0.74                    |
| international              | Abnormal Involuntary Movement Scale: -0.14 (1.54) vs. -0.06 (0.98), p=0.52 vs. -0.04 (1.37), p=0.41 vs. -0.40 (1.55), p=0.04 vs. -0.18 (1.20), p=0.68 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                                    | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| Kane, 2010  | WD: 312   |          |
| McDonnell, 2011   | Due to AE:35  |          |
| McDonnell, 2011   |   |          |
| Erratum   |   |          |
| DB, RCT active-<br>controlled,<br>multicenter,<br>international |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |   |                           | Age   |   |
|--|--|---|---------------------------|---|---|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications | Gender<br>Ethnicity   | Other population characteristics  |
| Karagianis 2009<br>DB RCT<br>Multicenter<br>Canada, the<br>Netherlands, USA<br>and Mexico<br>The PLATYPUS<br>Study | Inclusion: 18–65 ys; a diagnosis of schizophrenia, schizoaffective disorder, bipolar disorder or other related psychotic disorder and had gained > 5 kg or an increase in BMI > 1 kg/m <sup>3</sup><br><br>Exclusion: ODO treatment in the preceding six mos, had a medical condition or were taking other medications that could influence weight, or were participating in a weight-loss prog. | Standard olanzapine tablets (SOT) vs. orally disintegrating olanzapine (ODO) tablets; patients continued treatment with 5–20 mg olanzapine in a flexible, single daily dose and were randomly assigned (1:1) to receive ODO plus oral P, or sublingual P plus SOT for 16 wks. | NR                        | Mean age 39 yrs<br>54.4 % male<br>52.3% Caucasian<br>33.6% Hispanic<br>10.1% Black<br>2% Asian<br>1.3% First-nation<br>0.7% Other | Schizophrenia 55%<br>Bipolar 27.5%<br>Schizoaffective disorder 10.1%<br>Schizophreniform 6%<br>Other 1.3% |
| Kaushal<br>2012<br>RCT   | (ICD) -10 Schizophrenia, schizophreniform, or schizo-affective disorder, 16-40, male or female,  | Risperidone = 2 mg/d<br>Olanzapine = 5 mg/d<br>Duration: 8wks   | NR                        | Mean Age: 29<br>Male 14%<br>Female = 16%<br>Ethnicity = NR  | Systolic blood pressure = 119<br>Diastolic blood pressure = 43<br>BPRS score = 43                         |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Karagianis 2009<br>DB RCT<br>Multicenter<br>Canada, the<br>Netherlands, USA<br>and Mexico<br>The PLATYPUS<br>Study | 186/153/149                            | 27/7/149                                     | ODO vs. SOT<br>BMI, kg/m2 0.52±0. vs. 2 0.72±0.2 P = 0.465<br>Weight, kg 1.42±0.5 vs. 2.08±0.6 P = 0.385 |
| Kaushal<br>2012<br>RCT   | NR/NR/60                               | NR/NR/60                                     | Effectiveness: NR  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---------------------|---------------------|--|
| Karagianis 2009     | DB RCT              | ODA vs. SOT  |
|                     | Multicenter         | Increased appetite 9 (10.7) vs. 10 (15.4)                                    |
|                     | Canada, the         | Headache 5 (6.0) vs. 5 (7.7)   |
|                     | Netherlands, USA    | Somnolence 5 (6.0) vs. 5 (7.7)   |
|                     | and Mexico          | Anxiety 3 (3.6) vs. 2 (3.1)  |
|                     | The PLATYPUS        | Constipation 3 (3.6) vs. 1 (1.5)   |
|                     | Study               | Decreased appetite 3 (3.6) vs. 0 (0.0)                                       |
|                     |                     | Depression 3 (3.6) vs. 2 (3.1)   |
|                     |                     | Fatigue 3 (3.6) vs. 5 (7.7)  |
|                     |                     | Akathisia 2 (2.4) vs. 2 (3.1)  |
|                     |                     | Insomnia 2 (2.4) vs. 3 (4.6)   |
|                     |                     | Dizziness 1 (1.2) vs. 4 (6.2)  |
|                     |                     | Dry mouth 1 (1.2) vs. 2 (3.1)  |
|                     |                     | Dyspepsia 1 (1.2) vs. 2 (3.1)  |
|                     |                     | Nasopharyngitis 1 (1.2) vs. 3 (4.6)  |
|                     |                     | Tremor 1 (1.2) vs. 2 (3.1)   |
|                     |                     | Arthralgia 0 (0.0) vs. 2 (3.1)   |
|                     |                     | Influenza 0 (0.0) vs. 2 (3.1)  |
| Kaushal 2012        | RCT                 | Factors associated with metabolic syndrome: (at 8 weeks)                     |
|                     |                     | Mean increase in the blood sugar level: 4.4 ± 1.97 mg/dL vs 2.2 ± 0.69 mg/dL |
|                     |                     | Mean increase in LDL: 8.23 ± 2.09 mg/dL vs 4.66 ± 1.41 mg/dL                 |
|                     |                     | Mean change in VLDL: 6.06 ± 0.428 mg/dL and 2.56 ± 0.49 mg/dL                |
|                     |                     | Mean increase in total cholesterol: 12.53 ± 1.43 mg/dL vs 4.63 ± 0.52 mg/dL  |

| Author, year   |                          |
|--|--------------------------|
| Study design   | Extrapyrarnidal symptoms |
| Karagianis 2009<br>DB RCT<br>Multicenter<br>Canada, the<br>Netherlands, USA<br>and Mexico<br>The PLATYPUS<br>Study | NR                       |
| Kaushal<br>2012<br>RCT   | NR                       |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events         | Comments |
|--|---|----------|
| Karagianis 2009<br>DB RCT<br>Multicenter<br>Canada, the<br>Netherlands, USA<br>and Mexico<br>The PLATYPUS<br>Study | 27 WD<br>4 due to AEs   |          |
| Kaushal<br>2012<br>RCT   | Withdrawals due to adverse events: NR<br>Time to withdrawal: NR |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|--|---|---|---|---|
| Keefe, 2006<br>DB, R, X 1 y<br>Multicenter: North<br>America (US and<br>Canada)<br>conducted July<br>1999-Nov. 2000. | 18-55 ys of age; schizophrenia or<br>schizoaffective disorder, and a minimum<br>score of 4 on at least 2 positive items on<br>PANSS; score of 18 or more on BPRS;<br>English speaker, level of understanding<br>sufficient to agree to all tests and<br>examinations, illness duration of at least 2<br>ys from first hospitalization and/or<br>diagnosis/treatment.           | olanzapine: 5-20 mg/d (mean dose<br>12.3mg/d)<br>risperidone: 2-10 mg/d (mean dose<br>5.2mg/d) or<br>haloperidol: 2-19 mg/d or (mean<br>dose 8.2mg/d)<br>Initial 8 wks (flexible dosing);<br>thereafter a fixed dosed based on<br>investigator's judgment | antidepressants, except<br>fluvoxamine and lithium.<br>Acute usage of valproic acid,<br>carbamazepine, antiemetics,<br>and steroids.<br>Benztropine mesylate or<br>biperiden (up to 6mg/d)  | Mean age: 39<br>Male: 295 (71.3%)<br>59.7% Caucasian<br>28.3% African<br>0.5% Western Asian<br>1.4% East/Southeast<br>Asian<br>6.8% Hispanic<br>3.8% Other origin | 40.6% -previously admitted to the<br>hospital in past y due to psychiatric<br>problems<br>40.9% O; 48.1% R; and 61.9% H used<br>anticholinergic medication at any time<br>during the trial; p<0.01.<br>Mean PANSS total score was 82.1 at<br>baseline.<br>Mean PANSS positive score for pts<br>randomized prior to dropping the<br>haloperidol arm was significantly lower<br>when compared to pts randomized after<br>haloperidol arm was dropped, p=0.007 |
| Keks, 2007<br>RCT  | Diagnosis of schizophrenia or<br>schizoaffective disorder ; PANSS total<br>score 50 or over at least 18 ys; BMI not<br>exceeding 40 mg/ kg2; within the previous<br>2 mos the patient had been hospitalized or<br>required medical intervention for an acute<br>exacerbation of psychosis and had<br>experienced an additional acute<br>exacerbation during the previous 2 ys. | long-acting risperidone (25mg or<br>50mg every 14 ds) or olanzapine (5-<br>20mg/d).<br>13 wks and one y   | Long-acting risperidone vs.<br>olanzapine<br>concomitant medication: 85%<br>vs 80%<br>sedates/hypnotics: 65% vs<br>53%<br>antidepressants: 43% vs 34%<br>antiparkinsonian drugs: 37%<br>vs 18%<br>anticonvulsants: 21% vs 19%<br>muscle relaxants: 11% vs 10% | Long-acting injection<br>vs olanzapine:<br>Mean age: 35 ys<br>Male: 56% vs 58%<br>Caucasian: 96% vs<br>97%  | Age at diagnosis 26.5   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|--|--|---|--|
| Keefe, 2006<br>DB, R, X 1 y<br>Multicenter: North<br>America (US and<br>Canada)<br>conducted July<br>1999-Nov. 2000. | NR/NR/414                              | 174 / 90 /339*<br>*=number evaluated<br>at week 52 for<br>neurocognitive<br>composite score<br>based on sample's<br>baseline data | <p>Neurocognitive Efficacy:</p> <p>Primary: Sample composite LOCF: No significant difference between any of the tax groups at wks 8, 24, 52; p=NS<br/>52 week endpoint: z-scores based on sample composite mean <math>\pm</math> SD: O: <math>17 \pm 0.51</math>; p&lt;0.01, R: <math>0.18 \pm 0.46</math>; p&lt;0.01<br/>Sample composite OC: R. vs. O, p=NS<br/>52 week endpoint: Mean change within O group, p&lt;0.01 and R p&lt;0.01 treatment groups.<br/>Normative composite LOCF: change in composite scores was NSly different between group; p=NS<br/>52 week endpoint: Within group improvement: O group, p&lt;0.01; R group, p&lt;0.01<br/>Normative composite OC: No significant difference between O and R<br/>52 week endpoint: Within-group improvement: O group, p&lt;0.01; R group, p&lt;0.01</p> <p>Individual neurocognitive domains:<br/>52 week LOCF mean change from baseline: O vs R, p=NS. O improved on all domains (all p=0.04) except visuospatial ability and verbal fluency;<br/>R improved on all domains (all p&lt;0.05) except verbal fluency.<br/>Normative neurocognitive domains<br/>52 week LOCF mean change from baseline: "similar profile was found" (data not shown)</p> <p>Secondary:<br/>PANSS depression: 52 week LOCF mean change from baseline pairwise group: O vs R for PANSS total, positive score, and negative score: p=NS.<br/>LOCF at 52 wks: all treatment groups significantly improved on all three PANSS measurement: p&lt;0.02.<br/>MADRS or HAMA-No statistical differences between any tax groups<br/>52 week visit-wise OC: within group: O, p&lt;0.001; R, p&lt;0.001<br/>52 week OC pairwise group: O vs. R; NS</p> |
| Keks, 2007<br>RCT  | 693/NR/629                             | 200/NR/ short-term<br>378 and long-term<br>362  | <p>Risperidone vs. olanzapine</p> <p>Short-term mean (s.d..) and LSM of the difference (95% CI)<br/>PANSS Total change at endpoint -16.9 (15.5) vs. -17.8 (15.4) and 0.2 (-2.7 to 3.0)</p> <p>Long-term mean (s.d..) and LSM of the difference (95% CI)<br/>PANSS Total change at endpoint -20.4 (18.8) vs -20.5 (20.3), 0.2(-3.4 to 3.8)</p> <p>Anxiety/depression change at endpoint -3.1 (3.6) vs. -3.4 (3.7) and 0.6 (0.1 to 1.2) P &lt; 0.05</p> <p>CGI- S at endpoint (not or mildly ill) 66% vs. 67%</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                        | <b>Study design</b>            | <b>Adverse effects reported</b>   |
|--|--------------------------------|---|
| Keefe, 2006                                | DB, R, X 1 y                   | Treatment-emergent AE in > 10% of any group or significantly different between groups:  |
| Multicenter: North America (US and Canada) | conducted July 1999-Nov. 2000. | Olanzapine > R: somnolence, depression, headache, insomnia, anxiety, nausea, weight gain, pain, rhinitis, hallucinations, nervousness, dry mouth, diarrhea, dizziness, akathisia, tremor, paranoid reaction, abnormal thinking, vomiting, agitation, (each p= NS) |
|  |                                | Constipation: O> R; p=0.01  |
|  |                                | Mean change from baseline to 52 week endpoint:  |
|  |                                | Weight (kg) gain: O > R: p<0.01   |
|  |                                | Triglyceride mean change: O> R, p=0.01  |
|  |                                | Cholesterol mean change (mg/dL): O > R; <0.01   |
|  |                                | Glucose, non-fasting (mg/dL): O vs. R; p=NS   |
|  |                                | Prolactin mean change: (ng/mL): R > O; p <0.01  |
| Keks, 2007                                 | RCT                            | Risperidone vs. olanzapine (%)  |
|  |                                | Psychosis 29 vs. 25   |
|  |                                | Insomnia 22 vs. 14  |
|  |                                | Depression 20 vs. 14  |
|  |                                | Anxiety 14 vs. 16   |
|  |                                | Agitation 10 vs. 5  |
|  |                                | Headache 8 vs. 5  |
|  |                                | Hyperkinesia 8 vs. 3  |
|  |                                | Rhinitis 7 vs. 6  |
|  |                                | Weight increase 6 vs. 9   |
|  |                                | Somnolence 5 vs. 7  |
|  |                                | Tremor 5 vs. 2  |
|  |                                | Injury 5 vs. 2  |

| Author, year | Study design                               | Extrapyramidal symptoms  |
|--------------|--|--|
| Keefe, 2006  | DB, R, X 1 y                               | AIMS Total Mean Change Score: O vs. R; p=NS  |
|              | Multicenter: North America (US and Canada) | Barnes Global Mean Change Score: O vs. R; p=NS   |
|              | conducted July 1999-Nov. 2000.             | Simpson-Angus Total Mean Change Score: O vs. R; p=NS Akathisia: Olanzapine 8.8%, Risperidone 12.7% |

### Second generation antipsychotic drugs



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>   |
|--|---|---|
| Keefe, 2006<br>DB, R, X 1 y<br>Multicenter: North<br>America (US and<br>Canada)<br>conducted July<br>1999-Nov. 2000. | 269/53<br>O: 15 (9.4%)<br>R:24 (15.2%)<br>Haloperidol: 14 (14.4%) | After ~52 wks of enrollment, the haloperidol arm was dropped due to recruitment difficulties. After the study was completed, it was discovered that 17.7% O group, 14.1% R , and 18.6% H group were on antipsychotic medications prior to randomization. Approx. 25.8% were randomized to the same antipsychotic medication they were taking prior to enrollment ( 18% olanzapine, 14% risperidone).<br>61% of pts were considered to be compliant with prescribed treatment.<br>Relapse Rate:<br>Pts who responded: No difference<br>Pts who stabilized: O: 15/129, 11.6%;<br>R 27/121, 22.3%; p=0.03. |
| Keks, 2007<br>RCT  | 200 total WD<br>18 due to AEs                                     |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics |
|--|---|---|--|---|----------------------------------|
| Kelly, 2005<br>DB, RCT   | Treatment-resistant schizophrenia and medically healthy.  | N=38<br>400 mg/d quetiapine, or<br>4 mg/d risperidone, or<br>12.5 mg/d fluphenazine<br>6 wks duration | lorazepam, benztropine, oral<br>hypoglycemics, laxatives,<br>diuretics, nonsteroidal anti-<br>inflammatory agents,<br>antibiotics, antihypertensives | Mean age: 43.8<br>Male: 73%<br>Black: 60%<br>White: 40%   | NR                               |
| Thyroid results<br>from Conley 2003<br>(different from the<br>Conley 2003<br>above)      |   |   |  |   |                                  |
| Kelly, 2006<br>R, DB, parallel-<br>group<br>SC, treatment-<br>resistant<br>schizophrenia | Treatment resistant schizophrenia:<br>1. Persistent positive psychotic symptoms:<br>item score $\geq$ (moderate) on at least 2 of 4<br>positive symptom items on BPRS;<br>2. Presence of at least moderately severe<br>illness on total BPRS score (score $\geq$ 45 on<br>the 18-item scale) and a score of $\geq$ 4<br>(moderate) on CGI;<br>3. Two failed historical trials of<br>antipsychotics of at least 6 wks duration at<br>doses of at least = to 600mg/d<br>chlorpromazine;<br>4. No stable period of good social and/or<br>occupational functioning within the last 5<br>ys. | Risperidone: 4mg/d (n=12)<br>Quetiapine: 400mg/d (n=6) OR<br>fluphenazine 12.5mg/d (n=9) x 12<br>wks  | agitation or anxiety: up to<br>10mg/d of lorazepam prn;<br>Benztropine mesylate (up to 4<br>mg/d);<br>propranolol (30-120 mg/d) for<br>EPS           | Age:<br>R: 46; Q 42; F 45<br>Gender: (male) R<br>75%; Q: 67%; F: 88%<br>Race: (Black) R: 50%;<br>Q 67%; F 56% |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|--|--|--|
| Kelly, 2005<br>DB, RCT   | NR/NR/38                               | NR/NR/30   | Change in Thyroid Function Test Results: Mean + SD Change<br>Total serum thyroxine: Q: -2.37 + 1.48 vs R: -0.01 + 1.02 vs F: 0.62 + 1.91; p=.01<br>Free thyroxine index: Q: -0.76 + 0.68 vs R: -0.07 + 0.48 vs F: 0.22 + 0.62; p=NS<br>Serum T3 resin uptake: Q: -0.00 + 2.76 vs R: 0.38 + 1.92 vs F: 0.30 + 1.36; p=NS<br>Thyroid-stimulating hormone: Q: -0.86 + 1.6 vs R: -0.28 + 1.05 vs F: -0.49 + 1.68; p=NS   |
| Thyroid results<br>from Conley 2003<br>(different from the<br>Conley 2003<br>above)      |  |  |  |
| Kelly, 2006<br>R, DB, parallel-<br>group<br>SC, treatment-<br>resistant<br>schizophrenia | NR/NR/38                               | 18*/ NR/ 28<br>*4-risperidone<br>(31%); 5 on<br>quetiapine (42%)<br>and 9 on<br>fluphenazine (69%) | Sexual Dysfunction: 7/9 F (78%); 5/12 R (42%); 3/6 q (50%); P=NS<br>Sexuality at end of study: subjective improvement: 1/8 F (13%); 6/11 R (55%); 2/5 Q: 40%; p=NS<br>Orgasm: Q: significant improvement ; not seen with R and F; p=0.033<br>Arousal: Q: improved, not seen with R and F; p=NS<br>Post-hoc analysis: (data not shown) Higher prolactin levels were correlated to lower BPRS scores.<br>Total BPRS scores; p=0.048<br>positive symptoms, p=0.050<br>Trend was noted for activating symptoms, p=0.051.<br>Higher prolactin levels were associated with higher negative symptoms, p=0.037.<br>(Significant findings were not evident by drug group) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b>  | <b>Adverse effects reported</b>   |
|---|--|---|
| Kelly, 2005   | DB, RCT  | NR  |
| Thyroid results from Conley 2003 (different from the Conley 2003 above) |  |   |
| Kelly, 2006   | R, DB, parallel-group<br>SC, treatment-resistant schizophrenia | <p>12 week prolactin levels: R: <math>50.6 \pm 40.4</math>, F: <math>24.4 \pm 18.5</math>; Q: <math>8.2 \pm 4.4</math>, <math>p=0.005</math>, controlling for baseline and sex</p> <p>R: galactorrhea and gynecomastia 1/9 males (11%), amenorrhea: 2 females (100%)<br/> F: gynecomastia: 1 female: No hormonal effects were noted in males<br/> Q: No hormonal side effects occurred; 1 out of 2 women with amenorrhea regained menstruation during Q treatment<br/> All cases of gynecomastia resolved during treatment<br/> No difference btw groups for the following:<br/> Headache: 48.1%;<br/> somnolence; 37%;<br/> insomnia 29.6%;<br/> lethargy, increased appetite and orthostasis 25.9%; dry mouth, nausea, constipation 18.5%;<br/> blurry vision, dizziness, dyspepsia, diarrhea, and anxiety 18.5%</p> <p>Mean prolactin levels for:<br/> pts experiencing sexual dysfunction (all drugs) were <math>29.25 \pm 27.44</math> mg/dl<br/> pts with no sexual dysfunction the mean levels were <math>35.56 \pm 41.63</math>; <math>p=NS</math>.</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |                         |
|---|-------------------------|
| Study design  | Extrapyramidal symptoms |
| Kelly, 2005   | NR                      |
| DB, RCT   |                         |
| Thyroid results<br>from Conley 2003<br>(different from the<br>Conley 2003<br>above) |                         |
| Kelly, 2006   | NR                      |
| R, DB, parallel-<br>group<br>SC, treatment-<br>resistant<br>schizophrenia           |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|--|---|--|
| Kelly, 2005<br>DB, RCT   | NR / NR   |  |
| Thyroid results<br>from Conley 2003<br>(different from the<br>Conley 2003<br>above)      |   |  |
| Kelly, 2006<br>R, DB, parallel-<br>group<br>SC, treatment-<br>resistant<br>schizophrenia | 7 total WD<br>NR due to ASs                                     | <p>Sexual dysfunction was defined as "any trouble maintaining an erection, painful prolonged erections, trouble ejaculating when wanted, loss of interest once aroused, and/or not able to have an orgasm if wanted. "</p> <p>Sexual dysfunction was not found to be correlated with prolactin levels (<math>p&gt;0.05</math>). Those on quetiapine who noted "improvement" in sexual functioning tended to have a larger decrease in prolactin than for the subjects reporting no improvement (-44.25 vs. -32.57 mg/dl). No trends noted for R or F in relation to prolactin levels and subjective sexual function changes.</p> <p>Limitations: N; few subjects received O during lead-in phase</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                                      | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics   |
|---|--|---|---------------------------|--|--|
| Kelly, 2008<br>goes with Conley<br>2001<br>DB RCT | Schizophrenia or Schizoaffective disorder by DSM-IV diagnosis, baseline PANSS score, 60–120, aged 18–64 ys; out- or inpatients hospitalized ≤4 wks.  | Risperidone 2–6 mg/d (flexible dose); oral Olanzapine 5–20 mg/d<br>Duration: 8 wks<br>Both drugs given qd according to following regimens: ds 1–2, 2 mg Risperidone or 10 mg Olanzapine; ds 3–7, 2–4 mg risperidone or 5–10 mg Olanzapine; ds 8–14, 2–6 mg risperidone or 5–15 mg Olanzapine; ds 15–56, 2–6mg Risperidone or 5–20 mg Olanzapine | NR                        | Mean age:<br>risperidone 41.0<br>(11.0) ys<br>olanzapine 38.9<br>(10.5) ys<br>72.7% male<br>Ethnicity NR | 79% were outpatients<br><br>Schizophrenia (n= 325) or schizoaffective disorder (n= 52)<br><br>Duration of illness: mean risperidone 16.5 (10.5) ys, olanzapine 15.4 (10.6) ys<br><br>Weight olanzapine 82.7 kg risperidone 83.7 kg<br>BMI olanzapine 28.15 kg/m, risperidone 28.78 |
| Kern, 2006<br>RCT, open-label                     | Inclusion - outpatients, schizophrenia or schizoaffective disorder, between ages of 18 and 65, able to speak and understand English, were on a stable dose of an oral typical antipsychotic, risperidone, or quetiapine for at least 1 mo, and had not been hospitalized for psychiatric treatment for at least 2 mos.<br>Exclusion - current suicidality, neurological disorder (e.g., epilepsy), acute or unstable medical condition, a clinically significant laboratory test value, GI resection or stapling that may interfere with study medication absorption, and alcohol- or substance-dependence within the past 3 mos; received aripiprazole in a prior clinical study, had taken a selective serotonin reuptake inhibitor within 2 wks before screening, or if they had taken an investigational drug within 4 wks | 30 mg<br>of oral aripiprazole or 15 mg of oral olanzapine   | NR                        | Mean age: 40<br>64% male<br>60% Caucasian  |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                      | Number screened/<br>eligible/ enrolled         | Withdrawn/<br>Lost to follow-up/<br>Analyzed        | Results   |
|---|--|---|---|
| Kelly, 2008<br>goes with Conley<br>2001<br>DB RCT | NR/NR/377<br>Risperidone 188<br>Olanzapine 189 | Risperidone<br>53/NR/188<br>Olanzapine<br>43/NR/189 | Weight gain at week 8<br>olanzapine 3.8 kg vs. risperidone 2.0 kg P < 0.001<br>BMI increase at week 8<br>olanzapine 1.3 kg/m risperidone 0.7 kg/m P < 0.001<br>Total cholesterol<br>olanzapine 13.5 vs. risperidone -3.9 mg/dl P = 0.058  |
| Kern, 2006<br>RCT, open-label                     | NR/NR/255                                      | 146 (57%)/21<br>(8%)/169                            | General cognitive functioning - aripiprazole and olanzapine showed significant improvement from baseline at week 8 (p=0.023 and 0.015, respectively) that fell to a trend at week 26 (p=0.055 and 0.087, respectively). No significant between-group differences at either week 8 or 26 comparisons<br>Executive functioning - LOCF analyses failed to show significant improvement from baseline to week 8 or 26 for either group (all p>0.20)<br>Verbal learning -, aripiprazole showed a significant improvement from baseline at both week 8 (p<0.0001) and week 26 (p<0.0001); olanzapine did not. Examination of between-group differences revealed a significant difference in favor of the aripiprazole group compared to the olanzapine group at both week 8 (p=0.020) and week 26 (p=0.040) |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year     |                          |
|------------------|--------------------------|
| Study design     | Adverse effects reported |
| Kelly, 2008      | NR                       |
| goes with Conley |                          |
| 2001             |                          |
| DB RCT           |                          |
| Kern, 2006       | NR                       |
| RCT, open-label  |                          |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year     |                         |
|------------------|-------------------------|
| Study design     | Extrapyramidal symptoms |
| Kelly, 2008      | NR                      |
| goes with Conley |                         |
| 2001             |                         |
| DB RCT           |                         |
|                  |                         |
| Kern, 2006       | NR                      |
| RCT, open-label  |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>              | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>  |
|---|---|--|
| Kelly, 2008<br>goes with Conley<br>2001<br>DB RCT | Risperidone 53/188 (28.2%)<br>Due to AE 22/188 (11.7%)<br>Olanzapine 43/189 (22.8%)<br>Due to AE 17/189 (8.99%) |  |
| Kern, 2006<br>RCT, open-label                     | 146 total WD<br>46 due to AEs   | WD (53%) from the olanzapine group and<br>(62%) from the aripiprazole group. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity                                  | Other population characteristics  |
|------------------------------|---|--|--|---|---|
| Kim<br>2012<br>RCT           | DSM - Schizophrenia, 18-59, male or female, have been receiving risperidone monotherapy, stable dose of risperidone for a minimum of 2 wks, symptomatically stable, | Risperidone = 6 mg. Max dose.<br>Paliperidone ER = 12 mg. Max dose.<br>Duration = 12 wks | Antidepressants, mood stabilizers used for more than 1 mo.<br>• Anticholinergics<br>• Propranolol<br>• Benzodiazepines | Mean Age: 34<br>Male 19%<br>Female = 10%<br>Ethnicity = NR  | Adjunctive use of anticholinergics = Baseline: 85%<br>Adjunctive use of propranolol = Baseline: 36%<br>Adjunctive use of benzodiazepine = Baseline: 38%       |
| Kim<br>2010<br>RCT<br>Korea  | Age 20-64 years   | Drugs:<br>Risperidone<br>Olanzapine<br>Aripiprazole<br>Dose: NR<br>Duration: 8 weeks     | For insomnia, anxiety and irritability, .5-2mg lorazepam and 1-2mg benztropine as needed                               | Mean age: 39.6<br>Male: 71%<br>Female: 29%<br>Ethnicity: NR | Antipsychotics dose (mg/day) baseline: 13.2<br>Smoking years baseline: 20<br>SAPS total baseline: 76<br>SANS total baseline: 73.7<br>AIMS total baseline: 4.6 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|------------------------------|--|--|--|
| Kim<br>2012<br>RCT           | NR/NR/58                               | 8/6/1949                                     | <p>Changes in efficacy measures from baseline to endpoint between: Adjusted mean change (SE)<br/>(Risperidone vs. Paliperidone ER)</p> <p>PANSS: Positive - 1.0 (1.2) vs. - 1.6 (1.9)<br/>Negative - 0.9 (0.5) vs. - 0.5 (0.5)</p> <p>General psychopathology - 2.3 (0.7) vs. - 2.1 (0.6)</p> <p>Total - 4.6 (1.1) vs. - 4.2 (1.0)</p> <p>SOFAS 1.3 (0.9) vs. 3.8 (0.8)</p> <p>CDSS - 1.4 (0.5) vs. - 1.1 (0.4)</p> <p>BDI - 2.0 (1.8) vs. - 4.4 (1.5)</p> <p>SWN-K 3.1 (2.9) vs. 2.4 (2.5)</p> <p>DAI 3.2 - 0.1 (0.8) 2 vs. 1.2 (0.7)</p> <p>VAS - sleep quality (mm) - 5.8 (4.2) vs. 0.9 (3.8)</p> <p>VAS - daytime sleepiness (mm) 5.7 (4.6) vs. 2.2 (4.2)</p> <p>Changes in the neurocognitive function from baseline to endpoint: *Adjusted mean change (SE)<br/>(Risperidone vs. Paliperidone ER)</p> <p>Digit Span Test:</p> <p>Forward (n) 0.3 (0.2) vs. 0.2 (0.2)</p> <p>Backward (n) 4.4 (1.3) vs. 0.1 (0.2)</p> <p>Verbal learning test:</p> <p>Trial A6 (n) 0.7 (0.6) vs. 2.3 (0.5)</p> <p>Delayed recall (n) 0.9 (0.6) vs. 1.4 (0.5)</p> <p>Continuous Performance Test:</p> <p>Reaction time (ms) - 19.2 (10.6) vs. - 4.4 (9.1)</p> <p>Correct response (n) 2.0 (2.9) vs. 1.8 (2.5)</p> <p>Finger Tapping Test (n) - 10.2 (9.9) vs. -7.9 (8.8)</p> <p>Trail Making Test</p> <p>Part A (s) - 3.4 (3.3) vs. - 1.6 (2.9)</p> <p>Part B (s) - 0.1 (8.2) vs. - 1.6 (7.0)</p> <p>COWAT (n) - 1.8 (2.4) vs. - 1.1 (2.1)</p> <p>MMSE 0.3 (0.3) vs. 28.0 (2.1)</p> <p>*unless otherwise noted</p> |
| Kim<br>2010<br>RCT<br>Korea  | NR/NR/139                              | NR/NR/139                                    | <p>Risperidone vs Olanzapine vs Aripiprazole</p> <p>SAPS total: -26.3% vs -24% vs -19.5%</p> <p>SANS total: -15.3% vs 26.6 vs 36%</p>  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                |   |
|-----------------------------|---|
| Study design                | Adverse effects reported  |
| Kim<br>2012<br>RCT          | 10% Reported no adverse events (both groups)<br>Menstruation disturbance:<br>Amenorrhea: 45.5% vs.44.4%<br>Oligomenorrhea 36.3% vs. 22.2% |
| Kim<br>2010<br>RCT<br>Korea | NR  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                |   |
|-----------------------------|---|
| Study design                | Extrapramidal symptoms  |
| Kim<br>2012<br>RCT          | Extrapramidal effects: NR   |
| Kim<br>2010<br>RCT<br>Korea | At 8 wks, the AIMS score of the haloperidol group was higher than for those groups taking atypical antipsychotics (F=6.6, p<.01)<br>No other data reported. |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design | Total withdrawals; withdrawals<br>due to adverse events  | Comments |
|------------------------------|--|----------|
| Kim<br>2012<br>RCT           | Withdrawals due to adverse events:<br>Gastric discomfort, paliperidone N=1<br>Aggressive behavior, risperidone N=1 |          |
| Kim<br>2010<br>RCT<br>Korea  | NR   |          |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|---|--|---|--|---|--|
| Kinon, 2006a<br>DB, RCT,<br>multicenter (40 US<br>centers)                                      | Age 18-65 yrs; met DSM-IV criteria for schizophrenia or schizoaffective disorder; had prominent depressive symptoms defined by score $\geq 16$ on MADRS and score $\geq 4$ on item 2 of MADRS.<br><br>Exclusion criteria: history of nonresponse to at least 6 wks of olanzapine or ziprasidone; received a depot neuroleptic within 2 wks of visit 1.   | olanzapine (n=202): 10, 15, or 20 mg/d<br>ziprasidone (n=192): 80, 120, or 160 mg/d<br><br>Doses were fixed by end of week 2<br>24 week study | Concomitant medications with psychotropic activity were not allowed with the following exceptions: benzodiazepines, hypnotics, medication for treatment of EPS (excluding prophylaxis) and antidepressants if taken in stable doses for at least 30 ds before enrollment and maintained throughout study | Age: NR<br>Gender: NR<br>Ethnicity: NR                                      | Outpatients: 99.0%<br><br>olanzapine vs. ziprasidone<br>Use of antipsychotics within 30 ds before baseline: 70.8% vs. 82.3%<br>MADRS mean (SD): 27.3 (6.2) vs. 27.3 (6.5)<br>PANSS: 79.6 (17.5) vs. 79.1 (17.3)<br>Concurrent use of antidepressants upon study entry: 51.1% vs. 54.7% |
| Kinon, 2006b<br>Bushe, 2010<br>DB, RCT, U.S.<br>(Journal of Clinical<br>Psychopharmacol<br>ogy) | Inclusion: Outpatients; DSM IV schizophrenia or schizoaffective disorder; met criteria for prominent negative symptoms, defined as a Positive and Negative Syndrome Scale (PANSS) score $> 4$ (moderate) on at least 3, or $> 5$ (moderately severe) on at least 2 of the 7 negative scale items; and for social and functional impairment, defined as a Global Assessment of Functioning Scale (GAF) score of less than or equal to 60 (moderate difficulties).<br>Exclusion criteria: NR | Olanzapine 10-20 mg/d<br>Quetiapine 300-700 mg/d<br>6 mos   | NR   | Mean age 41 yrs<br>66% male<br>52% white<br>37% African descent<br>3% other |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|---|--|---|---|
| Kinon, 2006a<br>DB, RCT,<br>multicenter (40 US<br>centers)                                      | NR/NR/394                              | 247 withdrew<br>olanzapine: 112<br>(55.4%)<br>ziprasidone: 135<br>(70.3%)<br><br>ITT analysis | CDSS change from baseline at 8 wks (olanzapine vs. ziprasidone):<br>-6.4 vs. -6.1; P=0.493, MMRM; P=0.497, LOCF<br><br>Changes from baseline at 24 wks (olanzapine vs. ziprasidone):<br>CDSS: -6.0 vs. -4.8; P=0.017, LOCF; P=0.105, MMRM<br>MADRS: -12.1 vs. -9.15; P=0.003, LOCF; P=0.010, MMRM<br>PANSS: -13.5 vs. -8.3; P=0.008, LOCF; P=0.061, MMRM<br><br>% of patients using benzodiazepines<br>29.2% vs. 39.0%; P=0.043<br><br>GAF improvement over 24 wks:<br>olanzapine: 6.64 (n=168)<br>ziprasidone: 3.15 (n=158)<br>P=0.017<br><br>GAF improvement >= 5 points:<br>olanzapine: 54.2%<br>ziprasidone: 41.1%<br>percentage difference, 13.0, 95% CI: 12.3 to 23.8   |
| Kinon, 2006b<br>Bushe, 2010<br>DB, RCT, U.S.<br>(Journal of Clinical<br>Psychopharmacol<br>ogy) | NR/NR/346                              | 190/21/195-<br>288(varied)  | Change from baseline<br>SANS score olanzapine -12 quetiapine -8.3 P= 0.09<br>PANSS total olanzapine -11.3 quetiapine -7.2 P= 0.151<br>CGI-S olanzapine -0.5 quetiapine -0.2 P= 0.02<br>CGI-I (endpoint) olanzapine 3.2 quetiapine 3.8 P< 0.001<br><br>Glucose (pooled), mmol/L: change in mean (SD) from baseline to endpoint<br>OLZ: 0.75 (2.47) [within group p-value = 0.001] vs. QUE 0.13 (2.37) [within group p-value = 0.183]<br>Between group p-value = 0.250<br><br>Haemoglobin A1c (%): change in mean (SD) from baseline to endpoint<br>OLZ: 0.09 (0.89) [within group p-value = 0.815] vs. QUE: -0.02 (0.43) [within group p-value = 0.977]<br>Between group p-value = 0.823<br><br>Treatment emergent diabetes and impaired glucose: OLZ vs. QUE, P (between groups)<br>Patients with TED, n <sup>e</sup> /N <sup>f</sup> (%): 4/158 (2.5) vs. 2/151 (1.3), 0.685<br>Patients with TE IG, n <sup>e</sup> /N <sup>f</sup> (%): 2/152 (1.3) vs. 1/137 (0.7), >0.999 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |   |
|----------------------|---|
| <b>Study design</b>  | <b>Adverse effects reported</b>                 |
| Kinon, 2006a         | Differences in AEs (olanzapine vs. ziprasidone) |
| DB, RCT,             | Weight gain: 20.3% vs. 5.8%, P<0.001            |
| multicenter (40 US   | Increased appetite: 10.4% vs. 4.2%, P=0.021     |
| centers)             | Peripheral edema: 3.0% vs. 0.0%, P=0.031        |
|                      | Psychosis: 2.5% vs. 7.9%, P=0.020               |
|                      | Decreased appetite: 1.0% vs. 5.3%, P=0.017      |
|                      | Influenza & migraine: 0.0% vs. 2.6%, P=0.026    |
|                      |   |
| Kinon, 2006b         | Olanzapine vs quetiapine (%)                    |
| Bushe, 2010          | Psychosis 2.9 vs.9.7 P = 0.014                  |
| DB, RCT, U.S.        | Pain 2.3 vs. 7.4 P = 0.044                      |
| (Journal of Clinical | Anorexia 0 vs. 4.6 P = 0.007                    |
| Psychopharmacol      | Headache 9.8 vs. 14.3 P = 0.131                 |
| ogy)                 | Somnolence 24 vs. 22.9 P = 0.899                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year         |  |
|----------------------|--|
| Study design         | Extrapyramidal symptoms  |
| Kinon, 2006a         | Olanzapine vs. ziprasidone   |
| DB, RCT,             | SAS (mean change from baseline): -0.37 vs. -0.03, P=0.037          |
| multicenter (40 US   | AIMS: -0.68 vs. -0.34, P=0.001                                     |
| centers)             | Barnes Akathisia Scale: -0.12 vs. -0.12, P=0.431                   |
|                      | Adjunctive use of anticholinergic agents: 18.8% vs. 21.6%, P=0.530 |
| Kinon, 2006b         | The treatment groups did not differ significantly; data=NR         |
| Bushe, 2010          |  |
| DB, RCT, U.S.        |  |
| (Journal of Clinical |  |
| Psychopharmacol      |  |
| ogy)                 |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>                                       | <b>Comments</b> |
|---|---|-----------------|
| Kinon, 2006a<br>DB, RCT,<br>multicenter (40 US<br>centers)                                      | Total WD: 247 (62.7%)<br>olanzapine: 112 (55.4%)<br>ziprasidone: 135 (70.3%)<br><br>WD due to AEs: NR |                 |
| Kinon, 2006b<br>Bushe, 2010<br>DB, RCT, U.S.<br>(Journal of Clinical<br>Psychopharmacol<br>ogy) | 190 WD<br>96 due to AEs   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications            | Age<br>Gender<br>Ethnicity                      | Other population characteristics  |
|---|--|--|--------------------------------------|---|---|
| Klieser, 1991<br>Heinrich, 1994<br>Klieser, 1995<br>DB, RCT<br>Inpatients | Patients diagnosed with acute, paranoid schizophrenia.   | 28 d study<br>risperidone(N=20): 4mg/d<br>risperidone(N=19): 8mg/d<br>clozapine(N=20): 400mg/d | Biperiden, short-acting<br>lorazepam | Median age: 33 ys<br>52.3% Male<br>Ethnicity NR | 100% inpatient with diagnosis of schizophrenia<br>Schizophrenia Diagnosis:<br>Disorganized: 1<br>Catatonic: 1<br>Paranoid: 46<br>Paranoid/residual: 1<br>Unspecified: 2<br>Schizoaffective psychosis: 8   |
| Kluge, 2007<br>Kluge, 2012<br>DB RCT<br>Single center<br>Germany          | 18 to 65 ys old, schizophrenia, schizophreniform, or schizoaffective disorder with a Brief Psychiatric Rating Scale (BPRS0–6) score of 24 or more. | Clozapine 266.7 (77.9) mg n=15<br>Olanzapine 21.2 (2.5) mg. n=15<br><br>6 wks                  | Benzodiazepines                      | Mean age 29 yrs<br>60% male<br>Ethnicity NR     | Clozapine vs. Olanzapine<br>BMI 25.4 vs. 24.4<br>Weight, kg 75.7 vs. 73.5<br>BPRS 36.6 (8.8) vs. 36.7 (9.9)<br>BPRS positive 9.4 (3.7) vs. 10.2 (3.8)<br>BPRS negative 5.9 (2.1) vs. 7.1 (3.4)<br>BPRS anxiety/depression 10.9 (4.5) vs. 8.7 (4.5)<br>CGI S 4.7 (0.6) vs. 4.5 (0.6) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Klieser, 1991<br>Heinrich, 1994<br>Klieser, 1995<br>DB, RCT<br>Inpatients | NR/NR/59                               | 31/3/28                                      | <p>Clinical Global Impression at Endpoint (CGI):<br/>CGI Rating: very much/much improved:<br/>R4: 12 vs R8: 8 vs C: 12<br/>CGI Rating: minimally improved:<br/>R4: 3 vs R8: 5 vs C: 4<br/>CGI Rating: minimally worse or deteriorated:<br/>R4: 5 vs R8: 6 vs C: 4</p> <p>BPRS scores : baseline vs week 4 vs endpoint<br/>Activity:<br/>R4: 10.1 vs 5.1 vs 6.9, R8: 9.5 vs 4.7 vs 7.7, C400: 10.5 vs 5.9 vs 7.7<br/>Anergia:<br/>R4: 10.3 vs 6.9 vs 8.7, R8: 10.5 vs 8.7 vs 9.1, C400: 10.5 vs 6.9 vs 8.5<br/>Anxiety/depression:<br/>R4: 13.5 vs 7.6 vs 9.7, R8: 12.6 vs 8.3 vs 9.2, C400: 13.9 vs 6.2 vs 8.9<br/>Hostility:<br/>R4: 8.2 vs 4.4 vs 4.9, R8: 8.7 vs 3.5 vs 6.1, C400: 9.6 vs 5.7 vs 6.8<br/>Thought disturbances:<br/>R4: 13.8 vs 6.3 vs 8.5, R8: 11.3 vs 5.3 vs 9.1, C400: 13 vs 7.1 vs 8.5<br/>Total Score:<br/>R4: 55.5 vs 30.3 vs 38.7, R8: 52.6 vs 30.5 vs 41.2, C400: 57.4 vs 31.9 vs 40.3</p> |
| Kluge, 2007<br>Kluge, 2012<br>DB RCT<br>Single center<br>Germany          | 37/ NR/ NR                             | 4/ 0/ 30                                     | <p>Clozapine vs. Olanzapine<br/>Endpoint values<br/>BPRS 15.9 (13.7) vs. 19.1 (13.8)<br/>BPRS positive 3.5 (3.9) vs. 5.1 (4.3)<br/>BPRS negative 3.2 (3.7) vs. 3.9 (2.2)<br/>BPRS anxiety/depression 5.5 (4.2) vs. 5.1 (4.1)<br/>CGI-S 2.5 (1.5) vs. 2.3 (1.2)</p> <p>Binge eating at 6 wks % 13 vs. 27<br/>Food craving at 6 wks % 27 vs. 53</p> <p>Sleep latency (min): BL (SD), week 2 (SD), week 4 (SD), week 6 (SD), P in ANOVA<br/>Clozapine 17.3 (1.0), 13.9 (1.3), 13.5 (1.7), 13.5 (1.2), P=0.124<br/>Olanzapine 16.6 (0.7), 14.1 (1.5), 13.5 (1.2), 14.1 (1.1), P=0.039 (BL vs. week 4, P=0.008)</p> <p>Number of sleep onsets: BL (SD), week 2 (SD), week 4 (SD), week 6 (SD), P in ANOVA<br/>Clozapine 1.4 (0.4), 3.0 (0.4), 3.1 (0.5), 2.9 (0.4), P=0.012 (BL vs. week 2, P=0.006; vs. week 4, P=0.004; vs. week 6, P=0.009)<br/>Olanzapine 2.0 (0.4), 2.4 (0.40), 2.9 (0.4), 2.3 (0.4), P=0.176</p>    |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---------------------|---------------------|--|
| Klieser, 1991       |                     | 28;7   |
| Heinrich, 1994      |                     | WDs due to AEs:  |
| Klieser, 1995       |                     | Sleep and vigilance: R4: 14(70%) vs R8: 11(58%) vs C400: 13(65%)       |
| DB, RCT             |                     | Appetite: R4: 7(35%) vs R8: 3(16%) vs C400: 14(70%)                    |
| Inpatients          |                     | Gastro-intestinal: R4: 10(50%) vs R8: 7(37%) vs C400: 15(75%)          |
|                     |                     | Cardio-respiratory: R4: 4(20%) vs R8: 5(26%) vs C400: 9(45%)           |
|                     |                     | Other vegetative: R4: 2(10%) vs R8: 7(37%) vs C400: 12(60%)            |
|                     |                     | Other disturbances: R4: 8(40%) vs R8: 7(37%) vs C400: 11(55%)          |
|                     |                     | Neurologic: R4: 6(30%) vs R8: 7(37%) vs C400: 6(30%)                   |
|                     |                     | % Patients worsened on the AMDP scale: R4: 89% vs R8: 79% vs C400: 85% |
|                     |                     |  |
| Kluge, 2007         |                     | Clozapine vs. Olanzapine n (%)   |
| Kluge, 2012         |                     | Salivary hypersecretion 7 (47) vs. 3 (20) P = NS                       |
| DB RCT              |                     | Dizziness 6 (40) vs. 1 (6.7) P = NS                                    |
| Single center       |                     | Fever* 6 (40) vs. 0 (0) P < 0.01                                       |
| Germany             |                     | Fatigue 2 (13) vs. 3 (20) P = NS                                       |
|                     |                     | Constipation 3 (20) vs. 1 (7) P = NS                                   |
|                     |                     | Tachycardia 3 (20) vs. 0 (0) P = NS                                    |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |
|----------------|---|
| Study design   | Extrapyramidal symptoms   |
| Klieser, 1991  | Simpson and Angus Rating Scale scores (SAS): Mean change from baseline                        |
| Heinrich, 1994 | Gait: R4: 0.2 vs R8: 0.4 vs C400: -0.1; p=NS  |
| Klieser, 1995  | Arm dropping: R4: 0.2 vs R8: 0.2 vs C400: 0.2; p=NS   |
| DB, RCT        | Shoulder shaking: R4: 0.4 vs R8: 0.1 vs C400: 0.1; p=NS                                       |
| Inpatients     | Elbow rigidity: R4: 0.1 vs R8: 0.2 vs C400: 0.2; p=NS   |
|                | Wrist rigidity: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS   |
|                | Leg pendulousness: R4: 0.3 vs R8: 0.2 vs C400: 0.1; p=NS                                      |
|                | Head dropping: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS  |
|                | Glabella tap: R4: 0.1 vs R8: 0.1 vs C400: 0.0; p=NS   |
|                | Tremor: R4: 0.1 vs R8: 0.1 vs C400: 0.2; p=NS   |
|                | Salivation: R4: 0.0 vs R8: 0.2 vs C400: 0.7; p=0.007  |
|                | Total score: R4: 0.1 vs R8: 0.2 vs C400: 0.1; p=NS  |
|                | Akathisia: R4: 0.1 vs R8: 0.3 vs C400: 0.0; p=NS  |
|                |   |
| Kluge, 2007    | SAS olanzapine, baseline 0.09±0.17 to endpoint 0.03 ± 0.06; clozapine, baseline 0.35+ 0.57 to |
| Kluge, 2012    | endpoint 0.14 ± 0.16  |
| DB RCT         |   |
| Single center  |   |
| Germany        |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--------------------------------------|---|-----------------|
| Klieser, 1991                        | 31 total WD   |                 |
| Heinrich, 1994                       | 7 due to AEs  |                 |
| Klieser, 1995                        |   |                 |
| DB, RCT                              |   |                 |
| Inpatients                           |   |                 |
|                                      |   |                 |
| Kluge, 2007                          | 7 WD  |                 |
| Kluge, 2012                          | 1 due to AEs  |                 |
| DB RCT                               |   |                 |
| Single center                        |   |                 |
| Germany                              |   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year     |                                      |   |                           | Age        |  |
|------------------|--------------------------------------|---|---------------------------|------------|--|
| Study design     | Eligibility criteria                 | Interventions<br>(drug, dose, duration) | Allowed other medications | Gender     |  |
|                  |                                      |   |                           | Ethnicity  | Other population characteristics           |
| Knegtering, 2004 | Schizophrenia, schizophrenia-related | N=51                                    | NR                        | Mean age:  | <u>Clinical Diagnoses:</u>                 |
| Open-label       | psychotic illness.                   | quetiapine(N=25): 200-1200 mg/d         |                           | 70.5% Male | <u>Brief psychotic disorder: 3(5.8%)</u>   |
| Inpatients and   |                                      | risperidone (N=26): 1-6 mg/d            |                           |            | <u>Schizophreniform disorder: 8(15.6%)</u> |
| outpatients      |                                      |   |                           |            | <u>Schizophrenia: 29(56.8%)</u>            |
|                  |                                      |   |                           |            | <u>Schizoaffective disorder: 2(3.9%)</u>   |
|                  |                                      |   |                           |            | <u>Delusional disorder: 1(1.9%)</u>        |
|                  |                                      |   |                           |            | <u>Psychosis: 7(13.7%)</u>                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                                    | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Knegtering, 2004<br>Open-label<br>Inpatients and<br>outpatients | NR/51                                  | NR   | <p>Patients Reporting Sexual Dysfunction at Endpoint:<br/>Q: 4/25(16%) vs R: 12/24(50%); p=0.006</p> <p>Prolactin levels (Mean + SD) and Sexual Dysfunction:<br/>Prolactin:<br/>Male: Q: 12.1 + 10.1 vs R: 47.1 + 24.1; P=0.00<br/>Female: Q: 18.0 + 21.5 vs R: 78.1+ 55.4; P=0.001</p> <p>Decreased libido:<br/>Male: Q: 4/19(21%) vs R: 6/15(40%); P=0.12<br/>Female: Q: 0 vs R: 3/10(30%); P=0.07</p> <p>Decreased erection:<br/>Male: Q: 2/15(11%) vs R: 5/15(33%); P=0.05</p> <p>Decreased vaginal lubrication:<br/>Female: Q: 0 vs R: 3/9(38%); P=0.05</p> <p>Decreased orgasm:<br/>Male: Q: 1/16(6%) vs R: 4/15(27%); P=0.05<br/>Female: Q: 4/15(27%) vs R: 3/8(38%); P=0.06</p> <p>Ejaculation dysfunction:<br/>Male: Q: 2/14(14%) vs R: 4/14(29%); P=0.18</p> <p>Sexual dysfunction:<br/>Male: Q: 4/19(21%) vs R: 8/14(57%); P=0.02<br/>Female: Q: 0 vs R: 4/10(40%); P=0.04</p> <p>PANSS total scores: Q: 5.4+12.3 vs R: 8.4+11.2; P=0.43</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year     |                          |
|------------------|--------------------------|
| Study design     | Adverse effects reported |
| Knegtering, 2004 | NR                       |
| Open-label       |                          |
| Inpatients and   |                          |
| outpatients      |                          |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year     |                         |
|------------------|-------------------------|
| Study design     | Extrapyramidal symptoms |
| Knegtering, 2004 | NR                      |
| Open-label       |                         |
| Inpatients and   |                         |
| outpatients      |                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year     | Total withdrawals; withdrawals |          |
|------------------|--------------------------------|----------|
| Study design     | due to adverse events          | Comments |
| Knegtering, 2004 | NR / NR                        |          |
| Open-label       |                                |          |
| Inpatients and   |                                |          |
| outpatients      |                                |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |   |   |  | <b>Age</b>   |  |
|--|---|---|--|--|--|
| <b>Study design</b>  | <b>Eligibility criteria</b>   | <b>Interventions<br/>(drug, dose, duration)</b>   | <b>Allowed other medications</b>   | <b>Gender</b>  | <b>Other population characteristics</b>  |
| Knegtering, 2006<br>RCT, open-label<br>naturalistic study<br>Inpatients and<br>outpatients | Schizophrenia who were to be switched to a new antipsychotic for clinical reasons as determined by attending psychiatrists. | olanzapine starting dose 10mg (5-15 mg/d permitted; mean dose: 9.4mg/d)<br>risperidone starting dose 1mg (1-6mg/d permitted; mean dose: 3.4mg/d x 6 wks | Any antipsychotic before entering the study except depot neuroleptics, olanzapine or risperidone | Mean age: O: 27.2±7.2;<br>R 26.0 ±6.3 (range: 19-40)<br>Male:(%) O: (n=25) 80; R: (n=21) 90.5<br>Ethnicity: NR | Clinical diagnoses per DSM-4:<br>brief psychotic disorder: 2<br>schizophreniform disorder: 4<br>schizophrenia: 31<br>schizoaffective disorder: 1<br>delusional disorder: 3<br>psychosis NOS: 5 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--|--|---|---|
| Knegtering, 2006<br>RCT, open-label<br>naturalistic study<br>Inpatients and<br>outpatients | NR/NR46  | 0/0/46  | CGI:<br>Both groups were considered effective: (rated as much worse, worse, unchanged, improved, or much improved) . "75% of the pts were rated by MD as being clinically significantly improved (improved and much improved) after 6 wks." (data now shown)<br>Numerically more R pts were rated as improved vs. O, p=NS |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>        | <b>Adverse effects reported</b>  |
|---------------------|----------------------------|--|
| Knegtering, 2006    | RCT, open-label            | Sexual severity score: R worse than O; $p=0.002$ (of the 46 pts who completed the trial, 4 (8.7%) reported sexual dysfunction spontaneously)     |
|                     | naturalistic study         | Semi-structure interview: 14/46 (30.4%) mild or severe sexual dysfunction  |
|                     | Inpatients and outpatients | O: 3/25 (12%) reported sexual dysfunction vs. R: 11/21 (52%)   |
|                     |                            | Prolactin: O vs. R; NS   |
|                     |                            | Type of sexual dysfunction (%) O (n=25) vs. R (n=21), p  |
|                     |                            | Decreased libido: 12 vs. 33.3; NS  |
|                     |                            | Decreased orgasm: 0 vs. 19; NS   |
|                     |                            | Any sexual dysfunction: 12 vs. 52.4, $p=.008$  |
|                     |                            | Men only: O (n=20) vs. R (n=19)  |
|                     |                            | Prolactin: ng/ml, mean $\pm$ SD: 15.9 $\pm$ 5.3, 41.5 $\pm$ 19.5, $p=\pm.001$  |
|                     |                            | Type of sexual dysfunction (%) O vs. R, p  |
|                     |                            | Decreased erection; ) vs. 31.6; $p=.04$  |
|                     |                            | Decreased libido: 5 vs. 31.6; NS   |
|                     |                            | Decreased orgasm: 0 vs. 21.1; NS   |
|                     |                            | Ejaculation dysfunction: 0 vs. 16.7, NS  |
|                     |                            | Any sexual dysfunction: 6.3 vs. 47.4, $p=.01$  |
|                     |                            | R experienced more serious problems vs. O pts; $p=.003$  |
|                     |                            | Women only: 2/7 reported missed period and both had high prolactin levels > 48.6 ng/ml (1 taking olanzapine 10mg/d and other risperidone 6 mg/d) |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year       |                         |
|--------------------|-------------------------|
| Study design       | Extrapyramidal symptoms |
| Knegtering, 2006   | NR                      |
| RCT, open-label    |                         |
| naturalistic study |                         |
| Inpatients and     |                         |
| outpatients        |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|--|---|---|
| Knegtering, 2006<br>RCT, open-label<br>naturalistic study<br>Inpatients and<br>outpatients | NR / NR   | Baseline sexual dysfunction was not recorded because most of the pts were psychotic and considered too ill at study entry to participate in assessment of sexual function. Prolactin level was not measured at baseline. Medication compliance was not formally assessed. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |   |   | Age   |   |
|--|--|---|---|---|---|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Gender  |   |
|  |  |   |   | Ethnicity   | Other population characteristics  |
| Krakowski, 2006<br>DB, RCT, parallel,<br>multicenter<br>Inpatients with<br>persistent June<br>1999-November<br>2004, USA<br>Krakowski 2009 | Confirmed episode of physical assault directed at another person during the hospitalization and some persistence of aggression, as evidenced by the presence of some other aggressive event, whether physical or verbal or against property. | 6 wks escalation and fixed dose schedule: (mg/d)<br>olanzapine 20<br>clozapine 500<br>haloperidol 20<br>Last 6 wks (variable-dose): antipsychotic dose was allowed to vary within the following ranges: (mg/d)<br>clozapine 200-800<br>olanzapine 10-25<br>haloperidol 10-30 X 12 wks | Prestudy antipsychotic meds (adjusted during baseline week to not exceed 750mg/d in chlorpromazine equivalents). Double-blind benztropine or benztropine P or a combination of both. Pts assigned to atypical antipsychotics were initially receiving benztropine P, but if psychiatrist (unaware of assignment) determined clinically that the pts should be treated for EPS, "benztropine supplements" up to 6mg/d (replace the benztropine P) was used. Lorazepam, diphenhydramine, or chloral hydrate open-label prn. Mood stabilizers or antidepressants if taking prestudy. | Age: Clozapine: 35.1 ±12.3 ; Olanzapine: 35.6 ± 9.4<br>Male, no (%) : C: 31 (83.8) ; O: 29 (78.4%)<br>Ethnicity: (n, %) C vs. O<br>White: 7 (18.9%); 5 (13.5%)<br>Black: 20 (54.1%); 28 (75.7%)<br>Hispanic: 8 (21.6%); 4 (10.8%)<br>Other: 2 (5.4%); 0 | No significant difference in the following:<br>median time of survival, length of hospitalization upon entry with a median length of hospitalization of 48 ds; proportion of subjects receiving typical or atypical antipsychotic agents prior to randomization; proportion of subjects receiving other psychotropic medications, including mood stabilizers or antidepressants; total number of physical assaults during the 4-wk period preceding the qualifying physical assault |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled  | Withdrawn/<br>Lost to follow-up/<br>Analyzed            | Results   |
|--|---|---|---|
| Krakowski, 2006<br>DB, RCT, parallel,<br>multicenter<br>Inpatients with<br>persistent June<br>1999-November<br>2004, USA<br>Krakowski 2009 | NR/134/110 (102 pts<br>were enrolled in 1<br>site; 36 were<br>assigned to<br>haloperidol arm) | 40 (discontinued)<br>C: 13; O 11; H 16<br>/NR/110 (ITT) | <p>MOAS total score:<br/>clozapine: mean, 25.1; median 18; interquartile range, 6-34.<br/>olanzapine: mean, 32.7; median, 29; interquartile range, 6-51, (Haldol: not abstracted). (all, <math>p &lt; .001</math>)</p> <p>MOAS physical aggression score:<br/>clozapine: mean, 10.3 median 4; interquartile range, 0-16.<br/>Olanzapine: mean, 14.1; median, 12; interquartile range, 0-20, (Haldol: not abstracted). ; (all, <math>p &lt; .001</math>)</p> <p>Secondary Analysis: Aggression against property:<br/>clozapine: mean, 2.6 ;median 0; interquartile range, 0-2.<br/>olanzapine: mean, 2.7; median, 0; interquartile range, 0-4, (Haldol: not abstracted). ; (all <math>p &lt; .001</math>)</p> <p>Secondary Analysis: Verbal aggression:<br/>clozapine: mean, 12.2 median 0; interquartile range, 2-15.<br/>Olanzapine: mean, 16.0; median, 11; interquartile range, 4-23, (Haldol: not abstracted). ; (all. <math>p &lt; .001</math>)</p> <p>Post-hoc analysis: C vs. O, OR (95% CI for less severe violence)-<br/>Total score: 1.30 (1.2-1.4), <math>p &lt; .001</math><br/>Physical aggression: 1.30 (1.2-1.4); <math>p &lt; .001</math><br/>Aggression against property: 1.10 (0.8-1.5); NS<br/>Verbal aggression: 1.32 (1.1-1.5); <math>p &lt; .001</math></p> <p>PANSS: (Mean <math>\pm</math>SD),p (Haldol not abstracted)<br/>Total score C: <math>2.39 \pm 14.2</math>; O: <math>4.83 \pm 9.7</math>; (all <math>p = \text{NS}</math>)<br/>Positive symptoms: C <math>1.54 \pm 5</math>; O: <math>1.41 \pm 3.6</math>; (all <math>p = \text{NS}</math>)<br/>Negative symptoms: C <math>-0.56 \pm 4.9</math>; O: <math>0.72 \pm 3.0</math>; (all <math>p = \text{NS}</math>)<br/>General psychopathology: C <math>1.43 \pm 7.0</math>, O: <math>2.69 \pm 5.5</math>; (all <math>p = \text{NS}</math>)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                                     | <b>Study design</b>            | <b>Adverse effects reported</b>  |
|---|--------------------------------|--|
| Krakowski, 2006   | DB, RCT, parallel, multicenter | "No differences in sedation....among the 3 medication groups"  |
| Inpatients with persistent June 1999-November 2004, USA |                                | Mean change in body weight from baseline (Kg)<br>Clozapine: 2.36 (7.1), p=0.06<br>Olanzapine: 3.59 (4.2), p<0.001  |
| Krakowski 2009  |                                | Mean change in BMI from baseline:<br>Clozapine:0.76 (2.3), p=0.07<br>Olanzapine:1.31 (1.6), p<0.001<br>Mean change in cholesterol from baseline<br>Clozapine:11.4 (38.3)p=0.09<br>Olanzapine: -1.2 (34.5), p=0.84<br>Main change in Triglyceride from baseline<br>Clozapine: 56.7 (111.1), p=0.006<br>Olanzapine:10.7 (56.2), p=0.31<br>Mean change in Glucose from baseline<br>Clozapine:19.8 (59.6)p=0.7<br>Olanzapine: -0.1(18.8), p=0.97 |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |  |
|--|--|
| Study design   | Extrapramidal symptoms   |
| Krakowski, 2006  | "No differences in .... and EPS among the 3 medication groups" |
| DB, RCT, parallel,<br>multicenter                                |  |
| Inpatients with<br>persistent June<br>1999-November<br>2004, USA |  |
| Krakowski 2009   |  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|--|---|--|
| Krakowski, 2006<br>DB, RCT, parallel,<br>multicenter<br>Inpatients with<br>persistent June<br>1999-November<br>2004, USA<br>Krakowski 2009 | 40 total WD<br>8 (C 3; O 1; H 4) due to AEs                     | Study was conducted on research ward.<br>Overall total MOAS score was computed by<br>assigning a different weight for each<br>type of aggressive event, using a<br>psychometrically validated method<br>developed by the MOAS authors. Verbal<br>aggression assigned the lowest weight<br>and physical aggression the highest. |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |   |   |                           | Age            |                                  |
|--------------|---|---|---------------------------|----------------|----------------------------------|
| Study design | Eligibility criteria                    | Interventions<br>(drug, dose, duration) | Allowed other medications | Gender         | Other population characteristics |
| Kusumi, 2011 | DSM - Schizophrenia, male or female. No | Risperidone = 6mg                       | Benzaodiazepines          | Ethnicity      |                                  |
| Kusumi, 2012 | age criteria given.                     |   |                           | Mean Age: 47   | NR                               |
| RCT          |   |   |                           | Male 24%       |                                  |
|              |   |   |                           | Female = 10%   |                                  |
|              |   |   |                           | Ethnicity = NR |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design        | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|-------------------------------------|--|--|--|
| Kusumi, 2011<br>Kusumi, 2012<br>RCT | NR/NR/82                               | NR/NR/81                                     | <p>Symptom response: mean <math>\pm</math>SE</p> <p>Changes in PANSS-EC score at 4 weeks: 8.7<math>\pm</math>3.0 10.7<math>\pm</math>4.3</p> <p>PANSS scores at 24 weeks: 59.2<math>\pm</math>19.4 63.7<math>\pm</math>16.6</p> <p>Change in body weight during 1 yr in OST and ODT groups (overall):<br/>OST kg (SD) vs. ODT (SD), P</p> <p>All Patients:</p> <p>3M: +1.2 (2.8) vs. +1.0 (3.8), 0.69</p> <p>6M: +1.9 (3.9) vs. +0.8 (4.5), 0.11</p> <p>12M: +3.0 (4.7) vs. +1.8 (5.5), 0.07</p> <p>Male Patients:</p> <p>3M: +1.1 (3.1) vs. -0.2 (4.7), 0.28</p> <p>6M: +1.4 (4.3) vs. -0.3 (5.6), 0.26</p> <p>12M: +2.5 (4.9) vs. +1.5 (5.8), 0.54</p> <p>Female Patients:</p> <p>3M: +1.6 (2.3) vs. +1.6 (3.1), 0.94</p> <p>6M: +2.8 (2.9) vs. +1.4 (3.8), 0.23</p> <p>12M: +3.8 (4.2) vs. +1.9 (5.4), 0.25</p> <p>Change in body weight during 1 yr in OST and ODT groups (completers):<br/>OST kg (SD) vs. ODT (SD), P</p> <p>All Patients:</p> <p>3M: +1.3 (2.7) vs. +0.9 (3.6), 0.57</p> <p>6M: +2.0 (2.7) vs. +0.5 (4.3), 0.08</p> <p>12M: +3.2 (3.8) vs. +1.6 (5.6), 0.14</p> <p>Male Patients:</p> <p>3M: +1.2 (2.9) vs. -1.1 (4.3), 0.07</p> <p>6M: +1.7 (2.7) vs. -1.2 (5.3), 0.03</p> <p>12M: +2.9 (3.5) vs. +1.2 (6.0), 0.30</p> <p>Female Patients:</p> <p>3M: +1.7 (2.3) vs. +1.7 (2.9), 0.99</p> <p>6M: +2.5 (2.9) vs. +1.1 (3.8), 0.29</p> <p>12M: +4.0 (4.6) vs. +1.7 (5.5), 0.24</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |   |
|--------------|---|
| Study design | Adverse effects reported                                    |
| Kusumi, 2011 | Serum prolactin: no significant increase between the groups |
| Kusumi, 2012 |   |
| RCT          |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year |   |
|--------------|---|
| Study design | Extrapyramidal symptoms                                 |
| Kusumi, 2011 | Extrapyramidal effects:                                 |
| Kusumi, 2012 | (Risperidone oral solution vs Risperidone)              |
| RCT          | Proportion of patients requiring anticholinergic drugs: |
|              | All patients:   |
|              | Baseline 20.5 vs. 40.5                                  |
|              | 3 days 22.7 vs. 48.6                                    |
|              | 1 week 27.9 vs. 51.4                                    |
|              | 2 weeks 28.6 vs. 55.6                                   |
|              | 4 weeks 24.4 vs. 54.3                                   |
|              | 8 weeks 27.5 vs. 51.4                                   |
|              | 16 weeks 28.2 vs. 57.6                                  |
|              | 24 weeks 33.3 vs. 54.8                                  |
|              | Drug-free patients:                                     |
|              | Baseline 0 vs. 0  |
|              | 3 days 5.3 vs. 10.0                                     |
|              | 1 week 11.1 vs. 10.0                                    |
|              | 2 weeks 11.8 vs. 11.1                                   |
|              | 4 weeks 12.5 vs. 12.5                                   |
|              | 8 weeks 12.5 vs. 12.5                                   |
|              | 16 weeks 13.3 vs. 28.6                                  |
|              | 24 weeks 18.2 vs. 33.3                                  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design        | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|-------------------------------------|---|----------|
| Kusumi, 2011<br>Kusumi, 2012<br>RCT |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                    | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity                                       | Other population characteristics  |
|---------------------------------|---|--|--|--|---|
| Li<br>2011<br>RCT, single-blind | Age ≥18, DSM-IV diagnosis of schizophrenia for ≥1 year, PANSS total score 60-120 at screening and baseline, BMI ≥17.0 kg/m <sup>2</sup> . Excluded other Axis I diagnoses, 25% decrease in PANSS between screening and baseline   | A. Paliperidone palmitate (INVEGA® SUSTENNA): 50 mg eq, 100mg eq, 150mg eq IM injections; 150mg eq on day 1, 100mg eq on day 8 and 50 or 100mg eq on day 36 and 50, 100 or 150 mg eq on day 64<br><br>B. Risperidone long acting injection (Risperdal® CONSTA®): 25mg, 37.5mg and 50mg microspheres; 25mg on day 8, 25 mg on day 22, 25 or 37.5mg on days 36 and 50, 25, 37.5 or 50mg on days 64 and 78<br>AND<br>Risperidone: 1mg tablets; 2mg/d at baseline, 1-6mg/d for first 28 days and for up to 3 weeks of treatment with each dose increase. | trihexyphenidyl, benztropine, biperidin, antihistamines, benzodiazepines, beta-blockers, zolpidem, zaleplon, zopiclone, or eszopiclone, topical anesthetic creams, pre-study stable dose antidepressants | Age, mean: 31.75<br>Gender: 59.96% female<br>Ethnicity:99.8% Han | Schizophrenia Types: Disorganized, 3.5%;<br>Catatonic, 0.2%; Paranoid, 66.6%; Residual, 0.7%; Undifferentiated, 29.0% |
| Li, 2012<br>China               | 18-60 years, diagnosis of "psychotic syndrome convincible with first manifestation of schizophrenia," PANSS total ≥60, score of at least 4 on 2 or more psychotic items and >4 on the CGI-S; Excluded DSM-IV axis I psychiatric disorders other than schizophrenia, ever used psychoactive substances; no previous history of significant antipsychotic treatment (more than 4 weeks of treatment; and a negative urine drug screen at baseline. Females required to have a negative urine pregnancy test and utilize a medically acceptable form of contraception. | Ziprasidone, n=; dose, 160 mg/d maximum; mean dose, 127.5mg/d; duration, 6 weeks<br><br>Olanzapine, n=; dose, 20 mg/d maximum; mean dose, 19.1mg/d; duration, 6 weeks  | Alprazolam, Propranolol, Trihexyphenidyl hydrochloride   | Age, mean: 24.73y<br>Gender: 31.25% female<br>Ethnicity: NR      | Duration of disease, mean: 7.6 months<br>PNSS total at baseline, mean: 94.07  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design    | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed     | Results  |
|---------------------------------|--|--|--|
| Li<br>2011<br>RCT, single-blind | NR/NR/452                              | 102/23/452 for<br>safety and 413 for<br>efficacy | Change from baseline, difference of LSM (95%CI)- per protocol population.<br>Paliperidone vs. Risperidone<br>PANSS total: -23.6 vs. -26.9, difference: -2.3 (-5.20 to 0.63)<br>CGI-S: -1.5 vs. -1.7, difference: -0.1 (-0.33 to 0.10)<br>Personal and Social Performance Scale: 16.8 vs. 18.6, difference 0.5 (-2.14 to 3.12)<br>Study reports ITT population did not demonstrate noninferiority of paliperidone palmitate.  |
| Li, 2012<br>China               | NR/NR/80                               | 1/NR/80  | PANSS total, mean change rate: ziprasidone vs. olanzapine: 66.3 (22.1)% vs. 67.0 (20.4)%, p=0.0000<br>PANSS positive and negative subscales, and general psychopathology: significant improvement from baseline to end of study (all p=0.0000)<br>NSD between ziprasidone and olanzapine for PANSS and CGI scores.<br><br>Response rate, >50% change in PANSS total, week 2 vs. week 4 vs. week 6: NSD between groups<br>ziprasidone: 5% vs. 32.5% vs. 80%<br>olanzapine: 7.5% vs. 47.5% vs. 82.5% |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                    |  |
|---------------------------------|--|
| Study design                    | Adverse effects reported   |
| Li<br>2011<br>RCT, single-blind | Paliperidone vs. Risperidone<br>Overall AEs:73.4% vs. 74.9%<br>Serious AEs: 3 vs. 8<br>Discontinuation due to AEs: 3.5% vs. 4%<br>Suicide-related events, n: 0 vs. 3 (1 completed suicide) |
| Li, 2012<br>China               | QTc intervals: NSD<br>QTc interval ≥500msec: 0<br>Weight gain and BMI increases: olanzapine, p=0.000 vs. ziprasidone, NS   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                    |   |
|---------------------------------|---|
| Study design                    | Extrapyramidal symptoms   |
| Li<br>2011<br>RCT, single-blind | Paliperidone vs. Risperidone:<br>Akathisia: 13.1% vs. 19.7%<br>Tremor: 10.5% vs. 17.9%<br>Prolactin-related events: 8.3% vs. 9.0% |
| Li, 2012<br>China               | ziprasidone vs. olanzapine:<br>11 vs. 1, p=0.003  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design    | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---------------------------------|---|----------|
| Li<br>2011<br>RCT, single-blind | 102/9   |          |
| Li, 2012<br>China               |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |  |   | Age   |   |
|--|--|--|---|---|---|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Gender  | Other population characteristics  |
| Lieberman, 2003<br>Zipursky, 2005<br>(time to weight<br>gain results)<br>US & Europe<br>HGDH Research<br>Group | Age 16-40 ys; onset of psychotic symptoms before age 35 ys; DSM-IV criteria for schizophrenia, schizoaffective disorder or schizophreniform disorder as assessed by using the Structured Clinical Interview for DSM-IV; experienced psychotic symptoms (delusions, hallucinations, thought disorder and grossly bizarre behavior) for 1-60 months; two active psychotic symptoms characterized by at least 2 PANSS psychosis items $\geq 4$ or one psychosis item $\geq 5$ ; CGI score $\geq 4$ ; required treatment with antipsychotic drugs on a clinical basis; able to provide informed consent and cooperate with research staff, tests and examinations; use of medically accepted contraception for female patients of childbearing potential | Olanzapine 5-10 mg/d up to wk 6; 5-20 mg/d wk 6-12<br>Haloperidol 2-6 mg/d up to wk 6; 2-20 mg/d wk 6-12 | Medications for insomnia or agitation (lorazepam, diazepam, chloral hydrate) or antipsychotic side effects (benzotropine, biperiden, propranolol, procyclidine) | Mean age 23.8 yrs (SD 4.8)<br>82% male<br>53% Caucasian<br>38% African descent<br>3% East/Southeast Asian<br>0.8% West Asian<br>5% Hispanic<br>2% Other<br>(% >100 due to rounding) | Duration of previous antipsychotic use: 5.9 wks (SD 10.7)<br>Diagnosis:<br>schizophrenia 59%<br>schizoaffective disorder 10%<br>schizophreniform disorder 31% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Lieberman, 2003<br>Zipursky, 2005<br>(time to weight<br>gain results)<br>US & Europe<br>HGDH Research<br>Group | NR/NR/263                              | 104/NR/263                                   | <p>PANSS mean change, based on observed cases at 12 wks:<br/> Total score: O -20.05 (SD 1.55) v H -14.22 (SD 0.87)<br/> Negative scale score: O -2.95 (SD 0.51) v H -1.21 (SD 0.66)<br/> Positive scale score: O -7.41 (SD 1.64) v H -7.06 (SD 0.83)<br/> General scale score: O -9.85 (SD 1.33) v H -6.24 (SD 0.57)</p> <p>PANSS mean change, based on least squares mean at 12 wks:<br/> Total score: O -16.23 (SD 4.51) v H -10.67 (SD 4.52)<br/> Negative scale score: O -2.27 (SD 0.45) v H -0.76 (SD 0.43)<br/> Positive scale score: O -6.24 (SD 1.22) v H -5.77 (SD 1.22)<br/> General scale score: O -7.93 (SD 1.72) v H -4.36 (SD 1.73)</p> <p>PANSS between-group p-values, mixed model analysis v LOCF analysis<br/> Total score: p&lt;0.02 v p=0.58<br/> Negative scale score: p&lt;0.04 v p=0.89<br/> Positive scale score: p=0.50 v p=0.76<br/> General scale score: p&lt;0.003 v p=0.25</p> <p>CGI Severity Score, mean change based on observed cases at 12 wks: O -1.34 (SD 0.22) v H -1.02 (SD 0.23)<br/> CGI Severity Score, mean change based on least squares means at 12 wks: O -1.01 (SD 0.57) v -0.73 (SD 0.57)<br/> CGI between-group p-values: mixed-model analysis p=0.07; LOCF analysis p=0.46</p> <p>Montgomery-Asberg Depression Rating Scale Score, mean change based on observed cases at 12 wks: O -2.58 (SD 0.25) v H -1.93 (SD 1.56)<br/> Montgomery-Asberg Depression Rating Scale Score, mean change based on least squares means at 12 wks: O -1.63 (SD 2.84) v H 0.92 (SD 2.84)<br/> Montgomery-Asberg Depression Rating Scale Score between-group p-values: mixed model analysis p&lt;0.02; LOCF analysis p=0.07</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>           |   |
|-------------------------------|---|
| <b>Study design</b>           | <b>Adverse effects reported</b>   |
| Lieberman, 2003               | Weight change: >7% increase in body weight from baseline: O 76/124 (61.5%) v H 28/124 (22.7%); p<0.001            |
| Zipursky, 2005                | (percentages taken from text; number of patients calculated based on percentages and n listed in Table 3)         |
| (time to weight gain results) | Mean increase in BMI: O 2.39 v H 0.88; p<0.001  |
| US & Europe                   |   |
| HGDH Research Group           | Time to clinically-significant weight gain of $\geq 7\%$ (wkss): olanzapine=5 vs haloperidol=28; HR5.19, p<0.0001 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                  |  |
|-------------------------------|--|
| Study design                  | Extrapyramidal symptoms                      |
| Lieberman, 2003               | Parkinsonism:                                |
| Zipursky, 2005                | O 29/111 (26.1%) v H 63/115 (54.8%); p<0.001 |
| (time to weight gain results) | Akathisia:                                   |
| US & Europe                   | O 14/118 (11.9%) v H 62/121 (51.2%); p<0.001 |
| HGDH Research Group           |  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|--|---|----------|
| Lieberman, 2003<br>Zipursky, 2005<br>(time to weight<br>gain results)<br>US & Europe<br>HGDH Research<br>Group |   |          |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics   |
|--|--|--|--|--|--|
| Lieberman, 2005<br>(CATIE Study)<br>Row 1 of 4 | Patients age 18-65, DSM-IV criteria for schizophrenia, be appropriate candidates for oral therapy (patients assessment in conjunction with clinician), have adequate decisional capacity to decide to participate. | olanzapine 7.5mg<br>quetiapine 200mg<br>risperidone 1.5mg<br>perphenazine 8mg<br>ziprasidone 40mg<br><br>The dose of medications was flexible, ranging from one to four capsules daily, and was based on the study doctor's judgment | Concomitant medications were permitted throughout the trial, except for additional antipsychotic agents. | Mean age: 40.6 ys<br>26% Female<br>Ethnicity: white 60%;<br>black 35%; Hispanic<br>12%; 5% other | depression 28%<br>alcohol dependence or alcohol abuse 25%<br>drug dependence or drug abuse 29%<br>obsessive-compulsive disorder 5%<br>other anxiety disorder 14% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Lieberman, 2005<br>(CATIE Study)<br>Row 1 of 4 | NR/NR/1493                             | NR/NR/1460                                   | <p>The time to the discontinuation of treatment for any cause: HR (95%CI)</p> <p>olanzapine vs quetiapine: 0.63(0.52-0.76)</p> <p>olanzapine vs risperidone: 0.75(0.62-0.90)</p> <p>olanzapine vs perphenazine: 0.78(0.63-0.96), NS after adjustment</p> <p>olanzapine vs ziprasidone: 0.76(0.60-0.97), NS after adjustment</p> <p>quetiapine vs risperidone: 1.19(0.99-1.42)</p> <p>quetiapine vs perphenazine: 1.14(0.93-1.39)</p> <p>quetiapine vs ziprasidone: 1.01(0.81-1.27)</p> <p>risperidone vs perphenazine: 1.00(0.82-1.23)</p> <p>risperidone vs ziprasidone: 0.89(0.71-1.14)</p> <p>perphenazine vs ziprasidone: 0.90(0.70-1.16)</p> <p>The time to the discontinuation of treatment for lack of efficacy: HR (95%CI)</p> <p>olanzapine vs quetiapine: 0.41(0.29-0.57)</p> <p>olanzapine vs risperidone: 0.45(0.32-0.64)</p> <p>olanzapine vs perphenazine: 0.47(0.31-0.70)</p> <p>olanzapine vs ziprasidone: 0.59(0.37-0.93), NS after adjustment</p> <p>quetiapine vs risperidone: 0.49(NR)</p> <p>quetiapine vs perphenazine: 0.47(NR)</p> <p>quetiapine vs ziprasidone: 0.69(NR)</p> <p>risperidone vs perphenazine: 0.59(NR)</p> <p>risperidone vs ziprasidone: 0.93(NR)</p> <p>perphenazine vs ziprasidone: 0.44(NR)</p> <p>The time to the discontinuation of treatment owing to intolerability: HR (95%CI)</p> <p>olanzapine vs quetiapine: 0.84(NR)</p> <p>olanzapine vs risperidone: 0.62(0.41-0.95)</p> <p>olanzapine vs perphenazine: 0.49(NR)</p> <p>olanzapine vs ziprasidone: 0.28(NR)</p> <p>quetiapine vs risperidone: 0.65(0.42-1.00)</p> <p>quetiapine vs perphenazine: 0.97(NR)</p> <p>quetiapine vs ziprasidone: 0.87(NR)</p> <p>risperidone vs perphenazine: 0.60(0.36-0.98)</p> <p>risperidone vs ziprasidone: 0.79(0.46-1.37)</p> <p>perphenazine vs ziprasidone: 0.19(NR)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>              | <b>Adverse effects reported</b>   |
|----------------------------------|---|
| <b>Study design</b>              |   |
| Lieberman, 2005<br>(CATIE Study) | olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, p value   |
| Row 1 of 4                       | Hospitalization for exacerbation of schizophrenia, no(%): 33(11%) vs 68(20%) vs 51(15%) vs 41(16%) vs 33(18%), p<0.001  |
|                                  | Hospitalization risk ratio: 0.29 vs 0.66 vs 0.45 vs 0.51 vs 0.57  |
|                                  | Any serious AEs, no(%): 32(10%) vs 32(9%) vs 33(10%) vs 29(11%) vs 19(10%), p=0.47                                      |
|                                  | Any moderate or severe spontaneously reported AE, no(%): 122(36%) vs 113(34%) vs 123(36%) vs 79(30%) vs 65(35%), p=0.10 |
|                                  | Insomnia: 55(16%) vs 62(18%) vs 83(24%) vs 66(25%) vs 56(30%), p,0.001  |
|                                  | Hypersomnia: 104(31%) vs 103(31%) vs 96(28%) vs 74(28%) vs 45(24%), p=0.18  |
|                                  | Urinary hesitancy, dry mouth, constipation: 79(24%) vs 105(31%) vs 84(25%) vs 57(22%) vs 37(20%), p,0.001               |
|                                  | Decreased sex drive, arousal, ability to reach orgasm: 91(27%) vs 69(20%) vs 91(27%) vs 64(25%) vs 35(19%), p=0.59      |
|                                  | Gynecomastia, galactorrhea: 7(2%) vs 6(2%) vs 14(4%) vs 4(2%) vs 6(3%), p=0.15  |
|                                  | Menstrual irregularities: 11(12%) vs 5(6%) vs 16(18%) vs 7(11%) vs 8(14%), p=0.17                                       |
|                                  | Incontinence, nocturia: 18(5%) vs 15(4%) vs 25(7%) vs 6(2%) vs 10(5%), p=0.04   |
|                                  | Orthostatic faintness: 31(9%) vs 38(11%) vs 37(11%) vs 29(11%) vs 24(13%), p=0.08                                       |
|                                  | Discontinuation of treatment owing to intolerability, no(%)   |
|                                  | -discontinuation: 62(18%) vs 49(15%) vs 34(10%) vs 40(15%) vs 28(15%), p=0.04   |
|                                  | -weight gain or metabolic effects: 31(9%) vs 12(4%) vs 6(2%) vs 3(1%) vs 6(3%), p<0.001                                 |
|                                  | -extrapyramidal effects: 8(2%) vs 10(3%) vs 11(3%) vs 22(8%) vs 7(4%), p=0.002  |
|                                  | -sedation: 7(2%) vs 9(3%) vs 3(1%) vs 7(3%) vs 0(0%), p=0.10  |
|                                  | -other effects: 16(5%) vs 18(5%) vs 14(4%) vs 8(3%) vs 15(8%), p=0.16   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year    |  |
|-----------------|--|
| Study design    | Extrapyramidal symptoms  |
| Lieberman, 2005 | Olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, P value              |
| (CATIE Study)   | Simpson-Angus Extrapyramidal Signs Scale mean score $\geq 1$ : 23(8%) vs 12(4%) vs 23(8%) vs |
| Row 1 of 4      | 15(6%) vs 6(4%), p=0.47  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                   | Total withdrawals; withdrawals<br>due to adverse events  | Comments |
|--|--|----------|
| Lieberman, 2005<br>(CATIE Study)<br>Row 1 of 4 | Olanzapine vs quetiapine vs risperidone vs perphenazine vs ziprasidone, <i>P</i><br>value<br>Total WD, no(%): 210(64%) vs 269(82%) vs 245(74%) vs 192(75%) vs<br>145(79%)<br>discontinuation due to intolerability: 62(18%) vs 49(15%) vs 34(10%) vs<br>40(15%) vs 28(15%), <i>P</i> =0.04 |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Lieberman, 2005<br>(CATIE Study)<br>Row 2 of 4 (for<br>results and AEs) |  |  | <p>Duration of successful treatment: HR (95%CI)</p> <p>olanzapine vs quetiapine: 0.53(0.43-0.67)</p> <p>olanzapine vs risperidone: 0.69(0.55-0.87)</p> <p>olanzapine vs perphenazine: 0.73(0.57-0.93)</p> <p>olanzapine vs ziprasidone: 0.75(0.58-0.94)</p> <p>quetiapine vs risperidone: 1.30(1.04-4.63)</p> <p>quetiapine vs perphenazine: 1.28(1.00-1.64)</p> <p>quetiapine vs ziprasidone: 1.06(0.85-1.33)</p> <p>risperidone vs perphenazine: 0.72(NR)</p> <p>risperidone vs ziprasidone: 0.74(NR)</p> <p>perphenazine vs ziprasidone: 0.25(NR)</p> <p>Patients' decision to discontinue treatment: HR (95%CI)</p> <p>olanzapine vs quetiapine: 0.56(0.42-0.75)</p> <p>olanzapine vs risperidone: 0.67(0.50-0.90)</p> <p>olanzapine vs perphenazine: 0.70(0.50-0.98)</p> <p>olanzapine vs ziprasidone: 0.63(0.43-0.93)</p> <p>quetiapine vs risperidone: 0.21(NR)</p> <p>quetiapine vs perphenazine: 0.46(NR)</p> <p>quetiapine vs ziprasidone: 0.63(NR)</p> <p>risperidone vs perphenazine: 0.95(NR)</p> <p>risperidone vs ziprasidone: 0.21(NR)</p> <p>perphenazine vs ziprasidone: 0.27(NR)</p> <p>*p=0.004 for the interaction between treatment and time</p> <p>From Meyer 2008 Change in metabolic syndrome: Olanzapine vs Risperidone vs Quetiapine vs Ziprasidone</p> <p>Metabolic Syndrome prevalence at 3 mos 43.9% vs 30.6% vs 37.1% vs 29.9% Olanzapine vs Ziprasidone p=0.001</p> <p>Olanzapine vs quetiapine vs Risperidone vs Ziprasidone</p> <p>3 mos changes from baseline in non fasting triglyceride(mg/dl)</p> <p>Adjusted LSM±SE: 23.4±22.8 vs 54.7±23.5 vs -18.4 ±24.0 vs 0.0 ±32.7, p=0.0009</p> <p>% of patients reporting paid employment at 18 mos:</p> <p>17% vs 25% vs 23% vs 31%, (Data interpreted from Graph) p=NS</p> <p>Decline in rates of violence at 6 mos:</p> <p>33.9% vs 14.1% vs 25.0%, 24.3%</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---|---------------------|--|
| Lieberman, 2005<br>(CATIE Study)<br>Row 2 of 4 (for<br>results and AEs) |                     | <p>Weight gain &gt;7%: 92(30%) vs 49(16%) vs 42(14%) vs 29(12%) vs 12(7%), p&lt;0.001</p> <p>Weight change, lb, mean(SE): 9.4(0.9) vs 1.1(0.9) vs 0.8(0.9) vs -2.0(1.1) vs -1.6(1.1), p&lt;0.001</p> <p>Weight change, lb/mo, mean(SE): 2(0.3) vs 0.5(0.2) vs 0.4(0.3) vs -0.2(0.2) vs -0.3(0.3), p&lt;0.001</p> <p>AIMS global severity score &gt;= 2: 32(14%) vs 30(13%) vs 38(16%) vs 41(17%) vs 18(14%), p=0.23</p> <p>Barnes Akathisia Rating Scale global score &gt;= 3: 15(5%) vs 16(5%) vs 20(7%) vs 16(7%) vs 14(9%), p=0.24</p> <p>Simpson-Angus Extrapyramidal Signs Scale mean score &gt;= 1: 23(8%) vs 12(4%) vs 23(8%) vs 15(6%) vs 6(4%), p=0.47</p> <p>Laboratory values, change from baseline, mean(SE) after adjustment, p value</p> <p>-blood glucose, mg/dl: 13.7(2.5) vs 7.5(2.5) vs 6.6(2.5) vs 5.4(2.8), p=0.59</p> <p>-glycosylated hemoglobin, %: 0.40(0.07) vs 0.04(0.08) vs 0.07(0.08) vs 0.09(0.09) vs 0.11(0.09), p=0.01</p> <p>-cholesterol, mg/dl: 9.4(2.4) vs 6.6(2.4) vs -1.3(2.4) vs 1.5(2.7) vs -8.2(3.2), p&lt;0.001</p> <p>-triglycerides, mg/dl: 40.5(8.9) vs 21.2(9.2) vs -2.4(9.1) vs 9.2(10.1) vs -16.5(12.2), p&lt;0.001</p> <p>-prolactin, ng/dl: -8.1(1.4) vs -10.6(1.4) vs 13.8(1.4) vs -1.2(1.6) vs -5.6(1.9), p&lt;0.001</p> <p>Prolonged corrected QT interval, no(%): 0(0%) vs 6(3%) vs 7(3%) vs 2(1%) vs 2(1%), p=0.03</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Lieberman, 2005<br>(CATIE Study)<br>Row 3 of 4 (for<br>results only)<br>Funding: NIHM<br>grant, Foundation<br>of Hope of<br>Raleigh, N.C.<br>Meyer 2008<br>"change in<br>metabolic..<br>Meyer 2008<br>"Impact of<br>antipsychotic<br>treatment<br>Resnick 2008<br>Swanson 2008<br>Swartz 2008<br>Miller 2008<br>Levine 2011 |  |  | <p>Difference in incidence or severity of TEAE between Olanzapine vs Quetiapone vs Risperidone vs Ziprasidone=NS based on rating scales for Parkinsonism, Akathisia, Dystonia or tardive Dyskinesia</p> <p>use of antiparkinsonism medications greater with risperidone and lower with quetiapine (<math>P=0.029</math>), and lower rates of discontinuation due to Parkinsonism symptoms were found with quetiapine and ziprasidone (<math>P&lt;0.05</math>; rates NR).</p> <p>Remission rates over 18 months irrespective of switching medications:<br/>Dropouts (%) vs. Completers (%) vs. Total (%)<br/>No symptom remission: 60.0 vs. 40.0 vs. 55.53<br/>Any symptomatic remission: 32.7 vs. 67.3 vs. 44.47<br/>At least 3 months: 19.9 vs. 80.1 vs. 21.03<br/>At least 6 months: 13.0 vs. 87.0 vs. 11.68</p> <p>Prevalence of attaining and maintaining remission rates for at least 6 months, while taking the first randomized antipsychotic medication (phase 1):<br/>Olanzapine: 12.4%<br/>Quetiapine: 8.2%<br/>Perphenazine: 6.8%<br/>Ziprasidone: 6.5%<br/>Risperidone: 6.3%</p> <p>Pairwise comparisons from ANCOVA adjusted for multiple comparisons:<br/>Olanzapine-tx patients had significantly or nearly significantly higher rates of any period of sx remission than quetiapine (<math>p=0.02</math>; adj. <math>p=0.06</math>), ziprasidone (<math>p&lt;0.01</math>; adj. <math>p&lt;0.01</math>), risperidone (<math>p&lt;0.01</math>; adj. <math>p&lt;0.01</math>), and perphenazine (<math>p=0.01</math>; adj. <math>p=0.05</math>).</p> <p>Rates of any sx remission period were higher for perphenazine (<math>p=0.03</math>; adj. <math>p=0.09</math>) and quetiapine (<math>p=0.02</math>; adj. <math>p=0.06</math>) than ziprasidone.</p> <p>Rates of attaining and maintaining 3 months of remission were higher for the olanzapine group than the perphenazine (<math>p=0.04</math>; adj. <math>p=0.17</math>), quetiapine (<math>p=0.09</math>; adj. <math>p=0.34</math>), risperidone (<math>p=0.01</math>; adj. <math>p=0.04</math>) and ziprasidone groups (<math>p=0.04</math>; adj. <math>p=0.23</math>), but differences were not significant after controlling for multiple comparisons.</p> |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                                     | <b>Study design</b>           | <b>Adverse effects reported</b>  |
|---|-------------------------------|--|
| Lieberman, 2005<br>(CATIE Study)                        | Row 3 of 4 (for results only) | Rates of discontinuation and time to all-cause discontinuation median time in mos (illicit drug non users)<br>Olanzapine: 56%, 13.02 mo<br>Quetiapine: 81%, 5.02 mo<br>Risperidone: 69%, 5.57 mo   |
| Funding: NIH grant, Foundation of Hope of Raleigh, N.C. |                               | Discontinuation rate significantly lower and time to all cause discontinuation significantly longer for olanzapine compared to quetiapine and risperidone<br>Ziprasidone: 77%, 4.34 mo   |
| Meyer 2008<br>"change in metabolic.."                   |                               | Odds of discontinuation<br>olanzapine vs quetiapine (HR=0.52, CI 0.40 to 0.67, p<0.001)<br>olanzapine vs risperidone (HR=0.70, CI 0.53 to 0.92, p=0.01)<br>olanzapine vs ziprasidone (HR=0.78, CI 0.56 to 1.08, p=0.13)                                    |
| Meyer 2008<br>"Impact of antipsychotic treatment"       |                               | Quetiapine to risperidone: (HR=1.35; CI 1.05 to 1.73, p=0.021)<br>Rates of medication compliance=NSD between groups.<br>Rates of discontinuation and time to all-cause discontinuation median time in mos (illicit drug users)<br>Olanzapine: 74%, 6.75 mo |
| Resnick 2008  |                               | Quetiapine: 82%, 4.36 mo   |
| Swanson 2008  |                               | Risperidone: 79%, 4.61 mo  |
| Swartz 2008   |                               | Ziprasidone: 82%, 3.29 mo, discontinuation rates between olanzapine and other drugs NSly different.  |
| Miller 2008   |                               | olanzapine vs quetiapine: HR=0.90, CI 0.67 to 1.20, p=0.47   |
| Levine 2011   |                               | olanzapine vs risperidone: HR=0.93, CI 0.70 to 1.24<br>olanzapine vs ziprasidone :HR=0.75, CI 0.53 to 1.07, p=0.11   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Lieberman, 2005<br>(CATIE Study)<br>Row 4 of 4 (for<br>results only)<br>Funding: NIHM<br>grant, Foundation<br>of Hope of<br>Raleigh, N.C.<br>Meyer 2008<br>"change in<br>metabolic..<br>Meyer 2008<br>"Impact of<br>antipsychotic<br>treatment<br>Resnick 2008<br>Swanson 2008<br>Swartz 2008<br>Miller 2008<br>Levine 2011 |  |  | <p>Rates of attaining and maintaining 6 months of remission were higher for the olanzapine group than the perphenazine (p=0.03; adj. p=0.12) and risperidone (p=0.02; adj. p=0.01) groups but differences were not significant after controlling for multiple comparisons.</p> <p>Sensitivity analysis 1:<br/>The olanzapine group who did not receive off-label doses (n=79) was significantly (adj. and unadj. p&lt;0.05) more likely to attain any period of sx remission gradients than the four other medication groups studied.<br/>Any period of remission was more likely for perphenazine than ziprasidone (p=0.03; adj. p=0.09), and quetiapine than both risperidone (p=0.07; adj. p=0.14) and ziprasidone (p=0.01; adj. p=0.03) groups.<br/>Significant differences were not observed between medication groups over 3- or 6-month remission periods.</p> <p>Sensitivity analysis 2:<br/>The olanzapine group (n=132) was significantly (unadj. and adj. p&lt;0.05) more likely to attain any period of sx remission gradients than the four other antipsychotic medication groups studied.<br/>Any period of sx remission was more likely for groups treated with perphenazine than ziprasidone (p=0.03; adj. p=0.09), quetiapine than risperidone (p=0.07; adj. p=0.14) and ziprasidone (p=0.02; adj. p=0.06).<br/>The olanzapine group was significantly (unadj. and adj. p&lt;0.05) more likely to attain 3 months of sx remission than the other four medication groups studied.<br/>Olanzapine was associated with a higher 6-month remission rate than quetiapine (p=0.03; adj. p=0.12), risperidone (p=0.01; adj. p=0.06), ziprasidone (p=0.01; adj. p=0.10) and perphenazine (p=0.01; adj. p=0.04).</p> <p>Sensitivity analysis 3: patients randomized after the inclusion of ziprasidone (n=612)<br/>Significantly higher rates of any sx remission period for olanzapine than risperidone (p&lt;0.01; adj. p=0.01) and ziprasidone (p&lt;0.01; adj. p=0.01).<br/>Sx remission over any period was higher for the quetiapine than ziprasidone group (p=0.03; adj. p=0.13).<br/>Remission over 3 months was higher for the olanzapine than risperidone (p&lt;0.01; adj. p=0.02), quetiapine (p=0.08; adj. p=0.33) and ziprasidone (p=0.03; adj. p=0.15) groups.</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|--|---|---|--|---|
| Lindenmayer, 1998<br>Open-label<br>Inpatients                                    | Treatment-refractory schizophrenia.  | 12 week study<br>Mean dose:<br>clozapine: 363.02 mg/d<br>risperidone: 8.95 mg/d   | Anticholinergics  | Mean age: 39.29 ys<br>74.3% Male<br>White: 25.7%<br>African-American: 37.1%<br>Hispanic: 37.1% | 100% inpatient<br>Schizophrenia:<br>Disorganized: 5.7%<br>Paranoid: 40%<br>Undifferentiated: 54.3%  |
| Lindenmayer, 2008<br>DB RCT<br>Multisite, 45 centers in USA, 4 centers in Canada | Inclusion: Men or women aged 18-65 with DSM-IV diagnosis of schizophrenia catatonic, disorganized, paranoid, or undifferentiated; PANSS total score $\geq 60$ ; score of $\geq 4$ for at least one of the PANSS items of delusions, conceptual disorganization, hallucinatory behavior, and suspiciousness/persecution; a CGI-S score $\geq 4$ ; and a worsening of the patient's condition in the previous 3 wks.<br>Exclusions: Axis I DSM-IV diagnosis such as MR, or alcohol or substance abuse; hospitalization for schizophrenia for $>1$ mo prior to study; any clinically relevant other diseases; previous treatment resistance to quetiapine; known lack of response to clozapine, use of clozapine for symptom control, or treated with clozapine within 1 mo of randomization. | 6 treatment groups:<br>Quetiapine XR 300, 600, or 800 mg/d<br>Quetiapine IR at 300 or 600 mg/d<br>P<br><br>Patients who were screened as outpatients were hospitalized when enrolled and could be discharged on d 10.<br>Dose initiation phase: ds 1-7. | During ds 1-6: lorazepam allowed for agitation.<br>Anticholinergics were discontinued $\geq 48$ hs before randomization but allowed for emergent EPS. | Mean age 39.1<br>74.7 % male<br>49.7% White<br>37% Black<br>1.43% Asian<br>10.7 % Hispanic     | 80.5% paranoid subtype<br>17.1% undifferentiated<br>Mean age at first treatment of schizophrenia 23.5<br>245 with 11 or more previous hospitalizations<br>30.4% with full response to previous AP.<br>60.7% with partial response to previous AP<br>3.6% with poor response to previous AP.<br>5.0% with no previous exposure to AP.<br>Mean PANSS total score: 90.5<br>Mean CGI-S: 4.7 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled     | Withdrawn/<br>Lost to follow-up/<br>Analyzed       | Results   |
|---|--|--|---|
| Lindenmayer,<br>1998<br>Open-label<br>Inpatients  | NR/NR/35                                   | 3/0/32   | <p>Mean PANSS/CGI scores:</p> <p>Clozapine: baseline vs week 6 vs week 12:</p> <p>Positive factor: 17.5 vs 15.7 vs 13.8</p> <p>Negative factor: 20.6 vs 17.5 vs 15.5</p> <p>Cognitive factor: 17.2 vs 14.5 vs 13.4</p> <p>Excitement factor: 9.0 vs 6.7 vs 6.2</p> <p>Anxiety-depression factor: 8.2 vs 7.1 vs 6.3</p> <p>CGI Global Severity: 4.8 vs 4.2 vs 3.9</p> <p>CGI Global Improvement: 3.8 vs 3.3 vs 2.6</p> <p>Risperidone: baseline vs week 6 vs week 12:</p> <p>Positive factor: 18.5 vs 15.2 vs 15.5</p> <p>Negative factor: 20.3 vs 18.1 vs 16.1</p> <p>Cognitive factor: 16.7 vs 14.7 vs 13.4</p> <p>Excitement factor: 7.5 vs 7.0 vs 6.8</p> <p>Anxiety-depression factor: 7.4 vs 7.3 vs 5.5</p> <p>CGI Global Severity: 4.7 vs 4.4 vs 3.9</p> <p>CGI Global Improvement: 3.6 vs 3.5 vs 3.3</p>   |
| Lindenmayer,<br>2008<br>DB RCT<br>Multisite, 45<br>centers in USA, 4<br>centers in Canada | Screened NR<br>Eligible NR<br>532 enrolled | 310 withdrew<br>33 lost to followup<br>48 analyzed | <p>Improvement from baseline in PANSS total score at d 42, LSM, p-value compared with P:</p> <p>P: -5.19</p> <p>Quetiapine XR 300 mg/d: -5.01; p=NS</p> <p>Quetiapine XR 600 mg/d: -13.01; p=0.033</p> <p>Quetiapine XR 800 mg/d: -11.17; p=NS</p> <p>Quetiapine IR 300 mg/d: -9.42; p=NS</p> <p>Quetiapine IR 600 mg/d: -6.97; p=NS</p> <p>No significant differences between active treatment groups and P on improvement in PANSS positive and negative subscale scores, PANSS response rates at d 42, or change from baseline in CGI-S score.</p> <p>CGI-I response rate was significantly greater in Quetiapine XR 800 mg/d (35.3%; p&lt;0.05) and Quetiapine IR 300 mg/d (42.4%; p&lt;0.01) compared with P (19.2%). All other treatment groups were NSly different from P.</p> <p>Adherence: 494/498 (99.2%) of patients in the efficacy analysis were adherent to the study medication.</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>   | <b>Adverse effects reported</b>  |
|---------------------|---|--|
| Lindenmayer, 1998   | Open-label<br>Inpatients                                    | Seizure: 1, leukopenia: 2, hypertension: 1, tachycardia: 1   |
| Lindenmayer, 2008   | DB RCT<br>Multisite, 45 centers in USA, 4 centers in Canada | <p>AEs in 5 patients led to WD:</p> <p>Orthostatic hypotension: 1 in quetiapine XR 600 mg/d.</p> <p>Grand mal convulsion: 1 in quetiapine IR 600 mg/d, 1 in P</p> <p>Psychotic disorder: 1 in quetiapine IR 600 mg/d</p> <p>EPS (dyskinesia and akathisia): 1 in quetiapine IR 600 mg/d</p> <p>P vs Quetiapine XR 300 vs XR 600 vs XR 800 vs IR 300 vs IR 600, % of group:</p> <p>Sedation: 9.5 vs 13.2 vs 20.7 vs 23.6 vs 15.6 vs 22.1</p> <p>Somnolence: 7.1 vs 7.7 vs 15.2 vs 9.0 vs 13.3 vs 10.5</p> <p>Dry mouth: 1.2 vs 12.1 vs 14.1 vs 12.4 vs 8.9 vs 8.1</p> <p>Hypotension: 1.2 vs 8.8 vs 4.3 vs 3.4 vs 4.4 vs 7.0</p> <p>Dizziness: 2.4 vs 7.7 vs 13.0 vs 9.0 vs 6.7 vs 8.1</p> <p>Constipation: 0 vs 7.7 vs 7.6 vs 3.4 vs 0 vs 3.5</p> <p>Diastolic BP decreased: 2.4 vs 7.7 vs 2.2 vs 3.4 vs 3.3 vs 5.8</p> <p>Tachycardia: 2.4 vs 5.5 vs 8.7 vs 5.6 vs 8.9 vs 11.6</p> <p>Heart rate increased: 4.8 vs 3.3 vs 10.9 vs 10.1 vs 4.4 vs 10.5</p> <p>Weight increased: 2.4 vs 2.2 vs 4.3 vs 5.6 vs 6.7 vs 4.7</p> <p>Blurred vision: 0 vs 0 vs 5.4 vs 1.1 vs 1.1 vs 0</p> <p>% of patients with &gt;=7% increased in body weight: 1.3 vs 8.0 vs 7.7 vs 3.5 vs 6.8 vs 14.8</p> <p>Mean change in total cholesterol at Week 6, mg/dL: 0.13 vs 14.62 vs 8.20 vs 14.19 vs 5.72 vs 12.8</p> <p>Mean change in prolactin (microg/L) at week 6: -6.62 vs -13.47 vs -7.0 vs -12.23 vs -7.86 vs -10.29</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |   |
|---|---|
| Study design  | Extrapyramidal symptoms   |
| Lindenmayer, 1998   | NR  |
| Open-label<br>Inpatients  |   |
| Lindenmayer, 2008   | Dyskinesia and akathisia in 1 patient on quetiapine IR 600 mg/d led to WD.  |
| DB RCT<br>Multisite, 45<br>centers in USA, 4<br>centers in Canada | P vs Quetiapine XR 300 vs XR 600 vs XR 800 vs IR 300 vs IR 600:.,<br>Incidence of EPS-related AEs, % of group:<br>4.8 vs 9.9 vs 10.9 vs 12.4 vs 8.9 vs 10.5 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>   |
|---|---|---|
| Lindenmayer,<br>1998<br>Open-label<br>Inpatients  | NR total WDs<br>5 due to AEs                                    |   |
| Lindenmayer,<br>2008<br>DB RCT<br>Multisite, 45<br>centers in USA, 4<br>centers in Canada | 310 WD<br>36 due to AE  | Figure 1 states that 36 withdrew due to AE, but narrative describes only 5 of these patients and the AE that led to WD. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                            | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics   |
|---|---|--|--|--|--|
| Lublin<br>2009<br>RCT<br>Multicenter    | Schizophrenia, schizoaffective, schizophreniform disorder, 18 or older, females, with lack of efficacy or intolerance to their previous antipsychotic treatment.  | Ziprasidone = 160 mg. Max dose.<br>Olanzapine = 20 mg. Max dose.<br>Risperidone = 8 mg. Max dose.<br>Quetiapine = 750 mg. Max dose.<br>Duration: 12 wks. | Concomitant Medications:<br>Hypnotic<br>Sedative<br>Anxiolytic<br>Antidepressant<br>antiepileptic  | Mean Age: 42<br>Female = 48%<br>18-44 = 60%<br>45-64 = 38%<br>>65 = 2%<br>Ethnicity: NR  | Primary Diagnosis:<br>Schizophrenia = 63%<br>Schizoaffective disorder = 20%<br>Schizophreniform disorder = 17% |
| Macfadden<br>2010<br>RCT<br>Multicenter | Schizophrenia, men and women, 18 and older, must have experienced two psychotic relapses two yrs prior.   | RLAT = 50mg. Max dose.<br>Aripiprazole = 30 mg. Max dose.<br>Duration: 2 yrs   | Antidepressants<br>Anxiolytics<br>Mood stabilizers   | Mean Age: 38<br>Male = 60%<br>Female = 40%<br>Ethnicity:<br>Caucasian = 21%<br>Black = 11%<br>Hispanic = 14%<br>Asian = 53%<br>Other = 1%      | NR   |
| Malla, 2004<br>Canada                   | Diagnosis of schizophrenia, schizophreniform psychosis, schizoaffective psychosis or psychosis not otherwise specified; no medical or neurological disorder likely to cause psychotic symptoms; treatment with only one antipsychotic (risperidone or olanzapine) during the first y; no previous exposure to antipsychotics; completion of ratings of positive and negative symptoms, motor side effects and a neurocognitive battery close to the time of initiation of antipsychotic treatment and 1 y later | Risperidone: allowed dose 1-6 mg/d; median dose 2.5 mg/d<br>Olanzapine: allowed dose 5-20 mg/d; median dose 10 mg/d<br>duration=1 yr                     | Antidepressants (sertraline, paroxetine, venlafaxine, citalopram and nefazodone) and anti-anxiety medications (lorazepam and clonazepam) | Mean age 23.7 yrs (SD 7.4)<br>63% male<br>Ethnicity NR<br>(note: these characteristics are based on the 32 pts included in the final analysis) | Mean age at diagnosis: 21.6 yrs  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design            | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                           | Results   |
|---|--|--|---|
| Lublin<br>2009<br>RCT<br>Multicenter    |  |  |   |
| Macfadden<br>2010<br>RCT<br>Multicenter | 409/355/355                            | / /349<br>Withdrawal:<br>14.1% vs13.0%<br>Lost to FU:<br>10.1% vs 5.7% | <p>Symptom response: (injectable risperidone [RLAT] vs. oral aripiprazole)</p> <p>Time to relapse: days</p> <p>Subjects relapsed, N (%) 81 (45.8) vs 75 (43.6)</p> <p>25% quartile (95% CI)<sup>a</sup> 131.0 (100.0, 197.0) vs 113.0 (99.0, 169.0)</p> <p>Median (95% CI) NE (407.0, NE) vs NE (365.0, NE)</p> <p>P =<sup>b</sup> 0.684</p> <p>Time in Remission: days</p> <p>Mean (SD) 373.5 (282.6) vs 356.7 (292.0)</p> <p>Median (range) 380.3 (0-741) vs 347.8 (0-735)</p> <p>P=<sup>c</sup> 0.646</p> <p><sup>a</sup>Based on Kaplan-Meier product limit estimates</p> <p><sup>b</sup>Log-rank test stratified with pooled site</p> <p><sup>c</sup>Based on Wilcoxon Rank Sum test</p> |
| Malla, 2004<br>Canada                   | NR/NR/84                               | 52/NR/32   | <p>SANS Positive symptom score:</p> <p>O baseline: 33.3 (SD 18.2); 1 yr: 2.2 (SD 2.6)</p> <p>R baseline: 24.7 (SD 6.0); 1 yr: 6.2 (SD 10.3)</p> <p>SANS Negative symptom score:</p> <p>O baseline: 29.3 (SD 17.8); 1 yr: 9.6 (SD 6.9)</p> <p>R baseline: 27.6 (SD 15.8); 1 yr:12.6 (SD 8.3)</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                     | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---|---------------------|--|
| Lublin<br>2009<br>RCT<br>Multicenter    |                     |  |
| Macfadden<br>2010<br>RCT<br>Multicenter |                     | <p>Adverse events &gt;10% in either group (safety analysis set)</p> <p>N (%)</p> <p>Any treatment-emergent adverse events:<br/>161 (89.9) vs 152 (86.4)</p> <p>Psychiatric disorders:<br/>Insomnia 47 (26.3) vs 51 (29.0)<br/>Psychotic disorder 38 (21.2) vs 36 (20.5)<br/>Anxiety 32 (17.9) vs 26 (14.8)<br/>Schizophrenia 29 (16.2) vs 28 (15.9)<br/>Depression 24 (13.4) vs 15 (8.5)</p> <p>Nervous system disorders:<br/>Tremor 39 (21.8) vs 40 (22.7)<br/>Headache 30 (16.8) vs 27 (15.3)<br/>Dizziness 25 (14.0) vs 13 (7.4)</p> <p>Gastrointestinal disorders:<br/>Vomiting 18 (10.1) vs 14 (8.0)<br/>Diarrhea 12 (6.7) vs 19 (10.8)</p> |
| Malla, 2004<br>Canada                   |                     | NR   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                            |   |
|---|---|
| Study design                            | Extrapyramidal symptoms   |
| Lublin<br>2009<br>RCT<br>Multicenter    |   |
| Macfadden<br>2010<br>RCT<br>Multicenter | Extrapyramidal effects:<br><br>Akathisia: 20 (11.2) vs 20 (11.4)        |
| Malla, 2004<br>Canada                   | No difference between groups reported in text; no further data provided |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>    | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b>  |
|---|--|--|
| Lublin<br>2009<br>RCT<br>Multicenter    |  |  |
| Macfadden<br>2010<br>RCT<br>Multicenter | AE (as the primary reason) with RLAT: 0<br>2.3 percent withdrew because of<br>an AE with aripiprazole;<br><br>2.2 percent of<br>RLAT and 1.7 percent of aripiprazole<br>subjects withdrew for lack of efficacy |  |
| Malla, 2004<br>Canada                   |  | Of note: the results are only based on<br>those pts who stayed on the drug they<br>were initially assigned to AND who were<br>completers (32/84 pts)<br><br>Also, in Table 2 it is not clear if the 1 y<br>results represent the SANS score at 1 y or<br>the mean change from baseline |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |  |   | Age   |  |
|---|--|--|---|---|--|
| Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Gender  | Other population characteristics   |
| McCue, 2006<br>RCT, open-label,<br>U.S.<br>Inpatients<br>Funding - NR | Inclusion: 18 ys and older of either gender, who were newly admitted to the hospital's psychiatric inpatient service between January 2004 and February 2005, diagnosed with schizophrenia, schizoaffective disorder or schizophreniform disorder<br>Exclusion: Pregnant or lactating women; a medical condition in which pharmacotherapy would prove a significant clinical risk; a clear history of response or lack of response to a particular antipsychotic drug and who, in the judgment of the treating psychiatrist, would best be treated accordingly; a diagnosis of bipolar disorder, major depressive disorder or substance-induced psychotic disorder. | aripiprazole, mean 21.8 mg, range 10–45; haloperidol, mean 16.0 mg, range 4–30; olanzapine, mean 19.1 mg, range 5–40; quetiapine, mean 652.5 mg, range 50–1200; risperidone, mean 5.2 mg, range 2–9; ziprasidone, mean 151.2 mg, range 40–240.<br>minimum of 3 wks | haloperidol, lorazepam and diphenhydramine for agitation; diphenhydramine for sleep.<br>Benzatropine could also be prescribed for extrapyramidal side-effects; after 2 wks an antidepressant, mood stabilizer or anxiolytic could be prescribed | Mean age 37.6<br>62% male<br>Ethnicity- NR  | BPRS total score (mean): 42.3<br>Length of illness (mean ys): 13.2<br>Diagnosis:<br>Schizophrenia=75.9%<br>Schizoaffective=19.4%<br>Schizophreniform=4.7%<br>Substance misuse (% patients): 35.7 |
| McEvoy, 2006<br>CATIE Phase 2E  | Discontinuation of previous phase 1 treatment because of inefficacy.   | Open-label clozapine 332.1mg or blinded capsules of olanzapine 23.4mg, quetiapine 642.9mg, or risperidone 4.8mg<br>(mean modal doses)  | Concomitant medications were permitted throughout the trial, except for additional antipsychotic agents.  | Mean age=39.7 ys<br>81% male<br>64% white<br>33% black/African American<br>3% all other racial groups | DSM-IV diagnosis present in the past 5 ys (% pts):<br>Depression=33%<br>Alcohol dependence/abuse=25%<br>Drug dependence/abuse=24%  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled  | Withdrawn/<br>Lost to follow-up/<br>Analyzed                              | Results  |
|---|---|---|--|
| McCue, 2006<br>RCT, open-label,<br>U.S.<br>Inpatients<br><br>Funding - NR | 584/NR/364  | 18//NA/319<br>analyzed  | Aripiprazole vs Haloperidol vs Olanzapine vs Quetiapine vs Risperidone vs Ziprasidone<br>Patient outcome, n (%)<br>Effective 34 (64) vs 51 (89) vs 48 (92) vs 32 (64) vs 50 (88) vs 32 (64)<br>Change in BPRS total score: mean (SD.) 12.9 (12.3) vs 16.4 (11.4) vs 14.9 (11.3) vs 14.2 (12.5) vs 15.4 (10.6) vs 14.2 (12.9)<br>Time to 'Effective', ds: mean (SD.) 17.6 (10.5) vs 18.6 (10.6) vs 19.5 (13.1) vs 16.8 (8.0) vs 20.4 (13.5) vs 19.5 (8.5)   |
| McEvoy, 2006<br>CATIE Phase 2E  | 1,052/1,052/99<br>509 (48%) left study<br>from Phase 1<br>444 (42%) entered<br>Phase 2T | 62 (63%)<br>withdrawn/none lost<br>to fu/90 (91%)<br>included in analysis | <u>Median time until treatment discontinuation for any reason (mos)</u><br><u>Clozapine=10.5 vs olanzapine=2.7 vs quetiapine=3.3 mos vs risperidone=2.8 mos</u><br><u>HRs (95% CI) for pair-wise comparisons:</u><br><u>Clozapine vs quetiapine=0.39 (0.19, 0.80)</u><br><u>Clozapine vs risperidone=0.42 (0.21, 0.86)</u><br><u>Clozapine vs olanzapine=0.57 (0.29, 1.16)</u><br><br><u>Discontinuations due to lack of efficacy (% pts)</u><br><u>Clozapine=11% vs olanzapine=35% vs quetiapine=43% vs risperidone=43%</u><br><u>HRs (95% CI) for pair-wise comparisons:</u><br><u>Clozapine vs olanzapine=0.24 (0.07, 0.78)</u><br><u>Clozapine vs quetiapine=0.16 (0.04, 0.54)</u><br><u>Clozapine vs risperidone=0.16 (0.05, 0.54)</u><br><br><u>PANSS Total Score Change at 3 mos (p-value represents pair-wise comparison to clozapine)</u><br><u>Clozapine= -11.7 vs olanzapine= -3.2 (p=0.22) vs quetiapine= 2.5 (p&lt;0.02) vs risperidone= 4.1 (p&lt;0.03)</u><br><br><u>CGI severity change in score at 3 mos</u><br><u>Clozapine= -0.7 vs olanzapine= 0.1 (p&lt;0.02) vs quetiapine= 0.2 (p=0.003) vs risperidone= 0.0 (p=6.18)</u> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>            | <b>Study design</b>              | <b>Adverse effects reported</b>  |
|--------------------------------|----------------------------------|--|
| McCue, 2006                    | RCT, open-label, U.S. Inpatients | Proportion of patients reporting side-effects (week 2: P=0.14; week 3: P=0.72; end-point: P=0.49).   |
| Funding - NR                   |                                  |  |
| McEvoy, 2006<br>CATIE Phase 2E |                                  | <p>Clozapine vs olanzapine vs quetiapine vs risperidone (%pts) (p-values are NS unless otherwise specified and come from a test with df=3 comparing all treatment groups)</p> <p>Any AE: 76% vs 74% vs 67% vs 56%</p> <p>Insomnia: 4% vs 16% vs 13% vs 31%, p=0.02</p> <p>Hypersomnia/sleepiness: 45% vs 32% vs 33% vs 25%</p> <p>Urinary hesitancy/dry mouth/constipation: 20% vs 0 vs 47% vs 6% p=0.002</p> <p>Sex drive/sexual arousal/sexual orgasm: 33% vs 11% vs 13% vs 25%</p> <p>Gynecomastia/galactorrhea: 2% vs 5% vs 0 vs 0</p> <p>Menstrual irregularities: 0 for all</p> <p>Incontinence/nocturia: 10% vs 0 vs 13% vs 13%</p> <p>Sialorrhea: 33% vs 11% vs 0 vs 13, p&lt;0.02</p> <p>Orthostatic faintness: 12% vs 5% vs 27% vs 6%</p> <p>Skin rash: 4% vs 0 vs 7% vs 6%</p> <p>Weight gain from baseline <math>\geq</math> 7%: 20% vs 13% vs 15% vs 18%</p> <p>Weight change (mean lb): 1.4 vs 6.2 vs 5.1 vs 3.9</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>              |  |
|----------------------------------|--|
| <b>Study design</b>              | <b>Extrapyramidal symptoms</b>   |
| McCue, 2006                      | Change in Simpson–Angus Scale ratings from baseline to end-point (F=0.61, .f.=5,307, P=0.69; age as co-variable).                |
| RCT, open-label, U.S. Inpatients | Change in score on the Barnes Akathisia Rating Scale from baseline to end-point (F=1.45, df.=5,307, P=0.20; age as co-variable). |

Funding - NR

|                |   |
|----------------|---|
| McEvoy, 2006   | AIMS severity score $\geq 2$ : 21% vs 21% vs 10% vs 0     |
| CATIE Phase 2E | Barnes score $\geq 3$ : 5% vs 0% vs 23% vs 0              |
|                | Simpson-Angus mean score $\geq 1$ : 5% vs 13% vs 17% vs 0 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                      | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>                                    |
|---|---|--|
| McCue, 2006<br>RCT, open-label,<br>U.S.<br>Inpatients<br><br>Funding - NR | 18 WD<br>14 due to AEs  | Age was significantly different between<br>groups. |
| McEvoy, 2006<br>CATIE Phase 2E  | See previous results  |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |  |  | Age  |  |
|--|--|--|--|--|--|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Gender<br>Ethnicity  | Other population characteristics   |
| McEvoy, 2006<br>Patel 2009<br>USA<br>CAFE:<br>Comparison of<br>Atypicals in First<br>Episode of<br>Psychosis | 16–40 ys; DSM-IV criteria for schizophrenia, schizophreniform disorder, or schizoaffective disorder; be in the first episode of their psychotic illness and been continuously ill for at least 1 month - 5 ys. Patients were excluded if a prior psychotic episode had remitted for 3 months or more or if they had prior antipsychotic drug treatment > 16 cumulative wkss; ≥4 on at least one Positive and Negative Syndrome Scale (PANSS; 17) psychosis item and a score ≥4 (moderately ill) on CGI-S; women of childbearing potential had to be using a medically acceptable form of contraception. Exclusion- did not speak English; had a history of mental retardation; pregnant or nursing; had a serious, unstable medical illness; had a known allergy to one of the study medications; serious risk of suicide; or had participated in an investigational drug trial within 30 ds | olanzapine (2.5–20 mg/d)<br>quetiapine (100–800 mg/d)<br>risperidone (0.5–4 mg/d)<br>Duration=52 wks | adjunctive antidepressant or mood stabilizer during the first 8 wkss of treatment was not allowed unless approved by the project medical officer. Anticholinergic medications for acute extrapyramidal side effects were permitted for up to a total of 2 wkss over the course of the trial. | Mean age 24.5 ys<br>73% male<br>51.3% white<br>43.0% black<br>5.8% other | Schizophrenia 57.8%<br>Schizophreniform disorder 28.8%<br>Schizoaffective disorder 13.5%<br>Age at onset 23.5 ys |
| McQuade, 2004<br>DB, RCT,<br>multicenter<br>Inpatients<br>Meyer 2009   | Schizophrenia, in acute relapse, requiring hospitalization, 18 ys of age and older, a Positive and Negative Syndrome Scale (PANSS) total score of >60 and a score of >4 on a least 2 of the following PANSS items: delusions, hallucinatory behavior, conceptual disorganization, suspiciousness.  | N=317<br>aripiprazole (N=156): 15-30 mg/d<br>olanzapine (N=161): 10-20 mg/d<br>26 week duration      | lorazepam up to 4mg/d<br>allowed, not within 4 hs of<br>efficacy/safety assessments  | Mean Age: 38.4<br>Male: 72%<br>Ethnicity NR                              | In-Patient population: 100%  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| McEvoy, 2006<br>Patel 2009<br>USA<br>CAFE:<br>Comparison of<br>Atypicals in First<br>Episode of<br>Psychosis | NR/NR/400                              | 281/0/400                                    | <p>Overall discontinuation before 52 wkss 70% of patients; 68.4% olanzapine, 70.9% quetiapine, 71.4% risperidone.</p> <p>At 12 wkss mean change from baseline in the PANSS positive subscale scores showed greater reductions for olanzapine (−5.2) and risperidone (−5.1) than for quetiapine (−4.0; quetiapine vs olanzapine, <math>p=0.017</math>; quetiapine vs risperidone, <math>p=0.031</math>)</p> <p>Trmt response at any point in study olanzapine 64%, quetiapine 58% risperidone 65%</p> <p>Olanzapine vs risperidone vs quetiapine</p> <p>Weight gain at 12 wkss LSM (SE) in pounds<br/>15.6 (1.1) vs 8.6 (1.1) vs 7.9 (1.1)</p> <p>Weight gain <math>\geq 7\%</math> from baseline: Olanzapine vs risperidone 59.8% vs 32.5%, <math>p&lt;0.001</math>, vs Quetiapine 29.2% (<math>p&lt;0.0001</math>)</p> <p>Changes in total PANSS and weight gain: NS at 12 wkss (<math>p=0.936</math>)</p> <p>Weight gain at 52 wkss in pounds<br/>24.2 (1.9) vs 14.0 (1.9) vs 12.1 (1.8), <math>p&lt;0.001</math></p> <p>Weight gain of <math>\geq 7\%</math> from baseline: Olanzapine vs risperidone: 80% vs 57.6%, <math>p&lt;0.05</math>, vs quetiapine 50.0%, <math>p&lt;0.01</math></p> <p>No statistically significant difference between changes in total PANSS score and changes in weight at 52 wkss (<math>p=0.338</math>)</p> |
| McQuade, 2004<br>DB, RCT,<br>multicenter<br>Inpatients<br>Meyer 2009   | NR/NR/378                              | 72%/approx.10%/31<br>7                       | <p>At Week 26:</p> <p>% of Patients who had &gt; 7% increase in body weight:<br/>O: 37% vs A: 14%; (<math>p&lt;0.001</math>)</p> <p>Mean Change in Body Weight from Baseline:<br/>O: +4.23 kg (9.40lb) vs A: -1.37 kg (3.04lb); (<math>p&lt;0.001</math>)</p> <p>Mean Changes in Fasting Triglyceride Levels:<br/>O: +79.4 mg/dL vs A: +6.5 mg/dL; (<math>p&lt;0.05</math>)</p> <p>Mean Changes in Fasting HDL Cholesterol Levels:<br/>O: -3.39 mg/dL vs A: +3.61 mg/dL; (<math>p&lt;0.05</math>)</p> <p>Reduction in Symptoms of Schizophrenia:<br/>"No clinically meaningful differences between the aripiprazole and olanzapine groups."</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>                            |
|---------------------|---------------------|--|
| McEvoy, 2006        |                     | Olanzapine Quetiapine Risperidone (%)                      |
| Patel 2009          |                     | Weight gain 51.1 40.3 41.4                                 |
| USA                 |                     | Increased sleep hs 33.8 41.8 27.1                          |
| CAFE:               |                     | Insomnia 38.4 29.1 33.8                                    |
| Comparison of       |                     | Menstrual irregularities 31.3 23.8 47.1                    |
| Atypicals in First  |                     | Decreased sex drive 27.8 26.1 27.1                         |
| Episode of          |                     | Akinesia 24.1 24.6 27.1                                    |
| Psychosis           |                     | Dry mouth 21.8 34.3 15.8                                   |
|                     |                     | Akathisia 20.3 18.7 22.6                                   |
|                     |                     | Decreased sexual arousal 21.8 16.4 18.1                    |
|                     |                     | Decreased orgasm 16.5 15.7 18.8                            |
|                     |                     | Orthostatic faintness 11.3 19.4 12.8                       |
|                     |                     | Constipation 8.3 11.9 13.5                                 |
|                     |                     | Sialorrhea 5.3 6.0 13.5                                    |
|                     |                     | Skin rash 7.5 5.2 6.8                                      |
|                     |                     | Gynecomastia 6.8 2.2 9.8                                   |
|                     |                     | Urinary hesitancy 5.3 5.2 3.0                              |
|                     |                     | Incontinence or nocturia 3.8 3.7 3.0                       |
|                     |                     | Galactorrhea 2.3 0.0 2.3                                   |
| McQuade, 2004       |                     | Headache: O: 32% vs A: 23%                                 |
| DB, RCT,            |                     | Insomnia: O: 30% vs A: 32%                                 |
| multicenter         |                     | Anxiety: O: 25% vs A: 20%                                  |
| Inpatients          |                     | Somnolence: O: 23% vs A: 8%                                |
| Meyer 2009          |                     | 6 mo data on ethnicity from Meyer 2009                     |
|                     |                     | Mean change in body weight from baseline (LSM, SE): A vs O |
|                     |                     | White -1.44 (0.36) vs 3.37 (0.32), p=0.000                 |
|                     |                     | Black/Hispanic: 0.99(0.36) vs 4.57 (0.38), p=0.000         |

[illegible]

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| McEvoy, 2006<br>Patel 2009<br>USA<br>CAFE:<br>Comparison of<br>Atypicals in First<br>Episode of<br>Psychosis |   |                 |
| McQuade, 2004<br>DB, RCT,<br>multicenter<br>Inpatients<br>Meyer 2009   | 229 WD<br>Approx. 30% due to AE                                 |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications        | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|---|---|---|----------------------------------|--|---|
| Meltzer<br>2011<br>DB RCT<br>Multicenter                            | Schizophrenia, male and female, 18-75, have an illness duration at least 1 yr also hospitalized for >2 wks, CGI-S score of >4 and PANSS score of >80.         | Lurasidone = 120 mg. Max dose.<br>Olanzapine = 15mg. Max dose.<br>P<br>Duration: 6wks | Benzoiazepines                   | Mean Age: 38<br>Male = 78%<br>Ethnicity:<br>White = 33%<br>Black = 36%<br>Asian = 25%<br>Other = 7%<br>Hispanic = 14%  | • Age at onset of illness (ys) = 24<br>• Duration of illness (ys) = 13                                |
| Meltzer, 2008<br>DB RCT<br>United States<br>3 outpatient<br>centers | Men and women, 18-58 ys with schizophrenia or schizoaffective disorder who had failed to respond adequately to prior treatment with other antipsychotic drugs | Olanzapine (25-45 mg/d) n=19 and Clozapine (300-900 mg/d) n=21 for 6 mos              | only during washout, haloperidol | Clozapine vs. olanzapine<br>Age 37.2 vs. 36.4<br>% male 71.4 vs 63.2<br>% White 57.1 vs. 73.7<br>% African American 38.1 vs. 15.8<br>% Asian 0 vs. 10.5<br>% Other 4.8 vs. 0 | Clozapine vs. olanzapine<br>% schizophrenia 80.9 vs. 83.2<br>% schizoaffective disorder 19.1 vs. 16.8 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|---|--|---|---|
| Meltzer<br>2011<br>DB RCT<br>Multicenter                            | 781/478/478                            | 75/3/473  | <p>Lurasidone, 40 mg vs. Lurasidone, 120 mg vs. Olanzapine, 15 mg vs. Placebo</p> <p>PANSS: (Estimate, SE)</p> <p>Total score changed -25.7 2.0 P&lt;0.001 vs. -23.6 2.1 P=0.011 vs. -28.7 1.9 P&lt;0.001 vs. -16.0 2.1</p> <p>Positive subscale score change -7.7 0.7 P= 0.018 vs. -7.5 0.7 P= 0.035 vs. -9.3 0.7 P&lt;0.001 vs. -5.4 0.7</p> <p>Negative subscale score change -6.0 0.5 P= 0.002 vs. -5.2 0.6 P= 0.045 vs. -6.2 0.5 P&lt;0.001 vs. -3.6 0.5</p> <p>General psychopathology score change</p> <p>-12.4 1.0 P= 0.001 vs. -11.1 1.0 P= 0.022 vs. -13.3 0.9 P&lt;0.001 vs. -7.8 1.0</p> <p>Cognitive subscale (modified) score change:</p> <p>-4.2 0.3 P=0.005 vs. -4.0 0.4 vs. P=0.012 -4.6 0.3 P&lt;0.001 vs. -2.7 0.4</p> <p>CGI severity score change:</p> <p>-1.5 0.1 P=0.006 vs. -1.4 0.1 P=0.040 vs. -1.5 0.1 P&lt;0.001 vs. -1.1 0.1</p> <p>MADRS total score change:</p> <p>-3.5 0.5 P=0.324 -3.2 0.6 P=0.571 -5.0 0.5 P=0.003 vs. -2.8 0.6</p> |
| Meltzer, 2008<br>DB RCT<br>United States<br>3 outpatient<br>centers | NR/NR /40                              | 24 (60%) withdrawn<br>Clozapine (11<br>(52.4%)) vs.<br>olanzapine (5<br>(26.3%)) / NR/ 40 | <p>Clozapine vs. olanzapine</p> <p>PANSS total 72.1(3.4) vs. 71.7 (2.8) P = 0.92</p> <p>PANSS positive 15.1 (1.1) vs. 17.8 (0.9) P = 0.07</p> <p>PANSS negative 20.9 (1.2) vs. 19.1 (1.0) P = 0.28</p> <p>GAF 62.4 (2.1) vs. 54.8 (1.8) P = 0.01</p> <p>CGI 2.6 (0.8) vs. 2.3 (0.6) P = 0.76</p> <p>CGI-S 3.6 (0.2) vs. 3.6 (0.2) P = 0.78</p>  |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year            |  |
|-------------------------|--|
| Study design            | Adverse effects reported   |
| Meltzer<br>2011         | (Lurasidone, 40 mg vs. Lurasidone, 120 mg vs. Olanzapine, 15 mg vs. Placebo) N % |
| DB RCT                  | At least one adverse event 90 75.6% vs. 97 82.2% vs. 100 82.0% vs. 84 72.4%      |
| Multicenter             | Headache: 26 21.8% vs. 21 17.8% vs. 17 13.9% vs. 25 21.6%                        |
|                         | Akathisia: 14 11.8% vs. 27 22.9% vs. 9 7.4% vs. 1 0.9%                           |
|                         | Somnolence: 12 10.1% vs. 18 15.3% vs. 11 9.0% vs. 5 4.3%                         |
|                         | Insomnia: 15 12.6% vs. 14 11.9% vs. 13 10.7% vs. 13 11.2%                        |
|                         | Sedation: 11 9.2% vs. 16 13.6% vs. 18 14.8% vs. 4 3.4%                           |
|                         | Anxiety: 12 10.1% vs. 12 10.2% vs. 7 5.7% vs. 8 6.9%                             |
|                         | Nausea: 13 10.9% vs. 9 7.6% vs. 6 4.9% vs. 5 4.3%                                |
|                         | Agitation: 14 11.8% vs. 7 5.9% vs. 8 6.6% vs. 6 5.2%                             |
|                         | Dyspepsia: 9 7.6% vs. 9 7.6% vs. 6 4.9% vs. 7 6.0%                               |
|                         | Constipation: 6 5.0% vs. 9 7.6% vs. 8 6.6% vs. 6 5.2%                            |
|                         | Vomiting: 5 4.2% vs. 10 8.5% vs. 3 2.5% vs. 8 6.9%                               |
|                         | Back pain: 6 5.0% vs. 6 5.1% vs. 7 5.7% vs. 5 4.3%                               |
|                         | Dizziness: 5 4.2% vs. 6 5.1% vs. 3 2.5% vs. 2 1.7%                               |
|                         | Restlessness: 7 5.9% vs. 4 3.4% vs. 4 3.3% vs. 3 2.6%                            |
|                         | Salivary hypersecretion: 2 1.7% vs. 8 6.8% vs. 1 0.8% vs. 0 0.0%                 |
|                         | Musculoskeletal stiffness: 3 2.5% vs. 6 5.1% vs. 3 2.5% vs. 2 1.7%               |
|                         | Appetite decreased: 6 5.0% vs. 1 0.8% vs. 2 1.6% vs. 2 1.7%                      |
|                         | Appetite increased: 1 0.8% vs. 3 2.5% vs. 7 5.7% vs. 4 3.4%                      |
|                         | Weight increased: 2 1.7% vs. 2 1.7% vs. 25 20.5% vs. 6 5.2%                      |
|                         | Toothache: 4 3.4% vs. 3 2.5% vs. 12 9.8% vs. 6 5.2%                              |
|                         | Dry mouth: 2 1.7% vs. 3 2.5% vs. 12 9.8% vs. 1 0.9%                              |
|                         | Psychotic disorder: 2 1.7% vs. 4 3.4% vs. 4 3.3% vs. 8 6.9%                      |
| Meltzer, 2008           | Clozapine vs. olanzapine   |
| DB RCT                  | Weight 204.3 (3.3) vs. 217 (2.9) P = 0.01  |
| United States           | BMI 30.6 (0.5) vs. 32.6 (0.4) P = 0.006  |
| 3 outpatient<br>centers |  |

| Author, year  |  |
|---|--|
| Study design  | Extrapyramidal symptoms  |
| Meltzer<br>2011<br>DB RCT<br>Multicenter                            | (Lurasidone, 40 mg vs. Lurasidone, 120 mg vs. Olanzapine, 15 mg vs. Placebo) N %<br>Extrapyramidal adverse events:<br>Parkinsonism 11 9.2% vs. 13 11.0% vs. 6 4.9% vs. 2 1.7%<br>Tremor 2 1.7 % vs. 9 7.6 % vs. 7 5.7% vs. 5 4.3%<br>Dystonia 4 3.4% vs. 9 7.6% vs 1 0 |
| Meltzer, 2008<br>DB RCT<br>United States<br>3 outpatient<br>centers | Clozapine vs. olanzapine<br>AIMS total 1.4 (0.7) vs. 2.3 (0.6) P = 0.3<br>SAS total 2.3 (0.6) vs. 1.6 (0.5) P = 0.4  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Meltzer<br>2011<br>DB RCT<br>Multicenter                            | Withdrawals due to adverse events: 40                           |                 |
| Meltzer, 2008<br>DB RCT<br>United States<br>3 outpatient<br>centers | 16 WD<br>0 due to AEs   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year             |  |  |  | Age   |   |
|--------------------------|--|--|--|---|---|
| Study design             | Eligibility criteria   | Interventions<br>(drug, dose, duration)    | Allowed other medications  | Gender  | Other population characteristics          |
| Moller, 2008             | Outpatients aged 18–65 ys with a diagnosis of schizophrenia (including catatonic, disorganized, paranoid and undifferentiated)Patients with a Clinical Global Impressions of Severity of Illness (CGI-S) (National Institutes of Mental Health, 1970) score of 3 or lower were clinically stable | Quetiapine XR n=331 or Quetiapine IR n=166 | Antidepressants, anxiolytics, hypnotics, mood stabilizers or other psychoactive drugs and drugs that induce or inhibit cytochrome 3A4 enzymes were permitted if treatment had started at least 2 wks | Mean (SD) age (yrs)<br>XR 39.8 (11.4) vs IR 39.9 (10.2) | PANSS total XR 59.5 (14.3) IR 59.3 (14.7) |
| DB RCT                   |  | 400, 600 or 800 mg/d                       |  | % male 50.9XR vs 57.8 IR                                | CGI-S XR 2.6 (0.6) IR 2.7 (0.6)           |
| Multinational 74 centers |  | 6 wks                                      |  | Ethnicity (%)<br>White XR 82.7 vs IR 84.9               |   |
|                          |  |  |  | Black XR 14.2 vs IR 10.8                                |   |
|                          |  |  |  | Asian XR 1.2 vs IR 0.6                                  |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                  | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>   |
|---|--|---|--|
| Moller, 2008<br>DB RCT<br>Multinational 74<br>centers | NR / NR / 630                                  | 38<br>9<br>496  | <p>Primary outcome - proportion of patients who discontinued study treatment owing to lack of efficacy or whose PANSS total scores increased by 20% or more from randomization to any visit (MITT population): 9.1% XR; 7.2% IR. The estimated difference MITT population was 1.86% (95% CI -3.78, 6.57; P=0.0431)</p> <p>PANSS score LSM change from baseline (95% CI):<br/> Total XR - 3.7 (- 5.2, - 2.3) vs. IR - 4.2 (- 6.0, - 2.5)<br/> Positive XR - 0.8 (- 1.2, - 0.4) vs. IR - 0.9 (- 1.4, - 0.4)<br/> Negative XR - 1.1 (- 1.5, - 0.6) vs. IR - 1.3 (- 1.8, - 0.8)</p> <p>CGI-I score, % of patients with no change or improvement (95% CI)<br/> XR 92.7 (89.4, 95.1) vs. IR 93.4 (88.5, 96.3)</p> <p>CGI-S score, mean change from baseline (SD)<br/> XR - 0.0 (0.6) vs. - 0.1 (0.6)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>      |                                       |
|--------------------------|---------------------------------------|
| <b>Study design</b>      | <b>Adverse effects reported</b>       |
| Moller, 2008             | XR vs IR n (%)                        |
| DB RCT                   | Dry mouth 14 (4.2) vs. 2 (1.2)        |
| Multinational 74 centers | Somnolence 13 (3.9) vs. 4 (2.4)       |
|                          | Fatigue 7 (2.1) vs. 3 (1.8)           |
|                          | Sedation 6 (1.8) vs. 6 (3.6)          |
|                          | Constipation 4 (1.2) vs. 3 (1.8)      |
|                          | Tremor 3 (0.9) vs. 1 (0.6)            |
|                          | Weight decreased 3 (0.9) vs. 0        |
|                          | Decreased appetite 2 (0.6) vs. 0      |
|                          | Dizziness 2 (0.6) vs 3 (1.8)          |
|                          | Dysgeusia 2 (0.6) vs. 0               |
|                          | Headache 2 (0.6) vs. 1 (0.6)          |
|                          | Increased appetite 2 (0.6) vs. 0      |
|                          | Muscle rigidity 2 (0.6) vs. 0         |
|                          | Psychotic disorder 2 (0.6) vs. 0      |
|                          | Tachycardia 2 (0.6) vs. 1 (0.6)       |
|                          | Extrapyramidal disorder 0 vs. 2 (1.2) |
|                          | Insomnia 0 vs. 2 (1.2)                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year             |  |
|--------------------------|--|
| Study design             | Extrapyramidal symptoms  |
| Moller, 2008             | SAS scores XR vs. IR   |
| DB RCT                   | Improved 20.7% vs. 21.1%   |
| Multinational 74 centers | Stayed the same 69.3% vs. 76.5%  |
|                          | Worsened 10% vs. 2.4%  |
|                          | MedDRA terms of tremor, akathisia, muscle rigidity, dyskinesia, hypokinesia, Parkinsonism, extrapyramidal disorder and restlessness: XR 3.3% and IR 2.4% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Moller, 2008<br>DB RCT<br>Multinational 74<br>centers | 38 WD<br>7 due to AEs   |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design      | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|-----------------------------------|--|--|---------------------------|--|---|
| Mori, 2004<br>Inpatients          | Hoyu Mental Hospital inpatients being treated with typical antipsychotics and antiparkinsonian anticholinergic drugs and with symptoms corresponding to DSM-IV criteria for schizophrenia                                      | N= 77<br>Final Doses:<br>olanzapine (N=20): 16.5 mg/d<br>perospirone (N=18) 37.3 mg/d<br>quetiapine (N=4): 432.5 mg/d<br>risperidone (N=19): 7.37 mg/d<br>4 wks duration | NR                        | Mean age: 59.9 ys<br>50.6% Male  | <u>Schizophrenia Diagnoses:</u><br>Disorganized: 23(29.8%)<br>Paranoid: 10(12.9%)<br>Undifferentiated: 34(44.1%)  |
| Mullen, 1999<br>(QUEST sub-group) | Psychosis and schizophrenia, schizoaffective disorder, bipolar disorder, major depressive disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia. | quetiapine mean dose at completion: NR<br>253.9 mg/d; oral<br>risperidone mean dose at completion: 4.4 mg/d; oral<br>Duration: 4 mos                                     |                           | Mean age:<br>quetiapine 45.1<br>risperidone 46.2<br>quetiapine 50.9% male<br>risperidone 54.3 % male<br>Ethnicity NR | Special characteristics: included those > 65 ys<br>Diagnosis:<br>bipolar: 83/554;20/175<br>major depressive disorder: 75/554;26/175<br>schizoaffective: 158/554;57/175<br>schizophrenia: 218/554;67/175<br>all non-mood diagnoses: 316/554;103/17 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design      | Number screened/<br>eligible/ enrolled         | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|-----------------------------------|--|--|---|
| Mori, 2004<br>Inpatients          | NR/NR  | NR/NR/77                                     | <p>Changes in percentages of correct responses in neutral DSDT tests:<br/> Mean at baseline vs Mean after switching antipsychotics vs Mean after WD of anticholinergics<br/> Olanzapine: 0.32 vs 0.34 vs 0.42<br/> Perospirone: 0.39 vs 0.46 vs 0.44<br/> Quetiapine: 0.43 vs 0.36 vs 0.44<br/> Risperidone: 0.36 vs 0.37 vs 0.43</p> <p>Changes in percentages of correct responses in distractibility DSDT tests:<br/> Mean at baseline vs Mean after switching antipsychotics vs Mean after WD of anticholinergics<br/> Olanzapine: 0.35 vs 0.39 vs 0.41<br/> Perospirone: 0.43 vs 0.46 vs 0.47<br/> Quetiapine: 0.42 vs 0.36 vs 0.41<br/> Risperidone: 0.26 vs 0.32 vs 0.39</p> <p>PANSS totals:<br/> Mean at baseline vs Mean after switching antipsychotics vs Mean after WD of anticholinergics<br/> Olanzapine: 82.1 vs 73.8 vs 69.4; P&lt;0.0001<br/> Perospirone: 72.4 vs 72.6 vs 77.2; P&lt;0.05<br/> Quetiapine: 78.8 vs 73.7 vs 72.9; P&lt;0.001<br/> Risperidone: 81.2 vs 74.9 vs 71.5; P&lt;0.0001</p> <p>General psychopathology:<br/> Mean at baseline vs Mean after switching antipsychotics vs Mean after WD of anticholinergics<br/> Olanzapine: 40.9 vs 37.2 vs 35.0; P&lt;0.0001<br/> Perospirone: 37.1 vs 36.8 vs 39.5; P&lt;0.005<br/> Quetiapine: 38.4 vs 36.2 vs 35.8; P&lt;0.001<br/> Risperidone: 40.0 vs 36.8 vs 35.1; P&lt;0.0001</p> |
| Mullen, 1999<br>(QUEST sub-group) | NR/NR/751<br>quetiapine 554<br>risperidone 175 | NR   | <p>Outcome: % change from baseline Hamilton Rating Scale (depression) scores (schizoaffective; schizophrenia)<br/> Quetiapine: -41.6%; -41.6%<br/> Risperidone: -34.6%; -31.4% (no significant difference between groups)<br/> Quetiapine group had significantly (p= 0.028) greater improvement on Hamilton Rating Scale (depression) than risperidone group<br/> Higher percentage in quetiapine group had improvement in CGI at each visit compared with risperidone group<br/> No statistically significant differences between groups in PANSS scale</p>   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |                          |
|--------------|--------------------------|
| Study design | Adverse effects reported |
| Mori, 2004   | NR                       |
| Inpatients   |                          |

Mullen, 1999  
(QUEST sub-  
group)

NR

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |                         |
|--------------|-------------------------|
| Study design | Extrapyramidal symptoms |
| Mori, 2004   | NR                      |
| Inpatients   |                         |

|                                   |  |
|-----------------------------------|--|
| Mullen, 1999<br>(QUEST sub-group) | Extrapyramidal events (EPS checklist) declined in both groups; no significant differences between groups in overall occurrence. Odds of risperidone-treated patient having treatment-emergent EPS requiring adjustment of medication or anti-EPS medication 5.6 times greater than odds of quetiapine-treated patient having similar event ( $p < 0.001$ ). Extrapyramidal symptoms rated as 'at least moderate' (EPS checklist) occurred more frequently at each visit in risperidone participants. |
|-----------------------------------|--|

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design          | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---------------------------------------|---|----------|
| Mori, 2004<br>Inpatients              | NR / NR   |          |
| Mullen, 1999<br>(QUEST sub-<br>group) | NR / NR   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|--|---|---|--|---|--|
| Naber, 2001  | Diagnosis of schizophrenia was confirmed by experienced clinicians relying on criteria according to DSM-IV  | olanzapine(N=36): 12.92 mg,<br>risperidone(N=28): 3.55mg,<br>clozapine(N=36): 194.44mg  | No   | Mean age: 34.2 ys<br>54% male<br>Ethnicity: NR                          | NR   |
| Naber, 2005<br>DB, RCT, non-inferiority,<br>multicenter<br>(Germany)<br>Inpatients x 2 wks<br>and then<br>outpatients<br>(flexible dosing) | DSM-4 schizophrenia, a minimum BPRS score of 24. Documented failure to at least one antipsychotic other than clozapine and olanzapine or had experienced intolerable side effects during these prior antipsychotic treatments. Not pregnant or lactating women. No serious somatic illnesses, including alcohol and/or drug dependency. Not received olanzapine at any time or prior clozapine treatment within the last 3 mos. | Olanzapine 5-25 mg/d (mean dose 16.2mg) or clozapine 100-400 mg/d (mean dose 209mg) X 26 wks, followed by a 2 week taper period. Mean actual duration of treatment: 109 ds in olanzapine group and 101 ds in clozapine group. | benztropine for agitation<br>(lorazepam up to 8mg/d,<br>temazepam up to 30mg/d,<br>diazepam up to 60mg/d,<br>oxazepam up to 100mg/d);<br>chloral hydrate up to<br>1500mg/d for insomnia, and<br>biperiden up to 6mg.d for<br>treatment-emergent EPS. | age, (range): 34.0 ±<br>10.6 (18-59)<br>male: 69 (61%)<br>Ethnicity: NR | Age at onset of disease ys (range):<br>26.9 ± 7.8 (11-55)<br>Number of previous episodes, (range):<br>4.5 ± 4.7 (0-30)<br>CGI Severity: Moderately ill: 11%,<br>markedly ill: 53%, severely ill: 35%,<br>most extremely ill.<br>2% SWN total score: (total score: 20<br>items) 73.1 ± 20.6; (total score: 38<br>items): 136.0 ± 37.6 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Naber, 2001  | Unclear / unclear / 100                | NR/NR/100                                    | <p>Change in PANSS mean scores from admission to discharge:<br/>clozapine vs risperidone vs olanzapine<br/>Total scores: -25.5 vs -12.56 vs -23.55<br/>Positive scores: -6.77 vs -5.29 vs -8.34<br/>Negative: -6.06 vs -2.74 vs -5.23</p> <p>Change in mean SWN scores, admission to discharge:<br/>clozapine vs risperidone vs olanzapine<br/>Total scores: +8.78 vs +8.40 vs +18.97<br/>Mental Functioning: +1.78 vs +0.92 vs +3.77<br/>Social Integration: +1.42 vs +1.34 vs +4.33<br/>Emotional Regulation: +2.00 vs +2.04 vs +3.48<br/>Physical Functioning: +1.58 vs +1.65 vs +4.86<br/>Self-control: +1.6 vs +2.16 vs +2.83</p> |
| Naber, 2005<br>DB, RCT, non-<br>inferiority,<br>multicenter<br>(Germany)<br>Inpatients x 2 wks<br>and then<br>outpatients<br>(flexible dosing) | NR/ 122/114                            | 36/27/43<br>(completed study)                | <p>Efficacy</p> <p>Mean changes, BL to endpoint (LOCF, ITT); Group difference (Olanzapine-clozapine) [95% CI]<br/>SWN total score change: (20 item): 3.2 [-4.2*, 10.5]; *p=0.002<br/>SWN total score change (38 items): 8.3 [-5.4; 21.9]<br/>MLDL satisfaction change: -0.05 [-0.77; 0.67]<br/>PANSS total score change: -2.4 [-13.7; -8.4]<br/>BPRS-6 total change: -2.8 [-9.7; -4.2]</p> <p>CGI Severity scores improvement: O 1.4 ± 1.2 vs. C: 1.3 ± 1.5</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                                       | <b>Study design</b>                             | <b>Adverse effects reported</b>   |
|---|---|---|
| Naber, 2001   |   | NR  |
|   |   |   |
| Naber, 2005   | DB, RCT, non-inferiority, multicenter (Germany) | AE possibly or probably related to study drug (spontaneously reported): C 75% vs. O 47%, RR 1.60 (95% CI: 1.26; 2.02)<br>Proportion of patients with any AE: C 91% vs. O 77% RR 1.18 (95% CI: 1.04; 1.34)<br>C> O: dizziness 13% vs. 2%; Increased salivation: 18% vs. 0%; constipation: 21% vs. 0%; respectively<br>O> C: Anxiety: 12% vs. 2%        |
| Inpatients x 2 wks and then outpatients (flexible dosing) |   | Mean Body weight gain (kg): C> O : 5.0 ± 6.8 vs. 3.5 ± 5.9, respectively<br>Marked weight gain by at least 7% of body weight: C> O; 52% vs. 34%<br>BL BMI < 23 kg/m <sup>2</sup> --weight gain was most pronounced C > O: 8.2 ± 8.1 vs. 9.0 ± 8.9<br>BL BMI > 27 kg/m <sup>2</sup> : weight gain was less although still C> O 1.7 ± 2.4 vs. 3.5 ± 7.2 |
|   |   | ECGs: unchanged in majority of pts (O 81%, C 88%)-No serious ECG changes reported. A prolongation of QT-time was reported for one C pt.   |
|   |   | Blood glucose remained within normal range in all but one C pt who had elevated non-fasting blood glucose levels  |
|   |   | CGI Therapeutic Index: O > C (mean index: Olanzapine: 2.17 ± 1.22, clozapine 1.63 ± 1.14).<br>CGI Therapeutic Effect ratings were similar in both groups<br>CGI Side Effects: no or no significant impairment by SE in 92% of olanzapine-treated pts vs. 79% clozapine group.   |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |   |
|---|---|
| Study design  | Extrapyramidal symptoms   |
| Naber, 2001   | NR  |
| Naber, 2005   | Simpson Angus Scale improved in both treatment groups: mean total scores decreased: O 2.7 ± 4.8 points with (n=50) and 2.1 ± 4.5 points in C group (n=54) (data not shown). |
| DB, RCT, non-inferiority, multicenter (Germany)           | Concomitant antiparkinsonian medications was used in 12% O pts (7/57), 5% C pts (3/57)  |
| Inpatients x 2 wks and then outpatients (flexible dosing) |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|--|---|--|
| Naber, 2001  | NR / NR   | There were two groups of patients, one group n=212 and was divided into typicals vs atypicals. The second group was n=100, and was divided between clozapine, risperidone, and olanzapine. It was unclear if the two groups were the same. Olanzapine and risperidone pts were pseudo-randomized; clozapine was given because of insufficient antipsychotic treatment or severe motor symptoms under previous medications. Olanzapine pts were significantly younger than risperidone. |
| Naber, 2005<br>DB, RCT, non-<br>inferiority,<br>multicenter<br>(Germany)<br>Inpatients x 2 wks<br>and then<br>outpatients<br>(flexible dosing) | 71 total WD<br>12 due to AEs                                    | Recruitment problems.<br>Overall retention rates were 69% after 6 wks, and 34% at 26 wks.  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|--|---|--|--|---|
| Naber, 2005<br>DB, RCT<br>Inpatients and<br>outpatients              | DSM-IV and ICD-10 criteria for schizophrenia, predominantly primary negative PANSS symptoms (negative subscale score $\geq 21$ ; at least 1 pt greater than positive subscale score) | n=44<br>Risperidone (n=22): ds 1-2: 2 mg/d; ds 3-5: 4 mg/d; ds 6-7: 6 mg/d. Dose up to 8 gm/d allowed after d 7.<br>Quetiapine (n=22): d 1: 50 mg; d 2: 100 mg; titrated up to 600 mg up to d 7. Dose up to 800 mg allowed after d 7. | lorazepam ( $\leq 4$ mg/d)<br>zopiclone ( $\leq 15$ mg/d)<br>biperiden hydrochloride ( $\leq 8$ mg/d)  | Mean age: 35 yrs (SD 11.6)<br>61% male<br>Ethnicity NR   | PANSS total mean score: 100.6 (SD 16.7)<br>SANS total mean score: 59.2 (SD 20.9)<br>SAS mean score: 0.35 (SD 1.2) |
| NCT00789698<br>PEARL 3<br>Extension Study<br>DB, RCT,<br>multicenter | PEARL 3 study criteria: 18-75 years, DSM-IV schizophrenia<br>For extension: completed all required assessments on final study visit of PEARL 3, suitable for outpatient treatment    | Lurasidone 40-160 mg/d flexible dose (original study patients were on Lurasidone 80 mg, lurasidone 160 mg, or placebo)<br>Quetiapine XR 200-800 mg/d flexible dose  | NR   | Age: 37.6<br>Gender: 33.2% female<br>Ethnicity: NR   | NR  |
| Newcomer, 2008<br>DB RCT<br>Multinational<br>Multicenter             | Males and females, 18 to 65 yrs w/ schizophrenia or schizoaffective disorder on olanzapine for 1 to 24 mos, BMI 27 or more, CGI-S 4 or less.   | Aripiprazole 10-30 mg/d n=88<br>Olanzapine 10-20 mg/d n=85 for 16 wks   | Stable statins, antidepressants (except fluoxetine and paroxetine) benzodiazepines/anxiolytics, mood stabilizers, anti-convulsants, sleeping agents, propranolol and other B-adrenergic blockers | Mean age 39.2 yrs<br>64.2% male<br>68.2% Caucasian<br>24.3% black<br>2.3% Asian<br>0.6% Pacific Islander<br>4.6% other | 76.9% schizophrenia<br>23.1% schizoaffective disorder<br>Mean BMI 32.3  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|--|--|--|
| Naber, 2005<br>DB, RCT<br>Inpatients and<br>outpatients              | NR/22/22                               | risperidone<br>2/0/efficacy NR;<br>safety 22<br>quetiapine:<br>4/2/efficacy NR;<br>safety 22 | Mean change from baseline at week 12:<br>PANSS total: R -29 vs Q -30<br>PANSS negative subscale: R -7 vs Q -11<br>PANSS positive subscale: R -8 vs Q -4<br>PANSS general psychopathy: R -15 vs Q -16<br>(all PANSS data interpolated from graph)<br>No SS differences b/t drugs in PANSS subscales<br><br>SANS total: R -15.5 vs Q -23<br>SANS affective blunting: R -4 vs Q -6.5<br>SANS alogia: R -2 vs Q -5; p=0.065<br>SANS avolition/apathy: R -4.75 vs Q -5.1<br>SANS anhedonia/asociality: R -4.9 v Q 5.2<br>SANS disturbance of attention: R -3 vs Q -3.1<br>(all SANS data interpolated from graph)<br>No SS differences b/t drugs in SANS subscales<br><br>CGI: R 1.5 (SD 1.6) v Q 1.7 (SD 1.4); p=0.767 |
| NCT00789698<br>PEARL 3<br>Extension Study<br>DB, RCT,<br>multicenter | NR/NR/292                              | 152/21/218   | Lurasidone-Lurasidone group (either lurasidone dosing group during original study and lurasidone for extension study) vs. Quetiapine-<br>Quetiapine group (quetiapine for original study and extension study)<br><br>Relapse of Psychotic Symptoms: 29 vs. 21; HR, 0.728; 95% CI, 0.410 to 1.295<br>Change from baseline (95%CI) to Month 6, CogState Computerized Cognitive Scores: 0.22 (0.06 to 0.38) vs. -0.03 (-0.26 to 0.20)<br>Change from baseline (95%CI) to Month 12, PANSS: -34.6 (-38.3 to -30.9) vs. -25.7 (-30.9 to -20.6)<br>Change from baseline (95%CI) to Month 12, CGI-S: -1.9 (-2.1 to -1.7) vs. -1.6 (-1.9 to -1.4)   |
| Newcomer, 2008<br>DB RCT<br>Multinational<br>Multicenter             | NR/NR/244                              | 54/0/173   | Change in weight at 16 wks aripiprazole -1.8 kg vs olanzapine +1.41 kg; p < .001.<br><br>CGI-I endpoint scores olanzapine (mean +/- SE = 3.09 +/- 0.16) vs aripiprazole (mean +/- SE = 3.74 +/- 0.15; p < .001),   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Study design</b> | <b>Adverse effects reported</b>   |
|--|---------------------|---|
| Naber, 2005  | DB, RCT             | Weight gain: R 1.72 (SD 3.57) kg v Q 2.93 (SD 4.02); p=0.296  |
| Inpatients and outpatients   |                     | Cold: R 14 (8.2%) v Q 3 (13.6%)* p=0.680  |
|  |                     | Headache: 7 (31.8%) v Q 6 (27.3%); p=0.741  |
|  |                     | Tiredness: R 5 (22.7%) v Q 17 (77.3%); p<0.001  |
|  |                     | Insomnia: R 5 (22.7%) vs Q 6 (27.3%); p=0.728   |
|  |                     | Dizziness: R 6 (27.3%) vs Q 6 (27.3%); p=1.000  |
|  |                     | Nausea: R 2 (9.1%) vs Q 4 (18.2%); p=0.660  |
| Intermediate (6 wk) serum measurements revealed a SS difference in prolactin levels (R 100 ug/L v Q -18 ug/L; p<0.001) and estrogen (R -21 ug/L v Q 12 ug/L; p<0.01). SS differences in testosterone and SHBG also reported (p<0.05) although graphical data impossible to interpolate (see Fig. 3 in paper) |                     |   |
| NCT00789698  | PEARL 3             | Groups from original study: Lurasidone 80mg vs. Lurasidone 160 mg vs. Placebo (extension study received lurasidone)vs. Quetiapine |
| Extension Study  |                     |   |
| DB, RCT, multicenter   |                     | SAE (%): 12.5 vs. 7.59 vs. 3.57 vs. 20.0  |
|  |                     | Any AE, not SAE (%): 61.1 vs. 64.6 vs. 62.5 vs. 62.3  |
|  |                     | Weight increase (%): 4.17 vs 7.59 vs. 1.79 vs. 8.24   |
| Newcomer, 2008   | DB RCT              | Aripiprazole vs. olanzapine n(%)  |
| Multinational  |                     | Any AE 56 (63.3) vs. 45 (53.6)  |
| Multicenter  |                     | Nausea 6 (6.8) vs. 1 (1.2)  |
|  |                     | Weight increase 4 (4.5) vs. 5 (6.0)   |
|  |                     | Headache 8 (9.1) vs. 3 (3.6)  |
|  |                     | Insomnia 19 (21.6) vs. 9 (10.7)   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year               |  |
|----------------------------|--|
| Study design               | Extrapyramidal symptoms  |
| Naber, 2005                | Akathisia: R 8 (36.4%) v Q 0; p=0.006                                |
| DB, RCT                    | Parkinsonism: R 8 (36.4%) v Q 0; p=0.006                             |
| Inpatients and outpatients | Use of anticholinergic medication: R 9 (40.9%) v Q 2 (9.1%); p=0.037 |
|                            |  |
| NCT00789698                | Akathisia (%): 15.28 vs. 10.13 vs. 10.71 vs. 2.35                    |
| PEARL 3                    | Dystonia (%): 5.56 vs. 1.27 vs. 3.57 vs. 1.18                        |
| Extension Study            | Parkinsonism (%): 4.17 vs. 7.59 vs. 16.07 vs. 0                      |
| DB, RCT, multicenter       |  |
|                            |  |
| Newcomer, 2008             | Mean change from baseline  |
| DB RCT                     | Aripiprazole vs. olanzapine  |
| Multinational              | SAS -0.21 vs. -0.18 P = 0.822  |
| Multicenter                | AIMs -0.05 vs. -0.02 P = 0.914                                       |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                 | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Naber, 2005<br>DB, RCT<br>Inpatients and<br>outpatients              | 19 total WD<br>3 due to AEs                                     |                 |
| NCT00789698<br>PEARL 3<br>Extension Study<br>DB, RCT,<br>multicenter | WD: 152<br>Due to AE: 17  |                 |
| Newcomer, 2008<br>DB RCT<br>Multinational<br>Multicenter             | 54 WD<br>15 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |  | <b>Interventions</b>  |                                      | <b>Age</b>                               |   |
|--|--|---|--------------------------------------|--|---|
| <b>Study design</b>  | <b>Eligibility criteria</b>  | <b>(drug, dose, duration)</b>   | <b>Allowed other medications</b>     | <b>Gender</b>                            | <b>Other population characteristics</b> |
| Newcomer, 2009<br>Open label RCT<br>Multinational,<br>multicenter (58) | Inclusion: Male and female ; age 18-65 yrs; schizophrenia; no prior treatment or had shown inadequate response<br><br>Exclusion: previous treatment with study agents, clozapine, chlorpromazine, valproic acid, lithium or antidepressants, agents that effect insulin sensitivity, diagnosis of diabetes, pregnancy, other Axis I disorders, clinically relevant disease or depot antipsychotic within 1 dosing interval | Quetiapine vs. Olanzapine vs. Risperidone<br>Mean daily doses 607.0 mg vs. 15.2 mg vs. 5.2 mg<br>24 wks | Benzodiazepines and anticholinergics | Mean age 39 yrs<br>90% male<br>73% white | BMI 25 kg/m<br>75% paranoid             |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                   | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b>                                 | <b>Results</b>  |
|--|--|---|---|
| Newcomer, 2009<br>Open label RCT<br>Multinational,<br>multicenter (58) | NR/NR/574                                      | 121/16/395 (those<br>that had<br>measurements at<br>baseline and week<br>20 or later) | Quetiapine vs. Olanzapine vs. Risperidone<br>CGI-S < 3 (%) 70.2 vs. 75.7 vs. 74.3<br>CGI-I much and vey much improved (%) 57.7 vs. 63.9 vs 55.6<br>Mean weight change (kg) +3.7 vs. +4.6 vs. +3.6<br>Mean change in AUC 0-2 h glucose 9.1 vs. 21.9 vs. 18.8 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>             |
| Newcomer, 2009      | Quetiapine vs. Olanzapine vs. Risperidone % |
| Open label RCT      | AEs 59.8 vs 47.0 vs. 67.4                   |
| Multinational,      | Serious AEs 10.1 vs. 2.4 vs. 7.6            |
| multicenter (58)    | Insomnia 6.5 vs. 4.2 vs. 14.5               |
|                     | Somnolence 10.1 vs. 3.6 vs. 4.7             |
|                     | Akathisia 1.2 vs. 1.8 vs. 12.8              |
|                     | Schizophrenia 7.1 vs. 1.2 vs. 4.7           |
|                     | Sedation 6.5 vs. 3.0 vs. 2.9                |
|                     | Dizziness 5.3 vs. 0 vs. 3.5                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                       |  |
|------------------------------------|--|
| Study design                       | Extrapyramidal symptoms                      |
| Newcomer, 2009                     | Quetiapine vs. Olanzapine vs. Risperidone %  |
| Open label RCT                     | Extrapyramidal disorder 1.8 vs. 1.8 vs. 24.4 |
| Multinational,<br>multicenter (58) |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                                   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Newcomer, 2009<br>Open label RCT<br>Multinational,<br>multicenter (58) | 121 WD<br>34 due to AEs   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year |  |   |                           | Age          |                                  |
|--------------|--|---|---------------------------|--------------|----------------------------------|
| Study design | Eligibility criteria                   | Interventions<br>(drug, dose, duration) | Allowed other medications | Gender       |                                  |
|              |  |   |                           | Ethnicity    | Other population characteristics |
| Pandina 2011 | Schizophrenia, men and women, 18 and   | Risperidone-LAI = 50 mg. Max dose.      | Antidepressants           | Mean Age: 39 | Prior psychotropic medications   |
| Alphs, 2013  | older, PANSS score between 60-120, BMI | Paliperidone palmitate = 150 mg.        | Benzodiazepines           | Male = 58%   | • Atypical antipsychotics = 68%  |
| DB RCT       | >17.0 kg/m2 and <40 kg/m2.             | Max dose.                               | Lorazepam.                | Women = 42%  | • Typical antipsychotics = 43%   |
| Multicenter  |  | P                                       |                           | Ethnicity:   | • Benzodiazepines = 35%          |
|              |  | Duration: 13 wks                        |                           | White = 79%  | • Anti-EPS = 26%                 |
|              |  |   |                           | Black = 16%  | • Antidepressants = 17%          |
|              |  |   |                           | Asian = 5%   |                                  |
|              |  |   |                           | Other = 1%   |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                         | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Pandina 2011<br>Alphs, 2013<br>DB RCT<br>Multicenter | 1400/1220/1220                         | 107/29/913                                   | <p>(Paliperidone palmitate vs. Risperidone-LAI)</p> <p>PSP score, mean (SD)</p> <p>Change from baseline: 8.5 (11.82) 8.8 (11.65)</p> <p>CGI-S, mean (SD)</p> <p>Change from baseline: -0.9 (0.97) -0.9 (0.93)</p> <p>SDS, mean (SD)</p> <p>Change from baseline: -1.9 (3.03) -1.8 (2.91)</p> <p>Positive symptoms, Mean (SD)</p> <p>Change from baseline: -5.6 (5.53) -5.3 (5.04)</p> <p>Negative symptoms, Mean (SD)</p> <p>Change from baseline: -3.8 (4.61) -3.8 (4.61)</p> <p>Disorganized thoughts, Mean (SD)</p> <p>Change from baseline: -3.4 (4.14) -3.2 (3.92)</p> <p>Uncontrolled hostility/excitement, Mean (SD)</p> <p>Change from baseline: -1.7 (3.01) -1.5 (2.97)</p> <p>Anxiety/depression, Mean (SD)</p> <p>Change from baseline: -2.7 (3.15) -2.4 (2.88)</p> <p>Efficacy outcomes, change from baseline to endpoint: Paliperidone palmitate vs. RLAI</p> <p>Prior Ris only (mean (SD)), Prior other AP (mean (SD)), No prior AP (mean (SD))</p> <p>PANSS total: -18.7 (13.7), -18.5 (17.3), -19.5 (12.8) vs. -18.3 (13.2), -17.6 (14.1), -17.5 (16.1)</p> <p>PANSS positive sx: -5.6 (4.8), -6.2 (5.8), -6.2 (4.5) vs. -5.8 (4.7), -5.7 (4.8), -5.9 (4.9)</p> <p>PANSS negative sx: -4.6 (3.8), -4.0 (5.2), -3.9 (3.8) vs. -4.0 (4.3), -4.2 (4.2), -3.6 (4.8)</p> <p>PANSS disorganized thought: -3.7 (3.6), -3.5 (4.4), -4.1 (3.6) vs. -4.0 (3.5), -3.4 (3.5), -3.0 (4.5)</p> <p>PANSS uncontrolled hostility/excitement: -1.9 (2.8), -1.9 (3.0), -1.9 (2.4) vs. -2.1 (2.4), -1.6 (3.0), -1.7 (3.1)</p> <p>PANSS anxiety/depression: -3.0 (2.5), -2.8 (3.3), -3.4 (2.8) vs. -2.4 (2.5), -2.6 (2.8), -3.4 (2.6)</p> <p>CGI-S: -1.0 (0.9), -1.0 (1.0), -1.1 (0.9) vs. -1.0 (0.9), -0.9 (0.9), -0.9 (0.9)</p> <p>PSP: 9.9 (10.5), 9.7 (11.8), 8.6 (10.7) vs. 9.9 (10.7), 9.2 (11.2), 10.5 (10.5)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Adverse effects reported</b>   |
|---------------------|---|
| <b>Study design</b> |   |
| Pandina 2011        | (Paliperidone palmitate vs. Risperidone-LAI)  |
| Alphs, 2013         | Overall rate of TEAEs: 57.9% vs 52.8%   |
| DB RCT              | Individual TEAEs: ≥2% of patients in either treatment group   |
| Multicenter         | <p>Insomnia: 9.4% vs 6.7%</p> <p>Injection site pain: 5.1% vs 0.8%</p> <p>Anxiety: 4.3% vs 2.1%</p> <p>Constipation: 0.8% vs 3.1%</p> <p>Tx-emergent adverse events: Paliperidone vs. RLAI</p> <p>Prior Ris only n (%), Prior other AP n (%), No prior AP n (%)</p> <p>Subjects with ≥1 AE: 68 (54.0), 122 (61.3), 31 (55.4) vs. 56 (52.3), 109 (53.7), 29 (51.8)</p> <p>Most common AEs (≥5% in any group):</p> <p>Headache: 8 (6.3), 18 (9.0), 5 (8.9) vs. 6 (5.6), 19 (9.4), 3 (5.4)</p> <p>Insomnia: 13 (10.3), 25 (12.6), 4 (7.1) vs. 6 (5.6), 17 (8.4), 4 (7.1)</p> <p>Injection site pain: 9 (7.1), 6 (3.0), 6 (10.7) vs. 0, 2 (1.0), 0</p> <p>Somnolence: 5 (4.0), 12 (6.0), 3 (5.4) vs. 6 (5.6), 7 (3.4), 1 (1.8)</p> <p>Akathisia: 5 (4.0), 13 (6.5), 3 (5.4) vs. 4 (3.7), 7 (3.4), 1 (1.8)</p> <p>Schizophrenia: 3 (2.4), 11 (5.5), 1 (1.8) vs. 2 (2.8), 7 (3.4), 2 (3.6)</p> <p>Salivary hypersecretion: 1 (0.8), 7 (3.5), 3 (5.4) vs. 3 (2.8), 0, 1 (1.8)</p> <p>Weight increased: 5 (4.0), 3 (1.5), 3 (5.4) vs. 2 (1.9), 4 (2.0), 3 (5.4)</p> <p>Nasopharyngitis: 2 (1.6), 5 (2.5), 2 (3.6) vs. 2 (1.9), 4 (2.0), 3 (5.4)</p> <p>Lethargy: 2 (1.6), 2 (1.0), 0 vs. 0, 0, 4 (7.1)</p> <p>Tremor: 0, 8 (4), 3 (5.4) vs. 2 (1.9), 5 (2.5), 0</p> <p>Subjects w/ ≥1 prolactin-related AE: 2 (1.6), 6 (3.0), 2 (3.6) vs. 2 (1.9), 5 (2.5), 4 (7.1)</p> <p>Most common prolactin-related AEs (≥1% in any group):</p> <p>Amenorrhea: 0, 2 (1.0), 1 (1.8) vs. 1 (0.9), 2 (1.0), 1 (1.8)</p> <p>Anorgasmia: 0, 1 (0.5), 0 vs. 0, 0, 1 (1.8)</p> <p>Erectile dysfunction: 1 (0.8), 0, 0 vs. 1 (0.9), 1 (0.5), 1 (1.8)</p> <p>Galactorrhea: 0, 0, 0 vs. 0, 0, 1 (1.8)</p> <p>Ejaculation delayed: 0, 0, 1 (1.8) vs. 0, 0, 0</p> <p>Libido decreased: 1 (0.8), 2 (1.0), 0 vs. 0, 1 (0.5), 0</p> <p>Subjects with ≥1 glucose-related AE: 0, 1 (0.5), 0 vs. 0, 0, 0</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year |   |
|--------------|---|
| Study design | Extrapyramidal symptoms   |
| Pandina 2011 | Extrapyramidal effects: NR  |
| Alphs, 2013  |   |
| DB RCT       | Paliperidone palmitate vs. RLAI   |
| Multicenter  | Prior Ris only n (%), Prior other AP n(%), No prior AP n (%)                                  |
|              | Subjects w/ >=1 EPS-related AE: 10 (7.9), 31 (15.6), 9 (16.1) vs. 9 (8.4), 22 (10.8), 2 (3.6) |
|              | Most common EPS-related AEs (>= 2% in any group):   |
|              | Akathisia: 5 (4.0), 13 (6.5), 3 (5.4) vs. 4 (3.7), 7 (3.4), 1 (1.8)                           |
|              | Muscle rigidity: 2 (1.6), 3 (1.5), 1 (1.8) vs. 3 (2.8), 3 (1.5), 0                            |
|              | Muscle tightness: 0, 1 (0.5), 2 (3.6) vs. 0, 1 (0.5), 0                                       |
|              | Musculoskeletal stiffness: 2 (1.6), 1 (0.5), 2 (3.6) vs. 1 (0.9), 0, 0                        |
|              | Tremor: 0, 8 (4.0), 3 (5.4) vs. 2 (1.9), 5 (2.5), 0   |
|              | Parkinsonism: 0, 5 (2.5), 1 (1.8) vs. 0, 2 (1.0), 0   |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                         | Total withdrawals; withdrawals<br>due to adverse events   | Comments |
|--|---|----------|
| Pandina 2011<br>Alphs, 2013<br>DB RCT<br>Multicenter | Withdrawals due to adverse events: 30<br><br>Paliperidone palmitate vs. RLAI<br>Prior Ris only n (%), Prior other AP n (%), No prior AP n (%)<br>Discontinuations due to AEs: 1 (0.8), 5 (2.5), 0 vs. 0, 2 (1.0), 2 (3.6) |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |   |  | Age  |  |
|--|---|---|--|--|--|
| Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Gender   |  |
|  |   |   |  | Ethnicity  | Other population characteristics   |
| Perez-Iglesias, 2007<br>Spain<br><br>Goes with Crespo-Facorro 2006 | Men and women 15 to 50 ys, living in region, experiencing their first episode of psychosis (DSM-IV codes 295, 297, and 298), and never treated with antipsychotic medication. | Haloperidol = 4.2 mg/d, Olanzapine = 12.7 mg/d, Risperidone = 3.6 mg/d for 12 wkss        | Lormetazepam and clonazepam permitted for management of agitation, general behavior disturbances, and/or insomnia; if clinically significant EPS occurred, anticholinergic medication (biperiden at dose of up to 8 mg/d) was allowed. | Haloperidol vs. Olanzapine vs. risperidone<br>Age 28.6 yrs vs 28.5 yrs vs 26.9 yrs<br>% male 62.5 vs 61 vs 59.6<br>Ethnicity 96% white | Haloperidol vs. Olanzapine vs. Risperidone<br>% Schizophrenia 70 vs. 53.7 vs. 53.2<br>Schizophreniform disorder 20 vs. 24.4 vs. 21.3<br>Weight 68.29 vs. 66.39 vs 65.26<br>BMI 24.33 vs. 22.92 vs 22.2   |
| Potkin 2011<br>DB RCT<br>Single center                             | Schizophrenia, schizoaffective, males and females, 18–70.   | Lurasidone = 120 mg. Max dose.<br>Ziprasidone = 160 mg. Max dose.<br>P<br>Duration: 3 wks | Beta-blockers<br>Benzodiazepines<br>Zolpidem<br>Eszopiclone.   | Mean Age: 43<br>Male = 70%<br>Ethnicity:<br>White = 35%<br>Black = 52%<br>Other = 13%  | Number of previous acute episodes<br>• 0-2 = 9%<br>• 3-5 = 26%<br>• 6 or more = 66%<br><br>Hospitalized in the last 2 ys = 39%<br><br>Most frequently reported prior antipsychotic medication<br>• Quetiapine = 25%<br>• Risperidone = 18%<br>• Olanzapine = 14%<br>• Aripiprazole = 13% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design              | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Perez-Iglesias,<br>2007<br>Spain          | 193/147/145                            | 17/8/128                                     | Haloperidol vs. Olanzapine vs. Risperidone<br>Weight gain (kg) 3.83 (4.89) vs. 7.46 (5.11) vs 5.58 (4.48) Haloperidol vs. Olanzapine P = 0.004, all other NS<br>BMI gain 1.36 (1.59) vs. 2.62 (1.78) vs 1.87 (1.47) Haloperidol vs. Olanzapine P = 0.008, all other NS   |
| Goes with Crespo-<br>Facorro 2006         |  |  | For other results see Crespo-Facorro 2006  |
| Potkin<br>2011<br>DB RCT<br>Single center | 520/307/307                            | 16/21/301                                    | <p>PANSS total:<br/>(N LS mean change SD P-value)<br/>Lurasidone 120 mg 139 -4.9 10.6 0.145<br/>Ziprasidone 160 mg 143 -2.9 15.5</p> <p>PANSS positive symptoms:<br/>(N LS mean change SD P-value)<br/>Lurasidone 120 mg 139 -1.5 3.8 0.464<br/>Ziprasidone 160 mg 143 -1.2 5.0</p> <p>PANSS negative symptoms:<br/>Lurasidone 120 mg 139 -1.3 3.2 0.046<br/>Ziprasidone 160 mg 143 -0.6 4.2</p> <p>PANSS general psychopathology:<br/>Lurasidone 120 mg 139 -2.1 5.9 0.218<br/>Ziprasidone 160 mg 143 -1.2 7.9</p> <p>CGI-S:<br/>Lurasidone 120 mg 139 -0.1 0.6 0.905<br/>Ziprasidone 160 mg 144 -0.1 0.7</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                    | <b>Study design</b> | <b>Adverse effects reported</b>  |
|--|---------------------|--|
| Perez-Iglesias, 2007<br>Spain          |                     | See Crespo-Facorro 2006  |
| Goes with Crespo-Facorro 2006          |                     |  |
| Potkin 2011<br>DB RCT<br>Single center |                     | Adverse event, N (%) (Lurasidone vs. Ziprasidone)<br>Arthralgia: 0 (0) vs. 3 (2.0)<br>Insomnia: 16 (10.7) vs. 14 (9.3)<br>Vomiting: 12 (8.0) vs. 6 (4.0)<br>Nausea: 11 (7.3) vs. 7 (4.6)<br>Headache: 10 (6.7) vs. 7 (4.6)<br>Somnolence: 10 (6.7) vs. 15 (9.9)<br>Anxiety: 7 (4.7) vs. 5 (3.3)<br>Sedation: 7 (4.7) vs. 17 (11.3)<br>Dry mouth: 6 (4.0) vs. 4 (2.6)<br>Fatigue: 5 (3.3) vs. 6 (4.0)<br>Dizziness: 4 (2.7) vs. 10 (6.6)<br>Nasopharyngitis: 4 (2.7) vs. 3 (2.0)<br>Restlessness: 4 (2.7) vs. 2 (1.3)<br>Schizophrenia: 4 (2.7) vs. 3 (2.0)<br>Constipation: 3 (2.0) vs. 3 (2.0)<br>Cough: 3 (2.0) vs. 3 (2.0)<br>Psychotic disorder: 3 (2.0) vs. 3 (2.0)<br>Diarrhea: 2 (1.3) vs. 5 (3.3)<br>Vision blurred: 0 (0) vs. 3 (2.0)<br>Patients with at least one AE: 85 (56.7) vs. 99 (65.6)<br>Proportion of AEs rated as severe: 10 (6.7) vs. 11 (7.3) |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                           |   |
|--|---|
| Study design                           | Extrapyramidal symptoms   |
| Perez-Iglesias, 2007<br>Spain          | See Crespo-Facorro 2006   |
| Goes with Crespo-Facorro 2006          |   |
| Potkin 2011<br>DB RCT<br>Single center | Extrapyramidal effects:<br>N (%) (Lurasidone vs Ziprasidone)<br><br>Akathisia: 5 (3.3) vs 10 (6.6)<br>Extrapyramidal disorder: 5 (3.3) vs 2 (1.3)<br>Muscle spasm: 1 (0.7) vs 3 (2.0)<br>Tremor: 0 (0) vs 4 (2.6) |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design              | Total withdrawals; withdrawals<br>due to adverse events | Comments                      |
|---|---|-------------------------------|
| Perez-Iglesias,<br>2007<br>Spain          |   | Goes with Crespo-Facorro 2006 |
| Goes with Crespo-<br>Facorro 2006         |   |                               |
| Potkin<br>2011<br>DB RCT<br>Single center | Withdrawals due to adverse events: N=33                 |                               |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |   |   | Age  |  |
|--|---|---|---|--|--|
| Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Gender<br>Ethnicity  | Other population characteristics   |
| Potkin 2007<br>DB RCT<br>21 sites<br>United States<br>Inpatient for first 3<br>wks | More than 18 yrs old with schizophrenia<br>CGI-S of 4 or more, PANSS 60 or more, 2<br>items on PANSS-P of 4 or more.  | Asenapine 5 mg bid it n= 58<br>Risperidone 3 mg bid it n=56<br>P it n=60<br>6 wks                         | Yes- zolpidem, zaleplon,<br>chloral hydrate,<br>benzodiazepines, lorazepam,<br>anticholinergic agents | Asenapine vs. P vs.<br>risperidone<br>Age 38 vs. 42 vs. 43<br>% men 78 vs. 79 vs.<br>61<br>% White 42 vs. 32<br>vs. 42<br>% Black 47 vs. 52 vs.<br>44<br>% Other 10 vs. 16 vs.<br>14 | Asenapine vs. P vs. risperidone<br>Type of schizophrenia<br>Paranoid 85% vs. 97% vs. 85%<br>Disorganized 2% 0 vs. 5%<br>Undifferentiated 12% vs. 2% vs. 7%<br>Not specified or obtained 2% vs. 2% vs.<br>3%<br>Baseline PANSS 96.5 vs. 92.4 vs. 92.2 |
| Potkin, 2003b<br>DB, RCT, P-<br>controlled, parallel,<br>multicenter<br>Inpatients | Acute, psychosis in patients diagnosed with<br>schizophrenia and schizoaffective disorder<br><br>Exclusion criteria:<br>psychiatric disorder other than<br>schizophrenia, schizoaffective disorder<br>requiring pharmacotherapy, history of<br>violence, recent history of suicide<br>ideation/attempts, clinically significant<br>neurological abnormality other than tardive<br>dyskinesia or EPS, current diagnosis of<br>psychoactive substance dependence,<br>history of alcohol/drug abuse, treatment<br>with an investigational study drug within 4<br>wks before washout, acute/unstable<br>medical condition | aripiprazole: 20 mg/d:(N=101)<br>aripiprazole: 30 mg/d:(N=101)<br>risperidone: 6 mg/d:(N=99)<br>P:(N=103) | NR  | Mean age: 38.9 ys<br>70% Male<br>Ethnicity NR  | 100% inpatient   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Potkin 2007<br>DB RCT<br>21 sites<br>United States<br>Inpatient for first 3<br>wks | NR / NR / NR                           | 107 / NR / 180                               | <p>Asenapine vs. P vs. risperidone</p> <p>Mean changes from baseline</p> <p>PANSS -15.9 vs. -5.3 vs. -10.9</p> <p>Asenapine vs. P P &lt; 0.005, risperidone vs. P P = NS</p> <p>CGI-S -0.74 vs. -0.28 vs. -0.75</p> <p>Asenapine or risperidone vs. P P &lt; 0.01. risperidone vs. P P &lt; 0.005</p> <p>PANSS-P -5.5 vs. -2.5 vs. -5.1</p> <p>Asenapine vs. P P = 0.01. risperidone vs. P P &lt; 0.05</p> <p>PANSS-N -3.2 vs. -0.6 vs. -1.05</p> <p>Asenapine vs. P P = 0.01, risperidone vs. P P = NS</p>  |
| Potkin, 2003b<br>DB, RCT, P-<br>controlled, parallel,<br>multicenter<br>Inpatients | NR/NR/404                              | 162/0/242                                    | <p>PANSS score: P-value=drug vs P</p> <p>Total: A20: -14.5 (p=.001) vs A30: -13.9 (p=.003) vs R6: -15.7 (p&lt;.001) vs P: -5.0</p> <p>BPRS score: A20: -3.5 (p=.004) vs A30: -3.3 (p=.01) vs R6: -3.9 (p&lt;.001) vs P: -1.7</p> <p>CGI-score: A20: -0.2 (p=.03) vs A30: -0.6 (p=.006) vs R6: -0.7 (p&lt;.001) vs P: -0.2</p> <p>Body weight:</p> <p>Mean increase in body weight from baseline to endpoint:</p> <p>A20: 1.2 kg vs A30: 0.8 kg vs R6: 1.5 kg vs P: -0.3 kg</p> <p>Serum Prolactin Levels:</p> <p>Mean changes in serum prolactin levels from baseline to endpoint:</p> <p>A20: -6.6 ng/mL vs A30: -6.4 ng/mL vs R6: 47.9 ng/mL vs P: 0.1 ng/mL</p> |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>       | <b>Study design</b>                          | <b>Adverse effects reported</b>                               |
|---------------------------|--|---|
| Potkin 2007               | DB RCT                                       | Asenapine vs. P vs. risperidone %                             |
| 21 sites                  | United States                                | Experienced one or more AEs 83 vs. 79 vs. 90                  |
| Inpatient for first 3 wks |  | Insomnia 19 vs. 13 vs. 22    Somnolence 19 vs. 13 vs. 15      |
|                           |  | Nausea 19 vs. 13 vs. 12    Anxiety 17 vs. 15 vs. 15           |
|                           |  | Agitation 15 vs. 24 vs. 19    Headache 14 vs. 27 vs. 22       |
|                           |  | Vomiting 14 vs. 11 vs. 5    Constipation 10 vs. 10 vs. 7      |
|                           |  | Psychosis 10 vs. 6 vs. 7    Dizziness 8 vs. 15 vs. 7          |
|                           |  | Dyspepsia 7 vs. 8 vs. 12    URTI 7 vs. 5 vs. 10               |
|                           |  | Pain 5 vs. 6 vs. 10    Fatigue 3 vs. 6 vs. 10                 |
|                           |  | Hypertonia 0 vs. 3 vs. 12                                     |
|                           |  | Greater than 7% weight gain 4.3 vs. 1.9 vs. 17.0              |
| Potkin, 2003b             | DB, RCT, P-controlled, parallel, multicenter | Whole body: A20: 58% vs A30: 61% vs R6: 53% vs P: 59%         |
| Inpatients                |  | CV system: A20: 1% vs A30: 7% vs R6: 15% vs P: 1%             |
|                           |  | Digestive System: A20: 65% vs A30: 52% vs R6: 66% vs P: 53%   |
|                           |  | Musculoskeletal System: A20: 6% vs A30: 6% vs R6: 7% vs P: 5% |
|                           |  | Respiratory System: A20: 9% vs A30: 17% vs R6: 22% vs P: 8%   |
|                           |  | Skin and appendages: A20: 7% vs A30: 11% vs R6: 8% vs P: 7%   |
|                           |  | Blurred vision: A20: 3% vs A30: 5% vs R6: 8% vs P: 1%         |
|                           |  | Urogenital System: A20: 1% vs A30: 4% vs R6: 1% vs P: 3%      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                                 |   |
|--|---|
| Study design                                 | Extrapyramidal symptoms   |
| Potkin 2007                                  | Asenapine vs. P vs. risperidone   |
| DB RCT                                       | Mean change from baseline   |
| 21 sites                                     | BAS -0.21 vs. 0.25 vs. 0.14   |
| United States                                | SAS -0.32 vs. -0.24 vs. 0.05  |
| Inpatient for first 3 wks                    | AIMS 0.04 vs. 0.46 vs. -0.02  |
| Potkin, 2003b                                | Incidence of EPS-related AEs:   |
| DB, RCT, P-controlled, parallel, multicenter | A20: 32 vs A30: 31% vs R6: 31% vs p: 20%  |
| Inpatients                                   | Mean change in Simpson-Angus Scale scores from baseline to endpoint:<br>A20: -0.16 vs A30: -0.09 vs R6: -0.18 vs p: -0.29                               |
|  | Mean change in Barnes Akathisia Rating Scale Global Scores from baseline to endpoint:<br>A20: 0.15 vs A30: 0.18 vs R6: 0.14 vs P: 0.11                  |
|  | Mean change in Abnormal Involuntary Movement Scale scores from baseline to endpoint:<br>A20: -0.27 vs A30: -0.5 vs R6: -0.6 (p=.03 against p) vs p: 0.1 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--|---|-----------------|
| Potkin 2007<br>DB RCT<br>21 sites<br>United States<br>Inpatient for first 3<br>wks | Asenapine vs. p. vs. risperidone<br>107 (59%) (54% vs. 58% vs. 66%) WD<br>17 (9.4%) (10.2% vs. 6.8% vs. 11.3%) due to AEs |                 |
| Potkin, 2003b<br>DB, RCT, P-<br>controlled, parallel,<br>multicenter<br>Inpatients | 162 total WD<br>44 due to AEs   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|--|--|--|---|---|
| Potkin, 2006<br>DB, RCT<br>Rupnow 2007 | 18-64 ys of age; DSM-IV diagnosis of schizophrenia (paranoid, disorganized, or undifferentiated type) or schizoaffective disorder confirmed by M.I.N.I.-Plus; experiencing acute exacerbation of their illness of recent onset (within 4 wks) with prominent troublesome symptoms requiring hospitalization; score $\geq 4$ on at least two of the following items on the PANSS: Hostility, Excitement, Tension, Uncooperativeness, and Poor Impulse Control, and a total score on these 5 items $\geq 17$<br><br>Exclusion criteria: any Axis I diagnosis, except abuse/dependence disorders; an Axis II diagnosis of MR or borderline personality disorder; treatment-resistant schizophrenia; imminent risk for self harm; having received a depot antipsychotic within one dosing cycle prior to baseline; having received risperidone or quetiapine within 7 ds prior to baseline; known allergy or sensitivity to either drugs; evidence of a clinically significant or unstable disease, including a thyroid disorder not stabilized for at least 3 mos | Risperidone (n=153): titrated from 1 mg/d to target dose 4 mg/d ( $\leq 70$ kg) or 6 mg/d ( $> 70$ kg) by d 5.<br><br>Quetiapine (n=156): titrated from 50 mg/d to target dose of 400 mg/d ( $\leq 70$ kg) or 600 mg/d ( $> 70$ kg).<br><br>P (n=73).<br><br>After d 5, patients maintained on same dose except that investigators were able to increase dose of quetiapine to 600 mg/d ( $\leq 70$ kg) or 800 mg/d ( $> 70$ kg) on d 8.<br><br>Mean (SD) doses at the additive therapy baseline:<br>Risperidone: 4.7 (0.9) mg/d<br>Quetiapine: 579.0 (128.9) mg/d | Use of other psychotropic medications prohibited during monotherapy phase (ds 1-14); however, short-acting, non-benzodiazepine hypnotics (e.g., zolpidem, zaleplon, zopiclone) for treating insomnia, and injectable lorazepam, sodium Amytal, or midazolam for treating agitation or restlessness permitted as needed.<br><br>After d 14, investigator could prescribe any psychotropic medication deemed necessary, except specifically prohibited medications (drugs known to interact with the cytochrome P450 isoenzymes CYP2D6 and CYP3A4, and drugs with potential thyroid toxicity); benztropine mesylate or equivalent treatment for movement disorders permitted as needed | risperidone vs. quetiapine vs. P<br><br>Mean age (SD): 34.7 (9.6) vs. 34.2 (9.8) vs. 36.1 (9.8)<br>% male: 69% vs. 64% vs. 63%<br>% white: 26% vs. 25% vs. 23%<br>% Hispanic: 0.65% vs. 2% vs. 1%<br>% Black: 14% vs. 13% vs. 15%<br>% Asian: 59% vs. 60% vs. 60%<br>Other: 0 vs. 0.64% vs. 0 | risperidone vs. quetiapine vs. P<br><br>Schizophrenia: 92% vs. 93% vs. 90%<br>Schizoaffective disorder: 8% vs. 7% vs. 10%<br><br>ds since onset of symptoms Mean (SD):<br>15.3 (6.6) vs. 15.6 (7.0) vs. 16.6 (6.9)<br><br>Mean PANSS scores:<br>Total: 95.0 (18.0) vs. 97.3 (19.1) vs. 94.3 (18.2)<br>Total of 5 items for inclusion: 20.6 (2.7) vs. 20.7 (2.7) vs. 20.9 (2.6)<br><br>Mean CGI-S: 5.4 (0.5) vs. 5.4 (0.5) vs. 5.4 (0.6) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                                       | Results   |
|--|--|--|---|
| Potkin, 2006<br>DB, RCT<br>Rupnow 2007 | 400/382/382                            | Monotherapy phase<br>(ds 1-14)<br>ITT population: 379<br>Safety population:<br>382 | <p>Monotherapy Phase Endpoint risperidone vs. quetiapine vs. P (p-values risperidone vs. quetiapine):</p> <p>PANSS<br/>Total: -27.7 (1.5) vs. -20.5 (1.5) vs. -20.2 (2.0) ; P&lt;0.01<br/>Total of 5 items for inclusion: -9.4 (0.4) vs. -7.8 (0.4) vs. -6.9 (0.6); P&lt;0.01<br/>&gt;= 30% improvement [number (%) of subjects achieving this level of improvement: 76 (50%) vs. 56 (36%) vs. 26 (37%); P&lt;0.01</p> <p>PANSS-Marder Factors (LS mean change from baseline value):<br/>Positive symptoms: -8.7 (0.5) vs. -5.9 (0.5) vs. -5.3 (0.7); P&lt;0.01<br/>Negative symptoms: -4.0 (0.4) vs. -2.5 (0.4) vs. -3.5 (0.6); P&lt;0.01<br/>Disorganized thoughts: -4.1 (0.4) vs. -2.6 (0.4) vs. -3.0 (0.5); P&lt;0.01<br/>Hostility/excitement: -7.9 (0.4) vs. -6.5 (0.3) vs. -5.9 (0.5); P&lt;0.01<br/>Anxiety/depression: -3.1 (0.2) vs. -2.8 (0.2) vs. -2.6 (0.3)</p> <p>CGI:<br/>Mean change CGI-S: -1.8 (0.1) vs. -1.3 (0.1) vs. -1.1 (0.1); P&lt;0.01<br/>Mean (SE) CGI-C: 2.4 (0.1) vs. 2.9 (0.1) vs. 2.9 (0.1); P&lt;0.01<br/>Responders: 68 (45%) vs. 43 (28%) vs. 17 (24%); P&lt;0.01<br/>HAM-D-17: -5.6 (0.4) vs. -5.0 (0.4) vs. -4.4 (0.5); P=NR<br/>MSQ, mean (S.E.): 5.2 (0.1) vs. 4.7 (0.1) vs. 4.5 (0.2); P&lt;0.01<br/>RDQ yes: 84 (56%) vs. 59 (38%) vs. 22 (32%); P&lt;0.01</p> <p>Results from the 28 d additive therapy phase: Risperidone vs Quetiapine (Rupnow 2007)<br/>Mean (SD) change in PANSS total score: -34.5 (1.6) vs -30.9 (1.6), p=NS<br/>% with ≥30% improvement: 68% vs 62%, p=NS<br/>Mean( SD) change in CGI severity: -2.3 (0.1) vs -2.0 (0.1), p&lt;0.05<br/>Additional psychotropics received: 36% vs 53%, p&lt;0.001<br/>Antipsychotics: 33% vs 53% (risperidone vs quetiapine vs P p&lt;0.01)<br/>Antidepressants: 5% vs 1%<br/>mood stabilizers: 2% vs 2%<br/>RR quetiapine vs risperidone of antipsychotic polypharmacy: 1.90 (p=0.001; 95% CI 1.29-2.80)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Adverse effects reported</b>  |
|---------------------|--|
| <b>Study design</b> |  |
| Potkin, 2006        | Monotherapy Phase (risperidone vs. quetiapine vs. P):  |
| DB, RCT             |  |
| Rupnow 2007         | <p>At least one TEAE: 100 (65%) vs. 97 (62%) vs. 44 (60%)</p> <p>Insomnia: 29 (19%) vs. 22 (14%) vs. 17 (23%)</p> <p>Headache: 22 (14%) vs. 18 (12%) vs. 10 (14%)</p> <p>Sedation: 10 (7%) vs. 15 (10%) vs. 5 (7%)</p> <p>Somnolence: 4 (3%) vs. 16 (10%) vs. 2 (3%)</p> <p>Dizziness: 9 (6%) vs. 16 (10%) vs. 3 (4%)</p> <p>Cogwheel rigidity: 11 (7%) vs. 5 (3%) vs. 1 (1%)</p> <p>Akathisia: 11 (7%) vs. 1 (&lt;1%) vs. 1 (1%)</p> <p>Constipation: 8 (5%) vs. 14 (9%) vs. 2 (3%)</p> <p>AE from the 28 d additive therapy phase: Risperidone vs Quetiapine (Rupnow 2007)</p> <p>Headache: 6% vs 4%</p> <p>Cogwheel rigidity: 5% vs 3%</p> <p>weight gain: 5% vs 3%</p> <p>tremor: 5% vs 4%</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |   |
|--------------|---|
| Study design | Extrapyramidal symptoms   |
| Potkin, 2006 | Monotherapy Phase (risperidone vs. quetiapine vs. p):   |
| DB, RCT      |   |
| Rupnow 2007  | AIMS total score (mean change from baseline): 0.3 (0.2) vs. -0.1 (0.2) vs. -0.1 (0.3)<br>SAS total score (mean change from baseline): 0.8 (0.2) vs. -0.1 (0.2) vs. -0.1 (0.3); P<0.01 |
|              | BAS-Global Severity of Akathisia, Change from baseline [N (%)]:   |
|              | Worsened: 22 (15) vs. 10 (7%) vs. 5 (8%)  |
|              | Unchanged: 114 (78%) vs. 115 (79%) vs. 51 (77%)   |
|              | Improved: 10 (7%) vs. 20 (14%) vs. 10 (15%)   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b>   |
|--|--|---|
| Potkin, 2006<br>DB, RCT<br>Rupnow 2007 | Risperidone vs. quetiapine vs. p<br>14 vs. 24 vs. 13<br><br>WD due to AEs NR for monotherapy phase (ds 1-14) | All results are for monotherapy phase (2<br>wks), not additive therapy phase, per<br>Sujata's instructions. |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                 |                                   |   |                                  | <b>Age</b>      |   |
|-------------------------------------|-----------------------------------|---|----------------------------------|-----------------|---|
| <b>Study design</b>                 | <b>Eligibility criteria</b>       | <b>Interventions<br/>(drug, dose, duration)</b> | <b>Allowed other medications</b> | <b>Gender</b>   | <b>Other population characteristics</b> |
| Purdon, 2000                        | Schizophrenia; 'early phase'—     | Olanzapine: 5–20 mg/d;                          | No other antipsychotics, but     | Mean age: 29 ys | Mean duration of disease 2.63           |
| David, 1999                         | first 5 ys of illness, PANSS < 90 | Risperidone: 4–10 mg/d;                         | other meds allowed as needed     | 71% male        | PANSS total: NR                         |
| Jones, 1998                         |                                   | Haloperidol: 5–20 mg/d;                         |                                  | Ethnicity NR    |   |
| DB, RCT,<br>multicenter<br>(Canada) |                                   | Duration: 54 wks.                               |                                  |                 |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled                              | Withdrawn/<br>Lost to follow-up/<br>Analyzed                   | Results   |
|---|---|--|---|
| Purdon, 2000<br>David, 1999<br>Jones, 1998<br>DB, RCT,<br>multicenter<br>(Canada) | NR/NR/65<br>olanzapine = 21<br>risperidone = 21<br>haloperidol = 23 | 37/NR/65 for<br>symptoms, 55 for<br>neurocognitive<br>outcomes | Olanzapine/risperidone (p-value)<br>Symptoms:<br>Mean change PANSS total: NR<br>Mean change PANSS positive: -2.14/-1.19 (0.72)<br>Mean change PANSS negative: -2.76/-0.67 (0.72)<br>Mean change PANSS gen psychopathology: -2.52/-1.33 (0.92)<br>NR: QOL, resource utilization<br>Cognitive outcomes:<br>Cognitive Domains: olanzapine superior to risperidone on 2 of 6 domains:<br>Motor skills: mean change o/r (p-value)<br>0.90/0.08 (p=0.04)<br>Nonverbal fluency and construction:<br>0.81/-0.09 (p=0.006)<br>Individual measures:<br>olanzapine superior on 4 of 18 (grooved pegboard, verbal list learning, Hooper visual organization test, Rey-Taylor complex figure copy)<br>General Cognitive Index: Comparison of change from baseline to wk 54:<br>olanzapine superior to risperidone (data NR) p=0.004<br>Within group changes significant at:<br>olanzapine: wk 6, 30 and 54<br>risperidone: wk 54 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>                 |
| Purdon, 2000        | ESRS: olanzapine/risperidone (p-value)          |
| David, 1999         | Total score NR                                  |
| Jones, 1998         | Parkinsonism: -1.43/+1.33 (p=0.14)              |
| DB, RCT,            | Dystonia: -0.05/-0.14 (p=0.91)                  |
| multicenter         | Dyskinesia: -0.57/+0.19 (p=0.12)                |
| (Canada)            | Receiving EPS meds within 48hrs of last visit:  |
|                     | olanzapine: 3/20 (15%), risperidone: 9/20 (45%) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Extrapyramidal symptoms</b>                  |
| Purdon, 2000        | ESRS: olanzapine/risperidone (p-value)          |
| David, 1999         | Total score NR                                  |
| Jones, 1998         | Parkinsonism: -1.43/+1.33 (p=0.14)              |
| DB, RCT,            | Dystonia: -0.05/-0.14 (p=0.91)                  |
| multicenter         | Dyskinesia: -0.57/+0.19 (p=0.12)                |
| (Canada)            | Receiving EPS meds within 48hrs of last visit:  |
|                     | olanzapine: 3/20 (15%), risperidone: 9/20 (45%) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>                           | <b>Comments</b>   |
|--------------------------------------|---|---|
| Purdon, 2000                         | Overall 37 (57%)  | Analysis of effect of Anti-EPS meds on cognitive outcomes revealed one domain where significant effects were apparent at 6 and 54 wks (immediate recall). |
| David, 1999                          | olanzapine: 43%   |   |
| Jones, 1998                          | risperidone: 67%  |   |
| DB, RCT,                             | haloperidol 61%   |   |
| multicenter<br>(Canada)              | Due to AEs:12 (18%)<br>olanzapine: 2 (9.5%)<br>risperidone 3 (14%)<br>haloperidol 7 (30%) |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design        | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity   | Other population characteristics   |
|-------------------------------------|---|---|--|--|--|
| QUEST; Mullen, 2001                 | Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia  | Quetiapine 50-800 mg/d in divided doses (maximum mean dose=329 mg/d)<br>Risperidone 1-3 mg/d in divided doses (maximum mean dose=5 mg/d at d 64, and 4.65 by d 112) | Any mood stabilizers or antidepressants prescribed must have been at a stable dose for at least 2 wks before randomization | Mean age=45.4<br>51.1% male<br>73.1% white<br>16.7% black<br>5.9% Hispanic<br>2.7% Asian<br>1.5% other | DSM-IV diagnosis<br>Schizophrenia: 32.5%<br>Schizoaffective disorder: 29.5%<br>Bipolar I disorder: 13.3%<br>Major depressive disorder: 10.4%<br>Delusional disorder: 1.9%<br>Alzheimer's dementia: 1.4%<br>Schizophreniform disorder: 0.9%<br>Other medical dementia: 0.7%<br>Vascular dementia: 0.1%<br>Substance abuse dementia: 0.1%<br>Other: 7%<br>Age at first diagnosis: 28.6<br>Psychiatric hospitalizations in last 4 mos: 0.3<br>Duration of current symptoms: 163 wks<br>Use of illicit drugs<br>Past use: 32.2%<br>Current use: 4.1%<br>Current alcohol problem: 6.2%<br>Previous alcohol problem: 30.4% |
| Reinstein, 1999<br>(QUEST subgroup) | Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia. | Quetiapine: flexible (mean 253.9 mg/d); oral<br>Risperidone: flexible (mean 4.4 mg/d); oral<br>Duration: 4 mos  | NR   | NR   | adult outpatients with psychotic disorders   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                      | Results   |
|--|--|---|---|
| QUEST; Mullen,<br>2001                 | NR/NR/728                              | 32.2%<br>withdrawn/lost to fu<br>NR/analyzed varied<br>by outcome | <p>Quetiapine, risperidone, p-value</p> <p>WD due to lack of efficacy: 57 (10.3%), 10 (5.8%)</p> <p>Mean changes:</p> <p>PANSS positive score: -3.2 vs -2.5, p=NS</p> <p>PANSS negative score: -3.1 vs -2.8, p=NS</p> <p>PANSS total score: -13 vs -11.8, p=NS</p> <p>HAM-D: -5.4 vs -4.0, p=0.028</p> <p>CGI-I: quetiapine=risperidone (logistic regression model adjusting for differences in baseline EPS, diagnoses, age, and age at diagnosis p=0.087)</p> |
| Reinstein, 1999<br>(QUEST<br>subgroup) | NR/NR/751                              | NR  | <p>CGI; PANSS; DAI-10</p> <p>Both groups had improvements in all efficacy measures (NS). Higher percentage from quetiapine group had improvement in the CGI at each visit compared with risperidone group</p> <p>HAM-D:</p> <p>Quetiapine group had significantly greater improvement than risperidone group (p= 0.028)</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>   |
|---------------------|---------------------|---|
| QUEST; Mullen, 2001 |                     | <p>Deaths: 0 vs 4 (2.3%)</p> <p>Any event 400 (72.3%), 107 (61.1%), NS</p> <p>Somnolence: 173 (31.3%), 27 (15.4%), <math>p&lt;0.05</math></p> <p>Dry mouth: 80 (14.5%), 12 (6.9%), <math>p&lt;0.05</math></p> <p>Dizziness: 70 (12.7%), 12 (6.9%), <math>p&lt;0.05</math></p> <p>Insomnia: 65 (11.8%), 17 (9.7%), NS</p> <p>Headache: 52 (9.4%), 11 (6.3%), NS</p> <p>Agitation: 34 (6.1%), 3 (1.7%), <math>p&lt;0.05</math></p> <p>WDs due to</p> <p>Dry mouth: 2 (0.4%), 1 (0.6%)</p> <p>Dizziness: 6 (1.1%), 0</p> <p>Weight gain: 14 (2.5%), 6 (3.4%), p-value nr</p> <p>Weight loss: 4 (0.7%), 0</p> |
| Reinstein, 1999     |                     | NR  |
| (QUEST subgroup)    |                     |   |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>              |   |
|----------------------------------|---|
| <b>Study design</b>              | <b>Extrapyramidal symptoms</b>  |
| QUEST; Mullen, 2001              | <p>Quetiapine, risperidone</p> <p>Patients reporting EPS at LOCF: 38.6%, 39.2%, logistic regression model of the presence of any EPS in mos 1-4 showed odds of a risperidone-treated patient having any EPS event were 1.33 times the odds of a quetiapine-treated patient having any EPS event, p=NS</p> <p>At least moderate EPS during trial: 161 (29.8%), 70 (40.9%); 1.94 times the odds for risperidone, p=0.003</p> <p>Substantial EPS: 38 (7%), 35 (20.5%); 3.5 time the odds for risperidone, p&lt;0.001</p> <p>Anti-EPS medication use in patients with baseline EPS: 93/293 (31.7%), 47/91 (51.6%), p&lt;0.001</p> |
| Reinstein, 1999 (QUEST subgroup) | <p>EPS checklist: extrapyramidal events in both groups declined over treatment period, with no significant differences between groups in overall occurrence; risperidone group more likely to have extrapyramidal event and more likely (p &lt; 0.001) to be one requiring adjustment of study medication or adjunctive medication than quetiapine group</p>  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design           | Total withdrawals; withdrawals<br>due to adverse events      | Comments |
|--|--|----------|
| QUEST; Mullen,<br>2001                 | WD: 176 (31.8%), 59 (33.7%)WD due to AE: 48 (8.7%), 9 (5.1%) |          |
| Reinstein, 1999<br>(QUEST<br>subgroup) | NR / NR  |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                           |   |   |                           | Age          |  |
|--|---|---|---------------------------|--------------|--|
| Study design                           | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications | Gender       | Other population characteristics               |
| Ritchie, 2003                          | Patients > 60 with schizophrenia taking typical antipsychotics (depot or oral). | Starting dose:  | NR                        | Mean age 70  | Mean chlorpromazine equivalents                |
| Ritchie, 2010                          |   | Olanzapine 5mg/d; 10mg after washout complete   |                           | 19% male     | Depot 326mg                                    |
| Pragmatic RCT, multicenter (Australia) |   | mean dose after switch: 9.9mg   |                           | Ethnicity NR | Oral 273mg                                     |
|  |   | Risperidone 0.5mg/d, 1mg after washout complete   |                           |              | 48.5% had TD at baseline                       |
|  |   | mean dose after switch: 1.7mg   |                           |              | Mean non-psychotropic drugs: 2.0/patient       |
|  |   | Doses titrated by unblinded clinicians  |                           |              | Mean major physical ailments: 1.2/patient      |
|  |   | Duration: "Completion of switch"; stable dose of atypical and not on typical for 2 consecutive visits. Visit schedule = 14 ds for those previously on oral neuroleptics, and "dose cycle: for depot drugs |                           |              | Mean major surgical procedures (lifetime): 0.4 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled        | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|---|--|---|
| Ritchie, 2003<br>Ritchie, 2010<br>Pragmatic RCT,<br>multicenter<br>(Australia) | 80/74/66<br>olanzapine: 34<br>risperidone: 32 | 14/0/61                                      | <p>Successful Switch:<br/>Crude OR 2.7(95% CI 0.7 to 10.2)*<br/>*Not based on an ITT population<br/>Recalculated crude RR based on ITT: O vs R<br/>1.28 (95% CI 0.99 1.74)<br/>Mean time to complete switch:<br/>olanzapine 40.6 ds<br/>risperidone 40.4 ds<br/>Symptoms:<br/>NS difference between groups on change in BPRS, SANS, MADRS<br/>SS improvement within groups on BPRS, SANS, MADRS<br/>QOL:<br/>Olanzapine: within group SS change on physical, psychological well-being and health satisfaction<br/>Risperidone: within group changes NS<br/>O vs R: SS difference on change in psychological well-being score (p=0.002) (ANCOVA analysis)</p> <p>Cox regression estimate of the rate or progression to cessation of (a) originally randomized medication in patients assigned to olanzapine or risperidone and (b) in patients treated with oral medication over acute study phase:<br/>Adjusted OR (95% CI), P<br/>(a) Medication group Risperidone: 2.55 (0.91, 7.14), 0.075<br/>(b) Pre-randomization Medication route Depot: 2.63 (0.97, 7.13), 0.057</p> <p>Cox regression estimate of the rate of progression to cessation of (a) in patients treated with oral medication or depot, (b) originally randomized medication in patients assigned to olanzapine or risperidone, and compared to (c) baseline BPRS<br/>Adjusted OR (95% CI), P<br/>(a) Medication group Risperidone: 1.73 (0.79, 3.80), 0.170<br/>(b) Pre-randomization Medication route Depot: 2.19 (0.99, 4.86), 0.054<br/>(c) Baseline BPRS: 1.02 (0.99, 1.06), 0.210</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                          | <b>Study design</b> | <b>Adverse effects reported</b>  |
|--|---------------------|--|
| Ritchie, 2003                                |                     | SAS and BARS:  |
| Ritchie, 2010                                |                     | SS change from baseline (reduction) in both groups   |
| Pragmatic RCT,<br>multicenter<br>(Australia) |                     | NS difference between groups<br>AIMS:<br>SS change from baseline in olanzapine group, not in risperidone group;<br>NS difference between groups<br>Other:<br>Sedation and hypotension/dizziness > olanzapine (NS)<br>GI symptoms > risperidone (NS)<br>Changes in libido (increases) > olanzapine (NS)<br>Weight gain: SS within groups<br>mean increase: olanzapine 2.8kg, risperidone 2.1kg (NS) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                                 |   |
|--|---|
| Study design                                 | Extrapyramidal symptoms   |
| Ritchie, 2003                                | SAS and BARS:   |
| Ritchie, 2010                                | SS change from baseline (reduction) in both groups  |
| Pragmatic RCT,<br>multicenter<br>(Australia) | NS difference between groups<br>AIMS:<br>SS change from baseline in olanzapine group, not in risperidone group;<br>NS difference between groups |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|--|---|--|
| Ritchie, 2003<br>Ritchie, 2010<br>Pragmatic RCT,<br>multicenter<br>(Australia) | 14 (21%) total WD<br><br>3 (in risperidone arm = 9%) due to AEs | Not ITT.<br>Only switch data presented, 6-mo and 1 y<br>FU data to come. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |   |  | Age   |   |
|--|--|---|--|---|---|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Gender  | Other population characteristics                            |
| Ritchie, 2006<br>Open-label x 6<br>mos, multicenter<br>(Australia) | > 60 ys of age, previously treated with a typical antipsychotic drug for schizophrenia, imperfect symptom control or troublesome side effects on the typical drug and have had to complete cross-over Ritchie, 2003 study. | O: (n = 34), [30 pts had successfully switched from a typical antipsychotic]<br>R: (n = 32) [22 had successfully switched from a typical antipsychotic] | Concomitant medications were permitted throughout the trial, except for additional antipsychotic agents. | Mean age:<br>O: 69.7 ± 7.3<br>R: 69.4 ± 5.0 p=0.973<br>Gender (%) male:<br>O: 10 (29.4%)<br>R: 8 (29.6%)<br>% unmarried:<br>O 28 (82.4%)<br>R: 20 (74.1%) | "No clinical or demographic differences between the groups" |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                               | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--|--|---|---|
| Ritchie, 2006<br>Open-label x 6<br>mos, multicenter<br>(Australia) | NA/NA/61                                       | 8/0/61  | <p>BPRS</p> <p>Overall, between BL and 6 mo follow-up: O: p=0.001; R: p= 0.044<br/>Between end of crossover and 6-mo follow-up: O: p=0.329; R: p=0.511<br/>Group differences at 6-mo follow-up (ANCOVA); p=0.303</p> <p>SANS</p> <p>Between BL and 6 mo follow-up: O: p= 0.002; R: p= 0.030<br/>Between end of crossover and 6 mo follow-up: O: p=0.159; R: p=0.194<br/>Group differences at 6 mo follow-up (ANCOVA): p= 0.212</p> <p>MADRS</p> <p>Between BL and 6 mo follow-up: O: p=0.008; R: 0.p=114<br/>Between end of crossover and 6 mo follow-up: O: p=0.549; R: p=0.156<br/>Group differences at 6 mo follow-up (ANCOVA): p=0.402</p> <p>WHO-QOL: O: (n=29); R (n=21) (adjusted mean group differences on 6 mo domains after co-varying for BL QOL. All effects favored Olanzapine<br/>Physical: p=0.034;<br/>Psychological: p=0.100 (NS)<br/>Social: p=0.015<br/>Environmental: p=0.643 (NS)<br/>Overall QOL: p=0.040<br/>Health Satisfaction p=0.031</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                         | <b>Adverse effects reported</b>  |
|---------------------|---|--|
| Ritchie, 2006       | Open-label x 6 mos, multicenter (Australia) | <p>Weight gain between BL and 6 mo: O (n=34) gained an average of 4.3 kg (SD =4.6, median=3.0kg) vs. R: (n=27) average gain 1.7kg (SD=4.7; median 1.0kg) (difference p=NS)</p> <p>Between BL and 6 mo: O 24/34 (70.6%) gained mean increase 7.3 kg; median 6.0kg vs. R 14/27 (51.9%) gained mean increase =4.6kg; median =4.0 kg) (difference p=NS)</p> <p>MMSE scores stable (between BL and 6 mo follow-up) (mean difference, p=NS)</p> <p>AE occurring &gt; 5%: O vs. R</p> <p>GI: 14 vs. 7</p> <p>CNS: 9 vs. 4</p> <p>Musculoskeletal 6 vs. 3</p> <p>Psychiatric: 7 vs. 5 -- not captured specifically in study rating scales.</p> <p>Infection 8 vs. 6</p> <p>CVS: 7 vs. 10</p> <p>Renal: 0 vs. 5</p> <p>Dermatological: 3 vs. 3</p> <p>Endocrine: 6 vs. 0</p> <p>Total AE: 61 vs. 36--"no significant differences observed between the two groups"</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                         |   |
|---|---|
| <b>Study design</b>                         | <b>Extrapyramidal symptoms</b>  |
| Ritchie, 2006                               | AIMS  |
| Open-label x 6 mos, multicenter (Australia) | <p>At 6-mo after adjusting for BL: NS</p> <p>Overall, between BL and 6 mo follow-up: O: (p=0.054); R (p=0.964)</p> <p>Between end of crossover and 6-mo follow-up: O: (p=0.622); R: (p=0.055), Group differences at 6-mo follow-up (ANCOVA); p=0.190</p> <p>SAS:</p> <p>Between BL and 6-mo followup: O: p=0.001; R: p&lt;0.001</p> <p>Between end of crossover and 6 mo follow-up: O: p=0.273; R: p=0.249</p> <p>Between-group differences at 6 mos after controlling for BL scores; p=0.647</p> <p>Akathisia:</p> <p>6 mo: (R: n=9, 33.3%; O n=10, 29.4%)-experienced some degree of post-baseline akathisia (mostly mild/moderate in degree). Of the 19, 9 (O=6, 17.6%; R n=3, 11.1%) were new cases who had not experienced akathisia at baseline. NS</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                               | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>  |
|--|---|--|
| Ritchie, 2006<br>Open-label x 6<br>mos, multicenter<br>(Australia) | 26 (O: 9 (26.5%); R 15 (46.9%) p=0.09 (NS)/6 (2 in the o arm and 4 in the R arm. In the O group, there were 61 Total AE (1.79 per patient) vs. 36 in the R group (1.33 per patient) | Unable to recruit target population of 80 patients...post-hoc power calculation --N was sufficient for analysis. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|---|---|--|---|--|---|
| Robinson, 2006<br>(Companion paper<br>to Lieberman<br>2003, Green 2004,<br>Perkins 2004)<br>Sevy, 2011<br>USA- NY | Current diagnosis of DSMIV schizophrenia, schizophreniform disorder, or schizoaffective disorder; age 16 to 40; < 12 wks of lifetime antipsychotic medication treatment; current positive symptoms or current negative symptoms; for women, a negative pregnancy test and agreement to use a medically accepted method of birth control<br><br>Exclusion- meeting DSM-IV criteria for a current substance induced psychotic disorder, psychotic disorder due to a general medical condition, or mental retardation; medical condition/ treatment known to affect the brain; any medical condition requiring treatment with a medication with psychotropic effects; medical contraindications to treatment with olanzapine or risperidone; significant risk of suicidal or homicidal behavior. | olanzapine (2.5–20 mg/d)<br>risperidone (1–6 mg/d).<br>4 mos   | Benztrapine for extrapyramidal symptoms and lorazepam or propranolol for akathisia.   | Mean age 23.3 ys<br>Male 70%<br>"diverse ethnic backgrounds" no specifics reported | Onset of psychotic symptoms=slightly over 2 ys<br><br>Antipsychotic medication naïve (% patients)=78%<br><br>Diagnosis (% patients):<br>Schizophrenia=75%<br>Schizophreniform disorder=17%<br>Schizoaffective disorder=8% |
| Robles, 2011<br>Spain   | 12-18 years; First episode psychosis diagnosed using the Kiddie-Sads-Present and Lifetime Version   | Quetiapine: n, 24; mean dosage, 532.8mg/d; mean duration, 143.75±68 days<br><br>Olanzapine: n, 26; mean dosage, 9.7mg/d; mean duration, 144.1±62.5 days<br><br>study duration=6 mo | Prior to Randomization, all patients: Risperidone 2-6mg for 3-5 days for stabilization. Adjunctive pharmacological treatments were allowed, but other antipsychotic medications were not allowed. | Age, mean years: 16<br>Gender: 22.4% female<br>Ethnicity: 81.6% caucasian          | Diagnosis: 32.7% Schizophrenia, 26.5% Bipolar disorder, 40.8% Other psychoses<br>Time since first psychotic symptom: delusions, 5 months; hallucinations, 3 months<br>Naïve to antipsychotics: 77.6%<br>IQ, mean: 78.85   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Robinson, 2006<br>(Companion paper<br>to Lieberman<br>2003, Green 2004,<br>Perkins 2004)<br>Sevy, 2011<br>USA- NY | 474/120/120                            | 23/8/112                                     | <p>Response rates olanzapine (43.7%, 95% CI=28.8%–58.6%) and risperidone (54.3%, 95% CI=39.9%–68.7%).</p> <p>Response rates did not differ between tx groups (survival analysis): response rates @ 16 wks olanzapine (45%, 95% CI: 25-65%) and risperidone (54%, 95% CI: 29-79%); NSD (P=0.68)</p> <p>Comparison of baseline and 16 week positive and negative sx (Olanzapine vs. Risperidone):<br/> Olanzapine (baseline mean (SD), 16 wk mean (SD)) vs. Risperidone (baseline mean (SD), 16 wk mean (SD)), mixed model P<br/> Positive sx:<br/> Delusions: 5.5 (0.6), 2.7 (1.6) vs. 5.4 (0.6), 2.6 (1.7), 0.47<br/> Hallucinations: 4.6 (1.6), 2.0 (1.6) vs. 5.0 (0.9), 1.8 (1.2), 0.23<br/> Thought disorder: 7.3 (3.5), 4.5 (2.6) vs. 6.6 (3.7), 3.6 (0.8), 0.49<br/> Total: 19.8 (4.3), 10.6 (4.3) vs. 19.2 (4.7), 9.1 (2.9), 0.84<br/> Baseline negative sx:<br/> Affective flattening/blunting: 2.0 (1.1), 2.0 (1.0) vs. 2.1 (1.3), 2.5 (1.1), 0.12<br/> Alogia: 2.0 (1.0), 1.8 (0.8) vs. 1.8 (1.1), 2.2 (1.1), 0.75<br/> Avolition-apathy: 3.1 (1.2), 3.0 (1.1) vs. 3.0 (1.3), 2.9 (0.9), 0.81<br/> Asociality-anhedonia: 3.1 (1.1), 2.7 (1.1) vs. 3.3 (1.0), 2.6 (1.1), 0.50</p> |
| Robles, 2011<br>Spain   | 53/53/50                               | 17/7/32                                      | <p>Symptom improvement over time (baseline vs. day 7 vs. day 15 vs. 30 vs. day 90 vs. 6 months):<br/> PANSS positive, mean (SD):<br/> Quetiapine: 22.3 vs. 17.2 vs. 14.8 vs. 13.5 vs. 13.3 vs. 13.6; W=-2.028, P=0.043<br/> Olanzapine: 27.3 vs. 17.9 vs. 15.3 vs. 14.6 vs. 11.1 vs. 12.9; W=-2.366, P=0.018<br/> PANSS negative, mean (SD):<br/> Quetiapine: 20.6 vs. 17.1 vs. 15.6 vs. 16.3 vs. 15.1 vs. 15.4; W=-2.533, P=0.011<br/> Olanzapine: 26.1 vs. 23.1 vs. 21.1 vs. 18.5 vs. 18.4 vs. 20.9; W=-0.210, P=0.833<br/> PANSS total, mean (SD):<br/> Quetiapine: 86.8 vs. 69.1 vs. 63.2 vs. 62.8 vs. 58.5 vs. 62.7; W=-2.197, P=0.028<br/> Olanzapine: 107.3 vs. 83.8 vs. 73.7 vs. 64.9 vs. 59.7 vs. 65.2; W=-2.201, P=0.028<br/> PANSS Quetiapine vs. Olanzapine after 6- months: NSD</p> <p>Cognitive domains, Quetiapine vs. Olanzapine, z-score mean (SD) at 6 months:<br/> Attention: 0.3851(0.51) vs. 0.0538 (0.91); U=64.00, P=0.12<br/> Working Memory: 0.427 (1.18) vs. -0.183 (0.63); U=82.00, P=0.08<br/> Learning and Memory: 0.534 (1.02) vs. 0.578 (1.12); U=109.50, P=0.68<br/> Executive Functions: 0.3356 (0.70) vs. -0.07 (0.76); U=49.00; P=0.29</p>             |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |  |
|---|--|
| <b>Study design</b>   | <b>Adverse effects reported</b>  |
| Robinson, 2006<br>(Companion paper<br>to Lieberman<br>2003, Green 2004,<br>Perkins 2004)<br>Sevy, 2011<br>USA- NY | Weight gain olanzapine 17.3% (95% CI=14.2%–20.5%) vs. risperidone 11.3% (95% CI=8.4%–14.3%)<br><br>Baseline mean weight and BMI in the olanzapine and risperidone tx groups were sig. increased @ week 16, although there was a time main effect for weight and BMI (P <0.001)<br>Baseline mean weight (SD): olanzapine 155 lbs (29 lbs) and risperidone 140 lbs (24 lbs)<br>Week 16 mean weight (SD): olanzapine 180 lbs (34 lbs) and risperidone 151 lbs (41 lbs)<br>Baseline mean BMI (SD): olanzapine 23 (4) and risperidone 22 (4)<br>Week 16 mean BMI (SD): olanzapine 26 (4) and risperidone 25 (5) |

Robles, 2011  
Spain

NR

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year  |  |
|---|--|
| Study design  | Extrapyramidal symptoms  |
| Robinson, 2006<br>(Companion paper<br>to Lieberman<br>2003, Green 2004,<br>Perkins 2004)<br>Sevy, 2011<br>USA- NY | Extrapyramidal symptom severity scores<br>risperidone 1.4 (95% CI=1.2–1.6) vs. olanzapine 1.2 (95% CI=1.0–1.4)<br>Parkinsonism risperidone 16.0% (95% CI=5.5%–26.6%) vs olanzapine 8.9% (95%<br>CI=0.3%–17.6%) |
| Robles, 2011<br>Spain   | NR   |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design  | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| Robinson, 2006<br>(Companion paper<br>to Lieberman<br>2003, Green 2004,<br>Perkins 2004)<br>Sevy, 2011<br>USA- NY |   |          |
| Robles, 2011<br>Spain   |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity                           | Other population characteristics   |
|---|---|---|---|--|--|
| Sacchetti 2009<br>DB RCT<br>23 Italian<br>departments of<br>mental health.<br>The MOZART<br>Study | <p>Inclusion: DSM-IV diagnosis of schizophrenia, a history of resistance and/or intolerance to at least three acute cycles with different antipsychotics given at therapeutic doses, PANSS score <math>\geq 80</math>, and CGI-S score <math>\geq 4</math></p> <p>Exclusion: current DSM-IV Axis I comorbid disorders; concomitant acute or unstable physical illnesses; clinically significant abnormal laboratory test values; a positive urine screen for substances of abuse; any contraindication to ziprasidone or clozapine; and treatment with the investigational drugs during the previous 3 mos; female patients of childbearing potential not using contraception</p> | <p>Ziprasidone (80–160 mg/d, n=73) vs. or clozapine (250–600 mg/d, n=74)</p> <p>Duration 18 wks</p>   | Benzodiazepines and anticholinergic agents  | <p>Mean age 40 yrs<br/>69% male<br/>Ethnicity NR</p> | <p>Resistance only 40%<br/>Intolerance only 16%<br/>Both resistance and intolerance 44%</p>  |
| Sacchetti, 2008<br>The QUERISOLA<br>trial<br>DB RCT<br>Italy                                      | <p>18 and 65 ys; diagnosis of schizophrenia ; a total score of <math>\geq 70</math> on the Positive and Negative Syndrome Scale (PANSS) ; and no exposure to depot antipsychotics in the previous 6 wks.</p>  | <p>Risperidone <math>590.0 \pm 175</math> mg n=25<br/>Olanzapine <math>5.1 \pm 1.5</math> mg n=25<br/>Quetiapine <math>15.1 \pm 5.8</math> n=25<br/>8 wks</p> | YES - zolpidem or flurazepam for insomnia , or anticholinergics or benzodiazepines for movement disorders | <p>Mean age 39.94<br/>56% male<br/>Ethnicity NR</p>  | <p>PANSS Total<br/>Risperidone <math>96.0 \pm 20.5</math><br/>Olanzapine <math>98.5 \pm 20.0</math><br/>Quetiapine <math>101.3 \pm 20.0</math></p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Sacchetti 2009<br>DB RCT<br>23 Italian<br>departments of<br>mental health.<br>The MOZART<br>Study | 162/157/147                            | 56/NR/146                                    | Ziprasidone (n=71) vs. Clozapine (n=73)<br>Mean ( $\pm$ SD) change (LOCF)<br>PANSS total score $-25.0 \pm 22.0$ vs. $-24.2 \pm 22.5$<br>PANSS-P $-6.0 \pm 7.8$ , vs. $-7.0 \pm 7.2$<br>PANSS-N $-7.6 \pm 6.7$ vs. $-6.1 \pm 6.5$<br>PANSS general psychopathology subscale score $-11.3 \pm 11.4$ vs. $-11.4 \pm 12.8$<br>CGI-S score $-0.6 \pm 0.9$ vs. $-0.6 \pm 0.9$<br>CGI-I score endpoint $3.2 \pm 1.5$ vs. $3.3 \pm 1.3$ |
| Sacchetti, 2008<br>The QUERISOLA<br>trial<br>DB RCT<br>Italy                                      | NR/NR/75                               | 14/2/61 PP                                   | Quetiapine vs. risperidone vs. olanzapine<br>mean reductions PANSS total scores 37.0 vs. 32.1 vs. 34.4<br>$\geq 40\%$ reduction from baseline in PANSS total score at Week 8 10/21 [48%] vs. 8/20 [40%] vs. 8/20 [40%]).  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>   |
| Sacchetti 2009      | Ziprasidone(n=73) vs. Clozapine(n=73)   |
| DB RCT              | Increased salivation 0% vs. 28.8%   |
| 23 Italian          | Tachycardia 2.7% vs. 28.8%  |
| departments of      | Dizziness 4.1% vs. 9.6%   |
| mental health.      | Headache 6.8% vs. 4.1%  |
| The MOZART          | Nausea 6.8% vs. 8.2%  |
| Study               | Somnolence 4.1% vs. 23.3%   |
|                     | Insomnia 9.6% vs. 2.7%  |
|                     | Any AE 71.2% vs. 79.5%  |
|                     |   |
| Sacchetti, 2008     | Five patients (6.7%) spontaneously reported an AE of moderate intensity during the trial:       |
| The QUERISOLA       | quetiapine group, no events;  |
| trial               | risperidone group, one event (parkinsonian symptoms);   |
| DB RCT              | olanzapine group, four events (weight gain, anxiety, pneumonia, scrotal eczema).                |
| Italy               | ≥ 7% increase in baseline body weight occurred in quetiapine 8%, risperidone 8%, olanzapine 29% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year    |   |
|-----------------|---|
| Study design    | Extrapyramidal symptoms   |
| Sacchetti 2009  | Ziprasidone vs. Clozapine   |
| DB RCT          | Change score, mean [95% CI]   |
| 23 Italian      | Simpson–Angus Scale   |
| departments of  | –0.21 [–0.30 to –0.12] vs. –0.06 [–0.14 to 0.02]  |
| mental health.  | Barnes Akathisia Scale  |
| The MOZART      | –0.37 [–0.64 to –0.11] vs. –0.22 [–0.44 to 0.01] □  |
| Study           | Abnormal Involuntary Movement Scale   |
|                 | –0.15 [–0.08 to –0.22] vs. –0.08 [–0.18 to 0.03]  |
|                 |   |
| Sacchetti, 2008 | SAS scores (lower quartile, median, upper quartile)                                       |
| The QUERISOLA   | Week 8 Risperidone 1.00, 3.00, 10.25 Olanzapine 0.00, 0.50, 4.25 Quetiapine 0.0, 0.0, 1.0 |
| trial           | Risperidone vs quetiapine P = 0.005, other comparisons NS                                 |
| DB RCT          |   |
| Italy           |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>                                 |
|---|---|---|
| Sacchetti 2009<br>DB RCT<br>23 Italian<br>departments of<br>mental health.<br>The MOZART<br>Study | 56 WD<br>31 due to AEs  |   |
| Sacchetti, 2008<br>The QUERISOLA<br>trial<br>DB RCT<br>Italy                                      | 14 WD<br>1 due to AEs   | Completers analysis, ITT reported in<br>graphs. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity                | Other population characteristics  |
|---|--|---|--|---|---|
| Saddichha, 2007<br>India  | Drug-naïve patients with a DSM-IV diagnosis of first episode schizophrenia.  | Haloperidol<br>n=15, 15.6 (2.6) mg<br>Olanzapine<br>n=29, 17(5) mg<br>Risperidone<br>n=22. 4.5 (1.2) mg<br><br>6 wks        | None that would effect weight or metabolism  | Age 26.7 yrs<br>% male 47<br>Ethnicity NR | Weight 48.3 (10.5)<br>BMI 19.2  |
| Saddichha, 2008<br>Saddichha 2008<br>"Predictors of antipsychotic..."<br>Saddichha 2008<br>"Diabetes and Schizophrenia-effect of disease or drug..."<br>India | Drug-naïve patients with a DSM-IV diagnosis of first episode schizophrenia.  | 35 on Olanzapine (16.5 ± 4.6 mg),<br>33 on Risperidone (4.4 ± 1.2 mg) a<br>31 on Haloperidol ( 13.4 ± 3.6 mg).<br><br>6 wks | None that would effect weight or metabolism  | Age 26.0 (5.5) yrs<br>% male 52.5%        | 66 (66.7%) paranoid schizophrenia<br>33 (33.3%) undifferentiated schizophrenia.                                       |
| Sajatovic, 2002<br>(QUEST sub-group analysis, Mullen, 2001)<br>RCT, open-label, multicenter   | Psychosis and: schizophrenia, schizoaffective disorder, bipolar disorder, major depressive disorder (MDD), delusional disorder, Alzheimer's Disease, schizophreniform disorder, vascular dementia, or substance abuse dementia. No significant medical disorders, no current clozapine treatment or history of non-response to clozapine, and no history of drug-induced agranulocytosis. For this analysis, Mood Disorder was classified as: 1) schizoaffective disorder, 2) bipolar disorder, and 3) MDD | quetiapine 50-800mg/d<br>risperidone 1-6 mg/d<br>Duration: 4 mos  | Any deemed medically necessary. Additional antipsychotics allowed only after attempt to stabilize on assigned drug for 1 mo. No depot drugs, clozapine or olanzapine allowed. Mood stabilizers and antidepressants could be continued if dose stable x 2 wks. Rescue meds allowed. | Mean age 45<br>73 % white<br>51% male     | 33.7% taking mood stabilizers<br>33.7 taking antidepressants<br>57% of total population classified as "mood disorder" |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled            | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|---|--|--|
| Saddichha, 2007<br>India   | NR/NR/NR  | NR/NR/66                                     | Olanzapine vs. Risperidone vs. Haloperidol<br>Weight gain (kg) 5.1 vs. 4.1 vs. 2.8<br><br>Treatment -emergent obesity<br>WHO 10.3% vs. 9.1% vs. 0<br>IDF 44.8% vs. 36.4% vs. 0   |
| Saddichha, 2008<br>Saddichha 2008<br>"Predictors of<br>antipsychotic..."<br>Saddichha 2008<br>"Diabetes and<br>Schizophrenia-<br>effect of disease<br>or drug..."<br>India | NR/NR/110   | 11/NR/99                                     | Olanzapine vs. Risperidone vs. Haloperidol<br>Mets by ATP IIIA 20.0% vs. 9.1% vs. 0%<br>Mets by IDF 25.7% vs. 24.2% vs. 3.2%   |
| Sajatovic, 2002<br>(QUEST sub-<br>group analysis,<br>Mullen, 2001)<br>RCT, open-label,<br>multicenter  | NR/NR/729<br>Of these, 419 with<br>mood disorders | NR/NR/419                                    | Psychosis Efficacy:<br>NS difference on PANSS or CGI, reported in Muller 2001<br>Depression:<br>HAM-D Scores<br>Change from baseline to LOCF: quetiapine ~5.6, risperidone ~4 (p=0.028)<br>% Change from baseline:<br>quetiapine, risperidone, p-value<br>All patients: -44.6%, -34.4, p=0.0015<br>Mood disorders: -44.1, -35.7, p=0.0364<br>NS by individual diagnosis<br>Non-mood disorders: -45.6, -31.1, p=0.0083<br>HAM-D score >=20<br>Mood disorders: -47%, -34%, p=0.0051<br>Non-mood disorders: Q>R, p=0.008<br>HAM-D score 10-19, or <10 NS difference for either group. |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---|---------------------|--|
| Saddichha, 2007<br>India  |                     | NR   |
| Saddichha, 2008<br>Saddichha 2008<br>"Predictors of<br>antipsychotic..."<br>Saddichha 2008<br>"Diabetes and<br>Schizophrenia-<br>effect of disease<br>or drug.."<br>India |                     | <p>% of patients with weight gain&gt;7% above baseline: Olanzapine vs Risperidone: 77.1% vs 63.6%, p&lt;0.001</p> <p>Mean Weight gain at endpoint : Olanzapine: 5.0, Risperidone: 4.2, p&lt;0.001</p> <p>Increase in Fasting blood sugar at endpoint (mean (SD)) : Olanzapine 6.6(12.7), Risperidone: 4.3 (12.5), p=0.01</p> <p>Increase in Post prandial blood sugar at endpoint: Olanzapine: 21.5 (32.2), Risperidone: 21.0 (23.4), p&lt;0.001</p> <p>Treatment emergent Diabetes:<br/>(WHO definition) Olanzapine vs Risperidone: 11.4% vs 9.1%<br/>(ADA definition) 2.9% vs 0%</p> |
| Sajatovic, 2002<br>(QUEST sub-<br>group analysis,<br>Mullen, 2001)<br>RCT, open-label,<br>multicenter   |                     | <p>Patients with Mood disorders:<br/>risperidone &gt; quetiapine (p&lt;0.001, numbers NR)</p> <p>Patients without Mood disorders:<br/>NS difference (p=0.063)</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |                                |
|--|--------------------------------|
| <b>Study design</b>  | <b>Extrapyramidal symptoms</b> |
| Saddichha, 2007<br>India   | NR                             |
| Saddichha, 2008<br>Saddichha 2008<br>"Predictors of<br>antipsychotic..."<br>Saddichha 2008<br>"Diabetes and<br>Schizophrenia-<br>effect of disease<br>or drug..."<br>India | NR                             |
| Sajatovic, 2002<br>(QUEST sub-<br>group analysis,<br>Mullen, 2001)<br>RCT, open-label,<br>multicenter  | NR                             |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|---|---|--|
| Saddichha, 2007<br>India  |   |  |
| Saddichha, 2008<br>Saddichha 2008<br>"Predictors of<br>antipsychotic..."<br>Saddichha 2008<br>"Diabetes and<br>Schizophrenia-<br>effect of disease<br>or drug.."<br>India |   |  |
| Sajatovic, 2002<br>(QUEST sub-<br>group analysis,<br>Mullen, 2001)<br>RCT, open-label,<br>multicenter   | NR / NR   | Analysis of effect of EPS on HAM-D<br>scores by ANCOVA:<br>subset of patients who had at worst mild<br>akinesia, hypokinesia or akathisia at<br>baseline and did not get worse during trial<br>showed quetiapine superior to risperidone<br>on HAM-D score (p=0.017) - not clear<br>which group of patients, size of group, or<br>timing of assessments. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications            | Age<br>Gender<br>Ethnicity                  | Other population characteristics   |
|--|--|--|--------------------------------------|---|--|
| San, 2012<br>RCT<br>Spain              | 18 years old, presence of psychotic symptoms at admission (4 or more on pANSS items 1,3,5 or 6 and 3, naïve to psychotropic drugs. Excluded: presence of major medical or neurological disease or mental retardation, suspicion of substance use directly contributing to the symptoms | Haloperidol<br>1.5–8.5,olanzapine7.5–40,risperidon<br>e1.5–7.0,quetiapine100–1500<br>and ziprasidone40–240mg/day.                | Benzodiazepines,<br>anticholinergics | mean age 25.6<br>74.6% male<br>Ethnicity NR | BMI 22.7<br>82.5% single<br>46.5% elementary school education<br>44.7% diagnosed with schizophrenia<br>Duration of untreated psychosis: 52.5 weeks<br>baseline PANSS: 91.0 |
| Sato, 2012<br>Crossover study<br>Japan | Inpatients at Kusatsu Hosptial with diagnosis of schizophrenia based on DSM-IV. Excluded were current suicidality, nuerological disorders, acute or unstable medical condition, clinically significan tlab test value, and alcohol or substance dependence within 3 months             | Ariprazole or risperidone; dose determined by clinical response<br>risperidone mean 2.61 mg/day<br>aripiprazole mean 17.5 mg/day | NR                                   | mean age 38.5<br>52% male<br>Ethnicity NR   | IQ 96.0<br>Duration of disorder mean 13.1 years<br>Onset of disorder mean 25.9 years<br>39% were receiving no mediation prior to enrollment                                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| San, 2012<br>RCT<br>Spain              | 159/141/114                            | 73/unclear/114                               | Proportion discontinuing treatment by 12 months:<br>40% olanzapine, 56.5% quetiapine, 64% risperidone, 80% ziprasidone (no statistical analysis)<br>Mean time to all-cause discontinuation:<br>olanzapine 260 days; quetiapine 187 days; risperidone 206 days; ziprasidone 142 days (P = 0.005)  |
| Sato, 2012<br>Crossover study<br>Japan | NR/NR/23                               | 5/0/18                                       | Risperidone versus Aripiprazole<br>SF-36 total scores NR<br>Bodily Pain 72.29 vs 77.29; P 0.27<br>General Health Perception 47.59 vs 48.50, P=0.75<br>Mental health 56.94 vs 56.00, P=0.87<br>Physical functioning 85.00 vs 85.88, P=0.71<br>Role-emotional 58.82 vs 60.78, P=0.85<br>social functioning 67.65 vs 70.59, P=0.68<br>vitality 64.71 vs 61.47, P=0.54<br>PSQI sleep index: 5.29 vs 6.24, P=0.24<br>Schedule for Assessment of Insight: 11.65 vs 13.12, P=0.10 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year    |   |
|-----------------|---|
| Study design    | Adverse effects reported  |
| San, 2012       | Discontinuations due to adverse events:   |
| RCT             | 20% olanzapine, 7.7% quetiapine; 6.2% risperidone; 25% ziprasidone  |
| Spain           | Time to discontinuation due to adverse events: NR   |
|                 | UKU scores were higher in haloperidol group compared to second generation drugs, and no differences were found between the other drugs. |
|                 | WEight gain ranged from 3 kg with ziprasidone to 9 kg with olanzapine but no statistically significant differences were found.          |
| Sato, 2012      | Epworth Sleepiness Scale: 3.18 vs 2.59, P=0.46  |
| Crossover study | Weight (mean): 65.21 vs 64.51, P=0.42   |
| Japan           |   |

| Author, year                           | Extrapyramidal symptoms                     |
|--|---|
| San, 2012<br>RCT<br>Spain              | NR, noted to be higher in haloperidol group |
| Sato, 2012<br>Crossover study<br>Japan | DIEPSS: 1.76 vs 2.06, P=0.21                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b> |
|--|--|-----------------|
| San, 2012<br>RCT<br>Spain              | Overall discontinuations: 40% olanzapine, 56.5% quetiapine, 64% risperidone, 80% ziprasidone.<br>Discontinuations due to adverse events:<br>20% olanzapine, 7.7% quetiapine; 6.2% risperidone; 25% ziprasidone |                 |
| Sato, 2012<br>Crossover study<br>Japan | 5 (22%); 0 due to adverse events (all due to lack of efficacy)   |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|--|--|---------------------------|--|---|
| Schering-Plough<br>25517<br>DB RCT<br>Multicenter:<br>Russia, Australia,<br>South Africa, 8<br>countries in<br>Europe      | Inclusion: Aged 18 or older with a DSM-IV TR diagnosis of schizophrenia or schizoaffective disorder; PANSS total score $\geq 6$ and a score of $\geq 4$ on at least 2 of 5 PANSS positive subscale items; CGI-S score $\geq 4$ at baseline; and have never received neuroleptic treatment before or shown a response with a neuroleptic other than clozapine.<br>Exclusions: significant medical conditions or abnormal lab or physical exam diagnosis of residual type schizophrenia or coexisting Axis I substance abuse disorder; risk of harming self or others  | Sublingual Asenapine 5 or 10 mg BID, flexible dose, 52 wks.<br>Oral olanzapine 10 to 20 mg QD, flexible dose, 52 wks.<br>Double-dummy design (active vs P).<br>Patients were hospitalized for a minimum of 2 wks and then monitored on outpatient basis.                                   | NR                        | Mean age 36.6<br>54% male<br>92.6% Caucasian<br>5.7% Black<br>0.9% Asian   | 77.8% Schizophrenia, paranoid subtype<br>13.1% Schizoaffective disorder<br>Mean CGI-S at baseline 4.8 |
| Schering-Plough<br>25543<br>DB RCT<br>Multicenter:<br>Australia,<br>Romania, South<br>Africa, 13<br>countries in<br>Europe | Inclusion: Aged 18+ with DSM-IV TR diagnosis of schizophrenia of paranoid, disorganized, catatonic, residual, or undifferentiated subtype; PANSS negative subscale $\geq 20$ at screening and baseline with a score $\geq 4$ (moderate) on at least 3 of the Marder factors for negative symptoms; PANSS positive subscale score less than the PANSS negative subscale score at screening and at baseline; and stable disease in the last 5 mos.<br>Exclusions: significant medical conditions or abnormal lab or physical exam; coexisting Axis I primary diagnosis including depression or substance abuse; risk of harming self or others | Asenapine 5 or 10 mg BID, flexible dose, 26 wks.<br>Olanzapine 5 to 20 mg QD, flexible dose, 26 wks.<br>Double-dummy design (active vs P).<br>30-d stable observation period followed by baseline visit. Active treatment period: 4 week AP switch period followed by 22-week monotherapy. | NR                        | Mean age 40.5<br>68.2% male<br>89.4% Caucasian<br>6.9% Black<br>0.2% Asian | 62.6% paranoid subtype  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled        | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results   |
|--|---|---|---|
| Schering-Plough<br>25517<br>DB RCT<br>Multicenter:<br>Russia, Australia,<br>South Africa, 8<br>countries in<br>Europe      | Screened NR<br>Eligible NR<br>1215 randomized | 691 (56.8%)<br>withdrew<br>26 (2.1%) loss to<br>follow-up<br>1166 (93%)<br>analyzed | Asenapine vs Olanzapine:<br>Mean change from baseline to endpoint:<br>PANSS total score: -21.0 vs -27.5 (p<0.0001 in favor of olanzapine)<br>CGI-S: -1.2 vs -1.6<br><br>Mean CGI-I score at endpoint: 2.9 v. 2.4<br>CGI-I score <3 (much or very much improved): 52% vs 66%<br>CGI-I score ≥3 (minimal improvement): 48% vs 34%<br><br>No differences between groups on SWN or SF-12, or in living situations, employment, or level of functioning. |
| Schering-Plough<br>25543<br>DB RCT<br>Multicenter:<br>Australia,<br>Romania, South<br>Africa, 13<br>countries in<br>Europe | Screened NR<br>Eligible NR<br>481 enrolled    | 132 (27.4%)<br>withdrew<br>5 (1%) lost to<br>followup<br>433 (90%) analyzed         | Asenapine vs olanzapine:<br>Mean change from baseline to d 182 in NSA: -12.5 vs -12.5.<br>Change in CDSS: -0.8 vs -0.2; P=0.0055.<br>No differences between treatments in NSA global scores, QLS total score, PANSS total score, CGI-S score, CGI-I response rates, and Q-LES-Q social relations or leisure time activities scores.   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  | <b>Study design</b> | <b>Adverse effects reported</b>   |
|--|---------------------|---|
| Schering-Plough<br>25517   | DB RCT              | Asenapine vs olanzapine:<br>Suicide attempts: 1.2 vs 1.9%   |
| Multicenter:   |                     | Completed suicide: n=5 (<1%) vs n=1 (<1%)   |
| Russia, Australia,<br>South Africa, 8<br>countries in<br>Europe      |                     | Weight increase: 12 vs 29%<br>Mean (SD) change in weight: 0.9 (4.8) kg vs 4.2 (7.6) kg<br>Schizophrenia/psychosis: 8 vs 5%<br>Insomnia: 7 vs 5%<br>Sedation: 8 vs 10%<br>Somnolence: 9 vs 10%<br>GI symptoms: 9 vs 7%<br>Akathisia: 8 vs 4%<br>Prolactin levels decreased in both treatment groups. |
| Schering-Plough<br>25543   | DB RCT              | Asenapine vs olanzapine, % of group:<br>Gained $\geq$ 7% of body weight: 7.9 vs 24.6  |
| Multicenter:   |                     | Abnormal increase in prolactin: 7 vs 3.5  |
| Australia,<br>Romania, South<br>Africa, 13<br>countries in<br>Europe |                     | Insomnia: 15.8 vs 10.8<br>Headache: 12.9 vs 9.6<br>Somnolence: 12.4 vs 11.3<br>Anxiety: 9.5 vs 8.3<br>Schizophrenia: 7.1 vs 3.8<br>Agitation: 6.2 vs 1.3<br>Nausea: 5.4 vs 3.8<br>Fatigue: 4.6 vs 6.7<br>Weight increased: 4.6 vs 21.3  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |  |
|---|--|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>   |
| Schering-Plough<br>25517  | Asenapine vs olanzapine<br>EPS: 18% vs 8%, most commonly akathisia: 8% vs 4%   |
| DB RCT  | Mean (SD) change from baseline to endpoint in EPS scales:                      |
| Multicenter:  | SAS: -0.4 (2.5) vs -0.7 (2.7)  |
| Russia, Australia,<br>South Africa, 8<br>countries in<br>Europe | BARS: -0.1 (1.9) vs -0.3 (1.5)<br>AIMS 7 total score: -0.1 (1.3) vs -0.2 (1.2) |

|  |   |
|--|---|
| Schering-Plough<br>25543   | Asenapine vs olanzapine:<br>EPS: 8.3% vs 3.3% |
| DB RCT   | Akathisia: 2.9 vs 1.3%                        |
| Multicenter:   | Parkinsonism 2.1 vs 1.7%                      |
| Australia,<br>Romania, South<br>Africa, 13<br>countries in<br>Europe |   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--|---|-----------------|
| Schering-Plough<br>25517<br>DB RCT<br>Multicenter:<br>Russia, Australia,<br>South Africa, 8<br>countries in<br>Europe      | total N; % of asenapine vs olanzapine:<br>691 withdrew; 61.5% vs 42.8%<br>193 due to AE; 17.1% vs 12.2% |                 |
| Schering-Plough<br>25543<br>DB RCT<br>Multicenter:<br>Australia,<br>Romania, South<br>Africa, 13<br>countries in<br>Europe | total N; % of asenapine vs olanzapine<br>132 withdrew; 35.3% vs 19.6%<br>54 due to AE; 14.9% vs 7.5%    |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|---|--|---------------------------|--|---|
| Schering-Plough,<br>Data on file. Study<br>041022<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | Inclusion: 18 ys of age or older with a DSM-<br>IV text-revised diagnosis of schizophrenia<br>(of the paranoid, disorganized, catatonic, or<br>undifferentiated subtypes) with an acute<br>exacerbation of psychotic symptoms;<br>positive response to previous antipsychotic<br>medication other than clozapine; PANSS<br>total score >60 and a score of >4 on at<br>least 2 of 5 PANSS positive subscale items<br>(delusions, conceptual disorganization,<br>hallucinatory behavior, grandiosity,<br>suspiciousness/persecution); CGI-S score<br>>4 at baseline<br>Exclusion: clinically significant medical<br>conditions or abnormal laboratory or<br>physical examination findings; diagnosis of<br>residual type schizophrenia, schizoaffective<br>disorder, or coexisting psychiatric disorder<br>coded on Axis I; substance abuse; a >20%<br>decline in PANSS total score from<br>screening to baseline; those at risk of<br>harming themselves or others | Asenapine (5mg or 10mg bid)<br>sublingual<br>P bid<br>Olanzapine (10mg to 20mg qd) oral<br>6 wks | NR                        | Asenapine vs P vs<br>Olanzapine<br><br>Mean age (SD): 44<br>(9.03) vs 41.9 (9) vs<br>41.6 (10.41)<br><br>Male: 74.4% vs<br>79.6% vs 78.3%<br><br>Caucasian: 50% vs<br>45.2% vs 44.6%<br>Black: 42.2% vs<br>46.2% vs 46.7%<br>Asian: 2.2% vs 0 vs<br>2.2%<br>Other: 5.6% vs 8.6%<br>vs 6.5% | Asenapine vs P vs Olanzapine<br><br>Current Principal Psychiatric Diagnosis<br>Schizophrenia<br>---Catatonic subtype: 0 vs 0 vs 0<br>---Disorganized subtype: 3.3% vs 3.2%<br>vs 3.3%<br>---Of the paranoid subtype: 93.3% vs<br>90.3% vs 89.1%<br>---Undifferentiated subtype: 3.3% vs<br>6.5% vs 7.6% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--|--|---|---|
| Schering-Plough,<br>Data on file. Study<br>041022<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | NR/NR/277                                      | 142/21/259  | "The study did not meet its primary endpoint, there was no significant difference between asenapine and P or olanzapine and P in the LS mean changes in the PANSS total score from baseline to endpoint or at any trial visit"<br><br>"No statistically significant differences were observed between asenapine and P or between olanzapine and P in the LS mean change from baseline to endpoint in any secondary efficacy measure defined for this trial" |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                               |   |
|---|---|
| <b>Study design</b>                               | <b>Adverse effects reported</b>                 |
| Schering-Plough,<br>Data on file. Study<br>041022 | Asenapine vs P vs Olanzapine<br>n (%)           |
| DB RCT  | All AEs: 62 (68.9) vs 56 (60.2) vs 58 (63.0)    |
| Multicenter (USA,<br>Ukraine, Russia)             | All serious AEs: 6 (6.7) vs 3 (3.2) vs 8 (8.7)  |
|   | Headache: 18 (20.0) vs 15 (16.1) vs 11 (12.0)   |
|   | Anxiety: 10 (11.1) vs 7 (7.5) vs 9 (9.8)        |
|   | Insomnia: 10 (11.1) vs 11 (11.8) vs 8 (8.7)     |
|   | Agitation: 6 (6.7) vs 6 (6.5) vs 6 (6.5)        |
|   | Nausea: 6 (6.7) vs 11 (11.8) vs 5 (5.4)         |
|   | Constipation: 5 (5.6) vs 7 (7.5) vs 8 (8.7)     |
|   | Dyspepsia: 5 (5.6) vs 4 (4.3) vs 10 (10.9)      |
|   | Sedation: 5 (5.6) vs 4 (4.3) vs 12 (13.0)       |
|   | Weight Increased: 5 (5.6) vs 1 (1.1) vs 8 (8.7) |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                         |
|--|-------------------------|
| Study design   | Extrapyramidal symptoms |
| Schering-Plough,<br>Data on file. Study<br>041022<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | NR                      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>                               | <b>Comments</b> |
|--|---|-----------------|
| Schering-Plough,<br>Data on file. Study<br>041022<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | Asenapine vs P vs Olanzapine<br><br>Total WDs: 48 vs 45 vs 49<br>WDs due to AEs: 6 vs 5 vs 11 |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  | Interventions   |                           | Age   |   |
|--|--|---|---------------------------|---|---|
| Study design   | Eligibility criteria   | (drug, dose, duration)  | Allowed other medications | Gender  |   |
|  |  |   |                           | Ethnicity   | Other population characteristics  |
| Schering-Plough,<br>Data on file. Study<br>7501012<br>DB RCT | Inclusion: 18 ys of age or older with a DSM-IV text-revised diagnosis of schizophrenia; receiving continuous antipsychotic treatment for at least 1 y; stable at time of entry with a history of >1 episode of acute schizophrenia in the 3 ys preceding screening<br><br>Exclusion: a concurrent Axis 1 diagnosis other than schizophrenia at screening; a PANSS score >80 or a CGI-S score >4 at screening; MR or organic brain syndrome; a substance-induced psychotic disorder | All patients received 5 mg or 10 mg flexible dose asenapine during 4 wks open label phase 1 and 22 wks open-label phase 2<br><br>Patients were then randomized 1:1 to 26 wks DB treatment with asenapine (5 or 10mg) BID or P<br><br>Treatments administered sublingually | NR                        | Asenapine vs P<br><br>Mean age (SD): 89 (45.9) vs 76 (39.6) ys<br><br>Male: 54.1% vs 60.4%<br><br>Caucasian: 72.7% vs 72.9%<br>Black: 11.3% vs 9.4%<br>Asian: 15.5% vs 17.2%<br>Other: 0.5% vs 0.5% | Asenapine vs Placbo<br><br>DSM-IV Diagnosis, n (%)<br>Schizophrenia, catatonic subtype: 1% vs 0.5%<br>Schizophrenia, disorganized subtype: 0 vs 0.5%<br>Schizophrenia, of the paranoid subtype: 82% vs 81.3%<br>Schizophrenia, undifferentiated subtype: 13.4% vs 13.5%<br>Schizophrenia, residual type: 3.6% vs 4.2%<br>Schizoaffective disorder: 0 vs 0 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                         | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>  |
|--|--|---|---|
| Schering-Plough,<br>Data on file. Study<br>7501012<br>DB RCT | NR/NR/NR                                       | 179/6/ITT 382   | Time to relapse was longer in the asenapine group compared with the P group ( $P<0.0001$ ; RR, 0.26)<br>Time to termination was significantly longer in the asenapine group compared with the P group throughout the double-blind treatment period ( $P<0.0001$ ; RR, 0.47)<br>Statistically significant difference in favor of asenapine in the change from baseline of the double-blind period to endpoint of the double-blind period for PANSS total score, PANSS Marder Factor scores, and CGI-S. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>  |   |
|--|---|
| <b>Study design</b>  | <b>Adverse effects reported</b>   |
| Schering-Plough,<br>Data on file. Study<br>7501012<br>DB RCT | <p>Asenapine vs P</p> <p>At least one treatment-related AE: 22.7% (44/194) vs 27.1% (52/192)</p> <p>Anxiety: 8.2% vs. 10.9%</p> <p>Weight increased: 6.7% vs. 3.6%</p> <p>Insomnia: 6.2% vs. 13.5%</p> <p>Mean (SD) change in weight: 0.0 (3.41) vs -1.2 (3.96) kg</p> <p>&gt;7% gain from baseline: 4% vs 1%</p> <p>Markedly abnormal biochemistry values in creatinine kinase: 1.7% vs 1 0.6%</p> <p>Markedly abnormal biochemistry values in creatinine: 1.1% vs 0%</p> <p>Markedly abnormal biochemistry values in AST: 2.8% vs 0.6%</p> <p>Markedly abnormal biochemistry values in ALT: 1.7% vs 0.6%</p> <p>Markedly abnormal metabolic chemistry values in LDL: 0.6% vs 0%</p> <p>Markedly abnormal metabolic chemistry values in triglycerides: 1.5% vs 0.8%</p> <p>Markedly abnormal metabolic chemistry values in high glucose: 5.4% vs 3.3%</p> <p>Markedly abnormal metabolic chemistry values in HbA1c: 2.3% vs 0.6%</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year        |                         |
|---------------------|-------------------------|
| Study design        | Extrapyramidal symptoms |
| Schering-Plough, NR |                         |
| Data on file. Study |                         |
| 7501012             |                         |
| DB RCT              |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                         | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>      | <b>Comments</b> |
|--|--|-----------------|
| Schering-Plough,<br>Data on file. Study<br>7501012<br>DB RCT | Asenapine vs P<br><br>Total WD: 59 vs 120<br>WD due to AEs: 16 vs 53 |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics                               |
|--|---|--|---|---|--|
| Schering-Plough;<br>Data on File.<br>Study 041021<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | <p>Inclusion: 18 ys of age or older with a text-revision DSM-IV diagnosis of schizophrenia (of the paranoid, disorganized, catatonic, or undifferentiated subtypes) with an acute exacerbation of psychotic symptoms; positive response to previous antipsychotic medication other than clozapine; PANSS total score <math>\geq 60</math> and a score of <math>\geq 4</math> on at least 2 of 5 PANSS positive subscale items (delusions, conceptual disorganization, hallucinatory behavior, grandiosity, suspiciousness/persecution); CGI-S score <math>\geq 4</math> at baseline</p> <p>Exclusion: clinically significant medical conditions or abnormal laboratory or physical examination findings; diagnosis of residual type schizophrenia, schizoaffective disorder, or coexisting psychiatric disorder coded on Axis I; substance abuse; a <math>\geq 20\%</math> decline in PANSS total score from screening to baseline; those at risk of harming themselves or others</p> | Asenapine 5mg BID vs Asenapine 10 mg BID vs P BID vs Olanzapine 15 mg BID<br><br>6 wks   | NR  | <p>Mean age: 40.2 ys</p> <p>Male: 70.3%</p> <p>Caucasian: 46.3%</p> <p>Black: 44.9%</p> <p>Asian: 1.7%</p> <p>Other: 7.1%</p> | Diagnosed with schizophrenia (paranoid subtype): 88.5%         |
| Schoemaker, 2010<br>DB RCT;<br>worldwide   | <p><math>\geq 18</math> years, DSM-IV-TR diagnosis of schizophrenia or schizoaffective disorder, PANSS total <math>\geq 60</math> and <math>\geq 4</math> on at least 2 of 5 PANSS positive items, CGI-S <math>\geq 4</math>, treatment naïve or a history of a positive response to an antipsychotic other than clozapine. Excluded history of inadequate or intolerable response to olanzapine, greater than mild on any item of the abnormal involuntary movement scale</p>  | <p>A. Asenapine 5mg sublingual, twice daily, dosage flexible to 5 or 10 mg twice daily after 7 days, + Matching placebo to olanzapine</p> <p>B. Olanzapine 10mg capsules, once daily, dosage flexible to 10 or 20 mg daily after 7 days, + Matching placebo to asenapine</p> | hypnotics, anxiolytics, anticholinergics, antidepressants other than tricyclics or monoamine oxidase inhibitors | <p>Age: 36.65 y</p> <p>Gender: 46.1% female</p> <p>Ethnicity: 93% white, 6% black</p>   | Diagnosis: Schizoaffective disorder 13.1%, Schizophrenia 86.9% |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Schering-Plough;<br>Data on File.<br>Study 041021<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | NR/NR/417                              | 189/20/386                                   | <p>Asenapine 5mg BID vs Asenapine 10 mg BID vs P BID vs Olanzapine 15 mg BID; P values are vs P</p> <p>Mean change in PANSS total score: -14.5 (P=0.2556) vs -13.4 (P=0.3046) vs -11.1 vs -16.5 (P=0.0168)<br/>Mean change in PANSS positive subscale score: -5.5 (P=0.0119) vs NR (P=NS) vs -3.6 vs -5.6 (P=0.0132)</p> <p>Asenapine 10 BID resulted in a statistically significantly greater LS mean increase from baseline to endpoint in the Q-LES-Q leisure time activities and social relations subscale scores. A statistically significant difference between olanzapine and P on the QOL Enjoyment and Satisfaction Questionnaire (Q-LES-Q) leisure time activities subscale at endpoint.</p> <p>No statistically significant difference between any active treatment and P in the CGI-I, CDSS, Fleming/Potkin Battery, cognitive function HAS, ISST-Modified, QOL scale or PETiT scales.</p> |
| Schoemaker, 2010<br>DB RCT;<br>worldwide   | 1377/NR/1225                           | 697/NR/1166                                  | <p>Last observation carried forward, change from baseline:<br/>Asenapine vs. Olanzapine:<br/>PANSS total: -21.0 ± 22.8 vs. -27.5 ± 22.0, p&lt;0.0001<br/>PANSS positive: -7.9 ± 7.67 vs. -10.0 ± 7.75, p&lt;0.001<br/>PANSS negative: -4.6 ± 6.54 vs. -6.0 ± 6.23, p&lt;0.001<br/>PANSS disorganized thoughts: -4.4 ± 5.36 vs. -5.9 ± 5.29, p&lt;0.001<br/>PANSS hostility/excitement: -1.5 ± 4.11 vs. -2.4 ± 3.72, p&lt;0.001<br/>PANSS anxiety/depression: -2.7 ± 3.7 vs. -3.3 ± 3.79, p&lt;0.001<br/>CGI-S: -1.2 ± 1.35 vs. -1.6 ± 1.35, p&lt;0.001</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |
|--|---|
| Study design   | Adverse effects reported  |
| Schering-Plough;<br>Data on File.<br>Study 041021<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | Asenapine 5mg BID vs Asenapine 10 mg BID vs P BID vs Olanzapine 15 mg BID<br><br>Dizziness: 8.7% vs 4.9% vs 2.0% vs 7.8%<br>Hypoesthesia oral: 2.9% vs 3.9% vs 0.0% vs 0.0%<br>weight increased: 3.8% vs 2.9% vs 0.0% vs 4.9%<br>Hyperprolactinemia (>4 times the upper limit of normal): 6.0% vs 3.1% vs 2.1% vs 0.0%<br>Fasting glucose values (>1.5 times the upper limit of normal): 3.7% vs 0.0% vs 1.3% vs 5.1%<br>Triglyceride levels (>5.65 mmol/L): 0.0% vs 1.3% vs 3.9% vs 5.1%<br>Weight gain (>7%): 4.8% vs 5.9% vs 1.0% vs 16.7% |
| Schoemaker, 2010<br>DB RCT;<br>worldwide   | Asenapine vs. Olanzapine:<br>Mortality: 7 (<1%) vs. 1 (<1%)<br>Suicide: 5 (<1%) vs. 1 (<1%)<br>Suicide Attempts: 11 (1.2%) vs. 6 (1.9%)<br>Serious AEs: 174 (19%) vs. 36 (12%)<br>All AEs: 749 (82%) vs. 254 (82%)  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |  |
|--|--|
| Study design   | Extrapyramidal symptoms  |
| Schering-Plough;<br>Data on File.<br>Study 041021<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | Asenapine 5mg BID vs Asenapine 10 mg BID vs P BID vs Olanzapine 15 mg BID<br><br>Treatment-emergent extrapyramidal symptoms: 6.7% vs 11.8% vs 7.0% vs 6.9% |
| Schoemaker, 2010   | extrapyramidal-like symptoms: 18% vs. 8%   |
| DB RCT;  | akathisia: 89 (10%) vs. 11 (4%)  |
| worldwide  | tardive dyskinesia: 3 vs. 0  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|--|---|----------|
| Schering-Plough;<br>Data on File.<br>Study 041021<br>DB RCT<br>Multicenter (USA,<br>Ukraine, Russia) | Total WD: 189<br>WD due to AEs: 39                      |          |
| Schoemaker, 2010 697/193<br>DB RCT;<br>worldwide   |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                             | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|--|---|--|---|---|
| Schoemaker, 2012<br>DB RCT;<br>worldwide | Patients completed the core study (Schoemaker 2010), benefited from treatment in opinion of investigator and/or patient, and wished to remain on double-blind treatment.   | A. Asenapine, dosage flexible to 5 or 10 mg twice daily + Matching placebo to olanzapine, mean dose 13.4±4.05mg for core+extension study<br>B. Olanzapine, dosage flexible to 10 or 20 mg daily + Matching placebo to asenapine, mean dose 13.4±4.09mg for core+extension study | No drugs prohibited, but CYP2D6 drugs used with caution                          | Age: 36.9 y<br>Gender: 44.5% female<br>Ethnicity: NR  | Diagnosis: Schizoaffective disorder 12.3%, Schizophrenia 87.7   |
| Schooler, 2005<br>Multi-national         | 16–45 y-old Structured Clinical Interview for DSM-IV criteria for schizophrenia, schizophreniform disorder, or schizoaffective disorder < 1 y; no more than two psychiatric hospitalizations for psychosis; <12 wkss of cumulative exposure to antipsychotics and required antipsychotic treatment upon enrollment<br><br>Exclusions- meeting DSM-IV criteria for another axis I diagnosis, including substance dependence or abuse; needing another nonantipsychotic psychotropic medication at enrollment; having a serious or unstable medical illness. | Risperidone (1 to 8 mg/d) or haloperidol (1 to 8 mg/d)  | Chloral hydrate, zolpidem, or flurazepam for sleep; and lorazepam for agitation. | Mean age 25 ys<br>70% male<br>74% White<br>13% African-American<br>3% Hispanic<br>10% Other | DSM-IV diagnosis (% patients):<br>Schizophrenia=48.2<br>Schizoaffective disorder=7.6<br>Schizophreniform disorder=44.0<br><br>No previous antipsychotic exposure (% patients)=31.0<br><br>Age at onset of first episode=24.0 ys |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design             | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|--|--|--|---|
| Schoemaker, 2012<br>DB RCT;<br>worldwide | 528/NR/440                             | 114/NR/414                                   | Last observation carried forward, Asenapine vs. Olanzapine:<br>PANSS total during first year of study: -37.0 vs. -35.3<br>Further change during extension study: 1.6 vs. -0.8                                   |
| Schooler, 2005<br>Multi-national         | NR/NR/559                              | 218/0/528                                    | Risperidone vs. haloperidol<br>change from baseline in PANSS<br>Total -21.0 vs. -20.6 p = 0.49<br>Positive -6.6 vs. -7.0 p = 0.13<br>Negative -4.8 vs. -4.2 p = 0.98<br>CGI change score 2.69 vs. 2.62 p = 0.45 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>  |
|---------------------|----------------------|--|
| Schoemaker, 2012    | DB RCT;<br>worldwide | Asenapine vs. Olanzapine, Aes started in extension study:<br>Mortality: 3 vs. 0<br>Suicide: 0<br>Serious AEs: 54 (18.6%) vs. 12 (8.0%)<br>All AEs: 180 (62.1%) vs. 82 (54.7%)  |
| Schooler, 2005      | Multi-national       | Weight gain at endpoint risperidone [N=211]:<br>mean=7.5 kg, haloperidol [N=204]: mean=6.5 kg,<br>p=0.26<br>Suicide ideation risperidone 7.2%<br>(N=20) and no suicides vs. haloperidol 9.4% (N=26) with three completed suicides p = nr |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year     |   |
|------------------|---|
| Study design     | Extrapyramidal symptoms                                   |
| Schoemaker, 2012 | Asenapine vs. Olanzapine, started during extension phase: |
| DB RCT;          | Extrapyramidal-like symptoms: 4.5% vs. 3.3%               |
| worldwide        | Akathisia: 7 (2.4%) vs. 3 (2.0%)                          |
|                  |   |
| Schooler, 2005   | Risperidone vs. haloperidol                               |
| Multi-national   | Dyskinesia  |
|                  | Baseline 1.1% vs 1.4%                                     |
|                  | Emergent 8.3% vs. 13.4%                                   |
|                  | Persistent 1.8% vs. 3.3%                                  |
|                  | Extrapyramidal symptoms                                   |
|                  | Total 3.72 vs 4.72 p = 0.04                               |
|                  | Parkinsonism, dystonia 3.28 vs. 4.14 p = 0.05             |
|                  | Dystonia 0.34 vs. 0.35 p = 0.91                           |
|                  | Parkinsonism 3.12 vs. 3.97 p = 0.05                       |
|                  | Dyskinesia 0.82 vs. 1.11 p = 0.12                         |
|                  | Akathisia 0.61 vs. 1.00 p < 0.0001                        |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design             | Total withdrawals; withdrawals<br>due to adverse events                | Comments |
|--|--|----------|
| Schoemaker, 2012<br>DB RCT;<br>worldwide | Total WD: 114<br>WD due to AE, asenapine vs. olanzapine: 2.4% vs. 1.3% |          |
| Schooler, 2005<br>Multi-national         |  |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                     | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications | Age<br>Gender<br>Ethnicity | Other population characteristics |
|--|--|---|---------------------------|----------------------------|----------------------------------|
| Schreiner<br>2012<br>RCT                         | Schizophrenia, 18-65 ys, PANSS score range from 60-100, Patients using concomitant lipid-lowering therapy could be enrolled only if they had a stable dose of statins, niacin, ezetimble, and resins for 4 wks or longer or fibrates for 12 wks or longer. | Paliperidone ER = 9 mg. Max dose.<br>Olanzapine = 15 mg. Max dose<br>Duration: 12 mos | NR                        | NR                         | NR                               |
| Sethuraman, 2005<br>Sub-analysis of<br>Tran 1997 | Same as Tran 1997.   | Same as Tran 1997   | Same as Tran 1997         | Same as Tran 1997          | Same as Tran 1997                |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                     | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|--|--|---|--|
| Schreiner<br>2012<br>RCT                         | NR/Nr/462                              | Analyzed: 459<br>Loss to fu:<br>2.5% and 1.8% | Improvements in psychotic symptoms: both treatments ( $P < 0.0001$ )<br><br>TG/HDL ratio higher at end point versus baseline: olanzapine vs. paliperidone ER<br>Mean end point change in TG/HDL ratio:<br>0.097 T 2.72 ( $P < 0.0001$ , worsening), vs. no significant change (-0.17 + 2.51) |
| Sethuraman, 2005<br>Sub-analysis of<br>Tran 1997 | Same as Tran 1997                      | Same as Tran 1997                             | Proportion of time spent in remission for olanzapine vs risperidone:<br>Definition 1: 40% vs 31%, $p=0.03$<br>Definition 2: 18% vs 11%, $p=0.01$   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year             |  |
|--------------------------|--|
| Study design             | Adverse effects reported   |
| Schreiner<br>2012<br>RCT | (Paliperidone ER vs. Olanzapine) N (%)<br>Any TEAE: 130 (54.4) vs. 114 (51.8)<br>Serious TEAEs: 21 (8.8) vs. 12 (5.5)<br>TEAEs occurring in >5% of patients:<br>Weight increase: 23 (9.6) vs. 40 (18.2)<br>Somnolence: 8 (3.3) vs. 21 (9.5)<br>Insomnia: 23 (9.6) vs. 3 (1.4)<br>Schizophrenia: 12 (5.0) vs. 4 (1.8)<br>TEAE causally related to study drug:<br>77 (32.2) vs. 84 (38.2)<br>Severity of TEAEs:<br>Mild: 164 (54.7) vs. 153 (61.7)<br>Moderate: 119 (39.7) vs. 77 (31.0)<br>Severe: 17 (5.7) vs. 18 (7.3)<br>Action taken because of TEAE:<br>None: 257 (85.7) vs. 226 (91.1)<br>Dose adjustment: 23 (7.7) vs. 17 (6.9)<br>Temporary stop: 2 (0.7) vs. 0 |
| Sethuraman, 2005 NR      |  |
| Sub-analysis of          |  |
| Tran 1997                |  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                                     |                            |
|--|----------------------------|
| Study design                                     | Extrapyramidal symptoms    |
| Schreiner<br>2012<br>RCT                         | Extrapyramidal effects: NR |
| Sethuraman, 2005<br>Sub-analysis of<br>Tran 1997 | NR                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                     | Total withdrawals; withdrawals<br>due to adverse events                             | Comments |
|--|---|----------|
| Schreiner<br>2012<br>RCT                         | Withdrawals due to adverse events:<br>Permanent discontinuation 18 (6.0) vs.5 (2.0) |          |
| Sethuraman, 2005<br>Sub-analysis of<br>Tran 1997 | NR / NR   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   | Interventions  |                           | Age  |                                  |
|--|---|--|---------------------------|--|----------------------------------|
| Study design   | Eligibility criteria  | (drug, dose, duration)   | Allowed other medications | Gender   | Other population characteristics |
| Simpson, 2004<br>DB, multicenter,<br>parallel, flexible-<br>dose<br>Inpatients | Between Ages 18-55 yrs, females not of childbearing potential, hospitalized no more than 2 consecutive wks immediately before screening, schizophrenia/schizoaffective disorder, persistent psychotic symptoms for the week before hospitalization, score of >4 before screening on CGI, score of >4 on at least one of the Positive and Negative Syndrome Scale, normal laboratory results, normal ECG results, negative results on urine drug screen a entry. | Olanzapine (n= 133): daily mean dose- 11.3 mg<br>Ziprasidone (n= 136): daily mean dose- 129.9 mg<br>6 wks duration | Lorazepam, benztropine.   | Mean age: 37.7 ys<br>Male: 176/269(65%)<br>Female: 93/269(35%)<br>White: 141/269(52%)<br>Black: 65/269(24%)<br>Asian: 6/269(2%)<br>Hispanic: 28/269(10%)<br>Other: 7/269(3%) | In-Patient population: 100%      |
| Simpson, 2005<br>(Continuation of<br>Simpson, 2004)                            | 1) completion of 6 wks' double-blind treatment with ziprasidone or olanzapine, 2) a CGI improvement score of ≤2 or a ≥20% reduction in  | ziprasidone mean dose 135.2 mg/d (range=78–162)<br>olanzapine 12.6 mg/d (range=5–15)<br>6 mos                      | NR                        |  |                                  |
| Funding: Pfizer, Inc   | Positive and Negative Syndrome Scale total score at acute-study endpoint, and 3) outpatient status.   |  |                           | NR - see earlier study   |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|--|--|--|
| Simpson, 2004<br>DB, multicenter,<br>parallel, flexible-<br>dose<br>Inpatients     | 367/269/269                            | 115<br>(42.6%)/NR/269                        | <p>BPRS Total Scores:<br/>Difference at endpoint: <math>p=0.77</math>, CI=-2.36 to 3.18<br/>CGI Severity Scale: <math>p=0.95</math>, CI -0.27 to 0.29<br/>Positive and Negative Syndrome Scales: CI= -4.44 to 5.21<br/>CGI Improvement Scale:<br/>Very much improved: Z: 15.1% vs O: 17.8%<br/>Much improved: Z: 34.1% vs O: 38.8%<br/>Calgary Depression Scale for Schizophrenia:<br/><math>p=0.38</math>, 95% CI= -0.48 to 1.24</p> <p>Serum lipid profile results- Median changes:<br/>Total cholesterol: O: +19.5 mg/dl vs Z: -1 mg/dl; <math>p&lt;0.0001</math><br/>Triglycerides: O: +26 mg/dl vs Z: -2 mg/dl; <math>p=0.77</math><br/>LDL cholesterol: O: +13 mg/dl vs Z: -1 mg/dl; <math>p=0.78</math><br/>Homocystine levels: O: -1.06 mg/dl vs Z: -0.38 mg/dl; <math>p&lt;0.005</math><br/>Apolipoprotein B levels: O: +9.0 mg/dl vs Z: -3.0 mg/dl; <math>p&lt;0.0001</math><br/>Glucose metabolism results- Median changes:<br/>Fasting serum glucose levels: Z: 1.0 mg/dl vs O: 1.0 mg/dl<br/>Fasting serum insulin levels: O: +3.30 vs Z: +0.25; <math>p=0.051</math><br/>C-peptide levels: O: +0.46 vs Z: +0.16; <math>p=0.07</math><br/>Uric acid levels-Median changes: O: + 0.65 vs Z: +0.10; <math>p&lt;0.004</math></p> |
| Simpson, 2005<br>(Continuation of<br>Simpson, 2004)<br><br>Funding: Pfizer,<br>Inc | NA/NR/1236                             | 0/0/126 when<br>possible                     | <p>Ziprasidone vs. olanzapine<br/>Change in LS mean (SE)<br/>BPRS -18.6 (2.1) vs. -20.5 (1.8)<br/>CGI-S -1.9 (0.2) vs. -2.0 (0.15)<br/>Total PANSS -32.6 (3.8) vs. -35.6 (3.3)<br/>Calgary -2.8 (0.7) vs. -3.0 (0.6)</p>   |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>                              | <b>Study design</b>                      | <b>Adverse effects reported</b>  |
|--|--|--|
| Simpson, 2004                                    | DB, multicenter, parallel, flexible-dose | Body as a whole: Z: 52(38.2%) vs O: 39(29.3%)<br>CV: Z: 7(5.1%) vs O: 10(7.5%)<br>Digestive: Z: 55(40.4%) vs O: 41(30.8%)<br>Endocrine: Z: 1(0.7%) vs O: 0(0%)<br>Hematic and lymphatic: Z: 3(2.2%) vs O: 5(3.8%)<br>Metabolic and nutritional: Z: 5(3.7%) vs O: 14(10.5%)<br>Musculoskeletal: Z: 8(5.9%) vs O: 8(6.0%)<br>Nervous: Z: 82(60.3%) vs O: 64(48.1%)<br>Respiratory: Z: 24(17.6%) vs O: 16(12.0%)<br>Skin and appendages: Z: 14(10.3%) vs O: 10(7.5%)<br>Special senses: Z: 8(5.9%) vs O: 6(4.5%)<br>Urogenital: Z: 9(6.6%) vs O: 5(3.8%)<br>Weight change (kg): Z +0.8 vs O +3.4, p<0.001 |
| Simpson, 2005<br>(Continuation of Simpson, 2004) |  | Ziprasidone vs. olanzapine<br>Weight changes -0.82 kg vs. 4.97 kg<br>BMI changes -0.59 vs 1.31   |
| Funding: Pfizer, Inc                             |  | fasting insulin (1.0 µU/ml) vs. (2.0 µU/ml)<br>Total cholesterol -1.0 mg/dl vs 13.0 mg/dl<br>Mean QTc (Bazett correction) 407.1msec vs. 394.4 msec   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year        |  |
|---------------------|--|
| Study design        | Extrapyramidal symptoms  |
| Simpson, 2004       | Scales used: Extrapyramidal Symptom Rating Scale, Barnes akathisia scale, Abnormal |
| DB, multicenter,    | Involuntary Movement Scale (AIMS).   |
| parallel, flexible- |  |
| dose                |  |
| Inpatients          |  |
|                     |  |
| Simpson, 2005       | Ziprasidone vs. olanzapine   |
| (Continuation of    | Change in LS mean (SE)   |
| Simpson, 2004)      | EPS rating scale -0.4 (0.3) vs. -0.7 (0.3)   |
|                     | Barnes Rating Scale -0.2 (0.4) vs. -0.9 (0.3)                                      |
| Funding: Pfizer,    | AIMS score -0.07 (0.09) vs. -0.07 (0.07)   |
| Inc                 |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Simpson, 2004<br>DB, multicenter,<br>parallel, flexible-<br>dose<br>Inpatients | 115 total WD<br>5 due to AEs                                    |                 |
| Simpson, 2005<br>(Continuation of<br>Simpson, 2004)                            | 88 total WD<br>25 due to AEs                                    |                 |
| Funding: Pfizer,<br>Inc  |   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications              | Age<br>Gender<br>Ethnicity                                 | Other population characteristics   |
|------------------------------|---|--|--|--|--|
| Sirota, 2006<br>RCT, DB(?)   | PANSS negative subscale score $\geq 15$ ;<br>SANS total score $\geq 60$ . Excluded due to:<br>concurrent Axis 1 DSM-IV diagnosis,<br>history of seizure disorder, al clinically<br>significant medical condition that would<br>interfere with evaluations or efficacy or<br>tolerability, pregnancy, use of depot<br>antipsychotics within 1 dosing interval,<br>participation in another investigational drug<br>trial w/in 30 ds for study entry. | olanzapine 5-20 mg/d<br>quetiapine 200-800 mg/d<br><br>Titration schedule:<br>olanzapine - d 1-5: 5 mg/d; d 6-10:<br>10 mg/d; d 11-end of study: 15 mg/d;<br>up to 20 mg/d permitted during this<br>period of sufficient response not<br>achieved<br>quetiapine - d 1: 50 mg/d; d 2: 100<br>mg/d; d 3-4: 200 mg/d; d 5-7: 300<br>mg/d; two wks: 400 mg/d; six wks:<br>600 mg/d; up to 800 mg/d permitted<br>if sufficient response was not<br>achieved | biperiden; 1 pt received<br>citalopram | Mean age 37.2 yrs<br>(SD 11.5)<br>80% male<br>Ethnicity NR | Mean duration of illness: 14.5 yrs (SD<br>8.2)<br>Previous antipsychotic use: >99% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b>   | <b>Results</b>   |
|--------------------------------------|--|---|--|
| Sirota, 2006<br>RCT, DB(?)           | NR/NR/40                                       | 5/NR/unclear -<br>presumably 40.<br>Analysis based on<br>"ITT" of all pts w/at<br>baseline and at<br>least one baseline<br>measurement<br>w/LOCF. | No SS between-group differences for SANS or PANSS scores (total and subscale)<br><br>Median change in SANS from baseline at wk 12:<br>Total SANS: O -11 v Q -12<br>Affective flattening and blunting: O -5 v Q -5<br>Attention impairment: O -2 v Q 0<br>Avolition: O -2 v Q -2<br>Alogia: O -1 v Q -2<br><br>Median change in PANSS from baseline at wk 12:<br>Total PANSS: O -11.0 v Q -13.0<br>PANSS negative symptom score: O -5.0 v Q -5.0<br>PANNS positive symptom score: O -4.0 v Q -1.0 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>               |
| Sirota, 2006        | Anxiety: O 7/21 (33.3%) v Q 7/19 (36.8%)      |
| RCT, DB(?)          | Insomnia: O 6/21 (28.6%) v Q 6/19 (31.6%)     |
|                     | Abdominal pain: O 2/21 (9.5%) v Q 1/19 (5.3%) |
|                     | Fever: O 2/21 (9.5%) v Q 1/19 (5.3%)          |
|                     | Rhinitis: O 2/21 (9.5%) v Q 1/19 (5.3%)       |
|                     | Conjunctivitis: O 2/21 (9.5%) v Q 0           |
|                     | Mean weight change at 12 wks:                 |
|                     | O +2.3kg v Q -0.9kg (p<0.01)                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year |   |
|--------------|---|
| Study design | Extrapyramidal symptoms   |
| Sirota, 2006 | No clinically significant changes in SAS, BAS or AIMS scores in either group. |
| RCT, DB(?)   |   |
|              | Akathisia: O 3/21 (14.3%) v Q 3/19 (15.8%)                                    |
|              | Parkinsonism: O 5/21 (23.8%) v Q 3/19 (15.8%)                                 |
|              | Use of biperiden: O 6/21 (28.6%) v Q 5/19 (26.3%)                             |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--------------------------------------|---|-----------------|
| Sirota, 2006<br>RCT, DB(?)           | 5 (O=3; Q=2) total WD<br>1 (O - jaundice) due to AEs            |                 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |   |                               | Age           |  |
|----------------|---|---|-------------------------------|---------------|--|
| Study design   | Eligibility criteria                        | Interventions<br>(drug, dose, duration) | Allowed other medications     | Gender        | Other population characteristics       |
| Smith 2009     | Inclusion: inpatients with chronic DSM-IV   | Olanzapine (5-40, mean 25.2 mg/d)       | Statins allowed if started 2+ | Mean age 41.9 | Olanzapine vs. risperidone:            |
| Smith 2010     | schizophrenia or schizoaffective psychosis; | or risperidone (2-12, mean 6.1 mg/d)    | mos prior to study and no     | 98% male      | PANSS 64.04 (17.0) vs. 61.78 (13.7)    |
| Open-label RCT | age 18-65 ys.                               | for 5 mos                               | recent dosage changes         | 74% Black     | Duration of illness 21.26 (11.42) vs.  |
| single-center, |   |   |                               |               | 23.17 (11.7) ys                        |
| psychiatric    | Exclusion: currently treated with clozapine |   |                               |               | ys hospitalized 2.47 (3.0) vs. 3.16    |
| hospital, USA  | or antidiabetic drugs                       |   |                               |               | (5.25)                                 |
|                |   |   |                               |               | BMI 29.96 (6.50) vs. 28.85 (5.71)      |
|                |   |   |                               |               | N with glucose >100 mg/dL in last 3 ys |
|                |   |   |                               |               | 5 vs. 7.                               |
|                |   |   |                               |               | 13/23 (56.5%) on olanzapine and 11/23  |
|                |   |   |                               |               | (48%) on risperidone were on same      |
|                |   |   |                               |               | drug at baseline. 8/46 (17%) were not  |
|                |   |   |                               |               | on either drug at baseline.            |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|--|--|---|--|
| Smith 2009<br>Smith 2010<br>Open-label RCT<br>single-center,<br>psychiatric<br>hospital, USA | 166/80/58                              | 9/0/46<br>3 completed less<br>than 2 mos of drug<br>treatment and were<br>excluded from<br>analysis | <p>Olanzapine (n=23) vs. risperidone (n=23)</p> <p>Mean (<math>\pm</math>SEM) change from 5 mos vs. baseline; P-values for change within group</p> <p>BMI 1.39<math>\pm</math>0.51; P&lt;0.01 vs. 0.59<math>\pm</math>0.50; P=ns</p> <p>Prolactin fasting ng/mL -8.41<math>\pm</math>4.71; P=ns vs. 11.98<math>\pm</math>4.71; P&lt;0.05</p> <p>No differential drug effect on PANSS, results NR.</p> <p>There was no differential drug effect of olanzapine v. risperidone on change in BMI, weight, or waist circumference over time.</p> <p>Effects of olanzapine and risperidone on fasting lipid metabolism (metabolic or other measure): Difference (5 months vs. baseline)</p> <p>Olanzapine diff (SEM) vs. Risperidone diff (SEM), ANOVA P</p> <p>BMI: 1.39 (0.51) vs. 0.59 (0.50), 0.235</p> <p>Cholesterol fasting (mg/dL): 3.16 (6.20) vs. 3.215 (6.06), 0.5916</p> <p>Triglyceride fasting (mg/dL): -12.61 (17.10) vs. -18.09 (16.65), 0.2604</p> <p>Free fatty acid fasting (uEq/L): -33.3 (49.0) vs. -43.5 (52.7), 0.7123</p> <p>Leptin fasting (ng/ml): 1.09 (1.00) vs. -0.65 (1.02), 0.5427</p> <p>HDL fasting (mg/dL): 0.99 (1.60) vs. 2.22 (1.55), 0.7405</p> <p>LDL fasting (mg/dL): 4.99 (6.33) vs. -2.27 (6.14), 0.1280</p> <p>Cholesterol/HDL ratio: -0.01 (0.23) vs. -0.41 (0.23), 0.6545</p> <p>Triglyceride/HDL ratio: -0.64 (0.63) vs. -0.59 (0.62), 0.2738</p> <p>Effects of olanzapine and risperidone on lipid metabolism after fatty meal: Difference (2 months vs. baseline)</p> <p>Olanzapine diff (SEM) vs. Risperidone diff (SEM), ANOVA P</p> <p>Glucose (mg/dL) (1 hr): 6.56 (4.77) vs. 1.41 (4.43), 0.4328</p> <p>Insulin (uIU/mL) (1 hr): -2.11 (7.07) vs. -8.09 (6.71), 0.1488</p> <p>FFA (uEq/L) (4 hr): -66.1 (32.3) vs. 41.9 (33.7), 0.0260</p> <p>Cholestrol (mg/dL) (4 hr): 15.79 (7.16) vs. 6.48 (6.67), 0.3472</p> <p>Triglycerides (mg/dL) (4 hr): 50.29 (19.20) vs. -4.82 (17.81), 0.0119</p> <p>HDL (mg/dL) (4 hr): 2.70 (1.41) vs. 1.23 (1.28), 0.4453</p> <p>LDL fasting (mg/dL) (4 hr): 5.24 (4.58) vs. -2.27 (6.14), 0.8674</p> <p>VLDL cholesterol (mg/dL) (4 hr): 16.73 (5.21) vs. 6.33 (5.02), 0.0062</p> <p>VLDL tryglycerides (mg/dL) (4 hr): 39.70 (23.83) vs. -22.67 (24.79), 0.0591</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                                   |   |
|--|---|
| Study design                                   | Adverse effects reported  |
| Smith 2009                                     | One patient assigned to olanzapine was withdrawn before 2 mos of treatment due to abnormal glucose/lipid profile and excessive weight gain. |
| Smith 2010                                     |   |
| Open-label RCT                                 |   |
| single-center,<br>psychiatric<br>hospital, USA |   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                         |
|----------------|-------------------------|
| Study design   | Extrapyramidal symptoms |
| Smith 2009     | NR                      |
| Smith 2010     |                         |
| Open-label RCT |                         |
| single-center, |                         |
| psychiatric    |                         |
| hospital, USA  |                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                                     | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|--|---|----------|
| Smith 2009   | 9 WD  |          |
| Smith 2010   | 1 due to AEs  |          |
| Open-label RCT<br>single-center,<br>psychiatric<br>hospital, USA |   |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|---|---|---|---|---|--|
| Strakowski, 2005<br>(companion to<br>Lieberman 2003,<br>Green 2004,<br>Perkins 2004)<br>US & Europe<br>HGDH Research<br>Group | Same as Lieberman et al 2003.   | Haloperidol 2-6 mg/d<br>Olanzapine 5-20 mg/d with<br>adjustments for both during the first<br>12 wks of study   | Same as Lieberman et al<br>2003   | Mean age 25 yrs (SD<br>5)<br>80% male<br>55% White<br>35% African-<br>American<br>10% Other                               | Diagnosis:<br>61% schizophrenia<br>30% schizophreniform<br>9% schizoaffective<br>PANSS total: 81 (SD 15)<br>PAS total: 0.33 (SD 0.16)<br>Duration of illness: 65 wks (SD 62)<br>Duration of previous antipsychotic use:<br>6 wks (SD 10)<br>Substance abuse disorder: 8%<br>Hospitalized at index: 57% |
| Stroup 2009<br>CATIE Phase 3  | 18 to 65 ys, diagnosis of schizophrenia and<br>appropriateness for oral antipsychotic<br>medication   | flexible doses of monotherapies with<br>oral aripiprazole, clozapine,<br>olanzapine, perphenazine,<br>quetiapine, risperidone, ziprasidone,<br>LA injectable fluphenazine<br>decanoate or a combination of any<br>two of these treatments | Concomitant medications<br>were permitted throughout the<br>trial, except for additional<br>antipsychotic agents. | Mean age: 40.5 ys<br>(SD11.0)<br>70% male<br>67% white<br>30% African american<br>3% other                                | ys since first antipsychotic medication<br>prescribed, Mean (SD)<br>Aripiprazole: 11.8 (9.6)<br>Clozapine: 8.3 (8.5)<br>Olanzapine: 15.1 (10.2)<br>Quetiapine: 15.9 (10.5)<br>Risperidone: 16.1 (11.4)<br>Ziprasidone: (13.9 (11.1)  |
| Stroup, 2006<br>CATIE Phase 2T  | Schizophrenia patients who had just<br>discontinued treatment because patients<br>who poorly tolerated their previous<br>treatment, and discontinued their previous<br>treatment because of inefficacy and did not<br>want to consider treatment with clozapine,<br>and discontinued their previous treatment<br>independently of their doctor's<br>recommendation. | Olanzapine 7.5–30 mg/d [N=66];<br>quetiapine, 200–800 mg/d[N=63];<br>risperidone, 1.5–6.0 mg/d [N=69];<br>ziprasidone, 40–160 mg/d [N=135])<br>up to a total of 18 mos, overall or at<br>least 6 mos for this phase                       | Concomitant medications<br>were permitted throughout the<br>trial, except for additional<br>antipsychotic agents. | Mean age=40.8 ys<br>69% male<br>66% white<br>30% black/African<br>American<br>3% All other race<br>groups<br>13% Hispanic | patients who discontinued the previous<br>phase -<br>"patient decision" (18%, N=81 of 448).<br>intolerability: 87% [N=168 of 193];<br>inefficacy: 58% [N=184 of 318]).   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results   |
|---|--|--|---|
| Strakowski, 2005<br>(companion to<br>Lieberman 2003,<br>Green 2004,<br>Perkins 2004)<br>US & Europe<br>HGDH Research<br>Group | NR/NR/195                              | 107/NR/195   | No significant time-to-treatment group effects; significant improvement over time observed for all patients for most SF-36 variables for both interventions<br>No further data on treatment groups provided; all other results combined interventions   |
| Stroup 2009<br>CATIE Phase 3  | eligible:410<br>Enrolled: 270          | 106/NR/Differen  | Mean (SD) change in PANSS score at 6 mo from baseline :<br>Aripiprazole(N=18) -13.7 (14.0), p<0.001<br>Clozapine (N=24)-13.3 (21.3)p=0.006<br>Olanzapine (N=30) -9.7 (16.3), p=0.003<br>Quetiapine(N=23) -7.0 (19.6), p=0.100<br>Risperidone (N=24) -8.1 (13.9), p=0.009<br>Ziprasidone (N=21) -3.1 (15.7), p=0.371   |
| Stroup, 2006<br>CATIE Phase 2T  | 1493/1052/444                          | 395 withdrawn of<br>which 106 were<br>taken out because<br>of changed<br>protocol./289<br>LTF/338 analyzed | Median time until treatment discontinuation for any reason (mos)<br>olanzapine=6.3 vs risperidone=7.0 vs quetiapine=4.0 mos vs ziprasidone=2.8<br>HRs (95% CI) for pair-wise comparisons:<br>olanzapine vs risperidone=1.02 (0.67 - 1.55) p = NR<br>olanzapine vs quetiapine=0.65 (0.43 - 0.97) p< 0.05<br>olanzapine vs ziprasidone=0.61 (0.43 - 0.87) p< 0.01<br>risperidone vs quetiapine =0.64 (0.43 - 0.95) p< 0.05<br>risperidone vs ziprasidone =0.60 (0.42 - 0.85) p< 0.01<br>quetiapine vs ziprasidone =0.94 (0.67 - 1.31) p = NR<br><br>PANSS Total Score differences at 3 mos<br>olanzapine vs quetiapine=6.8 (p=0.005 and ziprasidone = 5.9 (p=0.005) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b> | <b>Adverse effects reported</b>  |
|---|---------------------|--|
| Strakowski, 2005<br>(companion to<br>Lieberman 2003,<br>Green 2004,<br>Perkins 2004)<br>US & Europe<br>HGDH Research<br>Group |                     | NR   |
| Stroup 2009<br>CATIE Phase 3  |                     | Aripiprazole vs clozapine vs olanzapine vs quetiapine vs risperidone vs ziprasidone<br><br>Weight gain>7%: 7% vs 32% vs 23% vs 16% vs 14% vs 7%,p=0.031  |
| Stroup, 2006<br>CATIE Phase 2T  |                     | olanzapine vs risperidone vs quetiapine vs ziprasidone (%pts) (p-values are NS unless otherwise specified and come from a test with df=3 comparing all treatment groups)<br>Any serious AE: 6% vs 11% vs 8% vs 15%<br>Insomnia: 13% vs 23% vs 16% vs 31%, p=0.01<br>Hypersomnia/sleepiness: 28% vs 22% vs 23% vs 13%<br>Urinary hesitancy/dry mouth/constipation: 21% vs 21% vs 27% vs 17%p=0.002<br>Sex drive/sexual arousal/sexual orgasm: 17% vs 29% vs 11% vs 15%<br>Gynecomastia/galactorrhea: 1% vs 5% vs 0 vs 1%<br>Incontinence/nocturia: 1% vs 3% vs 4% vs 4%<br>Orthostatic faintness: 7% vs 6% vs 13% vs 4%<br>Skin rash: 2% vs 6% vs 8% vs 4%<br><br>Weight gain from baseline $\geq$ 7%: 27% vs 13% vs 13% vs 6%<br><br>Weight change (mean lb): 1.3 vs -0.2 vs 0.1 vs -1.7 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |  |
|---|--|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>   |
| Strakowski, 2005<br>(companion to<br>Lieberman 2003,<br>Green 2004,<br>Perkins 2004)<br>US & Europe<br>HGDH Research<br>Group | NR   |
| Stroup 2009<br>CATIE Phase 3  | Aripiprazole vs clozapine vs olanzapine vs quetiapine vs risperidone vs ziprasidone<br>AIMS severity index $\geq 2$ : 9% vs 8% vs 0% vs 10% vs 19% vs 12%, $p=0.231$<br>Barnes Global clinical assessment $\geq 3$ : 0% vs 3% vs 3% vs 7% vs 3% vs 15%, $p=0.201$<br>Simpson-Angus EPS mean scale score $\geq 3$ : 3% vs 7% vs 3% vs 10% vs 3% vs 4% $p=0.493$ |
| Stroup, 2006<br>CATIE Phase 2T  | AIMS severity score $\geq 2$ : 9% vs 8% vs 17% vs 10%<br>Barnes score $\geq 3$ : 6% vs 3% vs 6% vs 5%<br>Simpson-Angus mean score $\geq 1$ : 4% vs 12% vs 7% vs 4%   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|---|---|-----------------|
| Strakowski, 2005<br>(companion to<br>Lieberman 2003,<br>Green 2004,<br>Perkins 2004)<br>US & Europe<br>HGDH Research<br>Group |   |                 |
| Stroup 2009<br>CATIE Phase 3  | Aripiprazole vs clozapine vs olanzapine vs quetiapine vs risperidone vs ziprasidone<br>Total WD: 33% vs 46% vs 41% vs 36% vs 44% vs 41% (P=NS between groups)<br>WD due to AE: 3% vs 16% vs 10% vs 6% vs 6% vs 8% (P=NS between groups) |                 |
| Stroup, 2006<br>CATIE Phase 2T  | 289 WD<br>40 due to AE  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                            | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|---|---|--|---|---|--|
| Stroup, 2007<br>CATIE Phase 1B          | Patients who were assigned to treatment in phase 1 with perphenazine and who discontinued it then entered phase 1B  | olanzapine, 7.5–30.0 mg/d<br>quetiapine 200–800 mg/d<br>risperidone 1.5–6.0 mg/d<br>18 mos or discontinuation  | Concomitant medications were permitted throughout the trial, except additional antipsychotics | Mean age=40.8 ys<br>77% male<br>65% white<br>33% black/African American<br>3% Asian<br>14% Hispanic | patients who discontinued perphenazine in phase 1 because of inefficacy (55 of 65, 85%)<br>intolerability (37 of 40, 93%)<br>“patient decision” (21 of 77, 27%). |
| Suzuki, 2007<br>Open label RCT<br>Japan | Older than 18 ys and were required to score more than 54 points in the 18-item Brief Psychiatric Rating Scale BPRS. | First assigned to Olanzapine (N=26)<br>First assigned to Quetiapine (N=26)<br>First assigned to Risperidone (N=26)<br><br>OLZ→QTP→RIS,<br>OLZ→RIS→QTP,<br>QTP→OLZ→RIS,<br>QTP→RIS→OLZ,<br>RIS→OLZ→QTP,<br>RIS→QTP→OLZ.<br>Up to 8 wks each | Lorazepam   | Mean age 44.9<br>45% male<br>Ethnicity NR   | 85% inpatients<br>BPRS 72.6 (SD 8.5)<br>DIEPSS 5.59 (SD 5.15)<br>Duration of illness 17.0 (SD 11.7)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design            | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Stroup, 2007<br>CATIE Phase 1B          | 1894/192/115                           | 77(68%)/0/114                                | <p>Median time until treatment discontinuation for any reason (mos)<br/>           olanzapine=7.1 vs quetiapine=9.9 vs risperidone=3.6 mos<br/>           HRs (95% CI) for pair-wise comparisons:<br/>           olanzapine vs quetiapine=0.97 (0.53 - 1.75) p= 0.91<br/>           olanzapine vs risperidone=0.53 (0.31 - 0.91) p= 0.02<br/>           quetiapine vs risperidone=0.55 (0.32 - 0.95) p= 0.04<br/>           Discontinuations due to lack of efficacy (% pts)<br/>           olanzapine=18 vs quetiapine=34 vs risperidone=34 mos<br/>           HRs (95% CI) for pair-wise comparisons:<br/>           olanzapine vs quetiapine=0.55 (0.22 - 1.39) p= 0.21<br/>           olanzapine vs risperidone=0.36 (0.14 - 0.92) p= 0.04<br/>           quetiapine vs risperidone=0.66 (0.30 - 1.45) p= 0.30</p> <p>PANSS Total Score Change at 3 mos<br/>           olanzapine=9.6 vs quetiapine=6.5 vs risperidone=5.3</p> <p>CGI severity change in score at 3 mos<br/>           olanzapine=0.4 (vs. risperidone p = 0.03) vs quetiapine=0.5 (vs. risperidone p = 0.005) vs risperidone=0.1</p> |
| Suzuki, 2007<br>Open label RCT<br>Japan | 78 enrolled                            | 7 dropouts                                   | <p>Thirty-nine patients (50%) responded to the first agent (OLZ, n=16; QTP, n=9; RIS, n=14), 14 to the second agent (OLZ, n=6; QTP, n=5; RIS, n=3), and only two to the third agent (RIS alone). Sixteen patients (21%) failed to respond to all three atypical antipsychotics.</p> <p>Results for first arm only<br/>           BPRS Baseline to endpoint<br/>           Olanzapine 71.6 to 56.6 vs Quetiapine 71.4 to 60.6 vs Risperidone 72.6 to 58.6<br/>           Global assessment of functioning Baseline to endpoint<br/>           Olanzapine 30.2 to 44.4 vs Quetiapine 31.6 to 40.8 vs Risperidone 30.6 to 42.7<br/>           Severity of illness Baseline to endpoint<br/>           Olanzapine 5.62 to 4.75 vs Quetiapine 5.6 to 4.98 vs Risperidone 5.64 to 4.91<br/>           Global improvement<br/>           Olanzapine 3.06 vs Quetiapine 3.55 vs Risperidone 3.13</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>     | <b>Adverse effects reported</b>  |
|---------------------|-------------------------|--|
| Stroup, 2007        | CATIE Phase 1B          | <p>Olanzapine vs quetiapine vs risperidone (%pts) (p-values are NS)</p> <p>Any serious AE: 5% vs 11% vs 8%</p> <p>Insomnia: 10% vs 18% vs 16% ,</p> <p>Hypersomnia/sleepiness: 26% vs 42% vs 16%</p> <p>Urinary hesitancy/dry mouth/constipation: 33% vs 16% vs 24%</p> <p>Decreased sex drive/sexual arousal/sexual orgasm: 23% vs 18% vs 13%</p> <p>Gynecomastia/galactorrhea: 3% vs 0 vs 0</p> <p>Menstrual irregularities: 10% vs 13% vs 11%</p> <p>Incontinence/nocturia: 0% vs 3% vs 3%</p> <p>Sialorrhea: 0% vs 3% vs 8%</p> <p>Orthostatic faintness: 8% vs 18% vs 3%</p> <p>Skin rash: 8% vs 3% vs 11%</p> <p>Weight gain from baseline <math>\geq 7\%</math>: 36% vs 24% vs 14%</p> <p>Weight change (mean lb): 11.9 vs 2.0 vs 2.8</p> |
| Suzuki, 2007        | Open label RCT<br>Japan | <p>3 serious AEs</p> <p>1 risperidone neuroleptic malignant syndrome</p> <p>1 olanzapine minor episode of cerebrovascular accident</p> <p>1 quetiapine acute obstructive suppurative cholangitis owing to cholelithiasis</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |   |
|----------------|---|
| Study design   | Extrapyramidal symptoms                           |
| Stroup, 2007   | AIMS severity score $\geq 2$ : 7% vs 12% vs 0%    |
| CATIE Phase 1B | Barnes score $\geq 3$ : 0 vs 0% vs 0              |
|                | Simpson-Angus mean score $\geq 1$ : 50 vs 0% vs 0 |
| Suzuki, 2007   | Drug-induced extrapyramidal rating scale          |
| Open label RCT | Baseline to endpoint                              |
| Japan          | Olanzapine (n=50) 5.26 to 5.38                    |
|                | Quetiapine (n=45) 5.98 to 5.64                    |
|                | Risperidone (n=50) 6.10 to 6.62                   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>    | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Stroup, 2007<br>CATIE Phase 1B          | Total WDs 77<br>Due to AEs 17                                   |                 |
| Suzuki, 2007<br>Open label RCT<br>Japan | 7 WD<br>Due to AEs NR   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity                  | Other population characteristics   |
|---|---|---|--|---|--|
| Swartz, 2007<br>CATIE Phase 1<br>QOL subgroup<br>(n=455)  | Patients who completed the QOL Scale at baseline of Phase 1 and were available at the primary 12-mo endpoint (n=455)  | see above   | see above  | Mean age=41.9 ys<br>75.8% male<br>62% white | Alcohol abuse=29%<br>Drug abuse=20.4%  |
| Tollefson, 1999a;<br>Tollefson, 1999b<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Depression,<br>Mood disturbance,<br>QoL | Diagnosis: schizophrenia, schizophreniform or schizoaffective disorders (DSM-IV), age 18-65, Min score of 42 on BPRS as extracted from PANSS (items 1-7); inpatient or outpatient | olanzapine: 10–20 mg/d<br>mean dose: 17.2 mg/d<br>risperidone: 4–12 mg/d<br>mean dose: 7.2 mg/d<br><br>Duration: 28 wks | benzodiazepines (limited use for agitation), chloral hydrate, dipiperiden or benztropine (up to 6mg/d) for treatment of EPS only | Mean age 36<br>65% male<br>75% white        | 82% diagnosis = schizophrenia<br>mean length of current episode: 154 ds<br>80% had <4 prior episodes<br>Prominent negative symptoms: 80% |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results   |
|---|--|--|---|
| Swartz, 2007<br>CATIE Phase 1<br>QOL subgroup<br>(n=455)  | 1493/1440/455                          | NA/NA/455                                    | Mean change in QOL Scale (p-value represents within-group difference from baseline)<br>Olanzapine (n=145): 0.19, p<0.05<br>Perphenazine (n=74): 0.19, p=NS<br>Quetiapine (n=82): 0.09, p=NS<br>Risperidone (n=107): 0.26, p<0.01<br>Ziprasidone (n=47): 0.26, p=NS<br><br>Paired comparisons<br>P vs O vs Q vs R: F=0.59, p=0.62<br>O vs Q vs R: F=0.64, p=0.53   |
| Tollefson, 1999a;<br>Tollefson, 1999b<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Depression,<br>Mood disturbance,<br>QoL | NR/NR/339                              | 161/11/339                                   | Overall Results: see Tran 1997 (HTA report tables)<br>PANSS Mood item (scored 1-7):<br>At 8 wks mean change:<br>olanzapine 1.13<br>risperidone 0.85 (p=0.006)<br>At 28 wks:<br>olanzapine > risperidone (p=0.004, data NR)<br>PANSS Depression Cluster (PDC):<br>At 8 wks:<br>olanzapine: 59% improvement vs risperidone: 45% improvement (p=0.045)<br>Of those with >= 20% improvement in total PANSS, Kaplan-Meier analysis of maintenance of response to 28 wks:<br>olanzapine > risperidone (p=0.001)<br>Relapse Risk (from wk 8 to wk 28)<br>If change from baseline < 7 points PDC: NS difference<br>If change from baseline >= 7 points: RR R vs O 8.55 (95% CI 2.99 to 24.47) |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year      |                          |
|-------------------|--------------------------|
| Study design      | Adverse effects reported |
| Swartz, 2007      | NR                       |
| CATIE Phase 1     |                          |
| QOL subgroup      |                          |
| (n=455)           |                          |
|                   |                          |
| Tollefson, 1999a; | See Tran 1997            |
| Tollefson, 1999b  |                          |
| (Tran, 1997 sub-  |                          |
| analysis)         |                          |
| RCT, multicenter, |                          |
| multinational (6  |                          |
| European, South   |                          |
| Africa and US)    |                          |
| Post-hoc Analysis |                          |
| of Depression,    |                          |
| Mood disturbance, |                          |
| QoL               |                          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |                         |
|---|-------------------------|
| Study design  | Extrapyramidal symptoms |
| Swartz, 2007  | NR                      |
| CATIE Phase 1<br>QOL subgroup<br>(n=455)  |                         |
| Tollefson, 1999a;<br>Tollefson, 1999b<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Depression,<br>Mood disturbance,<br>QoL | NR                      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>  | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b>  |
|---|---|--|
| Swartz, 2007<br>CATIE Phase 1<br>QOL subgroup<br>(n=455)  | N/A   |  |
| Tollefson, 1999a;<br>Tollefson, 1999b<br>(Tran, 1997 sub-<br>analysis)<br>RCT, multicenter,<br>multinational (6<br>European, South<br>Africa and US)<br>Post-hoc Analysis<br>of Depression,<br>Mood disturbance,<br>QoL | See Tran 1997   | Further analysis presented to show<br>relationship of PANSS-mood items and<br>QLS. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year               | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity                                  | Other population characteristics  |
|----------------------------|---|---|---|---|---|
| Tollefson, 2001            | Schizophrenia<br>Diagnosis: DSM-IV  | olanzapine 15 mg/d, after first 2 wks<br>15–25 mg/d<br>mean 21 mg<br>clozapine fixed dose escalation from 25 to 200 mg/d during ds 1–8 of therapy; after first 2 wks, 200–600 mg/d<br>mean 303 mg<br>Duration: 18 wks | benzodiazepine (up to 40 mg daily diazepam equivalent or 8 mg lorazepam equivalent) for agitation, chloral hydrate for insomnia, and biperiden or benztropine mesylate (up to 4 mg daily) for EPS permitted | Mean age (SD): 38.6 (10.6) ys<br>63.9% male<br>Ethnicity NR | Schizophrenia subtypes: catatonic 3/180; disorganized 34/180; paranoid 101/180; undifferentiated 34/180; residual 8/180<br>Schizophrenia course: residual symptoms 81/180; no residual symptoms 3/180; continuous 92/180; in partial remission 2/180; other pattern 2/180   |
| Tran, 1997<br>Edgell, 2000 | Diagnosis: schizophrenia, schizophreniform or schizoaffective disorders (DSM-IV), age 18–65, Min score of 42 on BPRS as extracted from PANSS (items 1–7); inpatient or outpatient | olanzapine, 10–20 mg/d;<br>risperidone, 4–12 mg/  | benzodiazepines (limited use for agitation), chloral hydrate, doperiden or benztropine (up to 6mg/d) for treatment of EPS only  | Mean age=36.21<br>64.9% male<br>74.6% white                 | 81.7% diagnosis of schizophrenia<br>55.5% paranoid subtype<br>Course of illness<br>39.8% continuous<br>34.5% episodic with inter-episode residual symptoms<br>Age of onset of illness: 23.7 ys<br>Length of patients' current episodes: 153.8 ds<br>80.4% had less than 10 previous episodes before entry into the study<br>41.9% were inpatients |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Number screened/<br>eligible/ enrolled         | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|------------------------------|--|---|--|
| Tollefson, 2001              | NR/NR/180<br>olanzapine: 90<br>clozapine: 90   | olanzapine<br>36/2/90<br>clozapine<br>37/2/90   | <p>PANSS total (positive; negative subscales). Final equals change from baseline:<br/> Olanzapine: (n= 89) -25.6,25.5(-6.8,7.6;-7.1,7.4)<br/> Clozapine: (n= 87) -22.1,23.1,p= 0.888 (-6.4,7.2;-5.6,6.9)</p> <p>CGI-S;BPRS total. Final equals change from baseline:<br/> Olanzapine: (n= 89) -1.1,1.2;-15.2,15.3<br/> Clozapine: (n= 87) -0.9,1.1;-14.0,13.3</p> <p>BPRS+ CGI-S; PANSS total score (≥20%;≥30%;≥40%;≥50% improvement; no improvement):<br/> Olanzapine: (n= 89) 34/89;53/89;41/89;24/89;9/89;11/89<br/> Clozapine: (n= 87) 30/87;47/87;28/87;14/87;9/87;14/87</p>  |
| Tran, 1997<br>Edgell, 2000   | NR/NR/339<br>olanzapine 172<br>risperidone 167 | Withdrawn=161<br>(47.5%)/Lost to<br>fu=11<br>(3.2%)/analyzed=33<br>1<br>olanzapine 166<br>risperidone 165 | <p>Olanzapine, risperidone, p-value</p> <p>Mean changes:<br/> PANSS Total: -28.1, -24.9, p=NS<br/> PANSS positive: -7.2, -6.9, p=NS<br/> PANSS negative: -7.3, -6.2, p=NS<br/> PANSS general psychopathology: -13.5, -11.8, p=NS<br/> PANSS depression item: -1.1, -0.7, p=0.004<br/> BPRS total score: -17.0, -15.2, p=NS<br/> SANS summary score: -4.3, -2.9, p=0.020<br/> CGI-S score: -1.1, -1.0, p=NS</p> <p>Improvement in PANSS total score<br/> ≥20%: 102 (61.5%), 104 (63%), p=NS<br/> ≥30%: 88 (53%), 72 (43.6%), p=NS<br/> ≥40%: 61 (36.8%), 44 (26.7%), p=0.049<br/> ≥50%: 36 (21.7%), 20 (12.1%), p=0.020</p> <p>Mean changes in QOL Scale scores:<br/> Total score: 13.4, 8.8, p=NS<br/> Common objective and activities: 1.6, 1.2, p=NS<br/> Instrumental role: 1.7, 1.1, p=NS<br/> Interpersonal relations: 5.4, 2.8, p=0.011<br/> Intrapsychic foundation: 4.8, 3.7, p=NS</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b> | <b>Adverse effects reported</b>   |
|---------------------|---------------------|---|
| Tollefson, 2001     |                     | <p><u>Olanzapine: somnolence 12/90; agitation 10/90; headache 10/90; insomnia 7/90; constipation 6/90; weight gain 6/90; anxiety 5/90; rhinitis 5/90; dry mouth 4/90 (p = 0.043); vomiting 4/90; influenza syndrome 3/90; asthenia 2/90; increased salivation 2/90; sweating 2/90; dizziness 1/90; fever 1/90; leucopenia 1/90; nausea 1/90</u></p> <p><u>Clozapine: somnolence 22/90; agitation 4/90; headache 5/90; insomnia 3/90; constipation 17/90 (p = 0.014); weight gain 6/90; anxiety 5/90; rhinitis 3/90; vomiting 5/90; influenza syndrome 5/90; asthenia 6/90; increased salivation 26/90 (p &lt; 0.001); sweating 5/90; dizziness 8/90 (p = 0.017); fever 5/90; leucopenia 5/90; nausea 10/90 (p = 0.005); tooth disorder 4/90 (p = 0.043)</u></p> <p><u>AMDP-5 solicited AEs scale (statistically significant):</u></p> <p><u>Olanzapine: drowsiness 23/89; hypersalivation 13/89; dry mouth 24/89 (p = 0.019) dizziness 6/89; increased perspiration 8/89; hypotonia 2/89; tardive dyskinesia 5/89 (p = 0.026);</u></p> <p><u>Clozapine: drowsiness 41/86 (p = 0.003) hypersalivation 54/86 (p &lt; 0.001); dry mouth 11/86; dizziness 26/86 (p = 0.001); increased perspiration 19/89 (p = 0.016); hypotonia 9/86 (p = 0.025); tardive dyskinesia 0/86</u></p> <p><u>Mean weight change (SD): olanzapine 1.8 (5.0) kg;</u></p> <p><u>clozapine 2.3 (4.9) kg – no significant difference</u></p> <p><u>Mean decrease in orthostatic blood pressure (SD):</u></p> <p><u>olanzapine 0.5 (14.5) mmHg; 3.7 (18.1) mmHg – no significant difference</u></p> |
| Tran, 1997          |                     | Olanzapine, risperidone, p-value  |
| Edgell, 2000        |                     | <p>Mean change in weight (kg): 4.1, 2.3, p=0.015</p> <p>Corrected QTc interval prolongation: -4.9 vs 4.4, p=0.019</p> <p>Prolactin concentrations (% pts with elevation above standard reference ranges): 51.2%, 94.4%, p&lt;0.001</p> <p>Hospitalization rate (ds/mo): 3.9, 4.5, p=NS</p> <p>Weight gain: olanzapine &gt; risperidone (data nr, p-value nr)</p> <p>Nausea, amblyopia, extrapyramidal syndrome, increased salivation, suicide attempt, abnormal ejaculation, back pain, creatine phosphokinase increases, and urinary tract infection: risperidone &gt; olanzapine (data nr, p-value nr)</p> <p>Solicited treatment-emergent AEs (AMDP-5)</p> <p>Backache: 11 (6.6%), 22 (13.3%), p=0.040</p> <p>Blurred vision: 16 (9.6%), 34 (20.6%), p=0.005</p> <p>Breathing difficulties: 12 (7.2%), 24 (14.5%), p=0.031</p> <p>Delayed ejaculation: 3 (1.8%), 12 (7.3%), p=0.016</p> <p>Early waking: 20 (12%), 40 (24.2%), p=0.004</p> <p>Increased dreams/nightmares: 19 (11.4%), 32 (19.4%), p=0.043</p>   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year    |   |
|-----------------|---|
| Study design    | Extrapyramidal symptoms   |
| Tollefson, 2001 | EPS rating scales: SAS<br>total; AIMS non-global total; BAS global score. Final equals change from baseline<br>Intervention: (n = 88) -3.2, 4.8; -0.8, 2.2; -0.3, 0.9<br>Control: (n = 84) -1.4, 3.3 (p = 0.006); -0.7, 2.5; -0.4, 1.0  |
| Tran, 1997      | Olanzapine, risperidone, p-value  |
| Edgell, 2000    | Dystonic events: 1.7%, 6%, p=0.043<br>Parkinsonian events: 9.9%, 18.6%, p=0.022<br>Any EPS event: 18.6%, 31.1%, p=0.008<br>Akathisia events: 9.9%, 10.8%, p=NS<br>Dyskinetic events: 2.3%, 3%, p=NS<br>Residual events: 1.7%, 0.6%, p=NS<br>Treatment-emergent dyskinetic symptoms (categorical analysis of AIMS according to Schooler and Kane criteria): 4.6%, 10.7%, p=0.049 |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b>  |
|--------------------------------------|---|--|
| Tollefson, 2001                      | olanzapine 36/90 (40%)<br>Due to AE 4 (4.4%)<br>clozapine 37/90 (41%)<br>Due to AE 13 (14.4%)                 | General comments: Using 'absolute' observed group mean changes from baseline, difference in means was 3.5 units in favor of olanzapine, and one-sided lower 95% confidence limit, -2.2, indicating no clinical difference between treatments. Using 'adjusted' group mean changes from baseline, difference in means was 3.8 units in favor of olanzapine and one-sided lower 95% confidence limit, -1.9. Post-hoc ANCOVA: adjusted endpoint least squares means, 80.3 olanzapine; 83.4 clozapine, with one-sided CI of -3.7 |
| Tran, 1997<br>Edgell, 2000           | olanzapine, risperidone, p-value<br>WD: 73 (42.4%), 88 (52.7%), NS<br>WD due to AE: 17 (9.9%), 17 (10.2%), NS |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                               | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity                      | Other population characteristics  |
|--|--|--|--|---|---|
| van Bruggen,<br>2003<br>Inpatients                         | Adolescents/young adults aged 16-28, first or second psychotic episode, schizophrenia, schizophreniform, schizoaffective disorder  | 6-10 week study<br>Median doses:<br>olanzapine: 15 mg/d, risperidone: 4 mg/d                   | Antidepressants,<br>benzodiazepines, mood<br>stabilizers, anticholinergics | Mean age: 21 ys<br>79% Male<br>Ethnicity NR     | Adolescents/young adults aged 16-28   |
| Van Nimwegen,<br>2008<br>DB RCT<br>Netherlands<br>4 center | Male and female; 18 to 30 ys old, w/ schizophrenia, schizoaffective disorder, or schizophreniform disorder based on the Structured Clinical Interview for the DSM-IV, patient version. | Olanzapine (5,10, 15, or 20 mg/d) n=59<br>Risperidone (1.25, 2.5, 3.75, or 5 mg) n=63<br>6 wks | NR   | Mean age 24.6 yrs<br>91.3% male<br>Ethnicity NR | 90% schizophrenia, 6% schizophreniform disorder, 4% schizoaffective disorder<br>Baseline Y-BOCS score overall mean, $5.3 \pm 8.1$<br>Baseline PANSS scores ( $62.9 \pm 18.8$ in olanzapine vs $65.8 \pm 20.2$ in risperidone)<br>Baseline CDSS scores ( $3.1 \pm 5.8$ in olanzapine vs $2.8 \pm 12.3$ in risperidone) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                               | Number screened/<br>eligible/ enrolled              | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|--|---|--|--|
| van Bruggen,<br>2003<br>Inpatients                         | NR/NR/44  | NR/NR/31                                     | <p>Mean change in scores from baseline to endpoint:</p> <p>PANSS Total: O: -15.1 vs R: -15.0</p> <p>Positive Symptoms: O: -0.3 vs R: -3.2</p> <p>Negative Symptoms: O: -1.9 vs R: -1.9</p> <p>Depression Symptoms: O: 2.1 vs R: 0.7</p> <p>Agitation/excitement: O: -0.7 vs R: 0.4</p> <p>Disorganization: O: 1.1 vs R: 0.8</p> <p>General psychopathology: O: -6.6 vs R: -6.3</p> <p>Achievement of remission at Endpoint: O: 28% vs R: 11%</p> |
| Van Nimwegen,<br>2008<br>DB RCT<br>Netherlands<br>4 center | Screened NR/ 201<br>eligible / 131 took<br>one dose | 9 / 9/ 122                                   | <p>Olanzapine vs. risperidone</p> <p>Y-BOCS total score total group (N = 122: -2.2 vs -0.3, z = -2.651, P &lt; 0.01),</p> <p>Baseline Y-BOCS total score &gt; 0 (n = 58: -5.1 vs -0.4, z = -2.717, P &lt; 0.01),</p> <p>Baseline Y-BOCS total &gt; 10 (n = 29: -7.1 vs -0.6, z = -2.138, P = 0.032).</p>   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   | <b>Study design</b>               | <b>Adverse effects reported</b>  |
|-----------------------|-----------------------------------|--|
| van Bruggen,<br>2003  | Inpatients                        | Somnolence: O: 25% vs R: 66%<br>Excessive thirst: O: 17% vs R: 53%<br>Decreased libido: O: 17% vs R: 53%<br>Excessive appetite: O: 42% vs R: 42%<br>Akathisia: O: 33% vs R: 32%<br>Headache: O: 33% vs R: 5%<br>Dry Mouth: O: 25% vs R: 32%<br>Dizziness: O: 25% vs R: 26%<br>Difficulty falling asleep: O: 25% vs R: 26%<br>Heaviness in legs: O: 25% vs R: 21%<br>Menstrual difficulties: O: 25% vs R: 0%<br>Hypersalivation: O: 17% vs R: 26%<br>Increased perspiration: O: 17% vs R: 21%<br>Palpitations: O: 17% vs R: 16%<br>Blurred vision: O: 17% vs R: 16%<br>Decreased appetite: O: 8% vs R: 16%<br>Nausea: O: 8% vs R: 16%<br>Vomiting: O: 8% vs R: 16%<br>Breathing difficulties: O: 0% vs R: 16%<br>Backache: O: 0% vs R: 16%<br>Chills: O: 8% vs R: 16% |
| Van Nimwegen,<br>2008 | DB RCT<br>Netherlands<br>4 center | NR   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                              |
|--|------------------------------|
| Study design   | Extrapyramidal symptoms      |
| van Bruggen,<br>2003<br>Inpatients                         | Parkinsonism: O: 3% vs R: 3% |
| Van Nimwegen,<br>2008<br>DB RCT<br>Netherlands<br>4 center | NR                           |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                       | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| van Bruggen,<br>2003<br>Inpatients                         | NR/NR   |                 |
| Van Nimwegen,<br>2008<br>DB RCT<br>Netherlands<br>4 center | 9 WD<br>Due to AEs NR   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|---|--|---|--|---|
| Volavka, 2001<br>DB, RCT<br>Inpatients | Treatment-resistant, inpatients with DSM-IV diagnosis of schizophrenia, or schizoaffective disorder | 14 week trial:<br>clozapine (N=40): target for wks 1-8: 500 mg/d, mean dose for wks 9-14: 526.6 mg/d<br>olanzapine (N=39): target for wks 1-8: 20 mg/d, mean dose for wks 9-14: 30.4 mg/d<br>risperidone (N=41): target for wks 1-8: 8 mg/d, mean dose for wks 9-14: 11.6 mg/d<br>haloperidol (N=37): target for wks 1-8: 20 mg/d, mean dose for wks 9-14: 25.7 mg/d | Benztropine, propranolol, lorazepam, diphenhydramine hydrochloride, chloral hydrate | Mean age: 40.33 ys<br>84% Male<br>29% Caucasian<br>58.4% African-American<br>10.9% Hispanic<br>2% Asian-Pacific Islander | Schizophrenia: 135(86%)<br>Schizoaffective disorder: 22(14%)<br>100% Male for testing of prolactin levels of plasma |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design           | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed  | Results  |
|--|--|---|--|
| Volavka, 2001<br>DB, RCT<br>Inpatients | NR/167/157                             | 0/0/157<br>22 analyzed with<br>Total Aggression<br>Severity (TAS)<br>101 analyzed for<br>glucose and<br>cholesterol levels<br>and weight gain<br>16 analyzed for<br>prolactin levels of<br>plasma | PANSS mean scores- hostility item: baseline vs endpoint<br>clozapine: 2.68 vs 2.24<br>olanzapine: 2.35 vs 2.24<br>risperidone: 2.40 vs 2.49<br>haloperidol: 2.42 vs 2.95<br>Superiority over haloperidol at 14 wks:<br>clozapine: (p<0.007)<br>olanzapine: (p<0.02)<br>risperidone: (p=NR)<br>haloperidol: (p=NR)<br>Mean glucose level changes from baseline at 8 wks and 14 wks:<br>clozapine: 17.1, 4.4; (p=NS)<br>haloperidol: 8.4, 10.6; (p=NS)<br>olanzapine: 1.9, 14.3; (p<0.02)<br>risperidone: -1.3, 2.7; (p=NS)<br>Mean change from baseline in cholesterol levels: 8 wks, 14 wks<br>clozapine: 14.7, 16.3 mg/dl; (p=NS)<br>haloperidol: -4.9, -4.4 mg/dl; (p=NS)<br>olanzapine: 12.3, 20.1 mg/dl; (p<0.002)<br>risperidone: 4.2, 9.2 mg/dl; (p=NS)<br>Overall analysis of variance, effect of medication type on TAS: (p<0.013)<br>Comparison of clozapine vs haloperidol: (p<0.007)<br>Overall analysis of variance, effect of medication type on PANSS: (p=0.008)<br>Negative relationship between TAS vs PANSS: (p=0.0004)<br>Clozapine's efficacy increased with TAS, efficacy of risperidone and olanzapine decreased with TAS<br>Olanzapine superior to haloperidol: (p<0.012), olanzapine superior to risperidone: (p<0.016), clozapine to haloperidol:<br>(p<0.065) Risperidone: dose-dependent increased elevation of prolactin levels: (p<.05)<br>Pair-wise comparisons significant increase in prolactin levels:<br>Haloperidol vs clozapine: (p<.002)<br>Haloperidol vs olanzapine: (p<.026)<br>Olanzapine vs clozapine: (p=NS) |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>   |
| Volavka, 2001       | Weight gain (kg), mean change from baseline   |
| DB, RCT             | olanzapine: 7.3 (7.6), $p<0.0001$   |
| Inpatients          | clozapine: 4.8(6.1), $p<0.0003$   |
|                     | risperidone: 2.4(6.3), $p=0.09$   |
|                     | haloperidol: 0.9(5.7), NS   |
|                     | Association of cholesterol change and weight gain at endpoint                       |
|                     | four groups combined, $p=0.0008$  |
|                     | clozapine group, $p=0.008$  |
|                     | olanzapine group, $p=0.035$   |
|                     | after baseline cholesterol and weight were introduced as covariates in the analyses |
|                     | clozapine group, $p<0.03$   |
|                     | olanzapine group, $p=0.06$  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  |  |
|---------------|--|
| Study design  | Extrapyramidal symptoms  |
| Volavka, 2001 | Mean Extrapyramidal Symptoms scores from baseline:                 |
| DB, RCT       | clozapine: at 8 wks: 5.3; (p<0.03), at 14 wks: 5.1; (p<0.005)      |
| Inpatients    | olanzapine: at 8 wks: 3.7; (p<<0.0008), at 14 wks: 3.8; (p<0.0001) |
|               | risperidone: at 8 wks: 4.7; (p<0.002), at 14 wks: 4.8; (p<0.005)   |
|               | haloperidol: at 8 wks: 4.7; (p=NR), at 14 wks: 4.4; (p=NR)         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|--|---|-----------------|
| Volavka, 2001<br>DB, RCT<br>Inpatients | 0 WD<br>0 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                             | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications  | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|--|---|--|--|---|---|
| Voruganti, 2007<br>RCT, rater<br>blinded,<br>multicenter | Established diagnosis of schizophrenia (DSM-IV) confirmed through administration of SCID; male or female aged 18-65; treated with first generation antipsychotic drugs and in need of switch to a second generation antipsychotic drug due to unresolved symptoms or distressing side effects.<br><br>Exclusion criteria: developmental disorders, epilepsy or acquired brain injury and significant substance abuse comorbidity; lack of competence to consent |  | Rescue medications included benzodiazepines (lorazepam or clonazepam for anxiety and agitation or sleep difficulties); and adjunctive medications or anti-Parkinsonian medications were added, if felt necessary by physician, and were recorded for every patient | <u>Mean age yrs (SD):</u><br>olanzapine: 41.33 (13.61)<br>quetiapine: 38.72 (14.37)<br><br><u>% male</u><br>olanzapine: 83%<br>quetiapine: 65%<br><br>Ethnicity: NR | Duration of illness y (SD):<br>olanzapine: 15.33 (11.31)<br>quetiapine: 14.16 (11.76) |
| Wahlbeck, 2000<br>Open-label RCT                         | Diagnosis: schizophrenia (DSM-IV); Treatment-resistant: persistent psychotic symptoms for < 6 mos while on medication from ≥ 2 different classes of antipsychotic drugs in doses ≥ 1000 mg/d chlorpromazine for > 6 wks each; in addition, non-tolerance to haloperidol or non-response to haloperidol, > 40 mg/d   | clozapine 400 mg/d for 2 wks; flexible thereafter 600 mg/ d mean 385 mg/d<br>risperidone, 6 mg/d for 3 ds; flexible thereafter up to 10 mg/d mean 7.8 mg/d<br>Duration: 10 wks<br><br>preceded by 6-week treatment with haloperidol, ≤ 50 mg/d if no history of previous treatment with haloperidol, > 40 mg/d, or haloperidol intolerance | biperiden (EPS) and lorazepam (anxiety) as required  | Mean age 35.9 ys; range, 24–55 ys<br>55% male<br>Ethnicity NR   | Duration of illness, ~ 12 ys, range 0.5–33 ys; treatment resistant* illness           |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                             | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed         | Results  |
|--|--|--|--|
| Voruganti, 2007<br>RCT, rater<br>blinded,<br>multicenter | NR/NR/86                               | 1 post-<br>randomization<br>exclusion/85<br>analyzed | <p>Clinical outcomes at 12 mos (olanzapine vs. quetiapine)</p> <p>PANSS</p> <p>Total: 48.5 (9.9) vs. 49.4 (12.0); <math>F=1.67</math> (df=1,79), <math>P=0.28</math></p> <p>Positive symptom subscale: 15.5 (4.58) vs. 11.4 (4.3); <math>F=0.001</math> (df=1,79), <math>P=0.97</math></p> <p>Negative symptom subscale: 10.9 (3.15) vs. 14.8 (6.03); <math>F=1.037</math> (df=1,79), <math>P=0.31</math></p> <p>General Psychopathology subscale: 22.3 (4.99) vs. 23.78 (6.2); <math>F=1.772</math> (df=1,79), <math>P=0.18</math></p> <p>Cognitive cluster: 18.4 (5.41) vs. 15.64 (4.9); <math>F=11.28</math> (df=1,79), <math>P=0.02</math></p> <p>DAI: 3.70 (1.50) vs. 6.26 (1.22); <math>F=10.69</math> (df=1,79), <math>P=0.002</math></p> <p>PETIT (compliance subscale): 14.7 (3.1) vs. 16.34 (1.79); <math>F=3.622</math> (df=1,67), <math>P=0.06</math></p> <p>BWISE: 10.95 (3.0) vs. 15.68 (3.1); <math>F=52.73</math> (df=1,79), <math>P=0.001</math></p> <p>Functional outcomes at 12 mos (olanzapine vs. quetiapine)</p> <p>SSTICS: 30.2 (18.2) vs. 19.4 (12.4); <math>F=10.54</math> (df=1,71), <math>P=0.002</math></p> <p>Muller-Lyer's Visual task: 71.3 (10.6) vs. 67.2 (10.5); <math>F=1.36</math> (df=1,81), <math>P=0.56</math></p> <p>Size estimation task: 2.88 (1.15) vs. 2.39 (0.62); <math>F=0.84</math> (df=1,81), <math>P=0.36</math></p> <p>Backward masking task: 21.0 (4.82) vs. 26.17 (5.4); <math>F=10.81</math> (df=1,81), <math>P=0.01</math></p> <p>Asarnow's task: 13.16 (2.3) vs. 15.39 (2.4); <math>F=12.73</math> (df=1,81), <math>P=0.01</math></p> <p>Wisconsin card sorting test</p> <p>Total score: 63.0 (11.6) vs. 65.4 (12.6); <math>F=34.74</math> (df=1,80), <math>P=0.001</math></p> <p>Perseverative errors: 17.19 (3.7) vs. 12.12 (3.5); <math>F=65.74</math> (df=1,81), <math>P=0.001</math></p> <p>Random errors: 17.42 (4.2) vs. 11.39 (3.9); <math>F=35.4</math> (df=1,81), <math>P=0.001</math></p> <p>Psychosocial functioning</p> <p>SIP: 65.7 (13.7) vs. 64.8 (14.6); <math>F=0.431</math> (df=1,78), <math>P=0.51</math></p> <p>GAF: 64.72 (7.8) vs. 66.1 (8.05); <math>F=0.881</math> (df=1,79), <math>P=0.35</math></p> |
| Wahlbeck, 2000<br>Open-label RCT                         | 9000/90/20                             | 7/NR/19  | <p>20% improvement on PANSS:</p> <p>50% clozapine, 67% risperidone (<math>p=0.65</math>)</p> <p>Hospital discharge: 60% clozapine, 78% risperidone (<math>p=0.63</math>)</p> <p>Mean Change in score (clozapine/risperidone, p-value)</p> <p>PANSS total: -10/-18 (NS)</p> <p>PANSS positive -4/-4 (NS)</p> <p>PANSS negative +1/-4 (<math>p=0.056</math>)</p> <p>CGI-S -0.6/-1.3 (NS)</p> <p>GAF: +4/+13 (NS)</p> <p>SFS: -13/-9 (NS)</p> <p>DAI: -0.8/-0.6 (NS)</p>  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |  |
|---------------------|--|
| <b>Study design</b> | <b>Adverse effects reported</b>  |
| Voruganti, 2007     | Outcomes at 12 mos (olanzapine vs. quetiapine):                          |
| RCT, rater          | UKU-SR: 21.9 (10.7) vs. 16.14 (8.8); F=2.674 (df=1,79), P=0.1            |
| blinded,            | Weight gain (kg): 7.24 (2.43) vs. 2.84 (1.72); F=5.679 (df=1,79), P=0.02 |
| multicenter         | # of Dysglycemics: 13 vs. 4, P=0.001                                     |
|                     |  |
| Wahlbeck, 2000      | NR   |
| Open-label RCT      |  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year    |   |
|-----------------|---|
| Study design    | Extrapyramidal symptoms   |
| Voruganti, 2007 | <u>Outcomes at 12 mos (olanzapine vs. quetiapine)</u>               |
| RCT, rater      | <u>SAS: 0.37 (1.21) vs. 0.26 (1.24); F=0.035 (df=1, 79), P=0.85</u> |
| blinded,        | <u>AIMS: 0.92 (1.50) vs. 0.75 (1.06); F=0.024 (df=1,75), P=0.62</u> |
| multicenter     | <u>BAS: 0.05 (0.32) vs. 0.13 (0.47); F=2.239 (df=1,79), P=0.13</u>  |

Wahlbeck, 2000    NR  
Open-label RCT

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                     | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>               | <b>Comments</b> |
|--|---|-----------------|
| Voruganti, 2007<br>RCT, rater<br>blinded,<br>multicenter | 0 total WD<br>0 due to AEs  |                 |
| Wahlbeck, 2000<br>Open-label RCT                         | 6/20 ((30%) total WD<br>3 (15%) due to AE<br>11% risperidone<br>18% clozapine | Pilot study.    |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design                   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Age<br>Gender<br>Ethnicity                         | Other population characteristics  |
|--|---|---|--|--|---|
| Wampers, 2012<br>Non-randomized,<br>open-label | Patients admitted to psychiatric center with schizophrenia, free of antipsychotic medications | A. Risperidone, mean dose 3.9±1.6 mg/d<br>B. Olazapine, mean dose 17.1±6.7 mg/d | Anticholinergics, benzodiazepines, antidepressants, mood stabilizers, additional sedatives, somatic medications, antihypertensives | Age: 32.3±10.8 y<br>Gender: 34.5%<br>Ethnicity: NR | First episode patients: 18.6%<br>DSM diagnosis: schizoaffective disorder, 24.8%; schizophrenia, paranoid 32.7%; schizophrenia, undifferentiated 23.9% |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results |
|--|--|--|---------|
| Wampers, 2012<br>Non-randomized,<br>open-label | NR/NR/125                              | NR/NR/113                                    | NR      |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>        | <b>Adverse effects reported</b>  |
|---------------------|----------------------------|--|
| Wampers, 2012       | Non-randomized, open-label | <p>Change in metabolic parameters from baseline, risperidone vs. olanzapine, p-value for time x medication group:</p> <p>Adiponectin, ng/mL: 970.4±5847.6 vs. -2291.9±4948.4, p=0.0015</p> <p>Glucose, mg/dl: 1.2±7.5 vs. 2.3±9.8, p=NS</p> <p>Insulin, mIU/l: 0.6±9.5 vs. 2.3±22.7, p=NS</p> <p>TG, mg/dl: 11.0±59.7 vs. 17.2±65.7, p=NS</p> <p>Cholesterol, mg/dl: 11±28.8 vs. 21.1±37.5, p=NS</p> <p>NonHDL, mg/dl: 10±28.6 vs. 24.2±37.3, p=0.0247</p> <p>HDL, mg/dl: 1±13.8 vs. -3.2±11, p=NS</p> <p>LDL, mg/dl: 6.2±35.8 vs. 18.33±35.7, p=NS</p> <p>HOMA-IR: -0.1±2.0 vs. 0.33±7.2, p=NS</p> <p>AUC insulin: -0.1±3.0 vs. -0.4±5.2, p=NS</p> <p>BMI: 1.0±1.9 vs. 2.3±1.7, p=0.0002</p> <p>Weight, kg: 3.1±5.7 vs. 7.1±5.3, p=0.0002</p> <p>Waist, cm: 3.2±6.4 vs. 6.9±6.1, p=0.0019</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                  |                         |
|-------------------------------|-------------------------|
| Study design                  | Extrapyramidal symptoms |
| Wampers, 2012                 | NR                      |
| Non-randomized,<br>open-label |                         |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                   | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|--|---|----------|
| Wampers, 2012<br>Non-randomized,<br>open-label | NR  |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications    | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|------------------------------|---|---|------------------------------|---|--|
| Wang, 2006<br>RCT, DB        | <p>Diagnosed with schizophrenia spectrum disorder by SCID; judged by treating clinician to have been stable on conventional antipsychotic meds for at least 2 yrs; no previous therapeutic trial with an atypical antipsychotic medication; had a reason for switching to atypical antipsychotic medication including desire for improved efficacy, improved side effect profile and/or reduced risk of developing or worsening Tardive dyskinesia</p> <p>Exclusion criteria: unstable psychiatric, metabolic, hematologic, CV, hepatic or renal function</p> | <p>risperidone (n=19): mean dose 5.3 mg/d</p> <p>olanzapine (n=17): mean dose 13.8 mg/d</p> | NR for 12 week outcome phase | <p>Age mean yrs (SD): 47.0 (9.3)</p> <p>risperidone: 45.2 (9.9)</p> <p>olanzapine: 48.9 (8.4)</p> <p>% male (risperidone vs. olanzapine): 42.1% vs. 52.9%, P=0.74</p> <p>% African American (risperidone vs. olanzapine): 89.5% vs. 82.4%, P=0.65</p> <p>% White: 10.5% vs. 17.6%</p> | <p>Schizophrenia: 63.2% vs. 70.6%</p> <p>Schizoaffective: 36.8% vs. 29.4%</p> <p>PANSS score at baseline: risperidone 59.3 (12.4) olanzapine: 55.9 (13.4) P=0.46</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed                             | Results  |
|------------------------------|--|--|--|
| Wang, 2006<br>RCT, DB        | NR/NR/36                               | 13 withdrew;<br>analysis based on<br>ITT population<br>(N=36) using LOCF | <p>PANSS mean (SD) risperidone vs. olanzapine</p> <p>Total score<br/>Baseline: 59.3 (13.4) vs. 55.9 (13.7)<br/>Endpoint: 44.3** (9.8) vs. 46.9** (13.2)</p> <p>Factor Scores--Positive<br/>Baseline: 14.9 (5.3) vs. 14.0 (5.7)<br/>Endpoint: 10.4** (3.7) vs. 11.6* (4.9)</p> <p>Factor Scores--Negative<br/>Baseline: 16.4 (4.9) vs. 16.8 (4.0)<br/>Endpoint: 12.3** (3.7) vs. 13.3** (3.7)</p> <p>Disorganized thoughts<br/>Baseline: 14.1 (3.9) vs. 12.8 (3.9)<br/>Endpoint: 11.3** (2.6) vs. 10.7** (3.2)</p> <p>Uncontrolled hostility/excitement<br/>Baseline: 5.9 (2.0) vs. 5.3 (2.0)<br/>Endpoint: 4.4** (0.7) vs. 5.1 (1.7)</p> <p>Anxiety and depression<br/>Baseline: 8.1 (3.2) vs. 7.0 (3.0)<br/>Endpoint: 5.9** (2.8) vs. 6.2 (2.7)</p> <p>*Significantly lower than baseline (within group comparison, P&lt;0.05)<br/>**Significantly lower than baseline (within group comparison, P&lt;0.01)</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |  |
|--------------|--|
| Study design | Adverse effects reported   |
| Wang, 2006   | Both risperidone and olanzapine patients exhibited significant weight increase during study. Risperidone patients gained 3.4 lbs (SD 6.2) (t=2.4, df=18, P<0.05) vs. 7.6 lbs (SD 9.6) increase in olanzapine patients (t=3.3, df=16, P<0.01). Comparison of weight increases between groups revealed significantly higher gain in olanzapine treated group at 16 wks (t=2.3, df=34, P<0.05), however at 22 wks this difference was no longer significant (t=1.6, df=34, P=0.12). |
| RCT, DB      |  |
|              | No other AEs reported  |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year |  |
|--------------|--|
| Study design | Extrapyramidal symptoms  |
| Wang, 2006   | Simpson-Angus scores decreased in both groups comparably over course of study (F[5,204]=4.2, |
| RCT, DB      | P<0.01).   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b> | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--------------------------------------|---|-----------------|
| Wang, 2006<br>RCT, DB                | 13 (36%) total WD<br>risperidone: 8<br>olanzapine: 5<br><br>6 (16.7%) due to AEs<br>risperidone: 4<br>olanzapine: 2 |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year                                  |  |   |  | Age  |   |
|---|--|---|--|--|---|
| Study design                                  | Eligibility criteria   | Interventions<br>(drug, dose, duration)   | Allowed other medications  | Gender   | Other population characteristics  |
| Weiden 2009<br>Open-label RCT<br>2 sites, USA | Target population: first-episode schizophrenia patients.<br>Assessment phase inclusion: Aged 16-40; inpatients or outpatients with a provisional diagnosis of schizophreniform disorder, schizophrenia, or schizoaffective disorder; and ≤16 wks of lifetime total AP medication exposure. Subjects were treated clinically for up to 12 wks before being assigned into the RCT.<br>RCT inclusion: SCID-confirmed diagnosis of schizophrenia, schizophreniform disorder, or schizoaffective disorder; clinical indication for a long-term maintenance AP treatment; clinical response to oral AP medication during evaluation phase; willingness to attend outpatient treatment services; and completion of at least 1 baseline psychoeducation session that included a key family member. | Compares risperidone LA injectable to oral risperidone.<br>Assessment phase: study clinicians could prescribe any AAP except clozapine.<br>RCT: subjects were randomized to remain on oral medication, or to change to risperidone long-acting injection (RLAI); both groups received up to 2 psychoeducation sessions.<br>Those on RLAI received an initial injection of 25 mg RLAI with initial overlap with oral risperidone for at least 3 wks. The target maintenance dose for RLAI was 25 mg (allowable range 25-50 mg) every 2 wks. Reports on the first 12 wks of followup. | Adjunctive therapies for affective or anxiety symptoms were allowed.<br><br>Oral supplementation was permitted for acute exacerbations of positive symptoms, but long-term use (>4 wks) of oral antipsychotic with risperidone LA injectable was not permitted in maintenance phase treatment. | Median age 23 ys<br>69% male<br>34% African American<br>57% Afro-Caribbean | At study entry, 81% (n=30) were on oral risperidone at study entry; 11% (n=4) on haloperidol; 5% (n=2) on olanzapine; 3% (n=1) on quetiapine. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>          | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>   |
|---|--|---|--|
| Weiden 2009<br>Open-label RCT<br>2 sites, USA | 74/46/37                                       | 0/0/37  | <p>26 assigned to risperidone LA injectable; 11 assigned to oral risperidone.<br/>19 of 26 (73%) assigned to risperidone LA injectable accepted<br/>9 (24%) of all 37 subjects experienced at least 1 GAP within 12 wks after randomization.</p> <p>In ITT analysis there were no differences between RLAI and Oral groups on adherence:<br/>At least 1 GAP by week 12: 6/26 (23%) on RLAI vs. 3/11 (27%) on Oral; P=1.0</p> <p>In analysis of actual treatment (where oral group includes subjects assigned to RLAI but declined), RLAI accepters were more likely to remain adherent than remaining Oral group.<br/>Risperidone LA injectable vs. oral:<br/>At least 1 GAP by week 12: 2/19 (11%) vs. 7/18 (39%); P=0.063<br/>Kaplan-Meier analysis, %Adherence: 89%; 95%CI,64%-97% vs. 59%; 95%CI, 32%-78%; P=0.035</p> <p>Medication adherence attitudes were similar between groups for either ITT or AAT comparison.</p> |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |   |
|----------------|---|
| Study design   | Adverse effects reported  |
| Weiden 2009    | Reports that there was no side-effect distress in either group at 12 wks. |
| Open-label RCT |   |
| 2 sites, USA   |   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year   |                         |
|----------------|-------------------------|
| Study design   | Extrapyramidal symptoms |
| Weiden 2009    | NR                      |
| Open-label RCT |                         |
| 2 sites, USA   |                         |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>          | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Weiden 2009<br>Open-label RCT<br>2 sites, USA | 0 WD<br>0 due to AEs  |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Eligibility criteria  | Interventions<br>(drug, dose, duration)   | Allowed other medications   | Age<br>Gender<br>Ethnicity   | Other population characteristics  |
|--|---|---|---|--|---|
| Weiden, 2003<br>open-label<br>CCT<br>(3 separate open-label studies on switching to Z from O, R, or Typical) | Men or women aged 18 to 55, DSM-IV schizophrenia or schizoaffective disorder outpatients status for ≥ 3 mos; treatment with current antipsychotic within 25% of recommended dosage for ≥ 3 mos with at least partial response (CGI-I score <4 since the initiation of current antipsychotic); inadequate response to or poor tolerability of current medication; and 8th grade reading level. | Flexible dose of ziprasidone through week 6 (40-160mg/d)<br><br>Mean ziprasidone daily dose:<br>91mg for those switched from conventional antipsychotic;<br>90mg for those switched from olanzapine;<br>92mg for those switched from risperidone<br><br>6-week duration | Other psychotropic agents were not allowed (except for anti-EPS agents) | Mean age: 37.6 ys<br>Age range: 18-61ys<br>65.5% male<br><br>Ethnicity: NR | Mean baseline PANSS total score<br>Conventional: 67.5 (SD: 16.3)<br>Olanzapine: 65.6 (SD: 16.7)<br>Risperidone: 71.0 (SD: 19.0)<br><br>Mean baseline CGI-S<br>Conventional: 3.5 (SD: 0.74)<br>Olanzapine: 3.5 (SD: 0.81)<br>Risperidone: 3.7 (SD: 0.74) |



Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed            | Results                         |
|--|--|---|---------------------------------|
| Weiden, 2003<br>open-label<br>CCT<br>(3 separate open-label studies on switching to Z from O, R, or Typical) | NR/ NR/ 270                            | Unclear: numbers analyzed changed depending on the test | All results were health indices |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>  | <b>Adverse effects reported</b>   |
|---------------------|--|---|
| Weiden, 2003        | open-label<br>CCT<br>(3 separate open-label studies on switching to Z from O, R, or Typical) | <p>Mean body weight change in patients from baseline to week 6; p-values for baseline vs wk 6:</p> <p>Olanzapine (n=99): -1.8 kg (estimated from figure), <math>p&lt;0.0001</math></p> <p>Risperidone (n=55): -0.86kg, <math>p&lt;0.002</math></p> <p>Conventional antipsychotics (n=102): +0.27kg, <math>p=0.3</math></p> <p>Median change in prolactin levels baseline to wk 6 (approximated from figure; p-values for baseline vs wk 6)</p> <p>Olanzapine (n=92): -2 mg/ml, <math>p=0.6</math></p> <p>Risperidone (n=49): -32 mg/ml, <math>p&lt;0.0001</math></p> <p>Conventional antipsychotics (n=81): -4 mg/ml, <math>p&lt;0.05</math></p> <p>Median change in triglyceride levels baseline to wk 6; p-values for baseline vs wk 6:</p> <p>Olanzapine (n=91): -50 mg/dL, <math>p&lt;0.0001</math></p> <p>Risperidone (n=50): -29 mg/dL, <math>p&lt;0.01</math></p> <p>Conventional antipsychotics (n=82): -17mg/dL, <math>p=NS</math> (estimated from graph)</p> <p>Median change in total nonfasting cholesterol levels baseline to wk 6; p-values for baseline vs wk 6:</p> <p>Olanzapine (n=91): -21 mg/dL, <math>p&lt;0.0001</math> (estimated from graph)</p> <p>Risperidone (n=50): -18mg/dL, <math>p&lt;0.01</math> (estimated from graph)</p> <p>Conventional antipsychotics (n=82): -3 mg/dL, <math>p=NS</math> (estimated from graph)</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |   |
|---|---|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>  |
| Weiden, 2003  | Mean Simpson-Angus scores:  |
| open-label  | Significant % improvement after switching from:   |
| CCT   | Conventional antipsychotics: 48% improvement, $p < 0.0001$ , effect size 0.493  |
| (3 separate open-label studies on switching to Z from O, R, or Typical) | Risperidone: 45% improvement, $p < 0.001$ , effect size: 0.381  |
|   | Concomitant antiparkinsonian drug use decreased for patients who switched from conventional antipsychotics: 58% at baseline to 14.8% after 6 wks. |
|   | Concomitant antiparkinsonian drug use decreased for prior risperidone pts from 26% to 8.6% at 6 wks.  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>   | <b>Comments</b> |
|--|---|-----------------|
| Weiden, 2003<br>open-label<br>CCT<br>(3 separate open-label studies on switching to Z from O, R, or Typical) | The studies were completed by 72%, 79%, and 79% of patients switched from conventional antipsychotics, olanzapine, and risperidone, respectively.<br><br>Discontinuations due to AEs after switching from:<br>Conventional antipsychotics: 11%<br>Olanzapine: 6%<br>Risperidone: 9% |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year  | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications   | Age<br>Gender<br>Ethnicity  | Other population characteristics  |
|---|---|--|---|---|---|
| Wu, 2006<br>Wu, 2007<br>Randomized,<br>unblinded,<br>longitudinal study | Consistently referred patients, aged 18-45 with a first psychotic episode of schizophrenia diagnosed with DSM-IV criteria; to remain hospitalized for 8 wks; had same diets throughout trial; no use of any antipsychotics or other recreational drugs before enrollment; not involved in weight reduction diets or progs.<br><br>Exclusion criteria: pregnant or lactating; MR; addictive disorder; specific systemic diseases or other medical conditions such as diabetes mellitus, dyslipidemia, CV diseases, and hypertension. | Clozapine (n=30): 200-400 mg/d<br>Olanzapine (n=24): 10-20 mg/d<br>Risperidone (n=29): 2-5 mg/d<br>Sulpiride (n=29): 600-1,000 mg/d<br><br>8 week study duration | Only trihexyphenidyl for EPS or lorazepam for insomnia or agitation was allowed on a needed basis | <u>Age, mean (SD)</u><br>All: 34.87 (10.20)<br>clozapine: 32.6 (8.4)<br>olanzapine: 34.2 (10.3)<br>risperidone: 33.4 (9.7)<br>sulpiride: 32.9 (8.6)<br><br><u>% female</u><br>All: 50%<br>clozapine: 53%<br>olanzapine: 42%<br>risperidone: 52%<br>sulpiride: 52%<br><br>Ethnicity: NR<br>(presumably 100% Chinese) | <u>Schizophrenia, paranoid type</u><br>clozapine: 47%<br>olanzapine: 54%<br>risperidone: 48%<br>sulpiride: 48%<br><br><u>Schizophrenia, catatonic type</u><br>clozapine: 3%<br>olanzapine: 0%<br>risperidone: 4%<br>sulpiride: 4%<br><br><u>Schizophrenia, disorganized type</u><br>clozapine: 7%<br>olanzapine: 8%<br>risperidone: 10%<br>sulpiride: 7%<br><br><u>Family history of type II diabetes</u><br>clozapine: 10%<br>olanzapine: 8.3%<br>risperidone: 7%<br>sulpiride: 7% |
| Yamashita, 2004<br><br>Inpatients                                       | Schizophrenia   | Olanzapine: 2.5-20.0 mg/d<br>Perospirone: 4.0-48.0 mg/d<br>Quetiapine: 50.0-750.0 mg/d<br>Risperidone: 1.0-12.0 mg/d   | NR  | Mean age: 59.9 ys<br>52.1% Male<br>Ethnicity NR   | 100% In-patient<br>Schizophrenia Diagnoses:<br>Disorganized: 29(31.5%)<br>Paranoid: 11(11.9%)<br>Undifferentiated: 52(56.5%)  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design  | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|---|--|--|--|
| Wu, 2006<br>Wu, 2007<br>Randomized,<br>unblinded,<br>longitudinal study | NR/NR/120                              | 8/112  | <p>Difference between baseline and endpoint of metabolic profiles (clozapine vs. olanzapine vs. risperidone vs. sulpiride):</p> <p>BMI (kg/cm<sup>2</sup>): 1.49 (0.20) vs. 1.11 (0.13) vs. 0.19 (0.12) vs. 0.66 (0.12); P=0.009</p> <p>WHR: 0.02 (0.007) vs. 0.01 (0.005) vs. 0.007 (0.002) vs. 0.008 (0.003); P=ns</p> <p>FG (mmol/l): -0.07 (0.03) vs. -0.05 (0.01) vs. -0.12 (0.06) vs. -0.03 (0.02); P=ns</p> <p>TG (mmol/l): 0.48 (0.07) vs. 0.39 (0.08) vs. 0.11 (0.05) vs. 0.17 (0.05); P=0.02</p> <p>CHOL (mmol/l): 0.63 (0.18) vs. 0.75 (0.14) vs. 0.12 (0.07) vs. 0.21 (0.06); P=0.005</p> <p>Ins (10*3 mU/L): 16.54 (1.65) vs. 14.14 (1.62) vs. 5.43 (1.41) vs. 6.79 (1.07); P=0.005</p> <p>CP (pmol/l): 262.69 (41.63) vs. 225.78 (42.50) vs. 49.34 (29.55) vs. 61.00 (25.85); P=0.001</p> <p>IRI: 3.45 (0.50) vs. 2.80 (0.36) vs. 1.12 (0.30) vs. 1.57 (0.29); P=0.007</p> <p>Subgroup analyses based on gender (male:female) for clozapine vs olanzapine vs risperidone vs sulpiride (within-group between-gender p-values NS unless otherwise specified)</p> <p>TG (mmol/100 mL): 62.88:25.68 (p=0.007) vs 46.94:8.85 (p=0.002) vs 15.05:10.62 vs 12.40:28.34 (p=0.035)</p> <p>No other within-group gender differences for clozapine, olanzapine, or risperidone for any other metabolic parameters</p> |
| Yamashita, 2004<br>Inpatients   | NR/92                                  | NR   | <p>PSQI Results:</p> <p>Change in Score After Switched From Typical to Atypical</p> <p>Olanzapine vs Perospirone vs Quetiapine vs Risperidone</p> <p>Sleep quality: -.050 vs 0.2 vs -0.33 vs -0.35; P=.063</p> <p>Sleep latency: -0.45 vs -0.22 vs -0.59 vs -0.35; P=.76</p> <p>Sleep duration: -0.55 vs 0.69 vs -0.22 vs -0.25; .0009</p> <p>Habitual sleep efficiency: -0.80 vs 0.47 vs -0.44 vs -0.65; P=.0024</p> <p>Sleep disturbances: -0.20 vs 0.04 vs -0.11 vs -0.25; P=.36</p> <p>Use of sleep medications: -0.05 vs 0.13 vs -0.07 vs -0.30; P=.50</p> <p>dtme dysfunction: -0.65 vs 0.21 vs -0.15 -0.30; P=.0018</p>   |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                                    |                          |
|---|--------------------------|
| Study design                                    | Adverse effects reported |
| Wu, 2006  | NR                       |
| Wu, 2007  |                          |
| Randomized,<br>unblinded,<br>longitudinal study |                          |
| Yamashita, 2004                                 | NR                       |
| Inpatients                                      |                          |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year                                    |                         |
|---|-------------------------|
| Study design                                    | Extrapyramidal symptoms |
| Wu, 2006  | NR                      |
| Wu, 2007  |                         |
| Randomized,<br>unblinded,<br>longitudinal study |                         |
| Yamashita, 2004                                 | NR                      |
| Inpatients                                      |                         |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>            | <b>Total withdrawals; withdrawals<br/>due to adverse events</b> | <b>Comments</b> |
|---|---|-----------------|
| Wu, 2006  | 8 total WD  |                 |
| Wu, 2007  | 0 WD due to AEs   |                 |
| Randomized,<br>unblinded,<br>longitudinal study |   |                 |
| Yamashita, 2004                                 | NR  |                 |
| Inpatients                                      |   |                 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design      | Eligibility criteria  | Interventions<br>(drug, dose, duration)  | Allowed other medications | Age<br>Gender<br>Ethnicity  | Other population characteristics   |
|-----------------------------------|---|--|---------------------------|---|--|
| Zhang, 2012<br>China              | 18-65 y, first episode schizophrenia.<br>Excluded current substance abuse,<br>diabetes, thyroid, unstable psychiatric<br>illness  | A. Paliperidone (Invega), 6mg<br>B. Aripiprzole (Abilify), 5mg<br>C. Ziprasidone (Geodon), 20mg<br>52 weeks  | NR                        | Age: 26.34<br>Female: 38.9%<br>Ethnicity: NR  | Duration of illness, months: 2.29  |
| Zhong, 2004<br>Poster Only<br>RCT | Men or women, aged 18-65 ys old, with a<br>diagnosis of catatonic, disorganized,<br>paranoid, or undifferentiated schizophrenia<br>according to DSM-IV; PANSS total score of<br>≥ 60 at baseline (d 1); a baseline score of ≥<br>4 on one or more of the PANSS items for<br>delusions, conceptual disorganization,<br>hallucinatory behavior, and<br>suspiciousness/persecution; CGI-S score ≥<br>4 at baseline | Quetiapine 50 mg/d, increased to<br>400 mg/d by d 5, then flexibly dosed<br>in range of 200-880 mg/d (mean<br>dose=525 mg)<br>Risperidone 2 mg/d, increased to 4<br>mg/d by d 5, then flexibly dosed in<br>range of 2-8 mg/d (mean dose=5.2<br>mg)<br>Duration: 8 wks<br><br>Setting: hospitalized for ≥ 7 ds<br>following randomization | NR                        | Mean age 39.94<br>75.7% male<br>50.8% black<br>38.7% white<br>7.6% Hispanic<br>2.9% other ethnicity | Glucose (mg/dL): 99.7<br>Weight (kg): 86.6<br>Prolactin (ng/mL): 22.65<br>PANSS total scores: 92.5 |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design      | Number screened/<br>eligible/ enrolled         | Withdrawn/<br>Lost to follow-up/<br>Analyzed | Results  |
|-----------------------------------|--|--|--|
| Zhang, 2012<br>China              | NR/NR/254                                      | 51/10/203                                    | <p>Paliperidone vs. Aripiprazole vs. Ziprasidone, mean (SD)<br/>PANSS, total<br/>Baseline: 87.1(12.8) vs. 89.8(14.5) vs. 88.0(11.6), p=0.369<br/>13 weeks: 59.6(14.1) vs. 74.4(13.7) vs. 73.6(9.5), p=0.004<br/>26 weeks: 55.1(10.4) vs. 71.4(13.7) vs. 72.9(8.5), p=0.002<br/>52 weeks: 54.9(10.6) vs. 68.6(9.3) vs. 69.3(9.7), p=0.012</p> <p>CGI-S, all NSD</p>                   |
| Zhong, 2004<br>Poster Only<br>RCT | NR/NR/673<br>quetiapine 338<br>risperidone 335 | 351 (52.1%)<br>withdrawn/analyzed<br>nr      | <p>Change from baseline to endpoint for PANSS total scores: quetiapine=risperidone, p-value NR<br/>Proportions of patients with <math>\geq 40</math> reduction in PANSS total, positive, negative, and general pathology scores: quetiapine=risperidone, p-values NR<br/>CGI-C (% patients who were "much" or "very much" improved by d 56): quetiapine=risperidone, p-values NR</p> |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>               | <b>Study design</b> | <b>Adverse effects reported</b>   |
|-----------------------------------|---------------------|---|
| Zhang, 2012<br>China              |                     | <p>Anthropometric results, paliperidone vs. aripiprazole vs. ziprasidone:</p> <p>Baseline</p> <p>Weight: 53.4 (6.3) vs. 54.3 (7.2) vs. 54.7(6.8), p=0.645</p> <p>BMI: 21.5 (3.2) vs. 22.4 (3.7) vs. 21.9 (3.4) , p=0.322</p> <p>Waist Circumference: 69.5 (12.4) vs. 67.3 (14.6) vs. 71.5 (13.8), p=0.248</p> <p>13 weeks</p> <p>Weight: 52.3 (6.4) vs. 57.6 (7.7) vs. 52.7 (7.1), p= 0.039</p> <p>BMI: 21.2 (3.1) vs. 23.7 (4.1) vs. 20.2 (3.3), p=0.115</p> <p>Weight Circumference: 68.7 (15.3) vs. 70.5 (16.2) vs. 70.4 (15.8), p=0.331</p> <p>26 weeks</p> <p>Weight: 53.1 (7.2) vs.58.8 (8.5) vs. 51.9 (7.2), p=0.034</p> <p>BMI: 21.9 (5.2) vs. 24.3 (5.8) vs. 20.6 (5.1), p= 0.027</p> <p>Waist Circumference: 68.4 (15.5) vs. 71.3 (16.6) vs. 69.7 (16.3), p=0.178</p> <p>52 weeks later</p> <p>Weight: 53.6 (7.4) vs. 57.4 (8.2) vs. 50.5 (6.9), p=0.037</p> <p>BMI: 21.5 (5.4) vs. 24.5 (5.9) vs. 20.3 (5.2), p=0.015</p> <p>Waist Circumference: 68.5 (15.6) vs. 71.6 (17.6) vs. 70.3 (16.7), p=0.126</p>         |
| Zhong, 2004<br>Poster Only<br>RCT |                     | <p>Quetiapine, risperidone, p-values not provided</p> <p>Somnolence: 89 (26.3%), 66 (19.8%)</p> <p>Headache: 51 (15.1%), 56 (16.8%)</p> <p>Dizziness: 48 (14.2%), 32 (9.6%)</p> <p>Dry mouth: 41 (12.1%), 17 (5.1%)</p> <p>Agitation: 5 (17%), 3 (10%)</p> <p>WDs due to somnolence: 2 (0.6%), 1 (0.3%)</p> <p>WDs due to akathisia: 0, 4 (1.2%)</p> <p>WDs due to dystonia: 0, 6 (1.8%)</p> <p>EPS-related AEs: 43 (12.7%) vs 73 (21.9%), p&lt;0.01</p> <p>BARS improvement: quetiapine &gt; risperidone, p-value nr</p> <p>SAS and AIMS improvement: quetiapine=risperidone</p> <p>Sexual AEs: 2 (0.6%), 15 (4.5%), p-value nr</p> <p>Change in plasma prolactin (ng/mL)</p> <p>All patients: -11.5, +35.5, p&lt;0.001</p> <p>Females: -12, +63 (estimated from graph), p&lt;0.001</p> <p>Mean change in glucose levels (mg/dL): 3.9, 4.5</p> <p>% pts with blood glucose levels <math>\geq</math> 230: 1.8, 1.7</p> <p>Mean change in weight (kg) : 1.6, 2.2</p> <p>% pts with <math>\geq</math> 7% gain: 10.4 vs 10.4</p> |

### Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design      | Extrapyramidal symptoms |
|-----------------------------------|-------------------------|
| Zhang, 2012<br>China              | NR                      |
| Zhong, 2004<br>Poster Only<br>RCT | NR                      |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design      | Total withdrawals; withdrawals<br>due to adverse events     | Comments |
|-----------------------------------|---|----------|
| Zhang, 2012<br>China              |   |          |
| Zhong, 2004<br>Poster Only<br>RCT | WD due to AE (# patients; population analyzed nr): 20 vs 23 |          |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year   |  |  |   | Age   |  |
|--|--|--|---|---|--|
| Study design   | Eligibility criteria   | Interventions<br>(drug, dose, duration)  | Allowed other medications                                       | Gender  | Other population characteristics   |
| Zhong, 2006<br>R, DB, MC,<br>flexible-dose non-<br>inferiority study<br>66 centers in US.<br>Inpatients<br>(minimum of 7 ds<br>following<br>randomization)<br>then treated on an<br>outpatient basis | 18-65 ys of age;<br>schizophrenia (DSM-IV);<br>total score $\geq 60$ on PANSS;<br>score of $\geq 4$ on 1 or more of the following<br>PANSS items: delusions, conceptual<br>disorganization, hallucinations,<br>suspiciousness, or persecution; and<br>CGI Severity or Illness score of $\geq 4$ and<br>clinical deterioration during the 3 wks<br>preceding randomization. | Quetiapine 200-800mg/d (titrated<br>schedule) (mean doses: 525 mg/d)<br>Risperidone 2-8 mg/d- (titrated<br>schedule) (mean dose 5.2mg/d) x 8<br>wks<br>(Mean duration of treatment Q: 34.7<br>ds vs. Q: 36.5 ds) | Anticholinergics PRN..<br>Lorazepam up to and not<br>beyond d 3 | Age, mean (SD), y<br>Q: 40.2 (10.8); R:<br>39.6 (10.8)<br>Males: Q: 77.1%,<br>R:74.4%<br>Race, n (%)<br>White: Q: 130 (38.4),<br>R 131 (39.1%)<br>African American: Q:<br>171 (50.6); R: 171,<br>(50.9)<br>Hispanic: Q: 25 (7.3);<br>R:26 (7.8)<br>Other: Q: 12 (3.6) R:<br>7 (2.2) | Both groups were moderately to<br>severely ill (mean BL PANSS total<br>scores > 92 and CGI-Severity of Illness<br>of 4.6). |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year<br>Study design   | Number screened/<br>eligible/ enrolled | Withdrawn/<br>Lost to follow-up/<br>Analyzed   | Results  |
|--|--|--|--|
| Zhong, 2006<br>R, DB, MC,<br>flexible-dose non-<br>inferiority study<br>66 centers in US.<br>Inpatients<br>(minimum of 7 ds<br>following<br>randomization)<br>then treated on an<br>outpatient basis | 872/NR/673                             | 62/65/322<br><br>Withdraw consent:<br>Q: 28 (8.3%); R: 34<br>(10.2%)<br>Lost to follow-up: Q:<br>25 (7.4%); R: 40<br>(11.9%) | Efficacy:<br>PANSS total scores: MITT patients (LOCF; $p < .05$ ), among completers ( $p < .01$ ), or when pts with significant protocol violations or deviations were excluded ( $p < .02$ ).<br><br>Change from Baseline in PANSS Total Score:<br>LOCF: $p = \text{NS}$<br>OC: $p = \text{NS}$<br><br>% $\geq 40\%$ reduction in PANSS Scores: PANSS total scores, positive, negative, general at endpoint:<br>LOCF: $p = \text{NS}$ ; completers: $p = \text{NS}$<br>% $\geq 30\%$ reduction in PANSS Scores: Q: 27.4% R: 27.7%; $p = \text{NS}$<br><br>Q vs. R: Difference Least squares Mean<br>PANSS subscale at wk 8 and last Observation: LOCF for Positive Symptoms; $p = .03$<br>LOCF for negative, general psychopathology, anxiety, depression; $p = \text{NS}$<br>Completers for positive, negative, general psychopathology, anxiety, depression; (all $p = \text{NS}$ )<br>CGI-C scores: 8 wk: % of pts rated "much" or "very much" improved for LOCF and completers: $p = \text{NS}$<br>Cognitive measures: (multivariate analysis of covariance (controlling for BL score and site): $p = \text{NS}$<br>PEAT or SSPA: $p = \text{NS}$<br>Changes from baseline within each group in phonological fluency, trail making, verbal learning, vigilance, and SSPA, but not PEAT scores, were "statistically significant" (data not shown but published in Harvey P et al Am J Psychiatry. (In Press) |



**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> | <b>Study design</b>                            | <b>Adverse effects reported</b>  |
|---------------------|--|--|
| Zhong, 2006         | R, DB, MC, flexible-dose non-inferiority study | Q: (n=338) vs. R: (n=334)<br>All AE: Q: 76.3% vs. R: 76.6%<br>Serious AEs : Q: 14 (4.1%) vs. R: 9 (2.7%)<br>AEs Occurring in ≥ 5% of pts: Q n (%) vs. R n (%)<br>Somnolence: 89 (26.3) vs. 66 (19.7), p=.044<br>Dry mouth 41 (12.1) vs. 17 (5.1), p<.01<br>Akathisia 13 (3.8) vs. 28 (.8.4), p=.016<br>Dystonia 1 (0.3) vs. 18 (5.4), p<.001<br>Headache, weight gain, dizziness, dyspepsia, nausea, pain, asthenia, agitation, pharyngitis, vomiting; all p=NS<br>8 wk Mean Prolactin levels change vs. BL (ng/mL) All patients: Q: -11.5 vs. R 35.5; p<.001<br>Mean Prolactin levels change from baseline for Females (ng/mL): Q:(n=42) -12.7 vs. R: (n=59) 60.9; p<.001<br>Mean Prolactin levels change from baseline for Men (ng/mL): Q: (n=167) -11.7 vs. R: (n=172) 8.4; p<.001<br>Final Mean prolactin levels (ng/L) in men and women in Q group (11-15); R 91 (women) and 31 (men)<br><br>Prolactin: Q: mean change from BL: -25.98 ng/mL (doses < 200 mg/d) to -11.35 ng/mL (doses of > 600 mg/d); R: 9.33 ng/mL (doses of < 2 mg/d) to 36.98 ng/mL (doses of > 6 mg/d).<br><br>Spontaneous reports of sexual and reproductive AE: R: 4.2% (lactation 2, menorrhagia 1, dysmenorrhea 4, vaginitis 1, abnormal sexual function 1, anorgasmia 1, impotence 3, ejaculatory dysfunction 1 vs. Q: 0.6% (dysmenorrhea 2; p=.002)<br><br>Weight change: p=NS<br>BMI: p=NS<br>Mean change from BL in random serum glucose (mg/dL): LOCF and Completers: p= NS |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b>   |   |
|---|---|
| <b>Study design</b>   | <b>Extrapyramidal symptoms</b>  |
| Zhong, 2006   | Spontaneously reported EPS: Q: 12.7% vs. R: 21.8%; p=.002   |
| R, DB, MC,<br>flexible-dose non-<br>inferiority study<br>66 centers in US.<br>Inpatients<br>(minimum of 7 ds<br>following<br>randomization)<br>then treated on an<br>outpatient basis | AIMS and SAS total scores:<br>greater improvements with Q than R; p= NS<br>BARS score: Q> R; p<.05<br>% of pts taking anticholinergic medications on a prn basis: Q 5.6% , R 6.9% |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>   | <b>Total withdrawals; withdrawals<br/>due to adverse events</b>  | <b>Comments</b>   |
|--|--|---|
| Zhong, 2006<br>R, DB, MC,<br>flexible-dose non-<br>inferiority study<br>66 centers in US.<br>Inpatients<br>(minimum of 7 ds<br>following<br>randomization)<br>then treated on an<br>outpatient basis | 351/ 44<br>Leading to withdraw: Q:(5.9%) vs. R: (6.9%)<br>Withdrew: Due to AE: Q 19, (5.6%); R 25, (7.5%)<br>somnolence: Q: 2, R: 1<br>EPS: R= 13 (akathisia 4; dystonia 6; extrapyramidal syndrome 1; movement disorder 2). Q: 1 (tardive dyskinesia) | Mean median doses of quetiapine in responders and completers were 574 mg/d and 626 mg/d; respectively.<br>Mean median dose in pts that withdrew due to lack of efficacy: Q: 429mg/d; R 4.7mg/d. |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year     |  |   |                           | Age                    |                                  |
|------------------|--|---|---------------------------|------------------------|----------------------------------|
| Study design     | Eligibility criteria                         | Interventions<br>(drug, dose, duration) | Allowed other medications | Gender                 |                                  |
|                  |  |   |                           | Ethnicity              | Other population characteristics |
| Zimbroff, 2007   | Men and women, 18–70 ys of age, primary      | 4 wks                                   | NR                        | Mean age               |                                  |
| DB RCT           | diagnosis of schizophrenia or                | Ziprasidone 40 mg bid on d 1, 60        |                           | Ziprasidone 40.8 yrs   |                                  |
| 25 centers in US | schizoaffective disorder: hospitalized for   | mg bid on d 2, and 80 mg bid on ds      |                           | Aripiprazole 39.8 yrs  |                                  |
| Inpatient        | less than 14 consecutive ds prior to         | 3–14. ds 15–28: 40, 60 or 80 mg bid     |                           | % Male                 |                                  |
|                  | screening, scores > 4 (at least moderate     | n=125                                   |                           | Ziprasidone 71         |                                  |
|                  | severity) on the CGI-S, PANSS total score    | Aripiprazole 15mg every d on ds         |                           | Aripiprazole 63        |                                  |
|                  | > 80, and a score > 4 on at least two of the | 1–14. ds 15–28: 10, 15 or 30 mg         |                           | % White, Black, Asian  |                                  |
|                  | PANSS-positive items assessing delusions,    | daily. n=128                            |                           | and other              |                                  |
|                  | hallucinatory behavior or conceptual         |   |                           | Ziprasidone 34, 56, 2  |                                  |
|                  | disorganization.                             |   |                           | and 8                  |                                  |
|                  |  |   |                           | Aripiprazole 39, 46, 1 |                                  |
|                  |  |   |                           | and 14                 |                                  |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year<br/>Study design</b>                      | <b>Number screened/<br/>eligible/ enrolled</b> | <b>Withdrawn/<br/>Lost to follow-up/<br/>Analyzed</b> | <b>Results</b>   |
|---|--|---|--|
| Zimbroff, 2007<br>DB RCT<br>25 centers in US<br>Inpatient | NR/371<br>screened/256<br>randomized           | 79 (31%) / 3 never<br>took meds/ 253                  | LS mean change (SE) at 4 wks<br>Ziprasidone<br>CGI-S -1.12 ((0.09)<br>BPRSd total -13.0 ((1.0) BPRSd core -4.3 (0.3)<br>PANSS total -21.6 (1.7) PANSS-EC -2.9 (0.4)<br>Aripiprazole<br>CGI-S -1.15 (0.09)<br>BPRSd total -15.2 (1.0) BPRSd core -5.2 (0.3) P < 0.05 for significant treatment difference favoring aripiprazole<br>PANSS total -24.6 (1.7) PANSS-EC -3.4) |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| <b>Author, year</b> |   |
|---------------------|---|
| <b>Study design</b> | <b>Adverse effects reported</b>   |
| Zimbroff, 2007      | Simpson Angus Scale total score (0.0 for ziprasidone and aripiprazole, P=0.99),                                 |
| DB RCT              | Barnes Akathisia Scale total score (+0.1 for ziprasidone and – 0.1 for aripiprazole, P=0.50).                   |
| 25 centers in US    | Abnormal Involuntary Movement Scale total score, Ziprasidone showed no mean change (0, SE=0.1) from baseline to |
| Inpatient           | endpoint vs. aripiprazole decrease of – 0.4 (SE=0.1) (P=0.04).  |
|                     | TEAEs n (%)   |
|                     | Ziprasidone vs. Aripiprazole  |
|                     | Asthenia 7 (5.6) vs. 3 (2.3)  |
|                     | Headache 15 (12.0) vs. 22 (17.2)  |
|                     | Pain 6 (4.8) vs. 8 (6.3)  |
|                     | Constipation 10 (8.0) vs. 14 (10.9)   |
|                     | Diarrhea 5 (4.0) vs. 7 (5.5)  |
|                     | Dyspepsia 12 (9.6) vs. 23 (18.0)  |
|                     | Nausea 8 (6.4) vs. 20 (15.6)  |
|                     | Vomiting 12 (9.6) vs. 10 (7.8)  |
|                     | Arthralgia 8 (6.4) vs. 5 (3.9)  |
|                     | Agitation 14 (11.2) vs. 12 (9.4)  |
|                     | Akathisia 7 (5.6) vs. 9 (7.0)   |
|                     | Anxiety 7 (5.6) vs. 7 (5.5)   |
|                     | Dizziness 9 (7.2) vs. 3 (2.3)   |
|                     | Insomnia 8 (6.4) vs. 9 (7.0)  |
|                     | Somnolence 33 (26.4) vs. 17 (13.3)  |
|                     | Respiratory tract infection 9 (7.2) vs. 3 (2.3)   |
|                     | Vaginitis 1 (2.8) vs. 3 (6.3)   |

**Evidence Table 1. Head-to-head trials in patients with schizophrenia**

| Author, year     |  |
|------------------|--|
| Study design     | Extrapyramidal symptoms                  |
| Zimbroff, 2007   | Ziprasidone vs. Aripiprazole n (%)       |
| DB RCT           | Extrapyramidal syndrome 11 (8.8) 7 (5.5) |
| 25 centers in US |  |
| Inpatient        |  |

Evidence Table 1. Head-to-head trials in patients with schizophrenia

| Author, year<br>Study design                              | Total withdrawals; withdrawals<br>due to adverse events | Comments |
|---|---|----------|
| Zimbroff, 2007<br>DB RCT<br>25 centers in US<br>Inpatient | 79 WD<br>13 due to AEs                                  |          |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>                    | <b>Randomization<br/>adequate?</b>              | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|---|---|---|--|--|--------------------------------------|----------------------------------|
| Addington, 2004<br>RCT, multicenter, double-blind<br>Fair | NR  | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| Akerele, 2007<br>Poor                                     | NR  | NR  | N-higher mean yrs of education,<br>mean score on ASI, and # ds of<br>cocaine use in past 30 ds in<br>Olanzapine group  | Yes  | NR                                   | Yes                              |
| Alvarez, 2006<br>Fair                                     | Yes - computer<br>generated                     | Yes - computerized<br>randomization blocks      | No - SS differences in baseline<br>body weight (mean O 73.8 kg<br>[SD 14.0] vs R 80.5 kg [SD 15.6<br>kg]; p=0.0005) and BMI (mean O<br>25.9 [SD 4.7] vs R 27.5 [SD 5.1];<br>p=0.007) | Yes  | No - open label trial                | No - open label trial            |
| Andrezina, 2006<br>Fair                                   | Yes - central call in                           | Yes - central call in                           | Yes  | Yes  | Yes                                  | Yes                              |
| Apiquian, 2003<br>Poor                                    | Not an RCT; Patients<br>allocated consecutively | NA  | Yes  | Yes  | NR                                   | No ("open trial")                |
| Arango, 2009  | NR  | No<br>open label                                | No<br>Olanzapine group: worse PANSS<br>total & general psychopathology<br>scores, >Hispanics   | Yes  | No                                   | No                               |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating                            | Patient<br>masked?    | Attrition?                                    | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?  | Quality<br>rating   | Comments |
|---|-----------------------|---|---|---|---|----------|
| Addington, 2004<br>RCT, multicenter, double-blind<br>Fair | Yes                   | Yes   | No loss to follow-up  | Unclear. "ITT" defined as "all randomized patients with a baseline and $\geq 1$ post-baseline evaluation"   | Fair  |          |
| Akerele, 2007<br>Poor                                     | Yes                   | Yes O vs. R % patients completed: 43% vs. 71% | Described as "not interested" in Figure 1., but described as "did not present for appointments" in text (p265) 7 vs. 3 -> 50% vs. 21% | Unclear; no info in Methods about analysis plans, raw Ns provided in Results, except for with HAM reported as using "last observation for each subject" and $df=20 \rightarrow$ means $n=21$ , which excluded 7/28 $14\sqrt{3.0} = .21$ | Poor  |          |
| Alvarez, 2006<br>Fair                                     | No - open label trial | NR  | No  | No: 235/250 evaluated for effectiveness; 247/250 evaluated for safety   | Fair  |          |
| Andrezina, 2006<br>Fair                                   | Yes                   | Yes   | No  | Yes   | Good  |          |
| Apiquian, 2003<br>Poor                                    | No ("open trial")     | Yes, no, yes, no                              | No, No  | No, excluded non completers (29%)   | Poor (for a CCT as high attrition and only completers analyzed) |          |
| Arango, 2009  | No                    | Yes   | No (14%), no  | Unclear. ITT included all randomized, but cases with no data after baseline were "eliminated"   | Poor  |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Randomization<br>adequate?  | Allocation<br>concealment<br>adequate? | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked?                              | Care provider<br>masked?        |
|---|---|--|--|------------------------------------|---|---------------------------------|
| AstraZeneca, 2010<br>5077IL/0089<br>RCT, Open-label<br>multi-center USA   | Unclear, method NR  | Unclear, method NR                     | Unclear; statement of no<br>differences, but data NR   | Yes                                | Yes for ophthalmology<br>outcomes, unclear for<br>others. | No; open-label                  |
| AstraZeneca #D1441C00112<br>RCT, DB<br>Multicenter (43 international sites)<br>Fair   | Method NR;<br>baseline characteristics<br>seem evenly distributed | NR                                     | Yes  | Yes                                | Unclear   | Stated to be DB                 |
| AstraZeneca #D1441C00132<br>2007  | Method NR   | Method NR                              | Yes  | Yes                                | Yes but method not<br>described                           | Yes but method not<br>described |
| AstraZeneca #D1444C00133<br>RCT, DB<br>Multicenter (40 sites in United<br>States)<br>Fair   | Method NR;<br>baseline characteristics<br>seem evenly distributed | NR                                     | Yes  | Yes                                | Unclear   | Stated to be DB                 |
| Atmaca, 2003<br>Fair  | NR  | NR                                     | Yes  | Yes                                | NR  | Yes                             |
| Azarin, 2001<br>Anand, 1998<br>Double-blind, Multicenter (France<br>and Canada)<br>Fair   | Method NR   | Method NR                              | No, Significantly more women<br>and lower baseline BPRS score<br>in the risperidone arm                              | Yes                                | NR  | Yes                             |
| Bai, 2006<br>Fair   | Method NR   | NR                                     | Yes  | Yes                                | Yes-SB study where<br>raters were blinded                 | No-SB study                     |
| Beasley, 2003<br>Croatia, Poland, Romania, the<br>Russian Federation, US,<br>Yugoslavia<br><br>Olanzapine Relapse Prevention<br>Study<br>Fair | Method NR   | NR                                     | Diagnosis schizophrenia 79%<br>olanzapine vs 87% P;<br>schizoaffective disorder 21%<br>olanzapine vs 13% P (p=0.049) | Yes                                | Yes   | NR                              |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked? | Attrition?  | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating | Comments |
|---|--------------------|---|--|--|-------------------|----------|
| AstraZeneca, 2010<br>5077IL/0089<br>RCT, Open-label<br>multi-center USA   | No; open-label     | No, Yes   | NR   | No   | Fair              |          |
| AstraZeneca #D1441C00112<br>RCT, DB<br>Multicenter (43 international sites)<br>Fair   | Yes                | Incomplete - reports<br>only withdrawals due<br>to AE                   | NR / NR<br>Withdrawals due to AE:<br>P 2.7%<br>Quetiapine 400 mg/d 6.9%; 800 mg/d<br>9.5%  | Stated to be   | Fair              |          |
| AstraZeneca #D1441C00132<br>2007  | Yes                | Yes   | Yes/No   | No<br>573/588 (97.4%) in MITT  | Fair              |          |
| AstraZeneca #D1444C00133<br>RCT, DB<br>Multicenter (40 sites in United<br>States)<br>Fair   | Yes                | Yes   | High; not differential<br>Completion overall 59%; by group:<br>P 54%<br>Quetiapine SR 400mg 65%; 600mg<br>58%; 800mg 60%<br>Quetiapine IR 800 mg=54% | States "modified ITT":<br>analysis excluded 20 (3.5%)<br>of 564 randomized | Fair              |          |
| Atmaca, 2003<br>Fair  | NR                 | Yes   | No (1 in each treatment group)   | No: 3 of 56 excluded from<br>analysis                                      | Fair              |          |
| Azorin, 2001<br>Anand, 1998<br>Double-blind, Multicenter (France<br>and Canada)<br>Fair   | Yes                | Yes   | No   | Yes  | Fair              |          |
| Bai, 2006<br>Fair   | No-SB study        | Yes   | LTFU- low/ Differential: low<br>(only 1-patient withdrew)  | Yes (98% completed); used<br>LOCF  | Fair              |          |
| Beasley, 2003<br>Croatia, Poland, Romania, the<br>Russian Federation, US,<br>Yugoslavia<br><br>Olanzapine Relapse Prevention<br>Study<br>Fair | Yes                | Attrition yes,<br>adherence yes,<br>crossovers and<br>contamination no. | No   | Not clear  | Fair              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Randomization<br>adequate?          | Allocation<br>concealment<br>adequate? | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked? | Care provider<br>masked? |
|---|-------------------------------------|--|--|------------------------------------|------------------------------|--------------------------|
| Bellack, 2004<br>Double-blind trial<br>Substudy of unpublished trial<br>Poor                            | NR if randomized                    | Method NR                              | NR   | Yes                                | NR                           | Yes                      |
| Bitter, 2004<br>RCT<br>Multi-center, Hungary & South<br>Africa<br>Fair                                  | Method NR                           | stated to be "DB"                      | Stated to be, data NR  | Yes                                | Unclear                      | Yes                      |
| Bondolfi, 1998<br>Single-center Double-blind RCT<br>Fair  | Method NR                           | Method NR                              | Similar, but number of mos in<br>hospital: clozapine: 12.3,<br>risperidone 24.3  | Yes                                | NR                           | Yes                      |
| Bouchard, 2000<br>Bouchard, 1998<br>Fair  | Method NR                           | Method NR                              | Yes  | Yes                                | No                           | No                       |
| Breier, 1999<br>Single Center double-blind RCT<br>(NIH Clinical Center)<br>Unclear if Inpatient<br>Fair | Method NR                           | Method NR                              | Some differences, NS:<br>mos previously hospitalized:<br>clozapine 8.8, risperidone 12.5<br>Length of illness (yrs):<br>clozapine 13.9, risperidone 11.1 | Yes                                | NR                           | Yes                      |
| Breier, 2005<br>Fair-Poor   | 1:1 ratio, unclear; stated<br>as DB | NR                                     | Yes<br>OL slightly older than Zip;<br>(p=0.04)   | Yes                                | NR                           | NR                       |
| Buchanan 2012: NCT00145496<br>(WH study)  | Unclear                             | Unclear                                | Yes  | Yes                                | Yes                          | Yes                      |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked? | Attrition?  | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?                | Quality<br>rating | Comments  |
|---|--------------------|---|--|---|-------------------|---|
| Bellack, 2004<br>Double-blind trial<br>Substudy of unpublished trial<br>Poor                            | Yes                | Not by drug   | Overall loss to follow-up very high (47-66%), differences by drug not apparent | No  | Poor              |   |
| Bitter, 2004<br>RCT<br>Multi-center, Hungary & South Africa<br>Fair                                     | Yes                | Yes   | Overall High: 58%<br>NS difference between groups                              | Yes, using LOCF                             | Fair              |   |
| Bondolfi, 1998<br>Single-center Double-blind RCT<br>Fair  | Yes                | Yes   | No   | Yes   | Fair              |   |
| Bouchard, 2000<br>Bouchard, 1998<br>Fair  | No                 | Attrition yes,<br>crossovers yes                                      | No/ no   | No  | Fair              |   |
| Breier, 1999<br>Single Center double-blind RCT<br>(NIH Clinical Center)<br>Unclear if Inpatient<br>Fair | Yes                | NR  | NR   | Yes   | Fair              |   |
| Breier, 2005<br>Fair-Poor   | NR                 | Yes   | Yes; high and differential<br>OL 40.4% vs. Zip 57.6%                           | Yes; stated not described                   | Fair-Poor         |   |
| Buchanan 2012: NCT00145496<br>(WH study)  | Yes                | DB phase: Overall-No<br>(43.6%); differential:<br>No (50.4% vs 36.2%) | No, No   | Yes (3.4% not included in<br>ITT, DB phase0 | Fair              | Those treated with Olanzapine<br>within 5 mos of screening, had<br>adequate negative symptom<br>response were excluded.<br>Higher proportion of<br>discontinuation from Asenapine<br>group. |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating           | Randomization<br>adequate?    | Allocation<br>concealment<br>adequate? | Groups similar at baseline?   | Eligibility criteria<br>specified? | Outcome assessors<br>masked? | Care provider<br>masked?   |
|--|-------------------------------|--|---|------------------------------------|------------------------------|--|
| Buchanan 2012: NCT00202836<br>(EH study) | Unclear                       | Unclear                                | Yes   | Yes                                | Yes                          | Yes  |
| Byerly, 2008<br>Fair                     | NR                            | Unclear                                | Yes   | Yes                                | NR                           | Blinding unclear   |
| Canive, 2006<br>Poor                     | Unclear "done by<br>computer" | NR                                     | Unclear; this is a crossover study<br>that did not report comparability<br>of important characteristics at<br>baseline of the first treatment<br>period | Yes                                | Unclear                      | Unclear  |
| Canuso 2009 (CR010498)<br>Fair           | Method not described          | NR                                     | Yes   | Yes                                | NR                           | Stated to be DB  |
| Canuso 2009<br>Fair                      | NR                            | NR                                     | Yes   | Yes                                | NR<br>stated as DB           | NR<br>stated as DB   |
| Chan, 2007<br>Fair                       | Unclear                       | NR                                     | Yes   | Yes                                | Unclear                      | Yes  |
| Chan 2010 (J Clin Psychiatry)            | Yes                           | Unclear (NR)                           | Yes (but anticholinergic drug use<br>differed)  | Yes                                | Yes                          | Unclear<br>(Study described as<br>double-blind but no<br>details provided) |
| Chan, 2010<br>(Psychopharmacology)       | Yes                           | Unclear (NR)                           | Yes (but baseline characteristic<br>do not include weight measures)   | Yes                                | Yes (raters)                 | Unclear<br>(Study described as<br>double-blind but no<br>details provided) |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating           | Patient<br>masked?  | Attrition?  | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?                                      | Quality<br>rating  | Comments  |
|--|---|---|--|---|--|---|
| Buchanan 2012: NCT00202836<br>(EH study) | Yes   | DB phase: Overall-<br>Yes; differential: No<br>(35.3% vs 19.6%) | No, No   | No, [10% not included in ITT<br>(DB phase)]                       | Fair   | Those treated with Olanzapine<br>within 5 mos of screening, had<br>adequate negative symptom<br>response were excluded.<br>Higher proportion of<br>discontinuation from Asenapine<br>group. |
| Byerly, 2008<br>Fair                     | Blinding unclear  | Yes   | Completion rate: 75%<br>Lost to follow-up: NR<br>Withdrawals by group: NR  | Yes   | Fair   |   |
| Canive, 2006<br>Poor                     | Unclear   | Yes; only 6/15 (40%)<br>completed study                         | Unclear; discontinuations due to "<br>noncompliance, failed drug screens,<br>and geographic relocation"            | No; precluded 60%   | Poor, mostly<br>due to high<br>rate of<br>exclusions<br>of analyses. |   |
| Canuso 2009 (CR010498)<br>Fair           | Yes   | Yes   | No; No<br>Discontinuation rates (%):<br>Paliperidone higher-dose 21.0%<br>Lower-dose paliperidone 30.3%<br>P 41.1% | Stated to be; analysis<br>excluded 6 (1.9%) of 316<br>randomized. | Fair   |   |
| Canuso 2009<br>Fair                      | Yes   | Yes   | No<br>77.5% completed in P ER,<br>66.7% in quetiapine, 63.8% P   | No<br>5/475 (1%) not included in<br>ITT                           | Fair   |   |
| Chan, 2007<br>Fair                       | Yes   | Yes- only 62 (75%)<br>completed                                 | None   | Yes   | Fair   |   |
| Chan 2010 (J Clin Psychiatry)            | Unclear<br>(Study described<br>as double-blind<br>but no details<br>provided) | No, Overall 27%; Yes<br>30% for R and 23%<br>for O.             | No, No   | Yes   | Fair   |   |
| Chan, 2010<br>(Psychopharmacology)       | Unclear<br>(Study described<br>as double-blind<br>but no details<br>provided) | Yes, Overall 18%;<br>Yes 17% for O and<br>20% for R.            | No, No   | Yes (LOCF)  | Fair   |   |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>  | <b>Randomization<br/>adequate?</b> | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|---|------------------------------------|---|--|--|--------------------------------------|----------------------------------|
| Chin, 2006<br>Fair  | NR                                 | NR  | Yes  | Yes  | NR                                   | No-open                          |
| Chiu, 2006<br>Fair  | NR                                 | NR  | Yes  | Yes  | NR                                   | No-open                          |
| Chrzanowski, 2006   | NR                                 | NR  | Yes, but more acute - phase<br>relapsers randomized to<br>olanzapine   | Yes  | Unclear, Open-study                  | No, Open                         |
| Chue, 2005<br>Fair  | NR                                 | NR  | No- ILA risp group had greater<br>number of previous<br>hospitalizations                                       | Yes  | NR                                   | Yes                              |
| Chue, 2005, RCT, multicenter, DB,<br>double dummy<br>Poor                         | NR                                 | NR  | No; oral risperidone group had a<br>"marginally significant" greater<br>number of previous<br>hospitalizations | Yes  | Yes                                  | Yes                              |
| Citrome, 2001; Volavka, 2002,<br>2004b, 2004c; Lindenmayer, 2003,<br>2004<br>Fair | NR                                 | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| Citrome , 2012  | Yes                                | Yes   | Yes  | Yes  | No                                   | Yes, double dummy                |
| Conley, 2001<br>Double-blind, Multicenter<br>Fair                                 | Yes                                | Yes   | Similar, but mean age:<br>olanzapine 38.9 yr (SD 10.5);<br>risperidone 41.0 yr (SD 11.0), p =<br>0.04          | Yes  | Yes                                  | Yes                              |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked? | Attrition?                            | Loss to follow-up: Differential/high?     | Intention-to-treat analysis?   | Quality<br>rating | Comments |
|---|--------------------|---------------------------------------|---|--------------------------------|-------------------|----------|
| Chin, 2006<br>Fair  | No-open            | None-100%<br>completion               | None                                      | Yes                            | Fair              |          |
| Chiu, 2006<br>Fair  | No-open            | None - 100%<br>completion             | None                                      | Yes                            | Fair              |          |
| Chrzanowski, 2006   | No, open           | Yes, No, No, No                       | None                                      | LOCF for 211/214 = 98%         | Fair              |          |
| Chue, 2005<br>Fair  | Yes                | Yes-completion rate<br>of 82%         | Unclear-reasons for discontinuation<br>NR | No-16% excluded                | Fair              |          |
| Chue, 2005, RCT, multicenter, DB, double dummy<br>Poor                      | Yes                | Yes                                   | NR  | Unclear; number analyzed<br>NR | Poor              |          |
| Citrome, 2001; Volavka, 2002, 2004b, 2004c; Lindenmayer, 2003, 2004<br>Fair | Yes                | Yes: 42% withdrew                     | No.                                       | Yes (LOCF)                     | Fair              |          |
| Citrome, 2012   | Yes, double dummy  | No, 62% overall<br>No, and 66% vs 56% | Yes, overall 10%, No, differential 3%     | Yes                            | Fair              |          |
| Conley, 2001<br>Double-blind, Multicenter<br>Fair                           | Yes                | Yes                                   | No  | Yes                            | Good              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>   | <b>Randomization<br/>adequate?</b> | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|--|------------------------------------|---|--|--|--------------------------------------|----------------------------------|
| Conley, 2003<br>Kelly, 2003<br>Double-blind, single center,<br>crossover<br>Poor | NR                                 | NR  | No   | Yes  | NR                                   | Yes                              |
| Conley, 2005<br>Fair   | Yes                                | NR  | Yes  | Yes  | NR                                   | NR                               |
| Covington, 2000<br>Poor  | Method NR                          | Method NR                                       | NR   | No   | No                                   | NR                               |
| Crespo-Facorro, 2006<br>Fair   | NR                                 | NR  | Yes  | Yes  | No-open                              | No-open                          |
| Crespo-Facorro, 2011<br>Fair   | Yes                                | Unclear (NR)                                    | Yes<br>(but longer duration of illness in R<br>vs O (30.7 vs 17.9 mos))  | Yes  | NR                                   | No (open)                        |
| Crespo-Facorro, 2013<br>Fair   | Yes                                | Unclear   | No, some potentially important<br>differences at baseline, e.g.<br>duration of illness, duration of<br>psychosis | Yes  | NR                                   | No, open label                   |
| Csernansky, 2002<br>Fair   | Method NR                          | Method NR                                       | Yes  | Yes  | Yes but method not<br>described      | NR                               |
| Cutler, 2008<br>Fair   | Yes                                | NR  | Yes  | Yes  | NR                                   | Stated to be DB                  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked? | Attrition?  | Loss to follow-up: Differential/high?   | Intention-to-treat analysis? | Quality<br>rating | Comments |
|--|--------------------|---|---|------------------------------|-------------------|----------|
| Conley, 2003<br>Kelly, 2003<br>Double-blind, single center,<br>crossover<br>Poor | Yes                | Yes; 3 withdrew<br>during olanzapine<br>assigned as first drug<br>(23%) | One publication states 3 withdrew<br>during olanzapine assigned as first<br>drug (23%), other publication states<br>that 6 withdrew during olanzapine<br>phase. | No                           | Fair              |          |
| Conley, 2005<br>Fair   | NR                 | Yes   | Yes; high and differential<br>RIS 31%<br>QU 42%<br>FLU 64%  | Yes                          | Fair              |          |
| Covington, 2000<br>Poor  | NR                 | No  | NR  | NR                           | Poor              |          |
| Crespo-Facorro, 2006<br>Fair   | No-open            | Yes; 7/172 (4%)   | No/no   | No; 10/182(5%) excluded      | Fair              |          |
| Crespo-Facorro, 2011<br>Fair   | No (open)          | Yes overall (12.1%);<br>unclear for differential<br>(NR)                | No, Unclear (NR)  | Yes                          | Fair              |          |
| Crespo-Facorro, 2013<br>Fair   | No, open label     | Yes   | No, yes   | Yes                          | Fair              |          |
| Csernansky, 2002<br>Fair   | Yes                | Attrition yes<br>NR<br>Adherence yes<br>NR                              | No/ no  | No: 91.9%                    | Fair              |          |
| Cutler, 2008<br>Fair   | Stated to be DB    | Yes   | No; 66% completed trial   | Yes                          | Fair              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>                                     | <b>Randomization<br/>adequate?</b>  | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>                                       | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|--|---|---|--|--|--------------------------------------|----------------------------------|
| Cutter, 2006<br>Fair   | NR  | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| Daniel, 1996<br>Crossover design<br>Poor                                   | Method NR   | Method NR                                       | Yes (crossover study)  | Yes  | NR                                   | NR                               |
| Davidson, 2007<br>Fair   | NR  | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| Deberdt, 2008  | Method NR   | Method NR                                       | No<br>Differences in PANSS total and<br>BMI                              | Yes  | NR<br>Stated as DB                   | NR<br>Stated as DB               |
| Dollfus, 2005<br>Poor  | Method NR   | Method NR                                       | Unclear only provided info<br>regarding age, sex and illness<br>duration | Yes  | NR                                   | NR                               |
| Emsley, 1999<br>International multicenter (does not<br>include US)<br>Fair | Method not described<br>(just reports that patients<br>were 'randomly' assigned<br>to tx (study design not<br>explicitly reported)) | NR  | Yes  | Yes  | Unclear, reported as<br>DB           | Unclear, reported<br>as DB       |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked?         | Attrition?                    | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating | Comments  |
|--|----------------------------|-------------------------------|--|--|-------------------|---|
| Cutter, 2006<br>Fair   | Yes                        | Yes; only 53%<br>completed    | No/no  | N NR; efficacy sample<br>included all patients who<br>received $\geq 1$ dose of study<br>medication and had $\geq 1$ post-<br>baseline visit using LOCF.<br>Note: Concern is that with<br>such a high drop-out rate,<br>there is potential for analysis<br>population to also have<br>excluded a large number of<br>patients; with the N, we can't<br>rule this out. | Fair              |   |
| Daniel, 1996<br>Crossover design<br>Poor                                   | NR                         | Yes                           | No   | No   | Poor              |   |
| Davidson, 2007<br>Fair   | Yes                        | Yes; completion rate =<br>59% | No/no  | No; exceeded 13/618  | Fair              |   |
| Deberdt, 2008  | NR<br>Stated as DB         | Yes                           | NR   | No<br>Included only those with $\geq 1$<br>post baseline evaluation for a<br>given analysis. Data not<br>provided  | Fair              | 76/160 planned N enrolled.<br>Study not adequately powered. |
| Dollfus, 2005<br>Poor  | NR                         | NR                            | NR   | Unclear number of pts<br>included in analysis.<br>Endpoint analysis excluded<br>non responders (7%)  | Poor              |   |
| Emsley, 1999<br>International multicenter (does not<br>include US)<br>Fair | Unclear, reported<br>as DB | Yes<br>NR<br>NR<br>NR         | LTFU was combined with other misc<br>noncompletion factors (total 11% of<br>noncompletion factors for each arm)<br><br>Differential for total withdrawn: NR but<br>there was a higher differential due to<br>AE (~18%) bw risperidone and<br>haloperidol | Yes (all enrolled patients<br>were included)   | Fair              |   |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b> | <b>Randomization<br/>adequate?</b>       | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b>                           |
|--|--|---|---|--|--------------------------------------|--|
| Fleischhacker, 2009<br>Fair            | Yes                                      | NR  | Yes   | Yes  | NR                                   | Stated to be DB  |
| Fleischhacker, 2012                    | Yes                                      | Yes   | Yes   | Yes  | Yes                                  | Yes  |
| Gaebel, 2011                           | Unclear (Stated but not described)       | Unclear (NR)                                    | Yes   | Yes  | No (open)                            | No (open)  |
| Gafoor, 2010                           | Unclear, (NR how sequence was generated) | Yes   | Yes (but higher proportion living independently in quetiapine group [38% vs 27%]) | Yes  | Yes (raters)                         | No (patients and clinicians were not blinded to treatment) |
| Garyfallos, 2003<br>CCT<br>Poor        | NR                                       | NR  | Yes   | No   | No                                   | No   |
| Gothelf, 2003                          | No                                       | No  | Differences in gender distribution and duration of illness                        |  | No                                   | No   |
| Green, 2002<br>Marder, 2003<br>Fair    | Method NR                                | Method NR                                       |   | Yes  | Yes but method not described         | NR   |
| Grootens, 2011                         | Unclear (NR - stated but not described)  | Unclear (NR)                                    | Yes (but moreschizoffective in ziprasidone group)                                 | Yes  | Unclear; rater-blinding NR           | Yes: double-dummy  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating      | Patient<br>masked?  | Attrition?  | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?                          | Quality<br>rating | Comments |
|-------------------------------------|---|---|--|---|-------------------|----------|
| Fleischhacker, 2009<br>Fair         | Stated to be DB   | Yes   | No<br>77.9% completed in Olanzapine group<br>70.7% completed in Aripiprazole | Yes   | Fair              |          |
| Fleischhacker, 2012                 | Yes   | No, 55% overall<br>Yes, (9% dif)  | No, overall 3%, No, differential 3% vs 3%                                    | Yes   | Fair              |          |
| Gaebel, 2011                        | No (open)   | No, 56% overall,<br>No, difference<br>between groups<br>10.3%   | No, overall 3%<br>No, 3% vs 3%   | Yes (LOCF), for efficacy and<br>harms                 | Fair              |          |
| Gafoor, 2010                        | No (patients and<br>clinicians were<br>not blinded to<br>treatment) | Yes overall; unclear<br>differential<br>(Insufficient<br>information provided<br>to determine level of<br>differential attrition) | No, No   | Yes for mo 1 antipsychotic<br>outcomes, No for others | Fair              |          |
| Garyfallos, 2003<br>CCT<br>Poor     | No  | Yes   | No   | Yes   | Poor              |          |
| Gothelf, 2003                       | No  | Yes 39/43 (90.6%)<br>completed  | No, no   | No  | Poor              |          |
| Green, 2002<br>Marder, 2003<br>Fair | Yes but method<br>not described                                     | Attrition yes   | NR   | Yes   | Fair              |          |
| Grootens, 2011                      | Yes, double-<br>dummy   | No, Overall 23%; Yes<br>17% vs 28% for<br>differential  | No, No   | No<br>KP: Add exclusion rate of<br>17%                | Fair              |          |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Randomization<br>adequate?                 | Allocation<br>concealment<br>adequate?   | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked?   | Care provider<br>masked? |
|--|--|--|--|------------------------------------|--|--------------------------|
| Hamilton, 1998<br>Fair   | Method NR                                  | Method NR                                | SARS score significantly higher<br>in haloperidol group (p=0.0002)                           | Yes                                | Yes but method not<br>described                                      | No                       |
| Hardy, 2011  | Unclear (NR - stated but<br>not described) | Unclear (NR -stated<br>bu not described) | Yes  | Yes                                | Unclear (only for CTs )  | Yes                      |
| Harvey, 2003a<br>Harvey, 2002a<br>Harvey, 2002b<br>Harvey, 2002c<br>RCT<br>Multi-site; US, Austria, Israel,<br>Norway, Poland and The<br>Netherlands<br>Fair | Method NR                                  | Method NR                                | Yes  | Yes                                | Not clear - states some<br>outcomes masked, but<br>not which or how. | Yes                      |
| Hatta 2009<br>Fair   | NR   | NR                                       | Yes (see comments)   | Yes                                | Yes  | No                       |
| Hatta, 2008  | Method NR                                  | Method NR                                | Differences between groups in<br>whether the same antipsychotic<br>was assigned and received | Yes                                | Yes  | No                       |
| Hertling, 2003<br>Fair   | Method NR                                  | Method NR                                | Yes  | Yes                                | NR   | NR                       |
| Hirsch, 2002<br>Fair   | Yes  | No: Envelope method                      | Yes  | Yes                                | Yes but method not<br>described                                      | NR                       |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked?              | Attrition?  | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?  | Quality<br>rating | Comments   |
|--|---------------------------------|---|---|---|-------------------|--|
| Hamilton, 1998<br>Fair   | Yes but method<br>not described | Yes   | No  | Yes   | Fair              |  |
| Hardy, 2011  | Yes                             | No, Overall 44%; No,<br>62% for O and 50%<br>for R. | Unclear   | No, (N analyzed in the<br>figures and tables less than<br>enrolled)   | Poor              |  |
| Harvey, 2003a<br>Harvey, 2002a<br>Harvey, 2002b<br>Harvey, 2002c<br>RCT<br>Multi-site; US, Austria, Israel,<br>Norway, Poland and The<br>Netherlands<br>Fair | Yes                             | Yes   | Overall 38%<br>Not differential   | Stated LOCF methods, but<br>numbers reported vary by<br>test applied. | Fair              |  |
| Hatta 2009<br>Fair   | No                              | Yes   | No loss to follow-up<br>75% risperidone, 88% olanzapine,<br>45% quetiapine, and 52% of<br>aripiprazole completed. | No<br>78/80 in ITT  | Fair              |  |
| Hatta, 2008  | No                              | No  | No, no  | No<br>2/80 (2.5%) excluded  | Fair              | Lack of randomization, allocation<br>concealment, blinding along with<br>lack of baseline characteristics or<br>ITT indicate potential for<br>important bias |
| Hertling, 2003<br>Fair   | Yes but method<br>not described | No  | NR  | No  | Fair              |  |
| Hirsch, 2002<br>Fair   | Yes but method<br>not described | Attrition yes                                       | NR  | No  | Fair              |  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Randomization<br>adequate? | Allocation<br>concealment<br>adequate? | Groups similar at baseline?   | Eligibility criteria<br>specified?   | Outcome assessors<br>masked?                                     | Care provider<br>masked?  |
|--|----------------------------|--|---|--|--|---------------------------|
| Huang, 2005<br>Poor  | Method NR                  | NR                                     | No, baseline characteristics of patients NR by drug.  | No (few exclusion criteria listed but no explicit inclusion criteria reported) | Unclear (study design NR)  | Unclear (study design NR) |
| Ingole, 2009   | Method NR                  | Method NR                              | No, differences in BMI  | Yes  | No   | No                        |
| InterSePT;<br>Meltzer, 2003<br>Meltzer, 2002 (AO), Potkin, 2003<br>Meltzer, 1996<br>RCT - open label, masked ratings<br>Multi-site - 67 sites, 11 countries<br>(US, Europe, South Africa, South America)<br>Good | Yes                        | Method NR                              | Yes, data on alcohol and drug abuse missing   | Yes  | Yes, for most outcomes. Blinding for reporting of AE's not clear | No                        |
| Jerrel, 2002<br>Open-label RCT with economic analysis<br>Fair  | Method NR                  | Method NR                              | Although randomization stratified, Yes and an adaptive randomization procedure used, SS difference on baseline atypical antipsychotic use present. Four other variables | Yes  | No   | No                        |
| Jeste, 2003<br>Jeste, 2002<br>Jeste, 2001<br>RCT<br>Multinational (US, Israel, Poland, Norway, The Netherlands, Austria)<br>1 full paper, 2 conference procedures<br>FAIR  | Method NR                  | Method NR                              | Yes   | Yes  | Yes; method NR   | Yes; method NR            |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked?           | Attrition? | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?             | Quality<br>rating                    | Comments |
|---|------------------------------|------------|---|--|--------------------------------------|----------|
| Huang, 2005<br>Poor   | Unclear (study<br>design NR) | NR         | LTFU-NR<br><br>WD rates NR but 97/126 (77%)<br>completed blood sampling and final<br>assessment of severity   | No                                       | Poor                                 |          |
| Ingole, 2009  | No                           | NR         | NR  | NR                                       | Poor                                 |          |
| InterSePT;<br>Meltzer, 2003<br>Meltzer, 2002 (AO), Potkin, 2003<br>Meltzer, 1996<br>RCT - open label, masked ratings<br>Multi-site - 67 sites, 11 countries<br>(US, Europe, South Africa, South<br>America)<br>Good | No                           | Yes        | Overall high: 39%, but similar in<br>groups   | Yes, but method not clearly<br>described | Good for<br>efficacy,<br>Poor for AE |          |
| Jerrel, 2002<br>Open-label RCT with economic<br>analysis<br>Fair  | No                           | Yes        | Overall 69% - entirely due to refusals<br>after randomization<br>Due to adaptive randomization,<br>unclear if differences between groups<br>existed | Yes                                      | Fair                                 |          |
| Jeste, 2003<br>Jeste, 2002<br>Jeste, 2001<br>RCT<br>Multinational (US, Israel, Poland,<br>Norway, The Netherlands, Austria)<br>1 full paper, 2 conference<br>procedures<br>FAIR                                     | Yes; method NR               | Yes        | No; No  | Yes                                      | Fair                                 |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>  | <b>Randomization<br/>adequate?</b>                  | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b>                     |
|---|---|---|---|--|--------------------------------------|--|
| Jones, 1998<br>Purdon, 2000<br>David, 1999<br>Multicenter, Canada<br>Double-blind RCT<br>Fair | Yes   | Method NR                                       | Yes   | Yes  | Not clear                            | Not clear (dose adjustments)                         |
| Josiassen, 2010   | No<br>(Assignment based on clinical judgment)       | N/A - nothing to conceal                        | Yes (but baseline weight and BMI higher in risperidone group)   | Yes  | Yes (raters)                         | No (Clinicians made medication and dosing decisions) |
| Kahn, 2007<br>RCT, multi-center, international, double-blind, P-controlled<br>Fair            | Unclear, "dual-matched P used to maintain blinding" | Unclear   | Yes; Patients taking medication for insomnia was higher in the P compared to the quetiapine groups (at wk 1 and end of study) | Yes  | NR                                   | NR   |
| Kahn, 2009  | Method NR   | Method NR                                       | NR  | Yes  | No                                   | No   |
| Kane 2009<br>Fair   | NR  | NR  | Yes   | Yes  | NR<br>stated as DB                   | NR<br>stated as DB                                   |
| Kane, 2003<br>Nasrallah, 2004<br>Fair   | Method NR   | NR  | Similar, but only report baseline on patients receiving at least 1 injection of risperidone.                                  | Yes  | Yes                                  | Not clear  |
| Kane, 2006<br>Fair  | Method NR   | Method NR                                       | Yes   | Yes  | NR                                   | Yes but method not described                         |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked?  | Attrition?  | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?  | Quality<br>rating | Comments  |
|---|---|---|---|---|-------------------|---|
| Jones, 1998<br>Purdon, 2000<br>David, 1999<br>Multicenter, Canada<br>Double-blind RCT<br>Fair | Yes   | Yes   | Overall 57%<br>olanzapine 43%<br>risperidone 67%<br>haloperidol 61%                       | Yes   | Fair              |   |
| Josiassen, 2010   | Unclear<br>(Study described<br>as single-blind<br>but no details<br>provided) | Unclear, Unclear<br>(Insufficient<br>information provided<br>to determine level of<br>attrition)  | Unclear, Unclear (Insufficient<br>information provided to determine loss<br>to follow-up) | Unclear   | Poor              | High rate of noncompliance,<br>missing compliance data  |
| Kahn, 2007<br>RCT, multi-center, international,<br>double-blind, P-controlled<br>Fair         | Yes   | Attrition, yes (approx.<br>76% completed the<br>study); Adherence for<br>all tx groups except<br>Quetiapine XR;<br>crossovers and<br>contamination, no. | No/No   | Yes' Modified intention-to-<br>treat (MITT); see page 834<br>'statistical analysis' section | Fair              |   |
| Kahn, 2009  | No  | Yes   | Yes/Yes   | NR  | Poor              |   |
| Kane 2009<br>Fair   | NR<br>stated as DB  | Yes   | Yes<br>57% of olanzapine completed<br>49% of aripiprazole completed                       | No<br>those with 1 post-baseline<br>measure stated to be<br>included                        | Fair              |   |
| Kane, 2003<br>Nasrallah, 2004<br>Fair   | Yes   | Attrition and<br>adherence<br>(withdrawals due to)<br>yes, others no.   | 6% in P and 75 mg group vs 2% in 25<br>mg and 3% in 50 mg group.                          | No. Efficacy evaluation only<br>in patients with at least one<br>post-baseline assessment.  | Fair              | Authors mention that a study site<br>was audited and they ran their #s<br>with and without 43 patients--<br>there was no difference |
| Kane, 2006<br>Fair  | Yes but method<br>not described   | Attrition reported yes;<br>high, no   | Some/ Not differential<br>CHL 12%; ZIP 11%  | Yes   | Fair              | Allocation imbalance, baseline<br>differences   |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Randomization<br>adequate?   | Allocation<br>concealment<br>adequate? | Groups similar at baseline?   | Eligibility criteria<br>specified? | Outcome assessors<br>masked?      | Care provider<br>masked?          |
|--------------------------------|--|--|---|------------------------------------|-----------------------------------|-----------------------------------|
| Kane, 2007<br>Fair             | Method NR  | Method NR                              | Unclear; difference in the # with disorganized vs. undifferentiated type schizophrenia  | Yes                                | Unclear; reported as double-blind | Unclear, reported as double-blind |
| Kane, 2007<br>Fair             | Yes; per computer generated code and was balanced by using permitted blocks and stratified by site | NR                                     | Yes   | Yes                                | Unclear, reported as double-blind | Unclear, reported as double-blind |
| Kane, 2010                     | Unclear  | Unclear                                | Mostly but some statistically significant differences were observed for baseline severity, particularly, difference between oral and very low dose of LA-I for CGI-I, between oral dose and medium dose of LAI. These differences were not considered clinically significant. | Yes                                | Yes                               | Yes                               |
| D1050234, NCT00789698          | Unclear; described as randomized, but no details provided  | Unclear                                | Higher proportion of male in the lurasidone group compared to quetiapine treatment group  | Yes                                | Unclear, reported as double-blind | Unclear, reported as double blind |
| Karagianis 2009<br>Fair        | NR   | NR                                     | Unclear: 3.6% ODO group schizoaffective vs. 18.5% of SOT; 8.31% schizophreniform vs. 3.1%; 32.1% bipolar vs. 21.5%  | Yes                                | NR stated as DB                   | NR stated as DB                   |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Patient<br>masked?                   | Attrition?                                | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?                                       | Quality<br>rating | Comments   |
|--------------------------------|--------------------------------------|---|--|--|-------------------|--|
| Kane, 2007<br>Fair             | Unclear, reported<br>as double-blind | Attrition-yes                             | LTFU-NR<br><br>~25% total withdrawn<br>Differential: overall low, but there was<br>a 6% difference between aripiprazole<br>and perphenazine for those who<br>discontinued due to AE        | Yes (98% included in ITT);<br>LOCF                                 | Fair              |  |
| Kane, 2007<br>Fair             | Unclear, reported<br>as double-blind | Yes                                       | LTFU- low<br><br>~34% total withdrawn<br><br>Differential: moderate-high when<br>comparing P to active treatments; low-<br>moderate differential when comparing<br>among active treatments | Yes (628/630 included as<br>ITT); ANCOVA with LOCF                 | Fair              |  |
| Kane, 2010                     | Yes                                  | Overall: yes 29.3% ,<br>Differential: yes | No, No   | Yes, 3 patients excluded from<br>ITT analysis                      | Fair              | Randomization questionable as<br>patients assigned to oral<br>olanzapine continued to receive<br>their previously stabilized dose<br>whereas those assigned to LAI<br>could be assigned a suboptimal<br>dose |
| D1050234, NCT00789698          | Yes                                  | Overall: yes 52%,<br>differential: yes    | No, No   | No, 56/292 (19.2% ) excluded<br>from primary efficacy<br>analysis. | Fair              |  |
| Karagianis 2009<br>Fair        | Yes                                  | Yes                                       | No   | Yes  | Fair              |  |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Randomization<br>adequate? | Allocation<br>concealment<br>adequate? | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked?    | Care provider<br>masked? |
|---|----------------------------|--|--|------------------------------------|---------------------------------|--------------------------|
| Kasper, 2003<br>Fair  | Method NR                  | Method NR                              | Yes  | Yes                                | Yes but method not<br>described | NR                       |
| Kaushal, 2012   | Yes                        | NR                                     | Yes  | Yes                                | Unclear (NR)                    | No (open)                |
| Keefe, 2006<br>OL v<br>RIS v<br>Poor                                  | 1:1:1 ratio, stated as DB  | NR                                     | Y  | Y                                  | NR                              | NR                       |
| Keks, 2007<br>Poor  | Yes                        | Yes                                    | Unclear - only provided for 88%<br>of patients   | Yes                                | Unclear - open study            | no- open study           |
| Kelly, 2008<br>Fair   | NR                         | NR                                     | Yes  | Yes                                | NR                              | Stated to be DB          |
| Kern, 2006<br>FDA Study 98213<br>RCT, multicenter, open label<br>Fair | NR                         | NR                                     | Small differences, favoring<br>aripiprazole, on age (younger),<br>IQ tests (with exception of<br>NAART scores) and PANSS<br>scores (Total, Positive, Negative) | Yes                                | NR                              | No                       |
| Kern, 2006<br>Poor  | NR                         | NR                                     | Unclear, baseline characteristics<br>only provided for 66% included in<br>analysis   | Yes                                | Unclear- open study             | No - open Study          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked?              | Attrition?                      | Loss to follow-up: Differential/high?                                       | Intention-to-treat analysis?  | Quality<br>rating  | Comments  |
|---|---------------------------------|---------------------------------|---|---|--|---|
| Kasper, 2003<br>Fair  | Yes but method<br>not described | Attrition yes<br>NR<br>NR<br>NR | No/ extent NR (maximum 22% in<br>aripiprazole; 26% in haloperidol)          | No: 99.1%   | Fair   |   |
| Kaushal, 2012   | No (open)                       | NR                              | NR  | Unclear   | Fair   |   |
| Keefe, 2006<br>OL v<br>RIS v<br>Poor                                  | NR                              | Y                               | Y; high and differential<br>OL 43%*<br>RIS 34 %<br>HAL 28%*<br>*stat sign   | Y   | Poor; due to<br>attrition &<br>26%<br>randomized<br>to drug they<br>were on<br>before the<br>study |   |
| Keks, 2007<br>Poor  | no- open study                  | Yes                             | None  | 378/618 = 61% analyzed for<br>short-term efficacy<br>362/618 = 58% analyzed for<br>long-term efficacy | Poor   |   |
| Kelly, 2008<br>Fair   | Stated to be DB                 | Yes                             | No<br>71.8% completed risperidone group<br>77.2% completed olanzapine group | Unclear   | Fair   |   |
| Kern, 2006<br>FDA Study 98213<br>RCT, multicenter, open label<br>Fair | No                              | NR                              | NR  | Unclear - some reported as<br>LOCF, others not.   | Fair (based<br>on poster<br>and<br>published<br>abstract<br>only)                                  |   |
| Kern, 2006<br>Poor  | No- Open study                  | Yes, no, yes, no                | N/N   | 169/255 = 66% analyzed  | Poor   | High number of patients taking<br>anti-depressants concurrently<br>during the study [comparable in<br>the tx groups, 52.8%] |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Randomization<br>adequate?   | Allocation<br>concealment<br>adequate?                                | Groups similar at baseline?   | Eligibility criteria<br>specified?   | Outcome assessors<br>masked?      | Care provider<br>masked?          |
|--|--|---|---|--|-----------------------------------|-----------------------------------|
| Kim, 2010  | Unclear  | Unclear   | Yes   | Yes  | Unclear (NR)                      | Unclear (NR)                      |
| Kim, 2012  | Yes  | Unclear (Insufficient details)  | Yes   | Yes  | Yes                               | No (Open)                         |
| Kinon, 2006a<br>RCT, multi-center, double-blind, parallel<br>Poor              | Method NR  | Method NR   | Y; Zip group had > use of antipsychotics at or within 20 ds before baseline tests [Zip 82.3% vs. Olan 70.8]; accounted for in analysis. | Yes  | NR                                | NR                                |
| Kinon, 2006b<br>MC, R, DBT<br>Fair   | Yes; per computer generated code and was balanced by using permitted blocks and stratified by site | Yes; identical med blister packs administered by study site personnel | Yes   | No (general inclusion criteria were specified but exclusion criteria were not specified) | Unclear, reported as double-blind | Unclear, reported as double-blind |
| Klieser, 1995; Heinrich, 1994<br>Double-blind, single center, parallel<br>Fair | NR   | NR  | Unclear; more males and patients older in clozapine group   | Yes  | Yes                               | Yes                               |
| Kluge, 2007<br>Fair  | NR   | Unclear   | Yes   | Yes  | NR                                | Stated to be DB                   |
| Knegtering, 2004<br>Open, single center, parallel<br>Poor                      | NR   | NR  | Yes   | Yes  | No                                | No                                |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked?   | Attrition?   | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating | Comments |
|--|--|--|--|--|-------------------|----------|
| Kim, 2010  | Unclear (NR)   | Unclear, Unclear<br>(Insufficient<br>information provided<br>to determine level of<br>attrition) | Unclear, Unclear (Insufficient<br>information provided to determine loss<br>to follow-up)  | Unclear  | Poor              |          |
| Kim, 2012  | No (Open)  | Yes, overall (14%);<br>No, differential 9% vs.<br>19%)   | Unclear (NR - state only that drop out<br>rates are not stat. sig. P=.45), No  | Yes  | Fair              |          |
| Kinon, 2006a<br>RCT, multi-center, double-blind,<br>parallel<br>Poor           | NR   | Yes  | High; differential<br>Higher in the Zip group than Olan<br>group (Zip 70.3 vs. Olan 55.4%,<br>p=0.003).  | Yes, using MMRM and LOCF   | Poor              |          |
| Kinon, 2006b<br>MC, R, DBT<br>Fair   | Yes; all study<br>meds were<br>identical in<br>appearance; med<br>blister packs<br>given | Yes  | LTFU-low<br><br>~45% total withdrawn; larger proportion<br>of subjects in quetiapine arm (32%)<br>discontinued due to psychiatric AE<br>compared to olanzapine arm (12.9%) | Not true ITT though authors<br>report it as ITT; used LOCF                   | Fair              |          |
| Klieser, 1995; Heinrich, 1994<br>Double-blind, single center, parallel<br>Fair | Yes  | Yes: 28/59 (47.5%)<br>withdrew.  | No   | Yes for some outcomes,<br>unclear for others                                 | Fair              |          |
| Kluge, 2007<br>Fair  | Stated to be DB  | Yes  | No<br>86% completed trial  | Yes  | Fair              |          |
| Knegtering, 2004<br>Open, single center, parallel<br>Poor                      | No   | All 51 patients who<br>were analyzed<br>completed the 6-wk<br>study period                       | No loss to follow-up   | Not clear - 51 patients<br>"whose data could be<br>analyzed" are reported on | Poor              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>             | <b>Randomization<br/>adequate?</b>                                    | <b>Allocation<br/>concealment<br/>adequate?</b>              | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|--|---|--|--|--|--------------------------------------|----------------------------------|
| Knegtering, 2006<br>OL v<br>RIS<br>Fair            | unclear; open label, says<br>randomized.                              | Yes  | Yes  | Yes  | No                                   | No                               |
| Krakowski, 2006<br>CLO v<br>OL v<br>HOL<br>Fair    | Yes; block randomization<br>scheme                                    | Yes  | Yes  | Yes  | Yes                                  | Yes                              |
| Kramer, 2007<br>Study was terminated early<br>Fair | Yes; computer generated<br>randomization and<br>stratification scheme | Yes, assigned by an<br>interactive voice-<br>response system | Yes; appears that there may be<br>differences between the arms<br>when looking at prior atypical &<br>typical antipsychotics | Yes  | Unclear, reported as<br>DB           | Unclear, reported<br>as DB       |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating                     | Patient<br>masked?         | Attrition?            | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating   | Comments   |
|--|----------------------------|-----------------------|--|--|---|--|
| Knegtering, 2006<br>OL v<br>RIS<br>Fair            | No                         | No                    | NR; says all subjects initially<br>randomized finished 6 wks of meds,<br>did not measure compliance  | No   | Fair; short<br>study (6<br>wks); 13 of<br>46 (28%)<br>subjects had<br>missing data  |  |
| Krakowski, 2006<br>CLO v<br>OL v<br>HOL<br>Fair    | Yes                        | Yes                   | Yes; moderate<br>CLO 35%<br>OL 30%<br>HAL 44%  | Yes  | Fair;<br>discontinuation was<br>somewhat<br>high for the<br>Hal group,<br>however the<br>study was<br>executed<br>well;<br>inpatient<br>setting,<br>short<br>duration |  |
| Kramer, 2007<br>Study was terminated early<br>Fair | Unclear, reported<br>as DB | Yes<br>NR<br>NR<br>NR | LTFU- low<br><br>~13.5% (28/207) 'drop-outs'<br>Differential: ~8% difference between<br>those in P and paliperidone ER arm<br>(more in paliperidone withdrew due to<br>WDof consent) | Study terminated early.<br>Efficacy analyses based on<br>those who received at least 1-<br>dose of tx and 1-postbaseline<br>assessment | Fair  | I think this might have been a<br>gray area regarding the threshold<br>for attrition levels - in your email<br>to us on 3/27 I think you<br>mentioned that overall attrition<br>for short term studies (6-12 wks)<br>would be considered high at 20%<br>- this study is 13 wks so it was<br>kind of on the edge. I am happy<br>to change it to "No, No" though.<br>Let me know what you want us to<br>use for studies >12 and but < 6<br>mos. Perhaps anything under 6<br>mos is in the 20% range? That<br>would make sense. |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>                  | <b>Randomization<br/>adequate?</b>  | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|---|---|---|--|--|--------------------------------------|----------------------------------|
| Kusumi, 2011  | Unclear (Stated but not described)  | No  | Unclear  | Yes  | Unclear (NR)                         | No (Open)                        |
| Lee, 1999<br>Fair                                       | Method NR   | Method NR                                       | Yes  | Yes  | No                                   | No                               |
| Li, H., 2011  | Yes   | Unclear (NR)                                    | Yes  | Yes  | Yes                                  | No (Open)                        |
| Li, Y., 2012  | Unclear (Stated but not described)  | Unclear (NR)                                    | Yes  | Yes  | Yes                                  | No (Open)                        |
| Liberman, 2002<br>Poor                                  | Method NR   | Method NR                                       | Yes  | Yes  | NR                                   | NR                               |
| Lieberman, 2003<br>Green, 2004<br>Fair                  | Method NR   | Method NR                                       | No   | Yes  | Yes but method not described         | NR                               |
| Lieberman, 2003<br>US and Europe<br>Good                | Method NR   | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| Lieberman, 2005<br>(CATIE Study)<br>Good                | Yes   | Yes, "done under DB conditions"                 | Few minor differences  | Yes  | Yes                                  | Yes                              |
| Lindenmayer, 1998<br>Open-label Pragmatic trial<br>Poor | Not randomized- patients No assigned to treatment based on their willingness to accept wkly blood drawings. | No  | No significant differences in characteristics, N=21 clozapine, 14 risperidone. | Yes  | No, "independent", but open label    | No                               |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating                          | Patient<br>masked?              | Attrition?   | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating | Comments |
|---|---------------------------------|--|--|--|-------------------|----------|
| Kusumi, 2011  | No (Open)                       | Yes, Yes   | No, No (no loss to follow-up reported) | Yes  | Fair              |          |
| Lee, 1999<br>Fair                                       | No                              | Attrition yes  | No                                     | No   | Fair              |          |
| Li, H., 2011  | No (Open)                       | No overall (23%)<br>No differential (28%<br>vs 17%)            | No, (4% vs. 6%); No, overall (5%)      | No   | Fair              |          |
| Li, Y., 2012  | No (Open)                       | Yes, Yes   | No, No (only 1 pt. lost to follow-up ) | Yes  | Fair              |          |
| Liberman, 2002<br>Poor                                  | NR                              | NR   | NR                                     | NR   | Poor              |          |
| Lieberman, 2003<br>Green, 2004<br>Fair                  | Yes but method<br>not described | Attrition yes  | NR                                     | No   | Fair              |          |
| Lieberman, 2003<br>US and Europe<br>Good                | Yes                             | No/No/No/No  | NR                                     | Yes  | Good              |          |
| Lieberman, 2005<br>(CATIE Study)<br>Good                | Yes                             | Yes (74%)  | Yes<br>Yes                             | Yes  | Good              |          |
| Lindenmayer, 1998<br>Open-label Pragmatic trial<br>Poor | No                              | Yes: 5 clozapine vs 2<br>risperidone withdrawn<br>(24% vs 14%) | No                                     | No: 32/35 analyzed (2<br>clozapine, 1 risperidone<br>patient not analyzed) | Poor              |          |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b> | <b>Randomization<br/>adequate?</b>    | <b>Allocation<br/>concealment<br/>adequate?</b>   | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|--|---------------------------------------|---|--|--|--------------------------------------|----------------------------------|
| Lindenmayer, 2008                      | Method NR                             | Method NR   | Unclear<br>QXR 300 mg group had higher %<br>paranoid, lower %<br>undifferentiated                | Yes  | Yes but method not<br>described      | Yes but method not<br>described  |
| Lublin, 2009                           | Unclear (Stated but not<br>described) | Unclear (NR)  | Yes  | Yes  | Unclear (NR)                         | No (Open)                        |
| Luthringer, 2007<br>Fair               | Yes, computer generated               | Yes, central call<br>center   | N-paliperidone patients younger,<br>only gave baseline<br>characteristics of completers<br>(86%) | Yes  | Yes                                  | Yes                              |
| Macfadden, 2010                        | Unclear (Stated but not<br>described) | Unclear (NR)  | Yes  | Yes  | Yes (rater)                          | No (Open)                        |
| Malla, 2004<br>Canada<br>Poor          | Not randomized                        | No - authors state<br>allocation was<br>influenced by<br>availability based on<br>state-funded<br>reimbursement | Unclear - data only available for<br>those completing treatment                                  | Yes  | No                                   | No                               |
| Marder, 2007<br>Good                   | Yes, computer generated               | Yes   | Yes  | Yes  | Yes                                  | Yes                              |
| McCue, 2006<br>Fair                    | Yes                                   | Yes   | Some; mean age varied by up to<br>6.7 ys across groups   | Yes  | No                                   | No                               |
| McEvoy, 2007<br>Fair                   | NR                                    | NR  | Yes  | Yes  | Yes                                  | Yes                              |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Patient<br>masked? | Attrition?   | Loss to follow-up: Differential/high?                           | Intention-to-treat analysis?  | Quality<br>rating | Comments |
|--------------------------------|--------------------|--|---|---|-------------------|----------|
| Lindenmayer, 2008              | Yes                | Yes  | Yes/Yes   | No<br>498/532 (94%) in efficacy<br>analysis   | Fair              |          |
| Lublin, 2009                   | No (Open)          | Yes, No differential<br>(31% Tx vs. 17%,<br>23%, and 23% Comp) | Yes, ( 0% for all groups except Q<br>[11%]); No, overall (~10%) | No, 14% from analysis   | Fair              |          |
| Luthringer, 2007<br>Fair       | Yes                | Attrition-14%  | No/No   | Unclear for PANSS, but<br>assume No, as with sleep<br>outcomes  | Fair              |          |
| Macfadden, 2010                | No (Open)          | Yes, Yes   | No (10% vs. 5%); No overall (<10%)                              | Yes (although not 100%,<br>meets criteria)  | Fair              |          |
| Malla, 2004<br>Canada<br>Poor  | No                 | Yes/Yes/No/No  | NR  | No - 32/84 enrolled patients<br>analyzed  | Poor              |          |
| Marder, 2007<br>Good           | Yes                | Yes, No, No, No  | No, No  | 432/444 = 97% analyzed  | Good              |          |
| McCue, 2006<br>Fair            | No                 | Yes  | No<br>No  | No  | Fair              |          |
| McEvoy, 2007<br>Fair           | Yes                | Attrition-66%  | No/No   | LOCF of patients who<br>received >= 1 dose of<br>medication and had >= 1<br>post baseline measurement | Fair              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>                  | <b>Randomization<br/>adequate?</b>   | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|---|--|---|--|--|--------------------------------------|----------------------------------|
| McEvoy, 2007<br>Good                                    | NR   | NR  | Yes  | Yes  | Yes                                  | Yes                              |
| McQuade, 2004<br>RCT, multicenter, double-blind<br>Fair | NR   | NR  | Yes  | Yes  | NR                                   | Yes                              |
| Meltzer, 2008<br>Fair                                   | Yes  | Unclear   | Yes  | Yes  | NR                                   | Double-dummy                     |
| Meltzer, 2011   | Yes  | Yes   | Yes  | Yes  | Unclear (NR)                         | Yes                              |
| Moller, 2008<br>Fair                                    | NR   | Unclear   | Yes  | Yes  | NR                                   | Double-dummy                     |
| Naber, 2001<br>Poor                                     | NR - O vs R described<br>as pseudo-randomized,<br>C assignment not<br>random | NR  | No - differences in treatment<br>refractoriness, and gender at<br>baseline | Yes  | Not blinded                          | Not blinded                      |
| Naber, 2005<br>Poor                                     | Unclear; states computer<br>prog with no details                             | NR  | Yes, small differences (sign NR)   | Yes  | NR                                   | NR                               |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating                          | Patient<br>masked? | Attrition?   | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?  | Quality<br>rating | Comments   |
|---|--------------------|--|--|---|-------------------|--|
| McEvoy, 2007<br>Good                                    | Yes                | Yes, No, No, No  | No, No   | Efficacy Sample = 410/420 (98%)<br>Safety sample = 415/420 (99%)  | Good              |  |
| McQuade, 2004<br>RCT, multicenter, double-blind<br>Fair | Yes                | Yes; 72% early discontinuation   | No/No  | 8 patients excluded from "incidence of weight gain" analysis; 3 because they didn't receive study meds and other 5 because they did not have on-treatment weight measurements | Fair              |  |
| Meltzer, 2008<br>Fair                                   | Double-dummy       | Yes  | Yes<br>73.7% completed in olanzapine group<br>47.6% completed in clozapine group                                   | Unclear   | Fair              |  |
| Meltzer, 2011   | Yes                | No, overall (38%); No, 36% for lurasidone 40 mg, 44% for 120 mg, 32% for O and 39% for P | No (1% vs. 2% vs. 2% ); No, overall (1%)   | Yes   | Fair              |  |
| Moller, 2008<br>Fair                                    | Double-dummy       | Yes  | No<br>92.4% completed study  | Yes   | Fair              | 20 in primary and 26 in safety analyses were excluded post-randomization because they were randomized despite meeting exclusion criteria |
| Naber, 2001<br>Poor                                     | Not blinded        | Unclear  | Unclear  | Unclear   | Poor              |  |
| Naber, 2005<br>Poor                                     | NR                 | Yes  | Y; high and differential<br>Overall 75% lost to follow-up;<br>Lack of efficacy of tx: OL 12% vs. CLO 26% (sign NR) | Yes   | Poor              |  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b> | <b>Randomization<br/>adequate?</b> | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b>  | <b>Care provider<br/>masked?</b> |
|--|------------------------------------|---|---|--|---|----------------------------------|
| Newcomer 2009<br>Fair                  | Yes                                | Yes   | Yes   | Yes  | No  | No                               |
| Newcomer, 2008<br>Fair                 | NR                                 | NR  | Yes   | Yes  | NR  | Stated to be DB                  |
| Nicolai-Costa, 2007<br>Poor            | No- reported as 'by<br>allotment'  | No-open   | Yes   | Yes  | No-open; but those<br>who interviewed and<br>collected data for the<br>DGSFi were blinded to<br>the treatment | No-open                          |
| Pandina, 2011                          | Yes                                | Yes   | Yes   | Yes  | Yes   | Yes, double dummy                |
| Perez-Iglesias 2007<br>Fair            | Yes                                | NR  | Mostly, except for haloperidol<br>group has significantly more<br>users of anticholinergics than<br>either the olanzapine or<br>risperidone groups                            | Yes  | Unclear   | Stated to be DB                  |
| Peuskens 2007<br>Fair                  | Method NR                          | Method NR                                       | Yes, some differences, with the P<br>group being younger (4 ys<br>mean), shorter disease duration<br>(0.8 ys, mean), and fewer<br>schizophrenic episodes (mean<br>1.1 fewer). | Yes  | Yes   | Yes                              |
| Peuskens, 1999<br>Fair                 | Method NR                          | Method NR                                       | Yes   | Yes  | Yes but method not<br>described   | NR                               |
| Potkin, 2003<br>Fair                   | NR                                 | NR  | Yes   | Yes  | NR  | Yes                              |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Patient<br>masked?   | Attrition?   | Loss to follow-up: Differential/high?  | Intention-to-treat analysis?   | Quality<br>rating | Comments |
|--------------------------------|----------------------|--|--|--|-------------------|----------|
| Newcomer 2009<br>Fair          | No                   | Yes  | Yes<br>65% of olanzapine completed<br>86% of quetiapine completed<br>77% of risperidone completed  | No<br>those randomly assigned<br>who were given study<br>treatment per random<br>assignment were included.                       | Fair              |          |
| Newcomer, 2008<br>Fair         | Yes                  | Yes  | No: loss to follow-up 7% in both groups  | Unclear  | Fair              |          |
| Nicolai-Costa, 2007<br>Poor    | No-open              | Attrition=yes (~14%);<br>No patient changed<br>their allocated group | LTFU-low (1-patient)<br><br>14% total withdrawn<br>Differential: NR  | NR   | Poor              |          |
| Pandina, 2011                  | Yes, double<br>dummy | No, overall (24%); No,<br>(25% vs. 23%)                              | No (2% vs. 3%); No, overall (11%)  | No   | Fair              |          |
| Perez-Iglesias 2007<br>Fair    | Stated to be DB      | Yes  | No: 88% completed study<br>2 lost to follow-up in haloperidol group<br>1 lost to follow-up in olanzapine group<br>5 lost to follow-up in risperidone group | Stated they analyzed using<br>an ITT analysis, but give<br>explanation for why they<br>present only the per-protocol<br>analysis | Fair              |          |
| Peuskens 2007<br>Fair          | Yes                  | Yes  | Yes/No. WDrate was 67% compared<br>to 17% in treatment group.  | Yes  | Fair              |          |
| Peuskens, 1999<br>Fair         | Yes                  | Attrition yes  | No/ no   | No   | Fair              |          |
| Potkin, 2003<br>Fair           | Yes                  | Yes  | Unable to determine, groups NR.  | No: 392/404 analyzed   | Fair              |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Randomization<br>adequate? | Allocation<br>concealment<br>adequate?                              | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked?         | Care provider<br>masked?             |
|--|----------------------------|---|--|------------------------------------|--------------------------------------|--------------------------------------|
| Potkin, 2006<br>Good   | NR                         | Yes - centralized<br>interactive voice<br>response system<br>(IVRS) | Yes  | Yes                                | Yes                                  | Yes                                  |
| Potkin, 2007<br>Fair   | NR                         | NR  | Yes  | Yes                                | NR                                   | Stated to be DB                      |
| Potkin, 2011   | Yes                        | Unclear   | Yes  | Yes                                | Unclear (NR)                         | Yes                                  |
| QUEST;<br>Mullen, 2001<br>Mullen, 1999<br>Reinstein, 1999<br>Fair      | Method NR                  | Method NR   | Yes  | Yes                                | No                                   | No                                   |
| Riedel, 2005<br>Fair   | Method NR                  | Method NR   | No<br>Higher<br>PANSS Negative<br>SANS alogia<br>SANS avolition-apathy and SANS<br>Total in quetiapine group (page<br>434) | Yes                                | Unclear, reported as<br>double-blind | Unclear, reported<br>as double-blind |
| Ritchie, 2003, 2000<br>Pragmatic RCT<br>Multicenter, Australia<br>Fair | Yes                        | Yes   | Small differences in mean<br>baseline doses of typical<br>antipsychotics, baseline rate of<br>TD and # in residential care | Yes                                | No                                   | No                                   |
| Robinson, 2006<br>Fair   | NR                         | NR  | Yes  | Yes                                | Yes                                  | No                                   |
| Robles, 2011   | Unclear                    | Unclear   | Unclear  | Yes                                | Yes (SB)                             | No                                   |
| Rosenheck, 1997<br>Fair  | Method NR                  | Method NR   | Yes  | Yes                                | Yes but method not<br>described      | NR                                   |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked? | Attrition?                              | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?  | Quality<br>rating | Comments |
|--|--------------------|---|---|---|-------------------|----------|
| Potkin, 2006<br>Good   | Yes                | Yes - 51/382 (13%)                      | Higher in P group (15%) compared to risperidone (3%) and quetiapine (6%)                            | no-excluded 3 patients (0.8%)   | Good              |          |
| Potkin, 2007<br>Fair   | Yes                | Yes                                     | Yes: 34% completed in P group, 46% completed in asenapine group, 42% completed in risperidone group | Unclear: 8 patients not included in analysis  | Fair              |          |
| Potkin, 2011   | Yes                | No, overall (32%);<br>Yes (33% vs. 31%) | No, (1% vs. 5%); No, overall (4%)   | No, 6% excluded   | Fair              |          |
| QUEST;<br>Mullen, 2001<br>Mullen, 1999<br>Reinstein, 1999<br>Fair      | No                 | No                                      | NR  | Yes, using LOCF   | Fair              |          |
| Riedel, 2005<br>Fair   | Yes                | Yes                                     | No: loss to follow-up: Q 2/22 (9%) v R 0  | Efficacy analysis based on pts w/baseline and at least one postbaseline measurement w/LOCF; all pts included in safety analysis | Fair              |          |
| Ritchie, 2003, 2000<br>Pragmatic RCT<br>Multicenter, Australia<br>Fair | No                 | Yes                                     | No  | Stated to use LOCF, but 5 risperidone patients not included   | Fair              |          |
| Robinson, 2006<br>Fair   | No                 | Yes, No, No, No                         | None  | Analysis excluded 8 (7%) of patients due to protocol violations or refusal of treatment   | Fair              |          |
| Robles, 2011   | No                 | No, Yes                                 | No, No  | No, analyzed completers only  | Fair              |          |
| Rosenheck, 1997<br>Fair  | Yes                | Attrition yes;<br>crossovers yes        | No/ no  | No  | Fair              |          |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Randomization<br>adequate? | Allocation<br>concealment<br>adequate? | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked? | Care provider<br>masked? |
|--------------------------------|----------------------------|--|--|------------------------------------|------------------------------|--------------------------|
| Rosenheck, 2003<br>Fair        | Method NR                  | Yes                                    | Yes, except mean PANSS negative subscale 23.2 in olanzapine vs 21.7 in haloperidol (p=0.02)  | Yes                                | Yes but method not described | NR                       |
| Rubio, 2006<br>Poor            | No-allocated alternately   | No                                     | Yes  | Yes                                | Yes                          | No                       |
| Sacchetti, 2008<br>Fair        | Yes                        | Unclear                                | Pretty much: Risperidone group slightly older than olanzapine and quetiapine groups  | Yes                                | Yes                          | No                       |
| Sacchetti, 2009<br>Fair        | Method NR                  | Method NR                              | Differences in DAI-10 scores, historical causes of refractoriness  | Yes                                | NR stated as DB              | NR stated as DB          |
| Saddichha 2008<br>Fair         | NR                         | NR                                     | Risperidone vs olanzapine: Lower baseline HDL (33.8 vs 40.0). Age comparison NR. Similar for gender and weight and glucose parameters. | Yes                                | Yes                          | Yes                      |
| Sayers, 2005<br>Fair-Poor      | Method NR                  | Yes                                    | Unclear; only age, smoking and cocaine use given   | Yes                                | Yes                          | NR                       |
| San, 2012<br>Fair              | Method-NR                  | Unclear                                | Some differences at baseline in PANSS scores, number ultimately diagnosed with schizophrenia   | yes                                | No                           | No                       |
| Sato, 2012<br>Poor             | Method-NR                  | Unclear                                | Unclear  | Yes                                | Unclear                      | Unclear                  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Patient<br>masked? | Attrition?                                | Loss to follow-up: Differential/high?       | Intention-to-treat analysis?  | Quality<br>rating                    | Comments |
|--------------------------------|--------------------|---|---|---|--------------------------------------|----------|
| Rosenheck, 2003<br>Fair        | Yes                | Attrition yes                             | No/ no                                      | Yes   | Fair                                 |          |
| Rubio, 2006<br>Poor            | No                 | Yes 4/66                                  | No/No                                       | N-4/66 excluded   | Poor                                 |          |
| Sacchetti, 2008<br>Fair        | Yes                | Yes                                       | No; No                                      | Yes   | Fair                                 |          |
| Sacchetti, 2009<br>Fair        | Yes                | Yes<br>90/147 completed<br>(61.2%)        | No/ no<br>90/147 completed (61.2%)          | No<br>All randomized with $\geq 1$ dose<br>+ baseline measure + $\geq 1$<br>valid post-baseline PANSS | Fair                                 |          |
| Saddichha 2008<br>Fair         | Yes                | Yes                                       | Dropouts NR by group<br>90% completed study | No, excluded non completers<br>(10%)  | Fair                                 |          |
| Sayers, 2005<br>Fair-Poor      | Yes                | Attrition yes                             | High/Not differential<br>42% in each group  | Yes   | Fair-Poor<br>Rating,<br>small study, |          |
| San, 2012<br>Fair              | No                 | Yes                                       | Unclear                                     | Yes   | Fair                                 |          |
| Sato, 2012<br>Poor             | Unclear            | Yes 22%; not reported<br>by assigned drug | Slightly high; not reported by group        | No  | Poor                                 |          |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>  | <b>Randomization<br/>adequate?</b>                      | <b>Allocation<br/>concealment<br/>adequate?</b>            | <b>Groups similar at baseline?</b>                 | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|---|---|--|--|--|--------------------------------------|----------------------------------|
| Schering-Plough #041023<br>RCT, DB<br>Multicenter (USA, Canada, India,<br>Russia, Romania)<br>Fair            | Yes   | NR   | Yes, except fewer females on<br>asenapine          | Yes  | Unclear                              | Yes                              |
| Schering-Plough #7501012<br>RCT, DB<br>Multicenter (Croatia, India, Latvia,<br>Russia, United States)<br>Fair | Unclear   | NR   | NR for DB phase between groups<br>(asenapine v. P) | Yes  | Unclear                              | Stated to be DB                  |
| Schering-Plough<br>Study 041021   | Unclear<br>Central interactive voice<br>response system | Unclear<br>Central interactive<br>voice response<br>system | Unclear; inadequate data<br>provided               | Yes  | Unclear; reported as<br>DB           | Unclear; reported<br>as DB       |
| Schering-Plough<br>Study 041022   | Unclear<br>Central interactive voice<br>response system | Unclear<br>Central interactive<br>voice response<br>system | Unclear; inadequate data<br>provided               | Yes  | Unclear; reported as<br>DB           | Unclear; reported<br>as DB       |
| Schering-Plough<br>Study 25517  | Unclear<br>Central interactive voice<br>response system | Unclear<br>Central interactive<br>voice response<br>system | Unclear; inadequate data<br>provided               | Yes  | Unclear; reported as<br>DB           | Unclear; reported<br>as DB       |
| Schering-Plough<br>Study 25543  | Unclear<br>Interactive voice<br>response system         | Unclear<br>Interactive voice<br>response system            | Unclear; inadequate data<br>provided               | Yes  | Unclear; reported as<br>DB           | Unclear; reported<br>as DB       |
| Schering-Plough<br>Study 25544  | Unclear<br>Interactive voice<br>response system         | Unclear<br>Interactive voice<br>response system            | Unclear; inadequate data<br>provided               | Yes  | Unclear; reported as<br>DB           | Unclear; reported<br>as DB       |
| Schreiner , 2012  | Yes   | Unclear  | Yes  | Yes  | Unclear (NR)                         | No (open)                        |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked? | Attrition?                        | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?                                    | Quality<br>rating | Comments   |
|---|--------------------|-----------------------------------|---|---|-------------------|--|
| Schering-Plough #041023<br>RCT, DB<br>Multicenter (USA, Canada, India,<br>Russia, Romania)<br>Fair            | Yes                | Yes                               | High; not differential<br>Completion rates:<br>Asenapine 5 mg = 63%; 10 mg = 67%<br>P = 57%<br>Haloperidol 4 mg = 59% | Stated to be;<br>Analysis excluded 10 (2%) of<br>458 randomized | Fair              |  |
| Schering-Plough #7501012<br>RCT, DB<br>Multicenter (Croatia, India, Latvia,<br>Russia, United States)<br>Fair | Stated to be DB    | Yes                               | High; differential NR.<br>Overall completion, DB phase: 37.5%<br>Attrition NR between groups<br>(asenapine v. P)      | Stated to be;<br>analysis excluded 1 of 192<br>randomized       | Poor              |  |
| Schering-Plough<br>Study 041021   | Yes                | Yes                               | No/Yes<br>42.3% vs. 50% vs. 50% vs. 43.1%<br>withdrawals  | No<br>386/417 (93%) in ITT                                      | Fair              |  |
| Schering-Plough<br>Study 041022   | Yes                | Yes                               | No/Yes<br>53% vs. 48% vs. 53% withdrawals   | No<br>259/277 (94%) in ITT                                      | Fair              |  |
| Schering-Plough<br>Study 25517  | Yes                | Yes                               | Yes/Yes<br>62% vs. 43% withdrawals  | No<br>1166/1225 (95%) in ITT                                    | Fair              | Patients with history of<br>inadequate response to<br>olanzapine excluded. |
| Schering-Plough<br>Study 25543  | Yes                | Yes                               | Yes/Yes<br>35% vs. 20% withdrawals  | No<br>433/481(90%) in ITT                                       | Fair              |  |
| Schering-Plough<br>Study 25544  | Yes                | Yes                               | No/No   | No<br>279/306 (91%) in ITT                                      | Fair              |  |
| Schreiner , 2012  | No (open)          | No, 25% overall<br>No, 30% vs 20% | No, overall <10%, No 2.5% vs 1.8%   | No, (n=45 excluded for<br>primary outcome)                      | Fair              |  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Randomization<br>adequate? | Allocation<br>concealment<br>adequate?   | Groups similar at baseline?  | Eligibility criteria<br>specified? | Outcome assessors<br>masked?   | Care provider<br>masked?  |
|--------------------------------|----------------------------|--|--|------------------------------------|--|---|
| Schoemaker, 2010               | Unclear                    | Unclear  | Yes  | Yes                                | Unclear  | Yes-double dummy  |
| Schooler, 2005<br>Fair         | Method NR                  | Method NR  | Yes  | Yes                                | Unclear; reported as<br>DB   | Unclear; reported<br>as DB  |
| Sechter, 2002<br>Fair          | Method NR                  | Method NR  | Yes  | Yes                                | Yes but method not<br>described  | NR  |
| Shopsin, 1979<br>Fair          | Method NR                  | Method NR  | NR   | Yes                                | Yes  | Yes   |
| Shrivastava, 2000<br>Poor      | Method NR                  | Method NR  | Unclear  | No                                 | No   | No  |
| Silva de Lima, 2005<br>Fair    | Performed centrally        | Investigators received<br>sealed, numbered<br>,coded envelopes<br>from a person who<br>had no contact w/the<br>persons evaluation. | Yes  | Yes                                | Yes-blinded to<br>allocation, no contact<br>with doctors or<br>patients' records | No-open   |
| Simpson, 2004<br>Fair          | NR                         | NR   | 69% olanzapine vs 62%<br>ziprasidone male (NS); otherwise<br>similar   | Yes                                | NR (states double-<br>blind, but no details)                                     | Used masked<br>blister packs, and<br>included "A, B, or<br>C" corresponding to<br>low, medium, or<br>high dose. |
| Sirota, 2006<br>Fair           | Method NR                  | Method NR  | Yes, although quetiapine points<br>had a slightly longer duration of<br>illness (15.9 yrs [SD 9.1] vs 13.3<br>yrs [SD 7.4] for olanzapine) | Yes                                | Unclear, stated as<br>"rater-blinded"  | Unclear, stated as<br>"rater-blinded"   |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating | Patient<br>masked?  | Attrition?  | Loss to follow-up: Differential/high?                                   | Intention-to-treat analysis?   | Quality<br>rating | Comments                      |
|--------------------------------|---|---|---|--|-------------------|-------------------------------|
| Schoemaker, 2010               | Yes-double<br>dummy   | Overall, No (56.9%<br>excluded), differential:<br>asenapine completers<br>38%, olanzapine<br>completers: 57%) | No loss to follow-up  | Yes,( 4.8% excluded)   | Fair              |                               |
| Schooler, 2005<br>Fair         | Unclear; reported<br>as DB  | Yes (36.5%), no, no,<br>no  | Overall withdrawals 36.5%; p=0.40<br>between groups                     | Yes  | Fair              |                               |
| Sechter, 2002<br>Fair          | Yes but method<br>not described   | Attrition yes   | No/ no  | No   | Fair              |                               |
| Shopsin, 1979<br>Fair          | Yes   | Unclear   | Differential loss to f/u in P group                                     | No   | Fair              |                               |
| Shrivastava, 2000<br>Poor      | No  | Yes   | NR/No (33%)   | No   | Poor              |                               |
| Silva de Lima, 2005<br>Fair    | No-open   | Yes-13%   | No/no   | Unclear-provided results for<br>'completers' and 'LOCF', but<br>did not provide any Ns;<br>presume LOCF is ITT | Fair              | Random assignment, open label |
| Simpson, 2004<br>Fair          | Used masked<br>blister packs, and<br>included "A, B, or<br>C" corresponding<br>to low, medium,<br>or high dose. | Yes   | High- 37/136 (27.2%) ziprasidone,<br>25/133 (18.8%) olanzapine (p=0.10) | Yes  | Fair              |                               |
| Sirota, 2006<br>Fair           | NR  | Yes   | No loss to follow-up (all 5 withdrawals<br>accounted for)               | Unclear # analyzed NR  | Fair              |                               |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Randomization<br>adequate? | Allocation<br>concealment<br>adequate? | Groups similar at baseline?   | Eligibility criteria<br>specified? | Outcome assessors<br>masked? | Care provider<br>masked? |
|---|----------------------------|--|---|------------------------------------|------------------------------|--------------------------|
| Smelson, 2006<br>Fair   | NR                         | NR                                     | Yes   | Yes                                | Yes                          | Yes                      |
| Smith, 2009   | Yes                        | Method NR                              | Differences in type and number of antipsychotics used. Not statistically significant. Analysis adjustment used to control for bias.   | Yes                                | No                           | No                       |
| Suzuki, 2007<br>Poor  | NR                         | NR                                     | Yes   | Yes                                | Open label                   | Open label               |
| Tollefson, 1997<br>Breier, 1999<br>Gilmore, 2002<br>Goldstein, 2002<br>Gomez, 2001<br>Hamilton, 2000<br>Kennedy, 2003<br>Kinon, 2001<br>Revicki, 1999<br>Sanger, 1999<br>Tohen, 2001<br>Tollefson, 1998<br>Tollefson, 1999<br>Tran, 1999<br>Tunis, 1999<br>Fair | Method NR                  | Method NR                              | Yes   | Yes                                | Yes but method not described | NR                       |
| Tollefson, 2001<br>Beasley, 1999<br>Beuzen, 1998<br>Fair  | Method NR                  | Method NR                              | Some differences. Proportion with disorganized type Schizophrenia 23% in O group, 14% in C, while undifferentiated = 13% in O, 24% in C. Also, those with continuous course = 54% in O, 48% in C. Mean age, and other important characteristics NR per group. | Yes                                | Yes                          | Yes                      |
| Tran, 1997<br>Fair  | Method NR                  | Method NR                              | Unclear - not well reported   | Yes                                | NR                           | Yes                      |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating  | Patient<br>masked?              | Attrition?                        | Loss to follow-up: Differential/high?                  | Intention-to-treat analysis?          | Quality<br>rating | Comments |
|---|---------------------------------|-----------------------------------|--|---------------------------------------|-------------------|----------|
| Smelson, 2006<br>Fair   | Yes                             | Yes - 12/31 (39%)<br>dropped out  | Unclear- Reasons for drop-outs NR                      | No- Excluded 39%<br>(completers only) | Fair              |          |
| Smith, 2009   | No                              | Yes<br>44/49 (89.8%)<br>completed | No; no   | No<br>46/49 (93.9%) in ITT            | Fair              |          |
| Suzuki, 2007<br>Poor  | Open label                      | Yes                               | No; No   | No                                    | Poor              |          |
| Tollefson, 1997<br>Breier, 1999<br>Gilmore, 2002<br>Goldstein, 2002<br>Gomez, 2001<br>Hamilton, 2000<br>Kennedy, 2003<br>Kinon, 2001<br>Revicki, 1999<br>Sanger, 1999<br>Tohen, 2001<br>Tollefson, 1998<br>Tollefson, 1999<br>Tran, 1999<br>Tunis, 1999<br>Fair | Yes but method<br>not described | Attrition yes                     | No/ no   | No                                    | Fair              |          |
| Tollefson, 2001<br>Beasley, 1999<br>Beuzen, 1998<br>Fair  | Yes                             | Yes                               | No   | Yes (LOCF methods)                    | Fair              |          |
| Tran, 1997<br>Fair  | Yes                             | Yes                               | Overall 47.5%<br>olanzapine 57.6%<br>risperidone 47.3% | Yes                                   | Fair              |          |



**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>   | <b>Randomization<br/>adequate?</b> | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> |
|--|------------------------------------|---|---|--|--------------------------------------|----------------------------------|
| Tran-Johnson, 2007<br>Fair               | Method NR                          | Method NR                                       | Yes   | Yes  | NR                                   | Stated to be DB                  |
| Tunis 2006<br>Fair                       | Method NR                          | Method NR                                       | Yes   | Yes  | No                                   | No                               |
| Tzimos, 2008                             | Method NR                          | Method NR                                       | 18% of Paliperidone group over<br>age 75 vs. 5% of P group  | Yes  | Stated to be DB                      | Stated to be DB                  |
| van Bruggen, 2003<br>Poor                | NR                                 | NR  | Yes (but appears baseline<br>characteristics exclude 2 patients<br>not analyzed). Groups<br>imbalanced: 18 randomized to O,<br>26 to R. | Yes  | Not clear (states<br>"independent")  | NR                               |
| van Nimwegen, 2008<br>Fair               | NR                                 | Unclear   | NR  | Yes  | Unclear                              | Stated to be DB                  |
| Vanelle, 2006<br>Good                    | Yes - Computer<br>generated        | Yes - Kept by Sanofi-<br>Synthelabo             | Yes   | Yes  | Yes                                  | Yes                              |
| Velligan, 2003<br>Fair                   | Method NR                          | Method NR                                       | Yes   | Yes  | Yes                                  | No                               |
| Voruganti, 2007<br>Fair                  | NR                                 | NR  | Yes   | Yes  | Yes                                  | NR                               |
| Wahlbeck, 2000<br>Open-label RCT<br>Fair | Yes                                | Method NR                                       | No, Significantly more women in<br>the risperidone arm  | Yes  | No, open-label                       | No, open-label                   |
| Wampers, 2012                            | N/A                                | N/A   | Unclear   | Yes  | Unclear (NR)                         | No (open)                        |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating           | Patient<br>masked? | Attrition?                           | Loss to follow-up: Differential/high?                                     | Intention-to-treat analysis?   | Quality<br>rating | Comments   |
|--|--------------------|--------------------------------------|---|--|-------------------|--|
| Tran-Johnson, 2007<br>Fair               | Stated to be DB    | Yes                                  | No/no   | Yes (LOCF)   | Fair              |  |
| Tunis 2006<br>Fair                       | No                 | Yes                                  | No/No   | Yes  | Fair              |  |
| Tzimos, 2008                             | Stated to be DB    | Yes<br>79% completed DB<br>phase     | No, yes(84%) of drug group completed<br>26/38 (68%) of P group completed  | No<br>included those who had<br>baseline $\pm$ 1 postbaseline<br>efficacy assessment               | Poor              |  |
| van Bruggen, 2003<br>Poor                | NR                 | NR                                   | Yes- 2/26 risperidone vs 0/18<br>olanzapine not included in analysis      | No: 2 risperidone patients<br>excluded   | Poor              |  |
| van Nimwegen, 2008<br>Fair               | Stated to be DB    | Yes                                  | No; No  | Excluded 3 patients from<br>analysis because they had no<br>postrandomization<br>observable scores | Fair              |  |
| Vanelle, 2006<br>Good                    | Yes                | Yes - 14/85 early<br>discontinuation | No/No   | No - Excluded 2/85 (0.02%)   | Good              | Small number of patients.  |
| Velligan, 2003<br>Fair                   | No                 | Attrition yes                        | No/ no  | No   | Fair              | Prospective randomized<br>controlled design  |
| Voruganti, 2007<br>Fair                  | NR                 | Yes- 1/86 early<br>discontinuation   | No/No   | No - 1/86 (1%) excluded  | Fair              | Physiologic measures only, no<br>data on psychiatric improvement;<br>investigators not blinded to<br>treatment; only 8 wks long. |
| Wahlbeck, 2000<br>Open-label RCT<br>Fair | No, open-label     | Yes                                  | Overall = 35%<br>Differential drop-out: clozapine 50%,<br>risperidone 11% | Yes  | Fair              |  |
| Wampers, 2012                            | No (open)          | Unclear (NR)                         | Unclear (NR)  | Yes  | Poor              |  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| <b>Author, year<br/>quality rating</b>   | <b>Randomization<br/>adequate?</b>   | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria<br/>specified?</b> | <b>Outcome assessors<br/>masked?</b>   | <b>Care provider<br/>masked?</b> |
|--|--|---|---|--|--|----------------------------------|
| Wang, 2006<br>RCT, double-blind<br>Fair  | Unclear; pharmacists<br>maintained<br>"randomization<br>schedules", no details<br>provided | Unclear   | Yes   | Yes  | NR                                     | NR                               |
| Weiden, 2009   | Method NR  | Method NR                                       | NR  | Yes  | Adherence attitude<br>assessor blinded | No                               |
| Wu, 2006<br>Fair   | NR   | NR  | Yes   | Yes  | No                                     | NR                               |
| Yamashita, 2004<br>Mori, 2004<br>RCT, single center, blinding<br>unclear<br>Fair | NR   | NR  | No  | Yes  | NR                                     | Blinding unclear                 |
| Zhang, 2012  | Unclear  | Unclear   | Unclear, baseline characteristics<br>reported on completers<br>population | Yes  | Open label                             | Open label                       |
| Zhong, 2006<br>Fair  | Not stated   | Unclear   | Yes   | Yes  | NR                                     | NR                               |
| Zimbroff, 2007<br>Fair   | Yes  | Yes   | Yes   | Yes  | Unclear                                | Stated to be DB                  |

**Evidence Table 2. Quality assessment of trials in patients with schizophrenia**

| Author, year<br>quality rating   | Patient<br>masked? | Attrition?  | Loss to follow-up: Differential/high?   | Intention-to-treat analysis?  | Quality<br>rating | Comments |
|--|--------------------|---|---|---|-------------------|----------|
| Wang, 2006<br>RCT, double-blind<br>Fair  | Yes                | Yes   | Yes; 42% (8) Risp vs. 29% (5) Olan<br>[study states these were similar, no<br>statistics reported]  | Yes, using LOCF   | Fair              |          |
| Weiden, 2009   | No                 | Unclear<br>19/26 (73%) in RLAT<br>accepted random<br>assignment | Unclear   | Yes   | Poor              |          |
| Wu, 2006<br>Fair   | NR                 | Yes; 8 of 120   | No/no   | NR  | Fair              |          |
| Yamashita, 2004<br>Mori, 2004<br>RCT, single center, blinding<br>unclear<br>Fair | Blinding unclear   | Yes   | No loss to follow-up  | Unclear if analysis included 2<br>patients (2.2%) who<br>discontinued early | Fair              |          |
| Zhang, 2012  | Open label         | Yes, Yes  | No, No  | No, excluded 20%,<br>completers only  | Fair              |          |
| Zhong, 2006<br>Fair  | Yes                | Yes   | Yes; high, not differential<br>Completion rates: approx 48%<br>Lost to follow-up; QU v RIS, 7.4 vs<br>11.9; RIS higher WD due to AE<br>compared to QU | Y   | Fair              |          |
| Zimbroff, 2007<br>Fair   | Yes                | Yes   | No; 68% completed in ziprasidone<br>group 69.5% completed in aripiprazole<br>group  | Stated to be, but 6 patients<br>excluded from analysis                      | Fair              |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                 | <b>Exposure period</b> |
|---------------------------------|---|--|---------------------------------------|------------------------|
| Advokat, 2003                   | Eastern Louisiana Mental Health System  | Retrospective                                    | 1995-2001                             | 5 ys                   |
| Advokat, 2004<br>United States  | Hospital charts and medical records from the Eastern Louisiana Mental Health System | Retrospective                                    | September 1996 through September 2001 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|--|---|
| Advokat, 2003                   | olanzapine 332 ds<br>risperidone 376 ds<br>quetiapine 558 ds<br>clozapine 583 ds            | Schizoaffective/Bipolar Type, Paranoid<br>Schizophrenia, or Schizophrenia<br>Undifferentiated  | Mean age=40.6 ys<br>31% male<br>50% Africa American   |
| Advokat, 2004<br>United States  | Olanzapine: 20.6mg/d<br>Risperidone: 5.3mg/d<br>Quetiapine: 320.6mg/d<br>Clozapine: 375mg/d | Patients reporting initial baseline value of<br>35 or greater on the Brief Psychiatric<br>Rating Scale (BPRS) and had at least 3<br>successive moly BPRS ratings | Olanzapine/Risperidone/Quetiapine/ Clozapine<br>Mean age (ys): 39.8/41.2/43.3/ 38.7<br>%male: 37/22/36/29<br>%African-American: 50/47/45/71 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|--------------------------------|---------------------------------|--|---|
| Advokat, 2003                  | 398/100/100                     | NR/NR/100                                  | <u>length of hospitalization:</u><br><u>olanzapine (n=18) vs risperidone (n=9) = 634 ds vs 1017 ds, p=0.038</u><br><u>&gt;20% decline from baseline in BPRS score:</u><br><u>olanzapine = 33/46 (72%)</u><br><u>risperidone = 16/36 (44%)</u><br><u>clozapine = 52/59 (88%)</u><br><u>clo vs ris, p&lt;0.01; ola vs ris, p=0.012; clo vs ola, p=0.034</u><br><u>responders that retained or improved their BPRS scores:</u><br><u>olanzapine vs risperidone, NS</u><br><u>Latencies from responders:</u><br><u>olanzapine vs risperidone = 1.67 vs 1.47 mos</u>   |
| Advokat, 2004<br>United States | NR/NR/100                       | NR/NR/100                                  | <u>Maximum daily dosages</u><br><u>28 of 46 patients on olanzapine received 15mg or less per d as max dose</u><br><u>21 of 36 patients on risperidone received 4mg or less per d as max dose</u><br><u>8 of 11 patients on quetiapine received 400mg or less per d as max dose</u><br><u>7 of 7 patients on clozapine received 450mg or less per d as max dose</u><br><u>Average Length of stay in hospital</u><br><u>Olanzapine: 332 ds</u><br><u>Risperidone: 376 ds</u><br><u>Quetiapine: 558 ds</u><br><u>Clozapine: 583 ds</u><br><u>20% or more change from baseline on BPRS</u><br><u>Olanzapine: 33 of 46 ( 72%) patients</u><br><u>Risperidone: 16 of 36 (44%) patients</u><br><u>Quetiapine: 4 of 11 (36%) patients</u><br><u>Clozapine: 5 of 7 (71%) patients</u><br><u>Response latency</u><br><u>Olanzapine: 1.67 mos</u><br><u>Risperidone: 1.47 mos</u><br><u>Quetiapine: 2.00 mos</u><br><u>Clozapine: 2.75 mos</u> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b> | <b>Comments</b> |
|---------------------------------|------------------------|-----------------|
| Advokat, 2003                   | NR                     |                 |
| Advokat, 2004<br>United States  | NR                     |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>           | <b>Exposure period</b>   |
|-----------------------------------|---|--|---------------------------------|--|
| Agelink, 2001<br>Germany          | Evangelical Hospital<br>Gelsenkirchen, Germany  | Retrospective                                    | Mean: 14.1 ds                   | NR   |
| Akkaya 2007<br>Turkey             | Medical record review:<br>Psychiatry Outpatient Clinic of<br>Uludag University Medical<br>Faculty | Retrospective                                    | January 1998 to October<br>2005 | Risperidone/Haloperidol/Olanzapine<br><br>Mean duration of treatment (d):<br>430.7±536.7/761.5±836.7/754.5±818.9 |
| Al-Zakwani, 2003<br>United States | Multicenter, United States  | Retrospective                                    | 24 mos                          | 18 mos   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|-----------------------------------|--|---|--|
| Agelink, 2001<br>Germany          | amisulpride: 400 mg/d, olanzapine: 20 mg/d,<br>sertindole: 12 mg/d, clozapine: 100 mg/d  | Medication-free inpatients with<br>schizophrenia  | Mean age: 33.7 ys<br>68.8% Male<br>Ethnicity NR  |
| Akkaya 2007<br>Turkey             | Risperidone/Haloperidol/Olanzapine<br><br>Mean dose (mg): 3 ±1.4/5.4±5.1/11.7±5.4  | Patients diagnosed with schizophrenia<br>and placed on drug treatment   | Risperidone/Haloperidol/Olanzapine<br><br>Age (y): 34.5±13.5/34.6±12.5/32.5±14.8<br>Gender (% male): 57.1/58.2/60<br>Ethnicity: NR |
| Al-Zakwani, 2003<br>United States | Doses NR. Interventions-Typical Antipsychotics:<br>chlorpromazine, haloperidol, thioridazine,<br>perphenazine, other; Atypical Antipsychotics:<br>risperidone, olanzapine, quetiapine, clozapine | Psychosis, neurotic, personality and<br>sexual disorders, drug/alcohol<br>dependence, psychological malfunction<br>arising from mental disorders, depressive<br>disorder, childhood emotional<br>disturbance/developmental delays,<br>MR/Alzheimer's/Parkinson's diseases | Mean age: 38.5 ys<br>59% Male<br>Ethnicity NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country           | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed                            | Effectiveness outcomes   |
|-----------------------------------|---------------------------------|---|--|
| Agelink, 2001<br>Germany          | NR/NR/51                        | 0/0/51  | NR   |
| Akkaya 2007<br>Turkey             | NR<br>407<br>274                | NR<br>NR<br>189 (63 risperidone, 91<br>haloperidol, 35<br>olanzapine) | Risperidone/Haloperidol/Olanzapine<br>Rates of discontinuation (%) over 18 mos<br>68.3/51.6/54.3<br>Relapse under treatment (%)<br>No: 81/68.1/65.7<br>Yes: 19/31.9/34.3<br>Relapse resulting in hospitalization (%)<br>No: 33.3/44.6/41.7<br>Yes: 66.7/55.2/58.3<br>Reason of treatment discontinuation (%)<br>Compliance issues: 74.6/72.5/60<br>Side effect: 4.8/5.5/8.6<br>Relapse: 4.8/11/5.7<br>Hospitalization: 1.6/3.3/8.6<br>Treatment continued: 14.3/7.7/17.1 |
| Al-Zakwani, 2003<br>United States | 2710/833/469                    | NR/NR/469   | Typical Antipsychotics:<br># dose adjustments: 14(16.5%)<br># treatment augmentation: 1(1.2%)<br># requiring treatment switch: 11(12.9%)<br># receiving mixed therapy: 1(1.2%)<br><br>Atypical Antipsychotics:<br># dose adjustments: 128(30.4%)<br># treatment augmentation: 3(0.8%)<br># requiring treatment switch: 70(18.2%)<br># receiving mixed therapy: 7(1.5%)   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Safety outcomes</b>   | <b>Comments</b> |
|-----------------------------------|--|-----------------|
| Agelink, 2001<br>Germany          | clozapine, olanzapine, sertindole had a prolonged mean frequency-corrected QTc times; P<0.05<br>HRr at endpoint:<br>A: 77.2 vs O: 84.6 vs S: 88.7 vs C: 95.9<br>CVr at endpoint:<br>A: 3.9 vs O: 3.9 vs S: 5.2 vs C: 2.3                 |                 |
| Akkaya 2007<br>Turkey             | Risperidone/Haloperidol/Olanzapine<br><br><u>Side effects that caused treatment discontinuation (authors do not report if this figure is n or %)</u><br>EPS: 0/5/2<br>Prolactin increase: 2/0/0<br>Weight gain: 0/0/1<br>Sedation: 1/0/0 |                 |
| Al-Zakwani, 2003<br>United States | NR   |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>            | <b>Exposure period</b> |
|---|--|--|----------------------------------|------------------------|
| Ascher-Svanum 2008<br>US (21 sites in multiple<br>states) | Data from a randomized, open-<br>label study of the cost<br>effectiveness of olanzapine,<br>risperidone, and typical<br>antipsychotics. Twenty sites in<br>the US. | Retrospective                                    | May 1998-September 2002          | One y                  |
| Ascher-Svanum, 2004<br>Faries, 2005<br>USA                | U.S. Schizophrenia Care<br>and Assessment Prog (US<br>SCAP)  | Prospective                                      | July 1997 to 2003                | One y                  |
| Barak, 2004<br>Israel                                     | Abarbamel Mental Health<br>Center, Bat-Yam   | Retrospective                                    | January 1998 to December<br>2002 | 5 ys                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---|--|--|--|
| Ascher-Svanum 2008<br>US (21 sites in multiple<br>states) | olanzapine 13.3 mg<br>risperidone 4.85 mg<br>typical antipsychotics: perphenazine,<br>haloperidol, loxapine, thiothixene, fluphenazine,<br>trifluoperazine, mesoridazine, thioridazine,<br>chlorpromazine, molindone | 18 ys of age or older, DSM-IV criteria for<br>schizophrenia, schizoaffective or<br>schizophreniform disorders, minimum<br>score of 18 on BPRS.   | Mean age 43 ys<br>63% male<br>54% white, 34% African American, 12% other<br>race/ethnicity   |
| Ascher-Svanum, 2004<br>Faries, 2005<br>USA                | Olanzapine<br>Risperidone  | DSM-IV criteria for schizophrenia,<br>schizoaffective, or schizophreniform<br>disorder;<br>> 18 ys; and understood and provided<br>informed consent.<br>Excluded if participation in a controlled<br>clinical drug trial in past mo<br>. | Age at enrollment, Olanzapine 43.5 Risperidone<br>39.3<br>Male, Olanzapine 62.9% Risperidone 54.5%<br>Ethnicity<br>White Olanzapine 52.8% Risperidone 49.1%<br>Black Olanzapine 41.5% Risperidone 39.1%<br>Other Olanzapine 5.7% Risperidone 11.8% |
| Barak, 2004<br>Israel                                     | clozapine 445mg for 575 ds<br>olanzapine 17.8mg for 492 ds<br>risperidone 4.6mg for 466 ds   | Schizophrenia or schizoaffective disorder<br>with attempted suicide in the 4 WK<br>preceding admissions  | Mean age=39.1 ys<br>84.7% male<br>Ethnicity: NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                   | Exposed<br>Eligible<br>Selected  | Withdrawn<br>Lost to follow-up<br>Analyzed         | Effectiveness outcomes   |
|---|--|--|--|
| Ascher-Svanum 2008<br>US (21 sites in multiple<br>states) | 664<br>664<br>648 (222 olanzapine,<br>217 risperidone, 209<br>typical<br>antipsychotics) | None reported<br>None reported<br>648              | Mean time (SD) to all-cause medication discontinuation:<br>Olanzapine: 277.2 ds (123.9); p<0.001 vs typical antipsychotics; p<0.001 vs risperidone;<br>Risperidone: 231.9 ds (142.2)<br>Typical antipsychotics: 193.5 ds (137.9)<br>Perphenazine: 277.2 ds (123.9)<br>One-y survival rates (SD):<br>Olanzapine: 55.3% (3.6%); p=0.007 vs risperidone<br>Risperidone: 46.8* (3.5%)<br>Typical antipsychotics: 31.7% (3.3%); p <0.001 vs olanzapine; p=0.002 vs risperidone<br>Perphenazine: 30.8% (6.8%); p<0.001 vs olanzapine; p=0.060 vs risperidone   |
| Ascher-Svanum, 2004<br>Faries, 2005<br>USA                | NA   | NR/NR/Olanzapine n =<br>159 Risperidone n =<br>112 | Adherent group (n = 271)<br>Hospitalization rates risperidone 24.1% vs. olanzapine 14.4% P = 0.040<br>Hospitalization ds risperidone 14.5 ds vs. olanzapine 9.9 ds P = 0.035.<br>Adherent and non-adherent groups combined (n = 516)<br>Hospitalization rates risperidone 31.5% vs. olanzapine 23.6% P = 0.045<br>Hospitalization ds risperidone 17.6 ds vs. olanzapine 19.1 ds P = 0.755.<br><br>Odds of staying on monotherapy during the 1-y period (vs initiating polytherapy) (Faries 2005)<br>Olanzapine vs quetiapine: OR 2.08 (95% CI 1.30, 3.31)<br>Olanzapine vs risperidone: OR 1.36 (95% 1.01, 1.84) |
| Barak, 2004<br>Israel                                     | 68000/4486/378   | NR/NR/378  | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Safety outcomes</b>  | <b>Comments</b> |
|---|---|-----------------|
| Ascher-Svanum 2008<br>US (21 sites in multiple<br>states) | NA  |                 |
| Ascher-Svanum, 2004<br>Faries, 2005<br>USA                | NR  |                 |
| Barak, 2004<br>Israel                                     | suicide group vs control group<br>exposed to second generation antipsychotics: 16% vs 37%, p=0.0001<br><br>protective effect: OR (p, 95% CI)<br>overall: 3.54 (p=NR, 2.4-5.3)<br>risperidone: 3.16 (p=0.001, 1.9-5.3)<br>olanzapine: 1.76 (p=0.02, 1.2-3.3) |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>  | <b>Exposure period</b>  |
|---|---|--|--|-------------------------|
| Barner, 2004<br>United States   | Database: Central Texas<br>Veterans Health Care System                              | Retrospective                                    | Duration of treatment NR.<br>Mean number of persistent<br>ds (total number of<br>continuous ds the patient<br>took an antipsychotic agent<br>without a gap, i.e. a 15-d<br>lapse in therapy):<br>AAPs: 3.9-5.6 mos<br>Typical APs: 4.7-7.3 mos | NR                      |
| Bitter, 2005<br>Africa, the Middle East,<br>Asia, Central and Eastern<br>Europe, Latin America<br>IC-SOHO Study (6-mo<br>data)<br>Hostile/aggressive behavior<br>outcomes | same as Dossenbach 2004   | same as<br>Dossenbach 2004                       | same as Dossenbach 2004  | same as Dossenbach 2004 |
| Bond, 2004<br>United States   | A psychiatric rehabilitation<br>agency and four community<br>mental health centers. | Prospective                                      | March 1999 to January 2001   | 9 mos                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>          | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---|---|---|--|
| Barner, 2004<br>United States   | Any AAP or typical AP, dose and duration NR | Included subjects aged 18+ who had not received a typical AP or AAP 6 mos prior to the dispensing of a typical AP or AAP, and had not been diagnosed with DM or used an antidiabetic drug 12 mos before being prescribed a typical AP or AAP. | Mean age 59.4<br>94.3% male<br>69.9% white                                   |
| Bitter, 2005<br>Africa, the Middle East,<br>Asia, Central and Eastern<br>Europe, Latin America<br>IC-SOHO Study (6-mo<br>data)<br>Hostile/aggressive behavior<br>outcomes | same as Dossenbach 2004                     | Subset of patients who sustained monotherapy and had hostile/aggressive outcome data available at 3- and 6-mos  | Mean age=35.2 ys<br>54% male<br>Ethnicity NR                                 |
| Bond, 2004<br>United States   | Olanzapine 12.9 mg<br>Risperidone 5.4 mg    | Schizophrenia or schizoaffective disorder   | Mean age=40.8 ys<br>59% male<br>45% Caucasian; 42% Africa American; 3% other |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---|--|---|---|
| Barner, 2004<br>United States   | 6735<br>3469<br>3469                     | NR<br>NR<br>3469                                    | NR  |
| Bitter, 2005<br>Africa, the Middle East,<br>Asia, Central and Eastern<br>Europe, Latin America<br>IC-SOHO Study (6-mo<br>data)<br>Hostile/aggressive behavior<br>outcomes | 7655/5828/3135                           | NR/NR/3135  | Change in proportions of patients with hostile/aggressive behavior from baseline to 6 mos:<br>Clozapine: -16.8%<br>Olanzapine: -23.1%<br>Quetiapine: -18.3%<br>Risperidone: -22.7%<br><br>ORs for improvement of hostility over time (95% CI):<br>Risperidone vs clozapine: 1.83 (1.05, 3.20)<br>Olanzapine vs clozapine: 1.67 (1.01, 2.75)   |
| Bond, 2004<br>United States   | 551/124/90                               | NR/NR/90  | work outcomes: olanzapine (n=39) vs risperidone (n=27) vs first-generation anti-psychotics (n=24)<br>paid employment at any time: 29(74%) vs 17(63%) vs 13(54%), NS<br>integrated employment at any time: 16(41%) vs 8(30%) vs 8(33%), NS<br><br>second generation vs first generation:<br>vocational activities: 76% vs 50%, p<0.05<br>increase in vocational activities: higher vs lower, p<0.001<br>moly rate of paid employment: higher vs lower, NS<br>moly rate of integrated employment: greater vs lower, p=0.001 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Safety outcomes</b>   | <b>Comments</b>  |
|---|--|--|
| Barner, 2004<br>United States   | Frequency of new-onset diabetes mellitus among patients taking AAPs:<br>AAP group (n=2477) 7.2% (ns)<br>Typical AP group (n=992) 7.0% (ns)<br>Risperidone 7.5% (ns)<br>Quetiapine 5.8% (ns)<br>Olanzapine 6.4% (ns)<br>Adjusted OR of new-onset diabetes mellitus (95% CI):<br>Olanzapine 0.976 (0.594-1.605)<br>Quetiapine 1.149 (0.531-2.485)<br>Risperidone 0.926 (0.544-1.579) | Dose and duration of treatment are not controlled for in this analysis |
| Bitter, 2005<br>Africa, the Middle East,<br>Asia, Central and Eastern<br>Europe, Latin America<br>IC-SOHO Study (6-mo<br>data)<br>Hostile/aggressive behavior<br>outcomes | NR   |  |
| Bond, 2004<br>United States   | NR   |  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>          | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>   | <b>Exposure period</b> |
|---------------------------------|---------------------------------|--|-------------------------|------------------------|
| Brown, 2005<br>United States    | Review of charts of VA patients | Retrospective                                    | June 2001 to March 2003 | NR                     |
| Buse, 2003<br>United States     | AdvancePCS Inc                  | Retrospective                                    | <u>&gt;2 ys</u>         | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>                | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|----------------------------------|---|
| Brown, 2005<br>United States    | Ziprasidone<br>Olanzapine   | Schizophrenia or other psychoses | Mean age (ys): Ziprasidone=47.3;<br>Olanzapine=53.9<br>Gender:<br>Ziprasidone=90.9% male;<br>Olanzapine=96.1% male<br>Ethnicity: NR |
| Buse, 2003<br>United States     | Clozapine: 183.1 mg/d<br>Olanzapine: 5.1 mg/d<br>Quetiapine: 79.9 mg/d<br>Risperidone: 1.2 mg/d<br>Haloperidol: 2.5 mg/d<br>Thioridazine: 43.9 mg/d | Schizophrenia                    | Mean age: 52 ys<br>63% male   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country      | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed  | Effectiveness outcomes   |
|------------------------------|---------------------------------|---|--|
| Brown, 2005<br>United States | NR/NR/191                       | NR/NR/191   | <u>Weight changes</u><br>Patients gained an average of 3.9kg on olanzapine (P<0.001)<br>Patients lost on average 1.5kg on ziprasidone (P>0.05)<br>Patients switched from olanzapine to ziprasidone lost an average of 3.4kg over the course of therapy (P=0.002)<br><u>Metabolic changes</u><br>Olanzapine was associated with an 8% increase in total cholesterol (P=0.01), an 11% increase in LDL, a 4% decrease in HDL, a 27% increase in triglycerides (P=0.05) and a 6% increase in HbA1c (P<0.05)<br>Ziprasidone was associated with a 7% reduction in total cholesterol, a 14% decrease in LDL, an 8% increase in HDL, a 7% decrease in triglycerides and a 9.4% reduction in HbA1c |
| Buse, 2003<br>United States  | 5,816,473<br>58,751<br>58,751   | Withdrawn=N/A<br>(retrospective)<br>Lost to follow-up=N/A<br>(retrospective)<br>Analyzed=58,751 | Risk of Diabetes Mellitus:<br>olanzapine: P=0.479<br>clozapine: P=0.496<br>quetiapine: P=0.033<br>haloperidol: P=0.040   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b> |
|---------------------------------|--|-----------------|
| Brown, 2005<br>United States    | NR   |                 |
| Buse, 2003<br>United States     | Hazard ratio of developing diabetes comparing antipsychotics to haloperidol group:<br>olanzapine:<br>risperidone: P=0.479<br>quetiapine: P=0.040<br>clozapine: P=0.496 |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                    | Data<br>source  | Prospective<br>Retrospective<br>Unclear | Sampling frame     | Exposure period |
|--|---|---|--------------------|-----------------|
| Bushe 2013<br>(Combined data from IC<br>and European SOHO) | Worldwide Schizophrenia<br>Outpatient Health Outcomes<br>database | Prospective                             | 5 years            | 3 years         |
| Caro, 2002<br>Quebec                                       | Database: Regie de<br>l'Assurance Maladie du<br>Quebec            | Retrospective                           | 1/1/97 to 12/31/99 | NR              |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                            | <b>Interventions<br/>mean dose</b>                   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>                        |
|--|--|--|--|
| Bushe 2013<br>(Combined data from IC<br>and European SOHO) | Clozapine<br>Olanzapine<br>Quetiapine<br>Risperidone | Schizophrenia  | Mean age: 37.91 (SD 12.91)<br>Male: 54.6%<br>Ethnicity: NR |
| Caro, 2002<br>Quebec                                       | Olanzapine<br>Risperidone                            | Psychotic disorders<br>≥ 1 prescription for olanzapine or<br>risperidone | Mean age NR<br>47.2% male<br>Race NR                       |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                    | Exposed<br>Eligible<br>Selected                                     | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes |
|--|---|--|------------------------|
| Bushe 2013<br>(Combined data from IC<br>and European SOHO) | 12,763<br>11088<br>4626   | NR, NR, 4626                               | NR                     |
| Caro, 2002<br>Quebec                                       | NR<br>34,692<br>33,946<br>Olanzapine= 19,153<br>Risperidone= 14,793 | NR<br>NR<br>33,946                         | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                    | Safety outcomes   | Comments |
|--|---|----------|
| Bushe 2013<br>(Combined data from IC<br>and European SOHO) | <p>Mean weight gain in Kg at 3 years (95% CI)</p> <p>Quetiapine: 2.5 (1.4-3.6)</p> <p>Risperidone: 3.1 (2.6-3.6)</p> <p>Clozapine: 3.3 (2.3-4.3)</p> <p>Olanzapine: 4.2 (3.9-4.5)</p> <p>Mean change in BMI (kg/m<sup>2</sup>) at 3 years (95% CI)</p> <p>Quetiapine: 0.9 (0.5 -1.3)</p> <p>Risperidone: 1.2 (1.0 -1.3)</p> <p>Clozapine: 1.2 (0.8- 1.6)</p> <p>Olanzapine: 1.6 (1.5 - 1.7)</p> <p>Proportion of patients gaining ≥7% of body weight,( 95% CI)</p> <p>Clozapine: 33% (26-41%)</p> <p>Quetiapine: 35% (28-44%)</p> <p>Risperidone: 40% (37-44%)</p> <p>Olanzapine: 45% (43-48%)</p> <p>Proportion of patients who lost ≥7% of body weight, ( 95% CI)</p> <p>Quetiapine: 10% (7-16%)</p> <p>Risperidone: 8% (6-11%)</p> <p>Clozapine: 8% (5-13%)</p> <p>Olanzapine: 7% (6-8%)</p> |          |
| Caro, 2002<br>Quebec                                       | <p>Diabetes</p> <p>Olanzapine=319/17</p> <p>Risperidone=217/16</p> <p>p=0.43</p> <p>(Cases/rate per 1000 patient ys)</p>  |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---------------------------------|--|--|-----------------------|------------------------|
| Castro 2007<br>Brazil           | Chart review: Institute of<br>Psychiatry, Universidade de<br>Sao Paulo | Retrospective                                    | NR                    | 12/1/97-12/31/99       |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b> | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|------------------------------------|--|---|
| Castro 2007<br>Brazil           | NR                                 | Patients with schizophrenia who were discharged on a regimen of either haloperidol, risperidone or clozapine<br><br>Exclusion criteria: patients discharged on two or more antipsychotics, patients with another axis 1 disorder and diagnosis of neurological disorders | Haloperidol/Risperidone/Clozapine<br><br><u>Mean age:</u> 38.28±10.17/37.59±11.72/35.55±9.48<br><u>Male (n):</u> 17/10/21<br><u>Ethnicity:</u> NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Exposed<br>Eligible<br>Selected                                     | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes   |
|-------------------------|---|--|--|
| Castro 2007<br>Brazil   | NR<br>NR<br>96 (43 haloperidol,<br>22 risperidone, 31<br>clozapine) | NR<br>NR<br>96                             | <p>Haloperidol/Risperidone/Clozapine</p> <p>Mean time to hospital readmission (d): 395±318 (range 54-1015)/284±200 (range 6-596)/264±157 (range 88-427)<br/> Median time to hospital readmission (d):286/271/303<br/> *No significant difference in time to rehospitalization between groups (ANOVA F=0.66; df=2; p=0.53)</p> <p>Mean length of follow-up for patients who were not readmitted (d): 718±483 (range 14-1095)/879±421 (range 22-1095)/1053±210 (range 26-1095)</p> <p>Percentage of patients remaining non-hospitalized:<br/> 12 mos: 84/73/90<br/> 24 mos: 79/59/84<br/> 36 mos: 74/59/84</p> <p>Rehospitalization rates (%):<br/> 12 mos: 16/27/10<br/> 24 mos: 21/41/16<br/> 36 mos: 26/41/16<br/> *No significant difference in rehospitalization rates between treatment groups; P-value=NR</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Safety outcomes | Comments |
|-------------------------|-----------------|----------|
| Castro 2007<br>Brazil   | NR              |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country             | Data<br>source  | Prospective<br>Retrospective<br>Unclear | Sampling frame                    | Exposure period   |
|-------------------------------------|---|---|-----------------------------------|---|
| Cianchetti, 2011<br>Italy           | Prospective cohort from Clinic of Child and Adolescent Neuropsychology, Hospital-University of Cagliari, Italy  | Prospective                             | 1990-2005                         | 11 years  |
| Citrome 2004<br>US (New York State) | Integrated Research Database, containing patient information and drug prescription information for every inpatient within the 17 adult civil facilities of the NY State psychiatric hospital system | Retrospective                           | January 1, 2000-December 31, 2002 | Case group: mean 121 + 60.9 ds<br>Control group: mean 133 + 55 ds |
| Conley, 1999<br>United States       | Record review: Maryland state psychiatric facilities  | Prospective                             | 3/14/94 to 12/31/95               | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country             | Interventions<br>mean dose   | Population  | Age<br>Gender<br>Ethnicity  |
|-------------------------------------|--|---|---|
| Cianchetti, 2011<br>Italy           | Haloperidol, 3-8 mg/d<br>Risperidone, 3-6 mg/d<br>Olanzapine, 10-20 mg/d<br>Quetiapine, 250-450 mg/d<br>Aripiprazole, 10-20 mg/d<br>Clozapine, 200-500 mg/d<br>Mean doses NR | 10-17 years, Schizophrenia or<br>Schizoaffective disorder   | Age: 15.5<br>Gender: NR<br>Ethnicity: 100% Caucasian  |
| Citrome 2004<br>US (New York State) | clozapine<br>risperidone<br>olanzapine<br>quetiapine<br>Mean doses NR  | Case group: those who received new<br>prescription of antidiabetic medication.<br>Required to have at least a 30-d period<br>of hospitalization before the start of the<br>prescription.<br>Control group: Those who did not receive<br>a prescription of antidiabetic medication,<br>matched to those in case group on<br>calendar y, then length of stay, then race,<br>then age group, then diagnosis. | Case group:<br>Mean age 43.3 ys (SD 11.4)<br>61% male<br>32% white<br>Control group:<br>Mean age 43.7 ys (SD 12.8)<br>71% male<br>32% white |
| Conley, 1999<br>United States       | Clozapine<br>Risperidone   | Schizophrenia   | Mean age=40.4<br>60.5% male<br>Race NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country             | Exposed<br>Eligible<br>Selected                   | Withdrawn<br>Lost to follow-up<br>Analyzed                              | Effectiveness outcomes  |
|-------------------------------------|---|---|---|
| Cianchetti, 2011<br>Italy           | NR/58/47  | 28/NR/47 at 3 years,<br>41 at 5 years, 30 at 8<br>years, 19 at 11 years | Haloperidol vs. Risperidone vs. Olanzapine vs. Quetiapine vs. Aripiprazole vs. Clozapine<br><br>Positive Response at 3-years, n=47 (%): 10.0 vs. 37.5 vs. 8.3 vs. 50.0 vs. 75.0 vs. 81.5<br>Positive Response at 5-years, n=41 (%): 13.8 vs. 25.0 vs. 0 vs. 55.5 vs. 42.9 vs. 76.0<br><br>Z scores for clinical improvement at 5-years, Haloperidol vs. Risperidone vs. Olanzapine vs. Clozapine<br>PANSS total: 4.37, p<0.0001 vs. 4.72, p<0.0001 vs. 2.80, p<0.05 vs. 4.54, p<0.0001<br>PANSS positive: 4.04, p<0.0001 vs. 4.37, p<0.0001 vs. 2.01, p<0.05 vs. 4.44, p<0.0001<br>PANSS negative: 3.99, p<0.0001 vs. 4.74, p<0.0001 vs. 2.38, p<0.05 vs. 4.17, p<0.0001<br>C-GAS/GAF: 3.95, p<0.0001 vs. 4.78, p<0.0001 vs. 2.38, p<0.05 vs. 4.45, p<0.0001<br><br>Clozapine vs. All other drugs combined:<br>GAF, mean increase at 8-years: 93±50% vs. 60±34%, P=NS<br>GAF, mean increase at 11- years: 87±41% vs. 54±31%, P<0.05 |
| Citrome 2004<br>US (New York State) | 13,611<br>8,461<br>1,629                          | NR<br>NR<br>1,629   |   |
| Conley, 1999<br>United States       | NR<br>NR<br>124 (clozapine=49,<br>risperidone=75) | NR<br>NR<br>unclear   | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country             | Safety outcomes  | Comments |
|-------------------------------------|--|----------|
| Cianchetti, 2011<br>Italy           | Suicide attempts: 0<br>Adverse Events Causing discontinuation:<br>Haloperidol: Neurodysleptic crises, 1<br>Risperidone: Excessive weight gain, 2; Amenorrhea, 2; Adenoma of hypophysis, 1; Parkinsonism, 1;<br>Neuridysleptic crises, 2; Seizures, 1<br>Olanzapine: Excessive weight gain, 3; Amenorrhea, 2<br>Aripiprazole: Amenorrhea, 1<br>Clozapine: Excessive weight gain, 1; Neutropenia <1500/mmc, 3; Seizures, 1 |          |
| Citrome 2004<br>US (New York State) | Adjusted OR (95% CI) for development of diabetes vs typical antipsychotic use:<br>Clozapine only: 2.06 (1.07, 3.99)<br>Olanzapine only: 1.57 (0.87, 2.82)<br>Quetiapine only: 3.09 (1.59, 6.03)<br>Risperidone only: 1.50 (0.81, 2.79)<br>More than one atypical antipsychotic: 2.86 (1.57, 5.20)  |          |
| Conley, 1999<br>United States       | Hospitalization<br>Readmission rates (% patients)<br>y 1=13% vs 17%; p=NS<br>y 2=13% vs 34%; p=NS<br>Mean time to readmission (ds)=360 vs 319  |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                | <b>Exposure period</b> |
|---------------------------------|---|--|--------------------------------------|------------------------|
| Cooper, 2005<br>Canada          | Database: Quebec health insurance database and Quebec database for hospitalizations | Retrospective                                    | July 1, 1996 through August 31, 2006 | 1 y                    |
| Cooper, 2007<br>Canada          | Database: Quebec health insurance board and Quebec registry of hospitalizations     | Retrospective                                    | January 1, 1997 to August 31, 1999   | 1 y                    |
| Coulter, 2001<br>International  | Database: Uppsala Monitoring Centre in Sweden                                       | Unclear  | NR                                   | NR                     |
| de Haan, 2002<br>Netherlands    | Academic Medical Center, University of Amsterdam                                    | Prospective                                      | 6 WK                                 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|---|---|--|
| Cooper, 2005<br>Canada          | Olanzapine<br>Risperidone   | Schizophrenia   | Age: 8% 0-24 ys; 50% 25-44 ys; 32% 45-64 ys;<br>10% 65 ys and over<br>Gender: 57% male     |
| Cooper, 2007<br>Canada          | Low intensity:<br>Olanzapine= <9.7mg/d; Risperidone= <1.9mg/d;<br>Clozapine= <300mg/d; Quetiapine= <100mg/d<br>Medium intensity:<br>Olanzapine= >9.7mg/d but <10.0mg/d;<br>Risperidone= >1.9mg/d but <4.0mg/d;<br>Clozapine= >300mg/d but <425mg/d;<br>Quetiapine= >100mg/d but <300mg/d<br>High intensity:<br>Olanzapine= >10mg/d; Risperidone= >4mg/d;<br>Clozapine= >425mg/d; Quetiapine= >300mg/d | Schizophrenia   | Age: 27% 0-34 ys; 63% 35-64 ys; 10% 65 ys or<br>older<br>Gender: 57% male<br>Ethnicity: NR |
| Coulter, 2001<br>International  | Clozapine<br>Olanzapine<br>Quetiapine<br>Risperidone  | NR  | NR<br>NR<br>NR   |
| de Haan, 2002<br>Netherlands    | Olanzapine(N=39): 14.2mg<br>Risperidone(N=23): 4.1mg  | N=113<br>Schizophrenia, 15% OCD disorder, drug<br>class naïve | Mean age: 22.4 ys  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed  | Effectiveness outcomes  |
|--------------------------------|---------------------------------|---|---|
| Cooper, 2005<br>Canada         | 38,048/6,405/6,405              | NR/NR/6,405   | Mean ds of use before discontinuation<br>olanzapine=233<br>risperidone=142<br>(60.5% of individuals discontinued use of initial treatment prior to one-y)<br>Concomitant use<br>Of those who stayed on their initial treatment for at least one y:<br>738 (47.3%) of olanzapine users and 435 (48.5%) of risperidone users received at least one<br>concomitant antipsychotic prescription during treatment |
| Cooper, 2007<br>Canada         | NR/NR/6662                      | NR/NR/6662  | <u>Persistence</u><br>Individuals started on clozapine were more likely to be persistent than those on olanzapine, however<br>those on olanzapine were more likely to be persistent than those on risperidone<br>Individuals who received a dosage in the low or medium intensity were more likely to be persistent<br>than those receiving the high intensity dosage                                       |
| Coulter, 2001<br>International | NR<br>NR<br>NR                  | NR<br>NR<br>Reports analyzed:<br>Clozapine=24730,<br>Olanzapine=6,135,<br>Quetiapine=709,<br>Risperidone=10,746 | NR  |
| de Haan, 2002<br>Netherlands   | NR/113/113                      | NR/NR/62  | YBOCS Mean Scores:<br>At Admission: R: 2.4 vs O: 2.4<br>At Endpoint (6 WK): R: 2.2 vs O: 1.9  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b> |
|---------------------------------|--|-----------------|
| Cooper, 2005<br>Canada          | NR   |                 |
| Cooper, 2007<br>Canada          | NR   |                 |
| Coulter, 2001<br>International  | Cardiomyopathy or myocarditis (# cases/%)<br>Clozapine=231/0.9%<br>Olanzapine=8/0.1%<br>Quetiapine=2/0.3%<br>Risperidone=16/0.1% |                 |
| de Haan, 2002<br>Netherlands    | NR   |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b>  | <b>Sampling frame</b> | <b>Exposure period</b>   |
|---------------------------------|--|---|-----------------------|--|
| De Hert, 2008, Belgium          | Records and patients from<br>University Psychiatric Centre<br>Catholic University Leuven | Retrospective<br>(including a<br>subsample of<br>prospective data for<br>matched group) | NR                    | Historic cohort: 1984-1995 (FGAs)<br>Current cohort: 2000-2005 (SGAs)<br>(At least 1 y treatment exposure; average 3 ys<br>treatment exposure) |
| Dinakar, 2002<br>United States  | Rockland Psychiatric Center,<br>NY   | Retrospective   | 3 mos                 | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|---|---|--|
| De Hert, 2008, Belgium          | Amisulpride<br>Aripiprazole<br>Clozapine<br>Olanzapine<br>Risperidone<br>Quetiapine | First-episode patients with schizophrenia treated with FGAs matched with first-episode schizophrenia patients treated with SGAs<br><br>Historic cohort was derived from a cohort of schizophrenic patients admitted between 1973 and 1992 | Historic cohort/Current cohort:<br><br><u>Age</u> : 22.3±3.2 / 22.1±3.1<br><u>Gender (% male)</u> : 65.5 / 71.6<br><u>Ethnicity</u> : both cohorts were > 95% Caucasian and of native Belgian origin |
| Dinakar, 2002<br>United States  | At endpoint:<br>olanzapine: 52.75<br>risperidone: 52.53                             | Schizophrenia   | Mean age: 55.5 ys<br>Gender and Ethnicity NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>   | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>                                | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|--|---|
| De Hert, 2008, Belgium          | Historic cohort:<br>1119<br>301<br>148<br><br>Current cohort:<br>NR<br>NR<br>148 | NR<br>NR<br>296 (148 in historic<br>cohort, matched with<br>148 in current cohort) | N/A   |
| Dinakar, 2002<br>United States  | NR/79/79   | 0/0/79   | BPRS scores: baseline vs endpoint<br>O: 67.03 vs 52.75<br>R: 62.70 vs 52.53 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Safety outcomes  | Comments |
|--------------------------------|--|----------|
| De Hert, 2008, Belgium         | <p>MetS per antipsychotic in the SGA group (Baseline/Follow-up) (%):</p> <p>Amisulpride: 12.5 / 25</p> <p>Aripiprazole: 10 / 10</p> <p>Clozapine: 8.3 / 58.3</p> <p>Olanzapine: 5.8 / 47.1</p> <p>Risperidone: 4.1 / 16.7</p> <p>Quetiapine: 4.8 / 15</p> <p>Mortality:</p> <p>Historic cohort: 5% died (4.3% suicides, 0.7% CV)</p> <p>Current cohort: 0% died</p> <p>Historic cohort (data available on 130 patients up-to-date): 6 deaths (5 suicide, 1 cancer)</p> <p>Two deaths while still on an FGA and 6 when treated with an SGA later in the course of illness (4 on clozapine, of which 2 with ketoacidosis; 1 on olanzapine, and 1 on risperidone)</p> |          |
| Dinakar, 2002<br>United States | NR   |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>                      | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---------------------------------|---|--|-----------------------|------------------------|
| Dolder, 2002<br>United States   | Database: VA San Diego<br>Healthcare System | Retrospective                                    | NR                    | 12 mos                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|--|--|---|
| Dolder, 2002<br>United States   | Haloperidol 8mg/d<br>Perphenazine 12mg/d<br>Risperidone 4mg/d<br>Olanzapine 12.5mg/d<br>Quetiapine 400mg/d | Schizophrenia, schizoaffective disorder,<br>mood disorder with psychotic features, or<br>psychosis not otherwise specified | Age=49.7<br>89.9% male<br>Ethnicity (%)<br>Caucasian=61.8<br>African American=18.4<br>Hispanic=9.4<br>Other=5.5 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>                                  | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|--|---|
| Dolder, 2002<br>United States   | 629/NR/288                               | Withdrawn=N/A<br>(retrospective)<br>Withdrawn=N/A<br>(retrospective)<br>Analyzed=288 | <p>Adherence Rates-cumulative mean gap ratio</p> <p>Those treated with atypical antipsychotics had significantly smaller gaps in therapy compared to those treated with typical antipsychotics at 6-mos (<math>p=0.001</math>) and at 12-mos (<math>p=0.001</math>).</p> <p>Olanzapine had a significantly lower gap ratio compared to haloperidol at 6-mos (<math>p=0.008</math>), no other significant differences between individual medications was observed at either 6-mos or 12-mos.</p> <p>Adherence Rates-compliant fill rate</p> <p>Those treated with atypical antipsychotics had significantly higher adherence rates at 6-mos compared to those treated with typical antipsychotics (<math>p=0.05</math>), at 12-mos the trend was similar, though not at the significant level.</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country       | Safety outcomes | Comments |
|-------------------------------|-----------------|----------|
| Dolder, 2002<br>United States | NR              |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                | <b>Data<br/>source</b> | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|--|------------------------|--|-----------------------|------------------------|
| Dossenbach 2008 IC-<br>SOHO study (36 mo data) | Dossenbach 2004        | Same as<br>Dossenbach 2004                       | 36 mos                | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                | <b>Interventions<br/>mean dose</b> | <b>Population</b> | <b>Age<br/>Gender<br/>Ethnicity</b> |
|--|------------------------------------|-------------------|-------------------------------------|
| Dossenbach 2008 IC-<br>SOHO study (36 mo data) | Same as Dossenbach 2004            | Schizophrenia     | same as Dossenbach 2004             |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>            | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|--|--|---|---|
| Dossenbach 2008 IC-SOHO study (36 mo data) | Same as<br>Dossenbach 2004               | 2293/NR/3835  | <p>Olanzapine vs risperidone vs quetiapine</p> <p>% responding to treatment at 36 mos</p> <p>78% vs 65% vs 47%</p> <p>Median time to response (95% CI) mo: 5.2 (5.0 to 5.5) vs 6.3 (6.0 to 6.7) vs 11.3 (6.3 to 17.5)</p> <p>Olanzapine as a reference</p> <p>HR (95% CI): vs risperidone 0.8 (0.7 to 0.8), p&lt;0.001, Number needed to treat (95% CI) at 36 mo 15 (10-31)</p> <p>HR (95% CI): vs quetiapine 0.6 (0.4 to 0.7), p&lt;0.001, number needed to treat (95% CI) at 36 mo 8 (4 to 50)</p> <p>Risperidone as a reference</p> <p>HR (95% CI): vs quetiapine 0.8 (0.6 to 1.0), p=0.037, Number needed to treat (95% CI) at 36 mo 12 (5 to -23)</p> <p>% patients relapsed following treatment response: 12% vs 14% vs 18%</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>            | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Dossenbach 2008 IC-SOHO study (36 mo data) | <b>EPS</b>   |                 |
|  | Olanzapine as reference  |                 |
|  | Adjusted OR (95% CI) vs Risperidone 5.63 (4.27 to 7.40), p<0.001, Number needed to treat (95% CI) at 36 mo 5 (5 to 7)      |                 |
|  | Adjusted OR (95% CI) vs Quetiapine 0.23 (0.07 to 0.75), p=0.015, Number needed to treat (95% CI) at 36 mo -18 (-57 to -11) |                 |
|  | Risperidone as a reference   |                 |
|  | Adjusted OR (95% CI) vs Quetiapine: 0.04 (0.01 to 0.13), p<0.001, Number needed to treat (95% CI) at 36 mo -4 (-5 to -4)   |                 |
|  | <b>Tardive dyskinesia</b>  |                 |
|  | Olanzapine as reference  |                 |
|  | Adjusted OR (95% CI) vs Risperidone: 4.15 (2.37 to 7.27), p<0.001, Number needed to treat at 36 mo 42 (26 to 105)          |                 |
|  | Adjusted OR (95% CI) vs Quetiapine : 1.37 (0.39 to 4.72), p=0.623, Number needed to treat at 36 mo 138 (30 to -53)         |                 |
|  | Risperidone as a reference   |                 |
|  | Adjusted OR (95% CI) vs Quetiapine: 0.33 (0.09 to 1.16), p=0.084, Number needed to treat at 36 mo -59 (81 to -22)          |                 |
|  | <b>Sexual dysfunction</b>  |                 |
|  | Olanzapine as a reference  |                 |
|  | Adjusted OR (95% CI) vs Risperidone 2.14 (1.70 to 2.70), p<0.001, Number needed to treat (95% CI) at 36 mo 10 (7 to 22)    |                 |
|  | Adjusted OR (95% CI) vs Quetiapine 1.43 (0.78 to 2.60), p=0.246, Number needed to treat at 36 mo 39 (7 to -10)             |                 |
|  | Risperidone as a reference   |                 |
|  | Adjusted OR (95% CI) vs Quetiapine 0.67 (0.36 to 1.23), p=0.196, Number needed to treat at 36 mo -14 (17 to -5)            |                 |
|  | <b>Weight gain&gt;7% from baseline</b>   |                 |
|  | Olanzapine as reference  |                 |
|  | Adjusted OR (95% CI) vs risperidone 0.63 (0.54 to 0.73), p<0.001, number needed to treat (95% CI) at 36 mo -9 (48 to -4)   |                 |
|  | Quetiapine as reference  |                 |
|  | Adjusted OR (95% CI) vs quetiapine 0.81 (0.55 to 1.21), p=3.00, number needed to treat at 36 mo (95% CI) -18 (12 to -5)    |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>                             | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                            | <b>Exposure period</b> |
|---|--|--|--|------------------------|
| Dossenbach et al, 2004<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (6 mo data) | Prospectively collected,<br>multicenter study data | Prospective                                      | 6 mos (interim data -<br>planned exposure 3 yrs) | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>   | <b>Population</b> | <b>Age<br/>Gender<br/>Ethnicity</b>                     |
|---|--|-------------------|---|
| Dossenbach et al, 2004<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (6 mo data) | Mean doses at 6 mos:<br>olanzapine 10.9 mg/d (SD 4.8)<br>quetiapine 339.5 mg/d (SD 188.9)<br>risperidone 4.0 mg/d (SD 2.1)<br>haloperidol 12.2 mg/d (SD 9.3) | Schizophrenia     | Mean age 35.5 yrs (SD 12.2)<br>54% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>  | <b>Effectiveness outcomes</b>   |
|---|--|--|---|
| Dossenbach et al, 2004<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (6 mo data) | 7658/NR/5833                             | NR/NR/unclear;<br>according to the text<br>"as a result of missing<br>data, the number of<br>patients in each<br>subgroup may differ for<br>each comparison" | <p>CGI-Severity of Illness Scale score, mean change from baseline at 6 mos:<br/>Overall: O -1.44 (SE 0.04) v Q -1.02 (SE 0.09) v R -1.24 (SE 0.05) v H -0.87 (SE 0.08)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R, &amp; H; R v H</p> <p>Positive: O -1.44 (SE 0.05) v Q -1.01 (SE 0.10) v R -1.27 (SE 0.06) v H -1.07 (SE 0.09)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R, &amp; H</p> <p>Negative: O -1.21 (SE 0.04) v Q -0.82 (SE 0.09) v R -0.98 (SE 0.05) v H -0.65 (SE 0.08)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R &amp; H; R v H</p> <p>Depressive: O -1.11 (SE 0.04) v Q -0.83 (SE 0.09) v R -0.91 (SE 0.05) v H -0.67 (SE 0.08)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R &amp; H</p> <p>Cognitive: O -1.05 (SE 0.04) v Q -0.61 (SE 0.09) v R -0.83 (SE 0.05) v H -0.54 (SE 0.08)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R &amp; H; R v H</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year</b>   |  |  |
|---|--|--|
| <b>Country</b>  | <b>Safety outcomes</b>   | <b>Comments</b>  |
| Dossenbach et al, 2004  | Weight change: significantly higher with olanzapine use compared to all other interventions ( $p < 0.0001$ ) | Data on pts remaining on monotherapy or switching therapies not abstracted |
| 27 countries in Africa, Asia, Europe, Central and South America and the Middle East | O 2.57 kg (SE 0.21)  |  |
|   | Q 0.58 kg (SE 0.44)  |  |
|   | R 1.49 kg (SE 0.26)  |  |
|   | H 0.73 (SE 0.40)   |  |
| IC-SOHO Study (6 mo data)   |  |  |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>    | <b>Exposure period</b> |
|--|-------------------------|--|--------------------------|------------------------|
| Dossenbach et al, 2005<br>Dossenbach 2006 for<br>sexual dysfunction results<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (12 mo<br>data) | Same as Dossenbach 2004 | Same as<br>Dossenbach 2004                       | 12 mos                   | NR                     |
| Eriksson, 2012<br>Sweden   | Medical record review   | Retrospective                                    | July 2009-September 2010 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>                 | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|--|--|--|--|
| Dossenbach et al, 2005<br>Dossenbach 2006 for<br>sexual dysfunction results<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (12 mo<br>data) | Same as Dossenbach 2004                            | Schizophrenia  | Same as Dossenbach 2004  |
| Eriksson, 2012<br>Sweden   | Quetiapine IR, 335 mg/d<br>Quetiapine XR, 494 mg/d | 18-65 years, ICD-10 schizophrenia,<br>hospitalized for psychotic symptoms, at<br>least one dose of drug. | Age: Quetiapine XR, 44.1 y; Quetiapine IR, 42.3<br>Gender: XR, 47%; IR, 50%<br>Ethnicity: NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes   |
|--|---------------------------------|--|--|
| Dossenbach et al, 2005<br>Dossenbach 2006 for<br>sexual dysfunction results<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (12 mo<br>data) | Same as<br>Dossenbach 2004      | 1007/225/3551 (from<br>Figure 1 in text)   | <p>CGI-Severity of Illness Scale score, least squares mean change from baseline at 12 mos:<br/>Overall: O -1.80 (SE 0.04) v Q -1.62 (SE 0.06) v R -1.39 (SE 0.11) v H -1.04 (SE 0.11)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v Q, R, &amp; H; R v H</p> <p>Positive: O -1.74 (SE 0.05) v Q -1.64 (SE 0.06) v R -1.44 (SE 0.12) v H -1.16 (SE 0.11)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v H; R v H</p> <p>Negative: O -1.58 (SE 0.05) v Q -1.38 (SE 0.06) v R -1.25 (SE 0.12) v H -0.88 (SE 0.11)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v R &amp; H; R v H</p> <p>Depressive: O -1.38 (SE 0.05) v Q -1.21 (SE 0.06) v R -1.06 (SE 0.12) v H -0.73 (SE 0.11)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v R &amp; H; R v H</p> <p>Cognitive: O -1.34 (SE 0.05) v Q -1.17 (SE 0.06) v R -1.05 (SE 0.12) v H -0.64 (SE 0.11)<br/>Statistically significant difference (<math>p \leq 0.001</math>) for the following comparisons: O v R &amp; H; R v H</p> <p>Relapse rates at 12 mos among previous responders:<br/>O 7.7% v R 9.0% (OR 1.07 [0.68-1.68] vs olanzapine) v Q 12.5% (OR 1.76 [0.66-4.74] vs olanzapine)<br/>v H 30.0% (OR 6.57 [3.10-13.93] vs olanzapine)</p> <p>Proportion of patients who had worsened at 12 mos:<br/>O 20.2% v R 24.8% (OR 1.29 [1.04-1.59] vs olanzapine) v Q 37.0% (OR 2.28 [1.47-3.54] vs<br/>olanzapine) v H 37.1% (OR 2.37 [1.60-3.52] vs olanzapine)</p> |
| Eriksson, 2012<br>Sweden   | NR/NR/178                       | NA/NA/178                                  | <p>Quetiapine XR vs. Quetiapine IR:<br/>GAF changes during hospitalization: LSM 14.9 vs. 15.7, <math>p=0.70</math><br/>Length of hospitalization, days: 45.8 vs. 33.2, <math>p=0.08</math><br/>ECT treatment, n: 8 vs. 1, <math>p=0.11</math></p>  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Safety outcomes  | Comments |
|--|--|----------|
| Dossenbach et al, 2005<br>Dossenbach 2006 for<br>sexual dysfunction results<br>27 countries in Africa, Asia,<br>Europe, Central and South<br>America and the Middle<br>East<br>IC-SOHO Study (12 mo<br>data) | <p>Weight gain, least squares mean: O 3.4kg (CI 2.9-4.0); p&lt;0.001 v R; R 2.2kg (CI 1.5-3.0); Q 1.9kg (CI 0.5-3.3); H 2.2kg (CI 0.9-3.4)</p> <p>Patients with weight gain &gt;7% of baseline: O 760/1963 (39%) v R 153/549 (28%) v Q 20/80 (25%) v H 27/105 (26%)</p> <p>Relapse mos 3-12, based on subset of initial responders (total n=1682):<br/>O 99/1292 (7.7%)<br/>R 28/310 (9.0%); OR 1.07 (0.68-1.68) vs olanzapine<br/>Q 5/40 (12.5%); OR 1.76 (0.66-4.74) vs olanzapine<br/>H 12/40 (30.0%); OR 6.57 (3.10-13.93) vs olanzapine<br/>p&lt;0.001: O v H; R v H</p> <p>Compliance (based on patient perception):<br/>O 1637/1916 (85.4%) v R 445/547 (81.4%) v Q 61/84 (72.6%) v H 72/121 (59.5%)<br/>p&lt;0.001: O v H; R v H</p> <p>Sexual dysfunction-related AE's during 12-mo treatment period for olanzapine vs risperidone vs quetiapine vs haloperidol/OR (95% CI) for comparison to olanzapine<br/>Patient perception of sexual dysfunction: 55.7% vs 67.8% (OR 2.02, 95% CI 1.63, 2.49) vs 60.2% (OR 0.88, 95% CI 0.56, 1.39) vs 71.1% (OR 2.47, 95% CI 1.61, 3.77)<br/>Loss of libido: 46.4% vs 60% (OR 2.05, 95% CI 1.67, 2.52) vs 54.6% (OR 1.16, 95% CI 0.72, 1.85) vs 68.1% (OR 3.25, 95% CI 2.14, 4.92)<br/>Impotence/sexual dysfunction: 32% vs 46% (OR 2.17, 95% CI 1.72, 2.73) vs 43% (OR 1.26, 95% CI 0.74, 2.14) vs 52.3% (OR 3.04, 95% CI 1.94, 4.74)<br/>Amenorrhea/menstrual disturbances: 29.5% vs 42.1% (OR 2.26, 95% CI 1.63, 3.15) vs 20.9% (OR 0.46; 95% CI 0.20, 1.05) vs 53.8% (OR 4.06, 95% CI 2.20, 7.51)</p> |          |
| Eriksson, 2012<br>Sweden   | NR   |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---------------------------------|---|--|-----------------------|------------------------|
| Etminan, 2003<br>Ontario        | Database: Ontario Drug<br>Benefit (ODB) claims database   | Unclear  | NR                    | NR                     |
| Feldman, 2004<br>United States  | AdvancePCS Inc  | Retrospective                                    | 6-9 mos               | NR                     |
| Feng, 2012                      | Prospectively collected cohort  | Prospective                                      | 2001-2010             | NR                     |
| Fleischhaker, 2006,<br>Germany  | Four child and adolescent<br>psychiatric departments in four<br>mental health centers in<br>Germany | Prospective                                      | NR                    | Mean = 7.4 WK          |
| Fuller, 2003<br>Ohio            | Database: Veteran's Integrated<br>Service Network 10  | Retrospective                                    | 1/1/97 to 12/31/00    | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|--|---|
| Etminan, 2003<br>Ontario        | Olanzapine<br>Quetiapine<br>Risperidone   | Schizophrenia  | Mean age=84.2<br>34.2% male<br>Race NR  |
| Feldman, 2004<br>United States  | NR  | Geriatric  | Mean age: 79.2 ys<br>60.8% female<br>Ethnicity NR   |
| Feng, 2012                      | Clozapine, 405 mg<br>Olanzapine, 12mg<br>Mean doses reported for those treated all 8 years  | Schizophrenia, paranoid 34%,<br>disorganized 4%, undifferentiated 46%;<br>Schizoaffective disorder 16%   | Mean age: 41.92<br>Gender: 44% female<br>Ethnicity: NR  |
| Fleischhaker, 2006,<br>Germany  | Clozapine/Olanzapine/Risperidone<br><u>Mean dose (mg):</u> 321.9±156.5/16.6±7.1/3.9±1.7<br><u>Dose range (mg):</u> 125.0-600.0/7.5-30.0/1.0-6.0 | Adolescent inpatients (n=51) who started treatment with clozapine (n=16), olanzapine (n=16), and risperidone (n=19) in open clinical trials<br><br>31 adolescents had a diagnosis of schizophrenia | Clozapine/Olanzapine/Risperidone<br><u>Mean age (y±SD):</u> 17.2±1.8/15.8±1.4/15.6±2.6<br><u>Gender (n male):</u> 11/9/13<br><u>Ethnicity:</u> NR |
| Fuller, 2003<br>Ohio            | Risperidone 2.8 mg<br>Olanzapine 10.0 mg<br>Fluphenazine 12.2 mg<br>Haloperidol 8.4 mg  | Range of psychiatric diagnoses:<br>Schizophrenia=61%<br>Depression=47%<br>Bipolar Disorder=26%<br>Dementia=8%  | Mean age=53<br>Gender NR<br>73% White   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|---------------------------------|--|---|--|
| Etminan, 2003<br>Ontario        | NR<br>NR<br>3250                         | NR<br>NR<br>2984 (individual group<br>n's NR)       | NR   |
| Feldman, 2004<br>United States  | NR/NR/1,836,799                          | NR/NR/30,953  | Development of Diabetes Mellitus (Risk Ratio):<br>All combined conventional antipsychotics: 3.2; P<0.001<br>All combined atypicals: 3.3; P<0.001<br>clozapine: 5.8; P=0.002<br>olanzapine: 3.5; P<0.001<br>quetiapine: 2.5; P<0.001<br>risperidone: 3.4; P<0.001 |
| Feng, 2012                      | NR/NR/50                                 | 15/NR/35  | NR   |
| Fleischhaker, 2006,<br>Germany  | NR<br>NR<br>51                           | NR<br>NR<br>51                                      | NA   |
| Fuller, 2003<br>Ohio            | NR<br>NR<br>5837                         | NR<br>NR<br>5837                                    | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Safety outcomes   | Comments           |
|--------------------------------|---|--------------------|
| Etminan, 2003<br>Ontario       | Diabetes<br>Diabetic events (% patients):<br>Olanzapine=2.1<br>Quetiapine=1.0<br>risperidone<br>2.1   | Age - older adults |
| Feldman, 2004<br>United States | NR  |                    |
| Feng, 2012                     | Developed diabetes, olanzapine vs. clozapine: 7/27 (26%) vs. 0/23, p=0.01<br>Mean (SD) glucose levels, baseline vs. 8-year follow up: clozapine, 5.7 (0.7) vs. 6.5 (1.5), p=0.01;<br>olanzapine, 5.5(0.7) vs. 5.5(0.5), p=0.94<br>Cholesterol, triglycerides, no change from baseline |                    |
| Fleischhaker, 2006,<br>Germany | Clozapine/Olanzapine/Risperidone<br>Tardive dyskinesia (n,(%)): 0(0)/0(0)/0(0)<br>Weight gain (n,(%)): 9(56.3)/11(68.8)/7(36.8); p=0.16<br>Mean weight gain after 6 WK (kg): 2.5/4.6/2.8  | Comedication       |
| Fuller, 2003<br>Ohio           | Risk (Hazard Ratio, 95% CI) of developing diabetes for olanzapine vs risperidone: Univariate analysis=HR 1.29, 95% CI 1.00 to 1.67; Multivariate analysis=HR 1.37, 95% CI 1.06 to 1.76  |                    |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>                             | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                           | <b>Exposure period</b> |
|--|--|--|---|------------------------|
| Ganguli, 2001<br>United States   | Multiple sources                                   | Retrospective                                    | 4 mos   | NR                     |
| Garcia-Cabeza, 2003<br>Montes, 2003<br>Spain   | Multicenter<br>Controlled                          | See above  | See above                                       | NR                     |
| Subjective Response<br>Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO)  |  |  |   |                        |
| Gasquet, 2005<br>Europe (Denmark, France,<br>Germany, Greece,<br>Ireland, Italy, The<br>Netherlands, Portugal,<br>Spain and UK)<br>SOHO (secondary<br>publication) | Prospectively collected,<br>multicenter study data | Prospective                                      | 6 mo (interim analysis of<br>planned 3-yr term) | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Interventions<br>mean dose  | Population   | Age<br>Gender<br>Ethnicity  |
|--|---|--|---|
| Ganguli, 2001<br>United States   | NR  | Schizophrenia  | Mean age: 41.3 ys<br>56.5 Males<br>Caucasian: 57%<br>African-American: 38%<br>Other: 5% |
| Garcia-Cabeza, 2003<br>Montes, 2003<br>Spain   | <u>Overall mean dose:</u><br>Olanzapine: 13 mg/d<br>Risperidone: 5.4 mg/d<br>Haloperidol: 13.6 mg/d | Paranoid schizophrenia: 65.1%<br>Undifferentiated schizophrenia: 13.5%<br>Residual schizophrenia: 12.3%              | Mean age: 35.4<br>63.9% male<br>Ethnicity NR  |
| Subjective Response<br>Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO)  |   | Subjective response and compliance with<br>antipsychotic treatment using 10 Item<br>Drug Attitude Inventory (DAI-10) |   |
| Gasquet, 2005<br>Europe (Denmark, France,<br>Germany, Greece,<br>Ireland, Italy, The<br>Netherlands, Portugal,<br>Spain and UK)<br>SOHO (secondary<br>publication) | Olanzapine 11.1 mg/d (SD 5.0)<br>Risperidone 4.6 mg/d (SD 2.6)                                      | Previously untreated schizophrenics  | Mean age 33.6 yrs<br>60% male<br>Ethnicity NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>  | <b>Effectiveness outcomes</b>   |
|---|--|--|---|
| Ganguli, 2001<br>United States  | NR/NR/100                                | 0/0/100  | NR  |
| Garcia-Cabeza, 2003<br>Montes, 2003<br>Spain<br><br>Subjective Response<br>Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO) | NR/ 2967/ 2657                           | Unclear;<br>unclear;<br>2348 for safety at 6<br>mos and 2189 for DAI-<br>10 score at 6 mos | From Montes 2003:<br><br>Mean changes in scale scores for olanzapine vs risperidone vs conventional antipsychotics (p-value is<br>NS unless otherwise specified and represents comparison to conventional antipsychotics group)<br>CGI-S: -1.8 vs -2.0 vs -1.5<br>GAF: 29.2 vs 32.2 vs 22.6<br>EuroQol-1:0.35 vs 0.36 vs 0.25<br>Visual Analogue Scale (0=worst state of health possible to 100=best state of health possible): 26<br>(p<0.05) vs 28 (p<0.05) vs 17.5<br>AWAD scale (subjective attitude towards medication; positive score=positive subjective response,<br>negative score=negative response): 4.7 vs 3.1 vs 1.3 |
| Gasquet, 2005<br>Europe (Denmark, France,<br>Germany, Greece,<br>Ireland, Italy, The<br>Netherlands, Portugal,<br>Spain and UK)<br>SOHO (secondary<br>publication)            | 1033/NR/919                              | 134/NR/919   | EQ-5D VAS at 6 mos: O 64.4 (SD 18.1) v R 61.1 (SD 18.8); adjusted mean difference O v R: -3.73 (CI<br>-1.48 to -5.97); p=0.001  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Ganguli, 2001<br>United States   | Change in Mean Body Weight/BMI at Endpoint:<br>risperidone: 82.8kg, P=NS   |                 |
| Garcia-Cabeza, 2003<br>Montes, 2003<br>Spain   | Subjective Response : Mean DAI-10 Score (range: -10 to +10) , baseline vs 6 mos:<br>olanzapine: +0.17 vs +4.63<br>risperidone: +0.32 vs +3.42, p<0.001 vs Olz<br>haloperidol: -1.25 vs +1.68, p <0.001 vs Olz and p=0.003 vs Ris   |                 |
| Subjective Response<br>Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO)  | Compliance with principal antipsychotic treatment, % of pts at each level<br>data given as Olz vs Ris vs Hal<br>High compliance: 84.8% vs 74.2% vs 69.8% (p=0.001 for Olz vs Ris)<br>Moderate compliance: 11.1% vs 19.4% vs 27.1% (p=0.022 for Olz vs Hal)<br>Low compliance: 2.5 % vs 5% vs 2.1%<br>Nil: 1.6% vs 1.4% vs 1% |                 |
|  | % of pts with EPS, baseline vs 6 mo data, p=NR:<br>Olz: 35.8% vs 31.9%<br>Ris: 48.3% vs 44.6%<br>Hal: 69.2% vs 66.3%   |                 |
| Gasquet, 2005<br>Europe (Denmark, France,<br>Germany, Greece,<br>Ireland, Italy, The<br>Netherlands, Portugal,<br>Spain and UK)<br>SOHO (secondary<br>publication) | Weight gain at 6 mos: O 3.1kg (SD 4.9) v R 2.1 (SD 4.6); adjusted mean difference O v R: -1.0 (CI -1.8 v -0.1)   |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>              | <b>Exposure period</b>  |
|---------------------------------------|--|--|------------------------------------|---|
| Gianfrancesco, 2002<br>United States  | Database: Two mixed indemnity and managed care health plans located in the northeastern and southeastern United States (unspecified) | Retrospective                                    | January 1996 through December 1997 | Risperidone=6.8 mos<br>Olanzapine=6.1 mos<br>High-potency conventionals=7 mos<br>Low-potency conventionals=7.1 mos<br>Clozapine=9.4 mos |
| Gianfrancesco, 2003a<br>United States | Database: Blue Cross/Blue Shield claims database   | Retrospective                                    | April 1997 through October 2000    | Risperidone=9.1 mos<br>Olanzapine=8.7 mos<br>Quetiapine=7.1 mos<br>Conventionals=12.1 mos   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------------|--|---|---|
| Gianfrancesco, 2002<br>United States  | Mean dosages in form of risperidone equivalents:<br>Risperidone=2.3 mg<br>Olanzapine=3.6 mg<br>High-potency conventionals=1.7 mg<br>Low-potency conventionals=1.7 mg<br>Clozapine=2.5 mg | Psychosis diagnosis (schizophrenia, bipolar and manic, major depressive, dementia, other psychoses) | Untreated vs treated (restricted to those WITHOUT Type 2 Diabetes at 4 mos prior to observation)<br>Mean age=41.9 vs 45.3<br>% male=40.4% vs 36.6%<br>Race nr |
| Gianfrancesco, 2003a<br>United States | Risperidone<br>Olanzapine<br>Quetiapine<br>Conventionals<br><br>Mean doses NR  | Schizophrenia=14%<br>Bipolar and manic=35%, Major depressive=38%, Other psychoses=13%               | Mean age=37.5<br>41% male<br>Race NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Exposed<br/>Eligible<br/>Selected</b>   | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>   | <b>Effectiveness outcomes</b> |
|---------------------------------------|--|---|-------------------------------|
| Gianfrancesco, 2002<br>United States  | NR<br>NR<br>NR   | NR<br>NR<br>NR  | NR                            |
| Gianfrancesco, 2003a<br>United States | NR<br>NR<br>6582 patients<br>Treatment episodes:<br>Risperidone= 2860,<br>Olanzapine=2703,<br>Quetiapine=922,<br>Conventional<br>antipsychotics=2756 | NR<br>NR<br>Analyzed=6582<br>patients<br>(Treatment episodes:<br>Risperidone=2860,<br>Olanzapine=2703,<br>Quetiapine=922,<br>Conventional<br>antipsychotics=2756) | NR                            |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country               | Safety outcomes  | Comments |
|---------------------------------------|--|----------|
| Gianfrancesco, 2002<br>United States  | <p>OR (vs Risperidone) for 12 mos of treatment (extrapolated from 1-mo treatment rates) (excluded patients with pre-existing Type II Diabetes identified at 8-mo screening):</p> <p>Olanzapine=3.53, p&lt;0.05</p> <p>Clozapine=8.45, p&lt;0.05</p> <p>Frequency of Type 2 Diabetes after at least 12 mos' treatment (excluding patients with pre-existing Type II Diabetes identified at 8-mo screening):</p> <p>Risperidone=2/90 (2.2%)</p> <p>Olanzapine=4/56 (7.1%)</p> <p>Clozapine=1/4 (25%)</p> |          |
| Gianfrancesco, 2003a<br>United States | <p>Frequency of Type II Diabetes at 4-8 mos/8-12 mos/&gt;12 mos:</p> <p>Risperidone=0.2/0.0/0.6</p> <p>Olanzapine=0.2/1.3/3.0</p> <p>Quetiapine=0.5/1.2/0.9</p> <p>Conventional=0.0/1.9/1.4</p> <p>One-mo ORs (95% CI) converted to 12-mos for each drug vs no antipsychotic treatment:</p> <p>Risperidone=0.660 (0.311 to 1.408)</p> <p>Olanzapine=1.426 (1.046 to 1.955)</p> <p>Quetiapine=0.976 (0.422-2.271)</p> <p>Conventionals=1.049 (0.688-1.613)</p>  |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>              | <b>Exposure period</b>  |
|---------------------------------------|--|--|------------------------------------|---|
| Gianfrancesco, 2003b<br>United States | Database: Two mixed indemnity and managed care health plans located in the northeastern and southeastern United States (unspecified) | Retrospective                                    | January 1996 through December 1997 | Patients not taking antipsychotics=13.7 mos<br>Risperidone=6.1 mos<br>Olanzapine=5.4 mos<br>High-potency Conventional Antipsychotics=6.5 mos<br>Low-potency conventional antipsychotics=6.5 mos |
| Gianfrancesco, 2006a<br>United States | Database: PharMetrics  | Retrospective                                    | January 1999 through August 2003   | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country               | Interventions<br>mean dose   | Population   | Age<br>Gender<br>Ethnicity   |
|---------------------------------------|--|--|--|
| Gianfrancesco, 2003b<br>United States | (Risperidone equivalents)<br>Risperidone 2.1 mg<br>Olanzapine 3.4 mg<br>High-potency conventional antipsychotics 1.6 mg<br>Low-potency conventional antipsychotics 1.6 mg  | % patients NOT taking antipsychotics/%<br>patients TAKING antipsychotics:<br>Bipolar=48.1%/30.6%<br>MDD=39.7%/664.5%<br>Manic=12.2%/4.9% | Patients NOT taking antipsychotics/Patients<br>TAKING antipsychotics:<br>Mean age=41.8/42.2<br>% male=38.9%/31.8%<br>Race NR |
| Gianfrancesco, 2006a<br>United States | Atypical Antipsychotics<br>Risperidone: 3.0mg/d<br>Olanzapine: 11.4mg/d<br>Quetiapine: 264mg/d<br>Ziprasidone: 86mg/d<br>Typical Antipsychotics<br>Haloperidol: 10.5mg/d<br>Perphenazine: 13.5mg/d<br>Thioridazine: 128mg/d<br>Thiothixene: 11.2mg/d | Schizophrenia or schizoaffective disorder  | Mean age (ys): 41.5<br>% male: 48.9  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country               | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed  | Effectiveness outcomes  |
|---------------------------------------|---------------------------------|---|---|
| Gianfrancesco, 2003b<br>United States | NR<br>NR<br>5723                | NR<br>5236 patients (Patients<br>NOT taking<br>antipsychotics=2644;<br>Risperidone=849,<br>Olanzapine=656, High-<br>potency conventional<br>antipsychotics=785,<br>Low-potency<br>antipsychotics=302)<br>(excludes those found<br>to have pre-existing<br>Type II diabetes at the<br>4-mo screening period) | NR  |
| Gianfrancesco, 2006a<br>United States | NR/NR/5683                      | NR/NR/5683  | <p>Comparisons of treatment duration</p> <p>Treatment duration for risperidone, olanzapine, and ziprasidone were NSly different from the typical antipsychotics, but quetiapine demonstrated a nonsignificant trend for shorter treatment durations compared with the combined group of typical agents (<math>P=0.091</math>). Quetiapine had significantly shorter treatment durations than risperidone (<math>P=0.024</math>) and olanzapine (<math>P=0.004</math>). Differences between other atypical agents were NS.</p> <p>Patient characteristics with significant increasing associations with treatment duration included age, switch from another antipsychotic, substance dependence/abuse, more vs less managed form of coverage, and earlier date for start of treatment episode (all <math>P&lt;0.05</math>).</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------------|---|-----------------|
| Gianfrancesco, 2003b<br>United States | 12-mo ORs (converted from 1-mo estimates) that excludes patients found to have pre-existing Type II diabetes at 8-mo screening:<br>Relative to Untreated<br>Risperidone=1.024 (0.351-3.015)<br>Olanzapine=4.289 (2.102-8.827)<br><br>Olanzapine vs risperidone-4.189, p=0.02958 |                 |
| Gianfrancesco, 2006a<br>United States | NR  |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b>   | <b>Sampling frame</b>                  | <b>Exposure period</b>   |
|--|---|--|--|--|
| Gianfrancesco, 2006b<br>United States  | Medical and prescription<br>claims data for commercially<br>insured patients  | Retrospective  | 1999 to August 2003                    | Unclear  |
| Gibson, 2004<br>United States  | Database: Michigan Medicaid<br>administrative claims data set<br>from Michigan's Department of<br>Community Health (MDCH) | Retrospective  | January 1996 through<br>September 1997 | 1 y  |
| Gomez, 2000<br>Spain<br><br>Estudio<br>Farmacoepidemiologico en<br>esquizofrenia con<br>Olanzapine (EFESO) | Multicenter<br>Controlled   | Schizophrenia<br>patients were<br>included when a<br>change of<br>medication was<br>indicated or a new<br>antipsychotic drug<br>treatment was<br>being initiated for<br>whatever reason.<br>Choice of new drug<br>was made by the<br>treating physician. | 6 mos                                  | Olanzapine 13.01 mg<br>Risperidone 5.39 mg<br>Haloperidol 13.64 mg |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>   | <b>Population</b>    | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|--|--|----------------------|---|
| Gianfrancesco, 2006b<br>United States  | Risperidone, olanzapine, quetiapine, ziprasidone<br>mean dosages NR                  | Schizophrenia        | Mean age=42<br>43% male<br>Ethnicity NR   |
| Gibson, 2004<br>United States  | Mean initial dosages:<br>olanzapine 9.9mg<br>risperidone 3.8mg<br>haloperidol 18.2mg | Schizophrenia        | Haloperidol/Risperidone/Olanzapine:<br>Mean age=39.7/40.5/40.7 ys<br>Women (%)=53/48/53<br>Ethnicity=NR |
| Gomez, 2000<br>Spain   | NR   | Death<br>Weight gain | Mean age=35.4<br>63.6% male<br>Race NR  |
| Estudio<br>Farmacoepidemiologico en<br>esquizofrenia con<br>Olanzapine (EFESO) |  |                      |   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>        | <b>Effectiveness outcomes</b>  |
|--|--|--|--|
| Gianfrancesco, 2006b<br>United States  | NR/NR/3807                               | NR/NR/3807   | Hazard ratios (95% CI) for risk of hospitalization<br>Olanzapine vs risperidone=1.34 (1.03, 1.74)<br>Risperidone vs quetiapine=1.05 (0.71, 1.55)<br>Risperidone vs ziprasidone=1.14 (0.55, 2.37)<br>Olanzapine vs quetiapine=1.40 (0.94, 2.07)<br>Olanzapine vs ziprasidone=1.52 (0.73, 3.15)<br>Ziprasidone vs quetiapine=0.92 (0.42, 2.02)   |
| Gibson, 2004<br>United States  | 3,642/1191/1191                          | NR/NR/1191   | Patterns of use changes:<br>individuals increased usage of olanzapine as their only antipsychotic medication from 41% to 46%<br>individuals decreased usage of risperidone as their only antipsychotic medication from 61% to 42%<br>individuals decreased usage of haloperidol as their only antipsychotic medication from 81% to 39%<br>Cost reduction:<br>Olanzapine was associated with \$2552 lower total cost than risperidone and \$2323 lower costs than haloperidol |
| Gomez, 2000<br>Spain<br><br>Estudio<br>Farmacoepidemiologico en<br>esquizofrenia con<br>Olanzapine (EFESO) | NR<br>NR<br>2949                         | 798 (25.7%) WDs<br>506 (17.1%) lost to fu<br>2949 analyzed | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Safety outcomes</b>  | <b>Comments</b> |
|--|---|-----------------|
| Gianfrancesco, 2006b<br>United States  | NR  |                 |
| Gibson, 2004<br>United States  | NR  |                 |
| Gomez, 2000<br>Spain   | <u>Death</u><br>Olanzapine: 3 (0.1%)<br>Control group: 1 (0.1%)   |                 |
| Estudio<br>Farmacoepidemiologico en<br>esquizofrenia con<br>Olanzapine (EFESO) | <u>Suicide</u><br>Olanzapine: 1 (0.05%)<br>Control group: 1 (0.1%)  |                 |
|  | <u>Weight gain</u><br>Olanzapine: 146 (6.9%)<br>Risperidone: 8 (1.9%)<br>Haloperidol: 1 (0.9%)<br>Olanzapine vs. risperidone: p<0.001<br>Olanzapine vs. haloperidol: p=NS |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>       | <b>Exposure period</b> |
|---------------------------------|---|--|-----------------------------|------------------------|
| Guo, 2011<br>China              | Prospective, multi-site, open-label study                                 | Prospective                                      | January 2005 - October 2007 | 12 months              |
| Gupta, 2004<br>United States    | Olean General Hospital at the SUNY Upstate Medical University at Syracuse | Prospective                                      | NR                          | 10 WK                  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>                     |
|---------------------------------|---|---|---|
| Guo, 2011<br>China              | Chlorpromazine, 334.7 mg/d<br>Sulpiride, 724.3 mg/d<br>Clozapine, 266.5 mg/d<br>Risperidone, 3.6 mg/d<br>Olanzapine, 12.1 mg/d<br>Quetiapine, 516.8 mg/d<br>Aripiprazole, 18.6 mg/d | Outpatient psychiatric patients, age 16-50, DSM-IV criteria for schizophrenia or schizophreniform disorder                  | Mean age: 26.1<br>Gender: 45.6% female<br>Ethnicity: NR |
| Gupta, 2004<br>United States    | Quetiapine 4 WK<br>392.5 mg/d   | Schizophrenia, schizoaffective disorder, bipolar disorder, psychotic disorder, or major depression with psychotic features. | Mean age =46.6 ys<br>56% male<br>Ethnicity: NR          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country      | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|------------------------------|---------------------------------|--|---|
| Guo, 2011<br>China           | NR/1357/1133                    | 459/151/1133                               | Mean (SE) change from baseline, chlorpromazine vs. sulpiride vs. clozapine vs. risperidone vs. olanzapine vs. quetiapine vs. aripiprazole:<br>PANSS score: -2.5 (1.5) vs. -4.9 (1.2) vs. -4.9 (1.0) vs. -5.9 (1.0) vs. -5.5 (0.9) vs. -2.0 (1.1) vs. -6.7 (1.2); p=0.068<br>CGI-S score: -0.3 (0.1) vs. -0.8 (0.1) vs. -0.6 (0.1) vs. -0.5 (0.1) vs. -0.6 (0.1) vs. -0.5 (0.1) vs. -0.8 (0.1); p=0.054<br>Insight and Treatment Attitudes Questionnaire: 3.3 (0.5) vs. 3.3 (0.5) vs. 4.1 (0.5) vs. 3.8 (0.5) vs. 3.9 (0.5) vs. 3.3 (0.6) vs. 3.7 (0.6); p=0.884<br>GAS score: 1.2 (0.9) vs. 3.8 (0.9) vs. 5.2 (0.9) vs. 6.3 (0.8) vs. 4.8 (0.7) vs. 4.5 (0.9) vs. 6.7 (1.0); chlorpromazine vs. clozapine, p=0.001, chlorpromazine vs. risperidone, p<0.001, chlorpromazine vs. aripiprazole, p<0.001 |
| Gupta, 2004<br>United States | NR/NR/16                        | 2/2/NR                                     | Positive and Negative Syndrome Scale (PANSS): NS<br>Simpson-Angus-Scale (SAS): NS   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country      | Safety outcomes   | Comments  |
|------------------------------|---|---|
| Guo, 2011<br>China           | <p>Chlorpromazine vs. Sulpiride vs. Clozapine vs. Risperidone vs. Olanzapine vs. Quetiapine vs. Aripiprazole</p> <p>Extrapyramidal side effects (%): 42.0 vs. 41.4 vs. 8.5 vs. 32.7 vs. 10.7 vs. 13.1 vs. 24.2; P&lt;0.001: chlorpromazine vs clozapine, chlorpromazine vs olanzapine, chlorpromazine vs quetiapine; ; sulpiride vs clozapine, sulpiride vs olanzapine, sulpiride vs quetiapine, risperidone vs olanzapine, risperidone vs quetiapine, risperidone vs clozapine; P=0.001, chlorpromazine vs aripiprazole; P=0.002, sulpiride vs aripiprazole</p> <p>Weight gain, mean (SE) lbs: 4.2 (0.8) vs. 4.1 (1.0) vs. 6.6 (1.0) vs. 4.4 (0.9) vs. 8.3 (1.0) vs. 3.4 (1.1) vs. 2.9 (1.1); olanzapine vs chlorpromazine, p=0.004; olanzapine vs sulpiride, p=0.003; olanzapine vs risperidone, p=0.005; olanzapine vs quetiapine, p=0.001; olanzapine vs aripiprazole, p&lt;0.001; clozapine vs quetiapine, p=0.027; clozapine vs aripiprazole, p=0.011</p> |   |
| Gupta, 2004<br>United States | <p>Mean weight loss=2.25kg, p=0.03</p> <p>BMI declined to 34.4kg/m<sup>2</sup>, p=0.065</p> <p>fasting glucose, lipid profile, hemoglobin A1c, serum triglycerides: NS</p>  | Patients switched from olanzapine to quetiapine |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                    | <b>Data<br/>source</b>                             | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                           | <b>Exposure period</b> |
|--|--|--|---|------------------------|
| Haro, 2005<br>Europe<br>SOHO (primary publication) | Prospectively collected,<br>multicenter study data | Prospective                                      | 6 mo (interim analysis of<br>planned 3-yr term) | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                    | <b>Interventions<br/>mean dose</b>  | <b>Population</b> | <b>Age<br/>Gender<br/>Ethnicity</b>           |
|--|---|-------------------|---|
| Haro, 2005<br>Europe<br>SOHO (primary publication) | Olanzapine 12.1 mg/d (SD 5.9)<br>Risperidone 4.9 mg/d (SD 2.8)<br>Quetiapine 391 mg/d (SD 216)<br>Clozapine 238 mg/d (SD 140) | Schizophrenia     | Mean age 40 yrs<br>59.4% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                    | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|--|--|---|---|
| Haro, 2005<br>Europe<br>SOHO (primary publication) | NR/NR/10972                              | 1944/NR/9028 (at 6<br>mos)                          | <p>Outcomes at 6 mos-</p> <p>EQ-5D VAS rating (mean):</p> <p>O 63.2 (SD 19.5)</p> <p>R 61.2 (SD 18.8); OR -2.3 (-3.4 to -1.2) vs olanzapine; <math>p &lt; 0.0001</math></p> <p>Q 59.9 (SD 19.9); OR -3.0 (-4.5 to -1.4) vs olanzapine; <math>p &lt; 0.0001</math></p> <p>C 61.0 (SD 20.3); OR 0.5 (-1.7 to 2.6) vs olanzapine</p> <p>Socially active:</p> <p>O 3990/4716 (84.6%)</p> <p>R 1410/1711 (82.4%); OR 1.27 (1.05 to 1.54) vs olanzapine; <math>p &lt; 0.05</math></p> <p>Q 544/690 (78.9%); OR 1.67 (1.29 to 2.16) vs olanzapine; <math>p &lt; 0.001</math></p> <p>C 246/301 (81.6%); OR 1.25 (0.87 to 1.80) vs olanzapine</p> <p>Relationship with spouse or partner:</p> <p>O 1467/4716 (31.1%)</p> <p>R 532/1711 (31.1%); OR 1.06 (0.81 to 1.39) vs olanzapine</p> <p>Q 206/690 (29.9%); OR 1.06 (0.72 to 1.57) vs olanzapine</p> <p>C 61/301 (20.3%); OR 1.23 (0.72 to 2.09) vs olanzapine</p> <p>Paid employment:</p> <p>O 1080/4716 (22.9%)</p> <p>R 370/1711 (21.6); OR 1.15 (0.88 to 1.51) vs olanzapine</p> <p>Q 206/690 (29.9%); OR 1.21 (0.81 to 1.81) vs olanzapine</p> <p>C 61/301 (20.3%); OR 1.66 (0.99 to 2.78) vs olanzapine</p> <p>Suicide attempt since baseline visit:</p> <p>O 75/4716 (1.6%)</p> <p>R 41/1711 (2.4%); OR 0.77 (0.47 to 1.25) vs olanzapine</p> <p>Q 10/690 (1.4%); OR 1.17 (0.52 to 2.66) vs olanzapine</p> <p>C 4/301 (1.4%); OR 0.92 (0.32 to 2.66) vs olanzapine</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year</b>        |                        |  |                            |
|----------------------------|------------------------|--|----------------------------|
| <b>Country</b>             | <b>Safety outcomes</b> |  | <b>Comments</b>            |
| Haro, 2005                 | NR                     |  | Only data abstracted for   |
| Europe                     |                        |  | olanzapine, risperidone,   |
| SOHO (primary publication) |                        |  | quetiapine, clozapine arms |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b> | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---|------------------------|--|-----------------------|------------------------|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>12-mo medication<br>maintenance outcomes<br><br>Europe | Same as Haro 2005      | Same as Haro 2005                                | NR                    | 12 mos                 |
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y effectiveness<br><br>Europe                        | Same as Haro 2005      | Same as Haro 2005                                | NR                    | 3 ys                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b> | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>            |
|---|------------------------------------|--|--|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>12-mo medication<br>maintenance outcomes<br><br>Europe | Same as Haro 2005                  | Same as Haro 2005  | Mean age 40 ys<br>56.9% male<br>Ethnicity NR   |
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y effectiveness<br><br>Europe                        | Same as Haro 2005                  | Same as Haro 2005; only patients with<br>none or 1 missing visit | Mean age 39.8 ys<br>56.7% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes   |
|---|---------------------------------|--|--|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>12-mo medication<br>maintenance outcomes<br><br>Europe | 8519/NR/7186                    | NR/NR/7186                                 | Medication maintenance at 12 mos (% pts):<br>Highest frequencies: Clozapine=79.5% and Olanzapine=77%<br>Lowest frequencies: Quetiapine=51.4% and amisulpride=58.2%<br>Frequencies for other cohorts NR<br><br>ORs (95% CI) of associated with maintenance compared to olanzapine:<br>Risperidone: 0.72 (0.62, 0.83)<br>Quetiapine: 0.36 (0.29, 0.44)<br>Amisulpride: 0.53 (0.39, 0.71)<br>Clozapine: 1.65 (1.20, 2.28)<br>Oral typical: 0.56 (0.45, 0.70)<br>Depot typical: 0.58 (0.46, 0.75)  |
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y effectiveness<br><br>Europe                        | 9857<br>8072<br>7728            | nr/nr/7728                                 | Patients maintaining treatment for 36 mos Olanzapine 1851, Risperidone 619 , Quetiapine 126,<br>Amisulpride 85, Clozapine 123, Oral typical NR<br>Depot typical NR<br>Patient discontinuing for any reason (%) Olanzapine 36.4, Risperidone 42.7 , Quetiapine 66.1,<br>Amisulpride 50.4, Clozapine 33.8, Oral typical 53.1<br>Depot typical 50.2<br>Patient discontinuing for lack of efficacy (%) Olanzapine 18.4, Risperidone 22.7 , Quetiapine 48.3,<br>Amisulpride 28.7, Clozapine 17.8, Oral typical 33.8, Depot typical 31.4<br>Patient discontinuing for intolerability(%) Olanzapine 6.4, Risperidone 10.1 , Quetiapine 14.2,<br>Amisulpride 13.7, Clozapine 7.2, Oral typical 13.3, Depot typical 9.2 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Safety outcomes  | Comments |
|---|--|----------|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>12-mo medication<br>maintenance outcomes<br><br>Europe | NR   |          |
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y effectiveness<br><br>Europe                        | <p>Hospitalization for exacerbation of schizophrenia</p> <p>Hazard ratio (95% CI) Olanzapine 1 Risperidone 1.04 (0.88, 1.23) Quetiapine 1.64 (1.31, 2.05) ***<br/> Amisulpride 1.39 (1.01, 1.92) * Clozapine 1.13 (0.83, 1.53) Oral typicals 1.39 (1.08, 1.79) ** Depot<br/> typicals 1.44 (1.10, 1.88) **</p> <p>Suicide attempt % Olanzapine 2.1, Risperidone 1.9 , Quetiapine 1.4, Amisulpride 3.1, Clozapine , Oral<br/> typical 0.4, Depot typical 3.5</p> <p>EPS % Olanzapine 14.7, Risperidone 32.2 , Quetiapine 13.4, Amisulpride 16.8, Clozapine 17.2, Oral<br/> typical 31.4, Depot typical 42.8</p> <p>Tardive dyskinesia % Olanzapine 5.9, Risperidone 7.8 , Quetiapine 6.0, Amisulpride 9.8, Clozapine 6.2,<br/> Oral typical 8.7, Depot typical 12.9</p> <p>Loss of libido/impotence Olanzapine 46.9, Risperidone 52.2 , Quetiapine 39.8, Amisulpride 49.2,<br/> Clozapine 48.5, Oral typical 50.7, Depot typical 49.7</p> <p>Gynecomastia, galactorrhea, amenorrhea Olanzapine 11.5, Risperidone 16.7 , Quetiapine 12.4,<br/> Amisulpride 18.0, Clozapine 16.4, Oral typical 14.9, Depot typical 13.8</p> <p>Mean (SD) weight change (kg) Olanzapine 3.6(8.9), Risperidone 2.5(8.5) , Quetiapine 0.6(7.9),<br/> Amisulpride 0.5(10.8), Clozapine 3.0(11.5), Oral typical 1.5(6.3), Depot typical 2.6(10.3)</p> <p>* <math>p \leq 0.05</math>.<br/> ** <math>p \leq 0.01</math>.<br/> *** <math>p \leq 0.001</math>.</p> |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Data<br>source    | Prospective<br>Retrospective<br>Unclear | Sampling frame | Exposure period |
|--|-------------------|---|----------------|-----------------|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y remission/relapse<br>outcomes<br><br>Europe | Same as Haro 2005 | Same as Haro 2005 NR                    |                | 3 ys            |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b> | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>            |
|--|------------------------------------|--|--|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y remission/relapse<br>outcomes<br><br>Europe | Same as Haro 2005                  | Same as Haro 2005; only patients with<br>none or 1 missing visit | Mean age 40.2 ys<br>57.6% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|--|--|---|---|
| Haro, 2006<br>SOHO (secondary<br>publication)<br>3-y remission/relapse<br>outcomes<br><br>Europe | 10,218/7112/6516                         | NR/NR/6516  | <p>Remission=Scores of 3 or below on the CGI overall severity, positive symptoms score, negative symptoms score, AND cognitive symptoms score</p> <p>ORs (95% CI) of remission compared to olanzapine:<br/> Amisulpride: 0.72 (0.56, 0.94)<br/> Clozapine: 0.78 (0.65, 0.95)<br/> Depot typical: 0.59 (0.50, 0.69)<br/> Oral typical: 0.64 (0.55, 0.74)<br/> Quetiapine: 0.65 (0.56, 0.76)<br/> Risperidone: 0.74 (0.66, 0.83)</p> <p>ORs (95% CI) of relapse compared to olanzapine:<br/> Amisulpride: 1.37 (0.99, 1.90)<br/> Clozapine: 1.09 (0.78, 1.53)<br/> Depot typical: 1.69 (1.31, 2.18)<br/> Oral typical: 1.65 (1.32, 2.08)<br/> Quetiapine: 2.15 (1.71, 2.69)<br/> Risperidone: 1.30 (1.09, 1.54)</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year</b>            |                        |                 |  |
|--------------------------------|------------------------|-----------------|--|
| <b>Country</b>                 | <b>Safety outcomes</b> | <b>Comments</b> |  |
| Haro, 2006                     | NR                     |                 |  |
| SOHO (secondary publication)   |                        |                 |  |
| 3-y remission/relapse outcomes |                        |                 |  |
| Europe                         |                        |                 |  |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>     | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|-------------------------------------|--|--|-----------------------|------------------------|
| Haro, 2008<br>10 European countries | Data from the SOHO<br>(Schizophrenia Health<br>Outcomes) study | Prospective<br>observational study               | 3 y follow-up         | 3 ys                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>     | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>                      |
|-------------------------------------|---|--|--|
| Haro, 2008<br>10 European countries | Olanzapine is the reference medication<br>Other medications include risperidone, quetiapine, amisulpride, clozapine, depot typicals | <p>Patients at least 18 ys of age with initiating or changing antipsychotic medication for the treatment of schizophrenia; presenting within the normal course of care in the outpatient setting or in the hospital when admission was planned for the initiation or change of antipsychotic medication and discharge planned within 2 WK</p> <p>5950 patients analyzed<br/>Mean duration of illness: 11.9 ys<br/>9% never treated for schizophrenia<br/>Concomitant medication: 19% on anticholinergics; 18% on antidepressants; 9% on mood stabilizers; 37% on anxiolytics<br/>CGI overall (SD): 4.4 (1.0)</p> | <p>Mean age: 40.3 ys<br/>Male: 58%<br/>Ethnicity: NR</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>     | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|-------------------------------------|--|---|--|
| Haro, 2008<br>10 European countries | NR/NR/5950                               | NR/NR/5950  | <p>Remission was defined as a score of 3 (mild severity) or less on the CGI overall severity score, the CGI positive symptoms score, the CGI negative symptoms score and the CGI cognitive symptoms score that was maintained for a period of six mos or more</p> <p>2301 (38.7%) never achieved remission during the 3-y follow-up (prolonged course), 933 (15.7%) achieved remission but relapsed (remission and relapse) and 2716 (45.7%) achieved and maintained remission (persistent remission).</p> <p>"Patients prescribed risperidone, quetiapine or depot typicals at the baseline visit had a lower chance of achieving remission compared with those prescribed olanzapine"</p> <p>Relationship between independent variables (age of onset, yrs since onset, male, never treated before baseline, has a spouse/partner, paid employment, socially active, CGI overall, CGI positive, CGI negative, CGI cognitive, hostile behaviours, BMI, anxiolytics, and mood stabilizers) given in table.</p> <p>"Females, patients with better social functioning at baseline (living independently, in paid employment, socially active or having a spouse or partner) and with a shorter duration of illness had a more favourable course"</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country             | Safety outcomes | Comments |
|-------------------------------------|-----------------|----------|
| Haro, 2008<br>10 European countries | NR              |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b> | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---|------------------------|--|-----------------------|------------------------|
| Haro, 2009 SOHO<br>(secondary publication) 36-<br>mo data from treatment<br>discontinuation<br>Alonso 2009<br>SOHO(secondary<br>publication)HRQOL data<br>Novick 2009 SOHO<br>(secondary publication)<br>Recovery data in the<br>outpatient setting<br>Novick 2009 SOHO<br>(Tolerability of outpatient<br>antipsychotic treatment.."<br>Usall 2007 SOHO | Same as Haro 2005      | Same as Haro 2005                                | 36 mos analysis       | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>  | <b>Population</b> | <b>Age<br/>Gender<br/>Ethnicity</b>        |
|---|---|-------------------|--|
| Haro, 2009 SOHO<br>(secondary publication) 36-<br>mo data from treatment<br>discontinuation<br>Alonso 2009<br>SOHO(secondary<br>publication)HRQOL data<br>Novick 2009 SOHO<br>(secondary publication)<br>Recovery data in the<br>outpatient setting<br>Novick 2009 SOHO<br>(Tolerability of outpatient<br>antipsychotic treatment.."<br>Usall 2007 SOHO | Mean endpoint doses<br>olanzapine: 11.8 mg/d<br>risperidone:4.5 mg/d<br>quetiapine: 320mg/d | Schizophrenia     | Mean age: 34y<br>59% male<br>Ethnicity: NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>                 | <b>Effectiveness outcomes</b>  |
|---|--|---|--|
| Haro, 2009 SOHO<br>(secondary publication) 36-<br>mo data from treatment<br>discontinuation | NR/NR/1009                               | NR/236*/931<br>* lost to Follow-up<br>before changing<br>medication | % of patients discontinuing treatment by 36 mo<br>Olanzapine vs Risperidone vs typicals vs other atypicals<br>28.9% vs 36.2% vs 44.5% vs 34.7%   |
| Alonso 2009<br>SOHO(secondary<br>publication)HRQOL data                                     |  |   | Cox proportional HR for discontinuation of treatment by 36 mos-<br>Higher than olanzapine for Risperidone and typical<br>Typicals: HR 1.76; 95% CI 1.11-2.78   |
| Novick 2009 SOHO<br>(secondary publication)<br>Recovery data in the<br>outpatient setting   |  |   | Risperidone: HR 1.36 95% CI 1.02-1.82<br>HR for atypicals similar to olanzapine:<br>Atypicals: HR 1.43 (95% CI, 0.85-2.40)   |
| Novick 2009 SOHO<br>(Tolerability of outpatient<br>antipsychotic treatment.."               |  |   | Patients with higher CGI-score at baseline had higher risk of discontinuing treatment at 36 mos<br>HR 1.18, 95% CI 1.06-1.30<br>EuroQOL-5D mean (SD) score at 36 mo: 0.80 (0.25)   |
| Usall 2007 SOHO   |  |   | Factors associated with achieving long lasting symptomatic remission vs functional remission vs<br>adequate QOL during 3 y follow-up<br>OR with respect to Olanzapine<br>Risperidone (OR): 0.785, p= 0.0062 vs 0.795 (p=0.795) vs 0.639 (p<0.0001)<br>Quetiapine (OR)0.456 (p<0.0001) vs 0.760 (p=0.2121) vs 0.443 (p<0.0001)<br>Clozapine (OR) 0.944 (p=0.7514) vs 0.555 (p=0.0881) vs 1.101 (p=0.6098)   |
|   |  |   | Response overall CGI: OR for gender (female reference category) 95% CI, p-Value<br>Olanzapine cohort 0.88 (0.78 to 1.00), p=0.040<br>Risperidone cohort 0.90 (0.74 to 1.10), p=0.2969<br>Clozapine 0.56 (0.34 to 0.93) p=0.252, p=0.0252<br>EQ-VAS change from baseline<br>Difference in rating by gender (female reference category), 95% CI, p-value<br>Olanzapine cohort: -1.52 (-2.53 to -0.50), p=0.0033<br>Risperidone cohort: 0.27 (-1.28 to 1.83), p=0.7300<br>Clozapine cohort: -2.03 (-6.06 to 2.00), p=0.3243 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Safety outcomes   | Comments |
|---|---|----------|
| Haro, 2009 SOHO<br>(secondary publication) 36-<br>mo data from treatment<br>discontinuation | % of patients with AEs<br>Olanzapine vs risperidone vs other typicals vs typicals<br>EPS: 3.6% vs 17.1% vs 9.9% vs 13.7%<br>TD: 0.4% vs 1.1% vs 1.7% vs 1.2%  |          |
| Alonso 2009<br>SOHO(secondary<br>publication)HRQOL data                                     | loss of libido/impotence: 25.5% vs 38.9% vs 37.9% vs 41.3%<br>Prolactin-related: 3.8% vs 9.2% vs 10% vs 3.1%<br>7% weight gain: 30.8% vs 23.2% vs 22.7% vs 10.7%  |          |
| Novick 2009 SOHO<br>(secondary publication)<br>Recovery data in the<br>outpatient setting   | Tolerability (Novick 2009)Olanzapine vs risperidone vs quetiapine vs clozapine<br>EPS<br>% of patients with EPS at 36 mo 9.4% vs 15.6% vs 11.9% vs 12.9%<br>OR (95% CI) in comparison to olanzapine   |          |
| Novick 2009 SOHO<br>(Tolerability of outpatient<br>antipsychotic treatment..")              | Risperidone: 2.55 (2.16 to 3.02), Quetiapine 1.36 (1.02 to 1.81), Clozapine 1.19 (0.81 to 1.74)<br>Tardive dyskinesia   |          |
| Usall 2007 SOHO   | % of patients with tardive dyskinesia at 36 mo: 3.4% vs 4.8% vs 5.3% vs 7.1%<br>OR (95 % CI) in comparison to olanzapine<br>Risperidone: 2.47 (1.56 to 3.94), Quetiapine 1.77 (0.89 to 3.51) Clozapine 2.37 (0.96 to 5.85)<br>Loss of libido/impotence<br>% of patients with loss of libido/impotence at 36 mo<br>32.5% vs 36.5% vs 34.2% vs 40.9<br>OR (95% CI) in comparison to olanzapine<br>Risperidone 1.38 (1.20 to 1.60), quetiapine 1.07 (0.86 to 1.33) vs 1.39 (1.04 to 1.86)<br><br>mean (SD) Weight change from baseline to 36 mo: 4.2 (8.7) vs 2.7 (7.6) vs 1.7 (8.4) vs 2.6 (9.5)<br>% of patients with >7% weight gain at 36 mo from baseline: 40.6% vs 33.7% vs 30.9% vs 29.5% |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                                       | <b>Exposure period</b> |
|----------------------------------|---|--|---|------------------------|
| Haukka 2008<br>Finland           | National Hospital Discharge Register, Statistics Finland, and a nationwide prescription register. | Retrospective                                    | January 1, 1997-December 31, 2003                           | NR                     |
| Hedenmalm, 2002<br>International | WHO database  | Retrospective                                    | Median treatment duration:<br>R: 13 ds, C: 52 ds, O: 115 ds | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>                              |
|----------------------------------|---|--|--|
| Haukka 2008<br>Finland           | clozapine<br>olanzapine<br>typical antipsychotics (haloperidol<br>zuclopenthixol, other or mixed)<br>antidepressants (fluoxetine, citalopram,<br>paroxetine, sertraline, mianserin, other or mixed) | All individuals in Finland who (a) had<br>been hospitalized with a diagnosis of<br>attempted suicide, (b) had at least one<br>hospitalization registered in the National<br>Hospital Discharge Register with a<br>schizophrenia diagnosis and (c) were at<br>least 16 ys of age when the index<br>hospitalization began. | Median age 35.63 (males), 41.05 (females)<br>51% male<br>Race NR |
| Hedenmalm, 2002<br>International | Risperidone<br>Clozapine<br>Olanzapine  | Schizophrenia  | NR<br>NR<br>NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country          | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|----------------------------------|---------------------------------|--|---|
| Haukka 2008<br>Finland           | NR<br>NR<br>1,611               | NR<br>NR<br>1,611                          | Propensity-score adjusted hazard ratios (95% CI) vs no antipsychotic use<br><u>Suicide attempts</u><br>Clozapine: 0.74 (0.35, 1.57)<br>Olanzapine: 1.37 (0.87, 2.14)<br>Haloperidol: 0.92 (0.46, 1.83)<br>Perphenazine: 1.73 (0.89, 3.34)<br>Other or mixed: 1.34 (1.10, 1.62)<br><u>Suicides</u><br>Clozapine: 0.67 (0.16, 2.85)<br>Olanzapine: 0.40 (0.11, 1.44)<br>Haloperidol: 1.03 (0.18, 5.98)<br>Perphenazine: 0.27 (0.01, 4.73)<br>Other or mixed: 0.62 (0.39, 0.98)<br><u>All-cause mortality</u><br>Clozapine: 0.57 (0.19, 1.71)<br>Olanzapine: 0.31 (0.12, 0.79)<br>Haloperidol: 0.50 (0.15, 1.65)<br>Perphenazine: 0.20 (0.04, 1.06)<br>Other or mixed: 0.54 (0.40, 0.74) |
| Hedenmalm, 2002<br>International | NR/NR/868                       | 0/0/868                                    | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Safety outcomes</b>  | <b>Comments</b> |
|----------------------------------|---|-----------------|
| Haukka 2008<br>Finland           | NA  |                 |
| Hedenmalm, 2002<br>International | 74% of cases of discontinuation, glucose tolerance improved after discontinuation. After rechallenge (N=24) , following resulted in recurrence of glucose intolerance: clozapine: 18, olanzapine: 5, risperidone: 1 |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>                          | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>    | <b>Exposure period</b> |
|---------------------------------|---|--|--------------------------|------------------------|
| Hennessy, 2002<br>United States | 3 US Medicaid progmes                           | Retrospective                                    | NR                       | NR                     |
| Herceg, 2008                    | Vrapce Psychiatric Hospital,<br>Zagreb, Croatia | Retrospective                                    | Jan 1, 2003-Dec 31, 2004 | 2 yrs                  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|--|--|---|
| Hennessy, 2002<br>United States | Quarter 1, Quarter 2, Quarter 3, Quarter 4<br>clozapine: <243, 243-385, 386-543, >543<br>risperidone: <2.8, 2.8-5.0, 5.1-6.5, >6.5<br>haloperidol: <3.5, 3.5-7.5, 7.6-15.0, >15.0<br>thioridazine: <51, 51-102, 103-204, >204                                | Schizophrenia, control group of patients<br>with psoriasis | 71.5% over 34 yrs of age<br>54% Female<br>Ethnicity NR  |
| Herceg, 2008                    | Risperidone vs olanzapine vs clozapine<br>Newly diagnosed schizophrenia<br>Mg/d, median, Interquartile (IQ) range 4 (4-6) vs<br>10 (10-15) vs 250 (200-300)<br>Chronic schizophrenia<br>Mg/d, median, IQ range: 4(3-6) vs 15 (10.0-17.5)<br>vs 200 (150-300) | Newly diagnosed schizophrenia and<br>Chronic schizophrenia | risperidone vs olanzapine vs clozapine<br><u>Newly diagnosed schizophrenia</u><br>Age median, (IQ range): 24 (20-32) vs 27 (22-39)<br>vs 33 (27-46)<br>% male: 64.0% vs 44.0% vs 77.0%<br><u>Chronic Schizophrenia</u><br>Age, median (IQ range): 38 (30-35) vs 36 (28.5-<br>44.0) vs 40 (33.5-47.5)<br>% male: 64.0% vs 53.0% vs 60.0%)<br>Ethnicity: NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|---|---|
| Hennessy, 2002<br>United States | NR/NR/NR                                 | NR/NR/NR  | Adjusted rate ratios; 95% Cis<br>Patients with glaucoma: cardiac arrest/ventricular arrhythmia; death:<br>clozapine: 1.7 (1.0-2.9); 3.4 (2.1-5.5)<br>haloperidol: 2.2 (1.7-3.0); 4.5 (3.6-5.7)<br>risperidone: 3.1 (2.2-4.5); 5.8 (4.3-8.0)<br>thioridazine: 2.2 (1.6-3.); 4.0 (3.1-5.2)<br>Patients with psoriasis: cardiac arrest/ventricular arrhythmia; death:<br>clozapine: 1.9 (1.0-3.7); 2.6 (1.5-4.5)<br>haloperidol: 2.4 (1.5-3.9); 3.2 (2.2-4.8)<br>risperidone: 3.2 (1.9-5.4); 4.1 (2.7-6.4)<br>thioridazine: 2.4 (1.4-3.9); 2.9 (2.0-4.4) |
| Herceg, 2008                    | NR/831/533                               | 298/NR/533  | Newly diagnosed schizophrenia<br>risperidone vs olanzapine vs clozapine<br>% rehospitalized taking atypical antipsychotics: 17.3% vs 19.2% vs 11.5, p=NS<br>Time to first rehospitalization at 2 ys: longest for olanzapine (difference with other groups, NS)<br>chronic schizophrenia<br>% rehospitalized taking atypical antipsychotics by the 2nd y follow-up: 13% vs 12% vs 14%, p=NS<br>Time to first rehospitalization: longest for risperidone (Difference with other groups, NS)   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Hennessy, 2002<br>United States | Those with treated schizophrenia has higher rates of cardiac arrest and ventricular arrhythmia over those non-treated: ratio: 1.7-3.2 |                 |
| Herceg, 2008                    | NR  |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                   | <b>Exposure period</b> |
|---------------------------------|---|--|---|------------------------|
| Herrman et al, 2004<br>Canada   | Database:<br>administrative health care<br>databases in Ontario, Canada | Retrospective                                    | April 1, 1997 through March<br>31, 2002 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>                                 |
|---------------------------------|---|---|---|
| Herrman et al, 2004<br>Canada   | Risperidone<br>Olanzapine<br>Typical antipsychotics | Patients over age 65 who were given at least 2 successive prescriptions and received enough drug for at least 30 ds of observation. | Mean age approximately 82 ys (SD 7.5)<br>69% female<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country       | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes |
|-------------------------------|---------------------------------|--|------------------------|
| Herrman et al, 2004<br>Canada | NR<br>NR<br>11,400              | NR<br>NR<br>11,400                         | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b> |
|---------------------------------|--|-----------------|
| Herrman et al, 2004<br>Canada   | <p>Hospital admission for stroke:</p> <p>typical antipsychotic users: N=10</p> <p>risperidone users: N=58</p> <p>olanzapine users: N=24</p> <p>Crude stroke rate per 1,000 person ys:</p> <p>typical antipsychotic users: 5.7</p> <p>risperidone users: N=7.8</p> <p>olanzapine users: N=5.7</p> <p>(NS)</p> <p>RR relative to typical antipsychotic use:</p> <p>olanzapine: 1.1 (95% CI 0.5, 2.3)</p> <p>risperidone: 1.4 (95% CI 0.7, 2.8)</p> <p>RR of risperidone relative to olanzapine:</p> <p>1.3 (95% CI 0.8, 2.2)</p> |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---------------------------------|--|--|-----------------------|------------------------|
| Ho, 1999<br>United States       | Mental Health Clinical<br>Research Center, University of<br>Iowa | Retrospective                                    | 4 WK                  | 6 mos                  |
| Hodgson, 2005<br>England        | Case Notes: 26 consultant<br>psychiatrists                       | Retrospective                                    | 1994 to 2001          | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                                | <b>Population</b>                         | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|---|---|
| Ho, 1999<br>United States       | Risperidone 6.0 mg/d (N=21)<br>Olanzapine 13.7 mg/d (N=21)        | Schizophrenia                             | Mean age: 31.5 ys<br>76.2% male<br>Ethnicity NR                                     |
| Hodgson, 2005<br>England        | Clozapine=332.3mg/d<br>Olanzapine=12.1mg/d<br>Risperidone=4.7mg/d | Schizophrenia or schizoaffective disorder | Clozapine/Olanzapine/Risperidone<br>Mean age (ys)=37.3/41.8/39.4<br>% male=82/60/65 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes   |
|---------------------------|---------------------------------|--|--|
| Ho, 1999<br>United States | NR/NR/42                        | NR/NR/26                                   | <p>olanzapine vs risperidone, change from baseline, p value</p> <p>At discharge</p> <p>Symptom score:</p> <p>negative symptom dimension: -2.8(0.76)* vs -1.8(0.61)*, p=0.49</p> <p>psychotic symptom dimension: -1.3(0.55)* vs -1.9(0.53)*, p=0.82</p> <p>disorganized symptom dimension: -1.8(0.68)* vs -2.1(0.77)*, p=0.68</p> <p>Total SANS/SAPS: -5.8(1.58)* vs -5.9(1.46)*, p=0.69</p> <p>Total BPRS: -9.0(2.91)* vs -6.5(2.47)*, p=0.14</p> <p>GAS score: 8.9(2.18)* vs 6.2(1.4)*, p=0.09</p> <p>(*p&lt;0.05 vs baseline, within group comparison)</p> <p>At follow-up</p> <p>Symptom score:</p> <p>negative symptom dimension: -1.5(0.94) vs -1.5(1.18), p=0.84</p> <p>psychotic symptom dimension: -1.4(0.5)* vs -3.9(0.64)*, p=0.03</p> <p>disorganized symptom dimension: -0.8(0.7) vs -3.2(1.1)*, p=0.36</p> <p>Total SANS/SAPS: -3.7(1.23)* vs -8.6(2.39)*, p=0.3</p> <p>GAS score: 8.8(4.01)* vs 13.9(2.43)*, p=0.52</p> <p>QOL scores:</p> <p>occupational impairment: -0.5(0.43) vs 0.5(0.27), p=0.06</p> <p>financial dependence: 0.7(0.27) vs 0.7(0.26), p=0.49</p> <p>impairment in performance of household duties: -0.7(0.24)* vs -0.6(0.4), p=0.91</p> <p>relationship impairment with family member: -0.01(0.27) vs -0.4(0.2), p=0.27</p> <p>relationship impairment with friends: -0.4(0.29) vs -0.2(0.25), p=0.37</p> <p>enjoyment of recreational activities: -0.8(0.36) vs -0.3(0.38), p=0.77</p> <p>satisfaction: -0.5(0.22) vs -0.8(0.30), p=0.67</p> <p>overall psychosocial functioning: -0.7(0.31) vs -1.15(0.22)*, p=0.24</p> <p>(*p&lt;0.05 vs baseline, within group comparison)</p> |
| Hodgson, 2005<br>England  | 550/261/253                     | NR/NR/253                                  | <p>Patients treated with risperidone and clozapine were 1.3 and 0.56 times, respectively, more likely to discontinue compared to olanzapine</p> <p>Median time to discontinuation</p> <p>Risperidone=274 ds</p> <p>Olanzapine=522 ds</p> <p>Clozapine=6 ys</p>   |

| Author, year<br>Country   | Safety outcomes   | Comments |
|---------------------------|---|----------|
| Ho, 1999<br>United States | EPS at discharge:<br>SAS: 0(0.19), 0.4(0.56), p=0.31<br>BAS: -0.1(0.15) vs 0.6(0.20)*, p=0.001<br>(*p<0.05 vs baseline, within group comparison)  |          |
| Hodgson, 2005<br>England  | One serious AE was reported: intussusception in a patient taking clozapine.<br>Side effects were not a common primary reason for medication discontinuation and therefore were NR by the authors. |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>             | <b>Exposure period</b> |
|---------------------------------|---|--|-----------------------------------|------------------------|
| Hrdlicka, 2009                  | patients receiving routine clinical care at the department of child psychiatry  | Retrospective                                    | 1997-2007                         | 6 WK                   |
| Jerrell, 2007<br>United States  | Medical and pharmacy claims information   | Retrospective                                    | July 1, 2002 to June 30, 2004     | NR                     |
| Joyce, 2005<br>United States    | Medical and pharmaceutical claims from the PharMetrics Patient-Centric Database | Retrospective                                    | March 1, 2001 and August 31, 2003 | <u>&gt;12 mos</u>      |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Hrdlicka, 2009                  | Risperidone vs olanzapine vs ziprasidone vs clozapine<br>Mean dose (SD) at week 6: 2.7 mg(1.3) vs 15.0mg (6.1) vs 80.0 mg(0.0) vs 247.5 mg(118.0)                              | Schizophrenia, schizoaffective disorder and other schizophrenic disorders | Mean age, yrs (SD)15.8 (1.6) range (10.5-18.8) yrs<br>% male: 47.7%                          |
| Jerrell, 2007<br>United States  | Atypical antipsychotics:<br>Aripiprazole<br>Ziprasidone<br>Quetiapine<br>Risperidone<br>Olanzapine<br>Clozapine<br>Typical antipsychotics:<br>Haloperidol<br>Fluphenazine      | Primary or secondary diagnosis of schizophrenia                           | 51% of sample was >40 ys of age<br>51% male<br>62% African American                          |
| Joyce, 2005<br>United States    | Risperidone: between 0.5mg and 8mg daily<br>Olanzapine: between 2.5mg and 40mg daily<br>Quetiapine: between 100mg and 800mg daily<br>Ziprasidone: between 40mg and 160mg daily | Schizophrenia or Schizoaffective disorders                                | Ziprasidone/Risperidone/ Olanzapine<br>Mean age (ys): 40.1/43.4/45.3<br>% male: 36.9/42/44.9 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|---|---|
| Hrdlicka, 2009                  | NR/109/109                               | 52/NR/109   | Risperidone vs olanzapine vs clozapine<br>mean change in weight between baseline and week 6 (Kg): +3.6 (2.6) vs +4.4 (2.5) vs + 2.1 (4.0),<br>p=0.286   |
| Jerrell, 2007<br>United States  | NR/NR/2231                               | NR/NR/2231  | <u>Health Outcomes</u><br>For cerebrovascular conditions, there were no significant differences between groups<br>For heart disease conditions, aripiprazole had a lower estimate for myocardial infarctions and<br>ischemic heart disease compared to both typical antipsychotics (P=0.006), risperidone had a lower<br>incidence rate for arrhythmias compared to both typical antipsychotics (P=0.007).<br>The incidence rate for cardiomyopathy was significantly lower for aripiprazole than for both typical<br>antipsychotics (P=0.02).<br>The incidence of being diagnosed with incident hypertension was significantly higher for those taking<br>ziprasidone compared to both typical antipsychotics (P=0.01)   |
| Joyce, 2005<br>United States    | NR/NR/1810                               | NR/NR/1810  | <u>Compliance and Persistence</u><br>Compliance was significantly higher among those prescribed ziprasidone compared with the other<br>treatment groups (P<0.01)<br>Persistence in the first y was 30 ds longer among those prescribed ziprasidone compared with the<br>other treatment groups, though NS (persistence in ds: ziprasidone=228; risperidone=193; and<br>olanzapine=201)<br><u>Health Care Costs</u><br>Ziprasidone treatment group had the highest total annual cost compared to the other two treatment<br>groups. Though change in cost from pre- to post index periods was NSly different among the<br>treatment groups. Psychiatric-related costs decreased significantly more for the ziprasidone<br>treatment group than the other two groups (risperidone, P=0.0116 and olanzapine, P=0.0021) |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b> | <b>Comments</b>  |
|---------------------------------|------------------------|--|
| Hrdlicka, 2009                  | NR                     | Weight gain data from ziprasidone not available at week 6 for statistical analysis because of early discharges and drop outs |
| Jerrell, 2007<br>United States  | See outcomes column    |  |
| Joyce, 2005<br>United States    | NR                     |  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                          | <b>Data<br/>source</b>                   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|--|--|--|-----------------------|------------------------|
| Karagianis, 2009<br>HOCCC study                          | 9 Canadian provinces                     | Prospective                                      | NR                    | 1 y                    |
| Kasper, 2001<br>9 countries in Europe and<br>Australasia | Riverview Hospital , British<br>Columbia | Retrospective                                    | 4 mos                 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                       | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---|---|--|---|
| Karagianis, 2009<br>HOCCC study                       | Mean doses(SD) at 12 mo (mg/d)<br>Olanzapine: 12.8 (8.2)<br>Risperidone: 2.9 (1.7)<br>Quetiapine:375.6 (SD 293.6)<br>Clozapine: 332.8 (172.9) | schizophrenia or other related disorders   | Olanzapine vs risperidone vs quetiapine vs clozapine<br>Age (yrs), mean (SD) 43.4(11.6) vs 43.7 (11.5) vs 41.9 (11.1) vs 43.1 (12.4)<br>% female: 48% vs 48.4% vs 45.8% vs 14.3%<br>% Caucasian: 88.1% vs 84.7% vs 86.1% vs 94.7% |
| Kasper, 2001<br>9 countries in Europe and Australasia | Risperidone (N=30) : 4.89 mg/d vs. olanzapine (N=30): 17.19 mg/d  | Aged 18-60, schizophrenia-types: paranoid, schizoaffective--disorder, Bipolar affective disorder, undifferentiated | Mean Age: 35.7 ys<br>Male: 62%<br>Ethnicity: NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                          | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|--|--|---|---|
| Karagianis, 2009<br>HOCCC study                          | NR/NR/929                                | 266/NR/796  | Olanzapine vs risperidone vs quetiapine v clozapine<br>Proportion of treatment completers: 67.4% vs 62% vs 63.7% vs 55.6%, p=0.15   |
| Kasper, 2001<br>9 countries in Europe and<br>Australasia | NR/NR/60                                 | NR/NR/37  | Percentage of Patients Discharged on Original Therapy:<br>R: 40% vs O: 13.3%; P<0.05<br>Treatment success: R: 40% vs O: 27%; P<0.01<br>Switched due to lack of efficacy: R: 37% vs O: 57%; P=NS<br>Switched due to side effects: R: 10% vs O: 63%; P<0.05 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                  | Safety outcomes  | Comments |
|--|--|----------|
| Karagianis, 2009<br>HOCCC study                          | <p>Olanzapine vs risperidone vs quetiapine v clozapine</p> <p>% of serious AEs:<br/>11.7% vs 8.9% vs 15.7% vs 21%</p> <p>5 deaths in olanzapine group vs 1 from the other SGA group.</p> <p>Olanzapine vs risperidone vs quetiapine</p> <p>LS mean changes from baseline BMI were 0.7 (95% CI 0.1 to 1.2), 0.6 (95% CI -0.3 to 1.5) and -1.2 (95% CI -2.3 to -0.13). Olanzapine and risperidone groups had significantly higher increases in BMI( LS mean treatment effect 1.91 (95% CI: 0.41 to 3.42) and 1.86 (95% CI 0.13 to 3.58) respectively compared to quetiapine</p> <p>LS mean weight change from baseline(Kg): 2.0 (95% CI 0.4 to 3.6) vs 1.2 (95% CI -1.3 to 3.8) and -2.8 (95% CI -6.1 to 0.4). Olanzapine and risperidone significantly ore likely to gain weight compared to quetiapine (LS mean difference 4.8 and 4.0 respectively)</p> |          |
| Kasper, 2001<br>9 countries in Europe and<br>Australasia | <p>Treatment-emergent side effects:</p> <p>Total # of patients with side effects: R: 43.3% vs O: 40%</p> <p>EPS symptoms: 6/30 (20%)</p> <p>Akathisia: R: 5 vs O: 1</p> <p>Stiffness: R: 2 vs O: 0</p> <p>Tremor: R: 2 vs O: 1</p> <p>Parkinsonism: R: 1 vs O: 0</p> <p>Agitation: R: 1 vs O: 5</p> <p>Increased prolactin level: R: 0 vs O: 1</p> <p>Blurred vision: R: 0 vs O: 1</p> <p>Increased salivation: R: 0 vs O: 1</p> <p>Anxiety: R: 1 vs O: 0</p> <p>Sedation: R: 5 vs O: 3</p> <p>Hypotension: R: 2 vs O: 0</p> <p>Dizziness: R: 1 vs O: 1</p> <p>Weight Gain: R: 1 vs O: 1</p> <p>Difficulty swallowing: O:1 vs R: 0</p> <p>Sexual dysfunction: O: 1 vs O: 0</p>   |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>       | <b>Exposure period</b> |
|---------------------------------|--|--|-----------------------------|------------------------|
| Kelly, 2010<br>USA              | State of Maryland Clozapine Authorization and Monitoring Program, administrative database of inpatient second generation antipsychotics in Maryland, and the Social Security Death Index | Retrospective                                    | 1994-2000                   | NR                     |
| Killian, 2012                   | Multi-center prospective study   | Prospective                                      | January 2005- November 2008 | 2 years                |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                                   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Kelly, 2010<br>USA              | Clozapine<br>Risperidone<br>Doses NR                                 | 20-69 years, DSM-III or DSM-IV<br>diagnosis of schizophrenia,<br>schizoaffective disorder or psychosis not<br>otherwise specified | Age: 39.8 years<br>Gender: 37.2% female<br>Ethnicity: clozapine group: 62.9% white, 33.2<br>African American; risperidone group: 47.8%<br>white, 49.7 African American |
| Killian, 2012                   | Quetiapine: 588 mg/d<br>Olanzapine: 15 mg/d<br>Risperidone: 3.9 mg/d | 18+ years, schizophrenia or<br>schizoaffective disorder   | Age: 39.98 years<br>Gender: 47.6% female<br>Ethnicity: NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|-------------------------|---------------------------------|--|---|
| Kelly, 2010<br>USA      | NR/NR/1686                      | NA/NA/1686                                 | NR  |
| Killian, 2012           | NR/530/374                      | 117/NR/257                                 | <p>Hospital readmission, average rate:<br/> Olanzapine vs. Quetiapine: OR, 0.40; p=0.017<br/> Olanzapine vs. Risperidone: OR, 0.25; p=0.000</p> <p>Regression models: GAF, b=1.350, p=0.000; Quality of Life, b=0.628, p=0.006; Cognitive performance, b=0.270, p=0.000</p> |

Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia

| Author, year<br>Country | Safety outcomes  | Comments |
|-------------------------|--|----------|
| Kelly, 2010<br>USA      | Risk of Cardiovascular Disease Mortality, clozapine vs. risperidone: HR, 1.20; 95%CI, 0.59-2.44; p=0.613 |          |
| Killian, 2012           | NR   |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>              | <b>Exposure period</b> |
|---------------------------------|--|--|------------------------------------|------------------------|
| Kilzieh 2008<br>United States   | Electronic medical records database transformed into a data "warehouse" for data extraction  | Retrospective                                    | January 1999 through December 2000 | NR                     |
| Kim 2008<br>Korea               | Comprehensive medical histories were collected from all available sources including patients, informants, and hospital medical records | Prospective                                      | NR                                 | 2 ys                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                                       | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Kilzieh 2008<br>United States   | NR   | Schizophrenia or schizoaffective disorder   | Mean Age (y): 48.4±11.6<br><u>% Male:</u> 91<br><u>Ethnicity:</u> NR   |
| Kim 2008<br>Korea               | Mean modal dose (mg/d)<br>Clozapine: 423.6±107.4<br>Risperidone: 7.6±2.9 | Schizophrenia and comorbid alcohol use disorders (AUD)<br><br>Exclusion criteria: subjects with substance abuse other than alcohol, those with significant physical problems or organic mental disorders, and those with MR | Clozapine/Risperidone<br><br><u>Age (y):</u> 39.5±9.4/38.7±10.5<br><u>Gender (% male):</u> 100/100<br><u>Ethnicity:</u> NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country       | Exposed<br>Eligible<br>Selected                   | Withdrawn<br>Lost to follow-up<br>Analyzed   | Effectiveness outcomes   |
|-------------------------------|---|--|--|
| Kilzieh 2008<br>United States | NR<br>NR<br>495 (221 Olanzapine, 274 Risperidone) | NR<br>NR<br>495                              | <p>Discontinuation rates:<br/>Index medication trials: 73%<br/>Olanzapine: 70%<br/>Risperidone: 76%<br/>(P=0.12)</p> <p>Higher discontinuation rate of risperidone: hazards ratio = 1.23; 95% CI 0.99-1.5</p> <p>Median time (d) to discontinuation: 120 (95% CI 105-135)<br/>Median time (d) to discontinuation (olanzapine): 150 (95% CI 120-180)<br/>Median time (d) to discontinuation (risperidone): 90 (95% CI 71-109)<br/>olanzapine compared to risperidone, P=0.04</p> <p>Self-discontinuation was the main method of discontinuation occurring in 48% of index trials, with no significant difference between olanzapine (50%) and risperidone (46%) (OR 0.86, 95% CI 0.60-1.23)<br/>Switching between 2 agents as a form of discontinuation: 25% of index trials<br/>More switching in risperidone (30% ) than olanzapine (20%) (P=0.01; OR 1.72, 95% CI 1.13-2.61)<br/>Of patients who switched medication, 44% did so in the first mo of trial. Observed more in risperidone (50%) than olanzapine (32%) (P=0.05)</p> |
| Kim 2008<br>Korea             | NR<br>67<br>67                                    | 6<br>NR<br>61 (25 clozapine, 36 risperidone) | <p>Clozapine/Risperidone</p> <p>Community survival (%): 52/25<br/>Mean survival (d): 526.5 (95% CI 435.0-498.6)/420.4 (95% CI 342.2-498.6)<br/>The survival curve for the clozapine group was significantly different from that of the risperidone group (log-rank test, <math>df=1</math>, P= .045)</p>   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b> | <b>Comments</b>               |
|---------------------------------|------------------------|-------------------------------|
| Kilzieh 2008<br>United States   | NR                     |                               |
| Kim 2008<br>Korea               | NR                     | Study subjects were 100% male |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>     | <b>Exposure period</b> |
|---------------------------------|--|--|---------------------------|------------------------|
| Kim, 2008, South Korea          | Department of Psychiatry,<br>Bundang CHA General<br>Hospital, South Korea                | Prospective                                      | December 2004 - July 2007 | NR                     |
| Koro, 2002<br>UK                | England and Wales-based<br>General Practice Database,<br>Bristol-Myers Squibb,<br>MEDTAP | Retrospective                                    | 30 mos                    | NR                     |
| Koro, 2002b<br>UK               | United Kingdom based<br>General Practice Research<br>Database                            | Retrospective                                    | NR                        | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Kim, 2008, South Korea          | Risperidone LAI, Oral Risp<br>85.9±77.7 / 241.8±108.3                                  | Patients with first-episode schizophrenia or schizoaffective disorder, between 17 and 60 ys of age, with an IQ above 80, and receiving treatment of long-acting injectable or oral risperidone as outpatients | RLAI/Oral<br>Age (ys): 32.5±10.6/31.0±10.1<br>Gender (%male): 32/40<br>Ethnicity: NR |
| Koro, 2002<br>UK                | Olanzapine: dose range NR<br>Risperidone: dose range NR<br>Conventional antipsychotics | Schizophrenia   | Mean age: 51 ys<br>60% Male  |
| Koro, 2002b<br>UK               | Olanzapine: dose range NR<br>Risperidone: dose range NR<br>Conventional antipsychotics | Patients with prescriptions for both schizophrenia and diabetes   | Mean age: 51 ys<br>62.5% Female  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Exposed<br>Eligible<br>Selected   | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|-------------------------|---|--|---|
| Kim, 2008, South Korea  | NR<br><u>55</u> (25 assigned to risperidone long-acting injection (RLAI) group, 30 assigned to oral risperidone group)/<br><u>50</u> (22 assigned to RLAI group, 28 assigned to oral risperidone group) | NR<br>NR<br>50                             | <u>1-y medication compliance (%mean±SD):</u><br><u>RLAI = 85.7±21.4</u><br><u>Oral risperidone = 54.3±32.8</u><br><u>2-y medication compliance (%mean±SD):</u><br><u>RLAI = 81.4±26.6</u><br><u>Oral risperidone = 54.6±32.1</u><br><u>Non- or partial adherence (%):</u><br><u>RLAI = 32%</u><br><u>Oral risperidone = 68%</u><br><u>Good adherence (%):</u><br><u>RLAI = 68%</u><br><u>Oral risperidone = 32%</u><br><u>1-y relapse (%):</u><br><u>RLAI = 18%</u><br><u>Oral risperidone = 50%</u><br><u>2-y relapse (%):</u><br><u>RLAI = 23%</u><br><u>Oral risperidone = 75%</u> |
| Koro, 2002<br>UK        | 3.5 million<br>/18,309/8866   | 0/0/8866                                   | NR  |
| Koro, 2002b<br>UK       | 3.5 million/3.5<br>million/19,637   | 0/0/19,637                                 | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Kim, 2008, South Korea          | Tardive dyskinesia was observed in one patient in the RLAI group  | N was small     |
| Koro, 2002<br>UK                | <p>Odd of developing hyperlipidemia:<br/>compared with no antipsychotic exposure:<br/>olanzapine: (OR, 4.65; 95% CI, 2.44-8.85); <math>P&lt;.001</math> vs risperidone: (OR, 1.12; 95% CI, 0.60-2.11); <math>P=.72</math><br/>compared with use of conventional antipsychotics:<br/>olanzapine: (OR, 3.36; 95% CI, 1.77-6.39); <math>P&lt;.001</math> vs risperidone: (OR, 0.81; 95% CI, 0.44-1.52); <math>P=.52</math></p> |                 |
| Koro, 2002b<br>UK               | <p>OR of risk of developing diabetes:<br/>Olanzapine vs non-treated 5.8; 95%CI: 2.0-16.7<br/>Olanzapine vs typical APs: 4.2; 95%CI: 1.5-12.2<br/>Risperidone vs non-treated : 2.2; 95%CI: 0.9-5.2<br/>Risperidone vs typical APs: 1.6; 95%CI: 0.7-3.8</p>   |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>          | <b>Exposure period</b> |
|---------------------------------------|--|--|--------------------------------|------------------------|
| Kozma, 2004 (poster)<br>United States | Database:<br>Medstat's Medicaid database                             | Retrospective                                    | 1999-2002                      | NR                     |
| Kraus, 1999<br>Germany                | Max Planck Institute of<br>Psychiatry                                | Retrospective                                    | 4 WK                           | 1 week                 |
| Kreyenbuhl, 2011<br>USA               | VA mid-Atlantic pharmacy and<br>health care utilization<br>databases | Retrospective                                    | 2004 through September<br>2006 | Mean (SD): 22.9 (8.8)  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>       | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------------|--|--|--|
| Kozma, 2004 (poster)<br>United States | Atypical antipsychotics overall<br>Olanzapine<br>Risperidone<br>Quetiapine<br>Haloperidol<br>Benzodiazepines                   | Age 60 or older, evidence of dementia treatment (2 or more claims containing a primary or secondary diagnosis of dementia), initial use (i.e., following a 6-mo or longer period of no use) of 1 of 3 classes of drugs: atypical antipsychotics (risperidone, olanzapine, or quetiapine), haloperidol, or benzodiazepines. | Median age 78-82 among groups;<br>Among patients taking atypical antipsychotics, 56% were Caucasian, 17% African American; among patients taking conventional antipsychotics, 45% were Caucasian and 21% African American. |
| Kraus, 1999<br>Germany                | Clozapine: 170 mg/d<br>Olanzapine: 13 mg/d<br>Haloperidol: 5 mg/d  | Schizophrenia  | Mean age: 37 ys<br>43% Female  |
| Kreyenbuhl, 2011<br>USA               | Mean dosages NR<br><br>Aripiprazole<br>Olanzapine<br>Quetiapine<br>Risperidone<br>Ziprasidone<br>Chlorpromazine<br>Haloperidol | Schizophrenia, VA patient, new start of study medication during study period   | Age: 19-34: 5.6%, 35-49: 36.4% vs. 50-64: 45.9%, 65+: 12.2%<br>Gender: 7.4% female<br>Ethnicity: 28.3% White, 47% Non-white, 24.6% Missing data  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country               | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|---------------------------------------|---------------------------------|--|---|
| Kozma, 2004 (poster)<br>United States | NR<br>NR<br>26,456              | NR<br>NR<br>26,456                         | NR  |
| Kraus, 1999<br>Germany                | NR/NR/NR                        | NR/NR/44                                   | Mean scores at endpoint; p value from baseline<br>clozapine:<br>weight: 71.0 kg; P=0.001<br>leptin: 10.7 ng/ml; P=0.004<br>olanzapine:<br>weight: 70.6 kg; P<0.001<br>leptin: 10.1 ng/ml; P=0.006<br>haloperidol:<br>weight: 64.2 kg; P=0.94<br>leptin: 7.0 ng/ml; P=0.54<br>no treatment:<br>weight: 69.1 kg; P=0.63<br>leptin: 7.3 kg; P=0.86   |
| Kreyenbuhl, 2011<br>USA               | 2613/2479/2138                  | NA/NA/2138                                 | Aripiprazole vs. Olanzapine vs. Quetiapine vs. Risperidone vs. Ziprasidone vs. Chlorpromazine vs. Haloperidol<br><br>Median time to discontinuation, days: 93 vs. 90 vs. 87 vs. 76 vs. 114 vs. 164 vs. 95<br><br>Risk of discontinuation, HR; 95%CI; p-value (Olanzapine reference):<br>Aripiprazole: HR, 0.94; 95%CI, 0.79–1.12; p=0.501<br>Quetiapine: HR, 1.02; 95%CI, 0.89–1.18; p=0.746<br>Risperidone: HR, 1.15; 95%CI, 1.02–1.30; p= 0.025<br>Ziprasidone: HR, 0.88; 95%CI, 0.71–1.09; p= 0.255<br>Chlorpromazine: HR, 0.89; 95%CI, 0.64–1.24; p= 0.489<br>Haloperidol: HR, 1.01; 95%CI, 0.80–1.26; p= 0.947 |

Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia

| Author, year<br>Country               | Safety outcomes  | Comments |
|---------------------------------------|--|----------|
| Kozma, 2004 (poster)<br>United States | Stroke-related event (defined as an acute inpatient hospital admission for a stroke-related event within 90 ds following initiation of treatment with the index medication):<br>Unadjusted rates were not statistically significant, reporting is unclear: states rates were: 0.87%, 0.97%, 0.88%, 0.58%, 1.19%, 1.11% 1.04% for atypical antipsychotics overall, olanzapine, risperidone, quetiapine, haloperidol, and benzodiazepine groups, respectively. |          |
| Kraus, 1999<br>Germany                | NR   |          |
| Kreyenbuhl, 2011<br>USA               | NR   |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b> | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                            | <b>Exposure period</b> |
|--|------------------------|--|--|------------------------|
| Lambert, 2005<br>Australia   | Medical record review  | Retrospective                                    | 1998 to 2000                                     | 18 mos                 |
| Lambert, 2005<br>SOHO (secondary<br>publication)<br>6-mo tolerability results<br>Europe (Denmark, France,<br>Germany, Greece, Ireland,<br>Italy, the Netherlands,<br>Portugal, Spain, and the<br>UK) | Same as Haro 2005      | Same as Haro 2005                                | Initial recruitment period of<br>9/1/00-12/31/01 | 6 mos                  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>       |
|--|--|---|---|
| Lambert, 2005<br>Australia   | Risperidone: 2.7mg/d (non-affective psychosis)<br>and 2.5mg/d (affective psychosis)<br>Olanzapine: 10.3mg/d (non-affective psychosis)<br>and 9.8mg/d (affective psychosis) | Experiencing an episode of psychosis,<br>non-affective psychosis, or affective<br>psychosis | Mean age (ys): 21.7<br>66% male           |
| Lambert, 2005<br>SOHO (secondary<br>publication)<br>6-mo tolerability results<br>Europe (Denmark, France,<br>Germany, Greece, Ireland,<br>Italy, the Netherlands,<br>Portugal, Spain, and the<br>UK) | Same as Haro 2005  | Subset of patients who were only<br>receiving one antipsychotic after the<br>baseline visit | Mean age=40<br>56.6% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|--|--|---|--|
| Lambert, 2005<br>Australia   | NR/NR/367                                | NR/NR/367   | <p>Treatment variables</p> <p>Within affective group, those taking olanzapine had a significantly longer duration of treatment than those taking risperidone (<math>p=0.02</math>)</p> <p>Outcome measures (non-affective psychosis)</p> <p>No significant differences were noticed between groups on the CGI-S, GAF, and SOFAS</p> <p>112 people (56.6%) in the risperidone group and 28 people (58.3%) in the olanzapine group reached full remission of positive symptoms</p> <p>Outcome measures (affective psychosis)</p> <p>There was a significantly better response to olanzapine compared to risperidone measured by the CGI-S score at endpoint (<math>p=0.002</math>), however scores on the CGI-BP, GAF, and SOFAS were NSly different</p> |
| Lambert, 2005<br>SOHO (secondary<br>publication)<br>6-mo tolerability results<br>Europe (Denmark, France,<br>Germany, Greece, Ireland,<br>Italy, the Netherlands,<br>Portugal, Spain, and the<br>UK) | 10,972/8400/7436                         | NR/NR/7436  | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Lambert, 2005<br>Australia   | Extrapyramidal side effects overall ( $p<0.001$ ), especially parkinsonism ( $p<0.001$ ) and akathisia ( $p=0.015$ ) occurred more often in the risperidone group. More patients on risperidone experienced prolactin elevation ( $p=0.014$ ), while weight gain was more prevalent with olanzapine users ( $p<0.001$ )  |                 |
| Lambert, 2005<br>SOHO (secondary<br>publication)<br>6-mo tolerability results<br>Europe (Denmark, France,<br>Germany, Greece, Ireland,<br>Italy, the Netherlands,<br>Portugal, Spain, and the<br>UK) | <p><u>Mean weight change (kg)/adjusted difference compared to olanzapine (95% CI)</u></p> <p>Olanzapine: 2.4</p> <p>Risperidone: 1.4/-1.0 (-1.3, -0.7)</p> <p>Quetiapine: 0.6/-1.2 (-1.6, -0.7)</p> <p>Amisulpride: 1.4/-0.7 (-1.4, 0.0)</p> <p>Clozapine: 2.3/0.1 (-0.6, 0.7))</p> <p>Oral typical: 1.1/-1.3 (-1.8, -0.8)</p> <p>Depot typical: 1.1/-0.9 (-1.5, -0.3)</p> <p><u>Mean BMI change (kg/m<sup>2</sup>)/adjusted difference compared to olanzapine (95% CI)</u></p> <p>Olanzapine: 0.9</p> <p>Risperidone: 0.5/-0.4 (-0.5, -0.3)</p> <p>Quetiapine: 0.2/-0.4 (-0.6, -0.2)</p> <p>Amisulpride: 0.5/-0.2 (-0.5/0.0)</p> <p>Clozapine: 0.8/0.0 (-0.3, 0.2)</p> <p>Oral typical: 0.4/-0.5 (-0.7, -0.3)</p> <p>Depot typical: 0.4/-0.4 (-0.6, -0.1)</p> |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                 | <b>Exposure period</b> |
|---------------------------------|---|--|---------------------------------------|------------------------|
| Lambert, 2005<br>United States  | California Medicaid   | Retrospective                                    | July 1, 1997 to December 31, 2000     | More than 12 weeks     |
| Lambert, 2006<br>United States  | Veterans Health Administration of the Department of Veterans Affairs (VA) | Retrospective                                    | October 1, 1996 to September 30, 2001 | NR                     |
| Lasser, 2004<br>United States   | NR  | Prospective                                      | NR                                    | 8 WK                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                     | <b>Population</b>                          | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|--|--|---|
| Lambert, 2005<br>United States  | clozapine<br>olanzapine<br>quetiapine<br>risperidone   | Schizophrenia                              | NR  |
| Lambert, 2006<br>United States  | Olanzapine<br>Risperidone<br>Quetiapine<br>Haloperidol | Schizophrenia                              | Olanzapine/Risperidone/ Quetiapine/Haloperidol<br>Mean age (ys): 50.3/51.1/50.6/52<br>% male: 94.1/93.2/91.7/95.1<br>% African American: 28.8/30.8/21.2/39.4<br>% Hispanic: 6.8/4.8/4.1/5.4 |
| Lasser, 2004<br>United States   | Olanzapine or risperidone for 8 WK                     | Schizophrenia or schizoaffective disorders | Mean age=49.9 ys<br>60.8% male<br>63.6% white   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|---|---|
| Lambert, 2005<br>United States  | 129341/34337/12637                       | NR/NR/12637   | NR  |
| Lambert, 2006<br>United States  | NR/NR/15767                              | NR/NR/15767   | <p>There were no significant differences between groups in regards to increased risk of developing diabetes.</p> <p>When analyses were reproduced, including those excluded previously due to having been exposed to antipsychotic agents during the prior 12-week period, there was an increased RR of developing diabetes for all second-generation antipsychotics except for quetiapine. In this analysis, the RR associated with olanzapine was significantly greater than that associated with risperidone (P=0.02).</p> |
| Lasser, 2004<br>United States   | NR/NR/552                                | NR/NR/375   | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Safety outcomes  | Comments |
|--------------------------------|--|----------|
| Lambert, 2005<br>United States | <p>ORs for conditional logistic regression model predicting development of hyperlipidemia</p> <p>12-week exposure: n, OR, p(95% CI)</p> <p>clozapine: 879, 1.16, 0.07(0.99-1.37)</p> <p>olanzapine: 3322, 1.20, 0.00 (1.08-1.33)</p> <p>quetiapine: 322, 1.01, 0.92(0.78-1.32)</p> <p>risperidone: 2612, 1.00, 0.98(0.90-1.12)</p> <p>24-week exposure: n, OR, p(95% CI)</p> <p>clozapine: 766, 1.22, 0.03(1.03-1.45)</p> <p>olanzapine: 2935, 1.24, &lt;0.0001 (1.12-1.38)</p> <p>quetiapine: 243, 0.83, 0.25(0.61-1.13)</p> <p>risperidone: 2365, 1.01, 0.91(0.90-1.13)</p> <p>52-week exposure: n, OR, p(95% CI)</p> <p>clozapine: 603, 1.20, 0.06(0.99-1.46)</p> <p>olanzapine: 2036, 1.17, 0.01 (1.04-1.32)</p> <p>quetiapine: 140, 0.80, 0.27(0.53-1.20)</p> <p>risperidone: 1819, 0.94, 0.34(0.83-1.27)</p> |          |
| Lambert, 2006<br>United States | NR   |          |
| Lasser, 2004<br>United States  | <p>patients with <math>\geq 7\%</math> weight increase</p> <p>olanzapine adult smokers: 25/82(30.5%)</p> <p>olanzapine adult nonsmokers: 16/55(29.1%)</p> <p>olanzapine elderly smokers: 4/27(14.8%)</p> <p>olanzapine elderly nonsmokers: 4/35(11.4%)</p> <p>risperidone adult smokers: 11/82(13.4%)</p> <p>risperidone adult nonsmokers: 7/43(16.3%)</p> <p>risperidone elderly smokers: 0/20(0%)</p> <p>risperidone elderly nonsmokers: 3/31(9.7%)</p> <p>Pearson's correlation analysis between smoking and weight:</p> <p>risperidone-treated patients: <math>r = -0.037</math></p> <p>olanzapine-treated patients: <math>r = 0.029</math></p>  |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>  | <b>Exposure period</b>                                    |
|--|--|--|--|---|
| Lee, 2002<br>United States   | Database:<br>Protocare Sciences'<br>administrative claims and<br>enrollment info | Retrospective                                    | Index dates of patients<br>occurred during a 27-mo<br>period (1997-1999).<br><br>Mean duration of therapy:<br>AAPs: 126.1 ds Typical APs:<br>108.34 ds | Patients were observed 365 ds after their index<br>dates. |
| Lee, 2006<br>IC-SOHO sub-study in<br>Asian country participants<br>12-mo outcomes<br>Korea, Taiwan and<br>Malaysia | Same as Dossenbach 2004  | Same as<br>Dossenbach 2004                       | NR   | 12 mos  |
| Leon, 1979<br>Colombia   | Hospital Psiquiatrico, Colombia  | Retrospective                                    | 6 WK   | 3-4 ys  |
| Leslie, 2004<br>United States  | Department of Veteran Affairs  | Retrospective                                    | 3 mos  | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>           |
|--|--|---|---|
| Lee, 2002<br>United States   | Clozapine<br>Olanzapine<br>Quetiapine<br>Risperidone<br>Typical APs<br>Mean doses NR | Patients aged 18-65 selected by first (index) AP/AAP prescription between Sept 1997-Dec 1999; excluded those who filed a claim for an AP/AAP within 180 ds, or filled a Rx for a diabetes medication or had a DM diagnosis within 365 ds before index date. Also excluded patients using concomitant AP meds on index date. | Mean age 44<br>41.4% male<br>Ethnicity NR     |
| Lee, 2006<br>IC-SOHO sub-study in<br>Asian country participants<br>12-mo outcomes<br>Korea, Taiwan and<br>Malaysia | Same as Dossenbach 2004  | IC-SOHO patients from participating Asian countries   | Mean age=34.7 ys<br>50% male<br>100% Asian    |
| Leon, 1979<br>Colombia   | NR   | Schizophrenia   | Mean age: 30.6 ys<br>58% male<br>Ethnicity NR |
| Leslie, 2004<br>United States  | Clozapine, olanzapine, quetiapine, risperidone:<br>mean doses NR                     | Schizophrenia   | NR/NR/NR                                      |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Exposed<br>Eligible<br>Selected   | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|--|---|--|---|
| Lee, 2002<br>United States   | NR<br>2315<br>2315<br>AAPs n=1334<br>Olanzapine n=513<br>Risperidone n=750<br>Clozapine n=5<br>Quetiapine n=66<br>Typical APs n=981 | NR<br>NR<br>2315 analyzed                  | NR  |
| Lee, 2006<br>IC-SOHO sub-study in<br>Asian country participants<br>12-mo outcomes<br>Korea, Taiwan and<br>Malaysia | 1256/NR/898   | 100 (11%)/0 lost to<br>fu/analyzed unclear | Response rates (overall CGI-S score improved by $\geq 2$ points from a baseline score of $\geq 4$ , or improved by $\geq 1$ point from a baseline score of 3):<br>Olanzapine=76.3%<br>Risperidone=72.7%<br>Typical antipsychotics=50%<br>OR of response for typical agent vs olanzapine: 0.38 (p=0.010) (CI NR) |
| Leon, 1979<br>Colombia   | NR/NR/50  | NR/NR/39                                   | Mean number of required re-hospitalizations:<br>clozapine: 1.89 vs chlorpromazine: 3.52; P<0.01<br>Average time spent in hospital:<br>clozapine: 44.8 ds vs chlorpromazine: 272.8 ds; P<0.05<br>Average mean time for re-admission:<br>clozapine: 260 ds vs chlorpromazine: 229                                 |
| Leslie, 2004<br>United States  | 56,849/56,849/56,849  | 0/0/56,849                                 | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Lee, 2002<br>United States   | Adjusted odds (95%CI) of diabetes onset within 1-y after index date:<br><br>Atypicals vs typicals: 1.01 (0.61-1.67)<br>Olanzapine vs typicals: 0.86 (0.43-1.73)<br>Risperidone vs typicals: 1.07 (0.61-1.89)<br>Olanzapine vs risperidone 0.79 (0.38-1.61) |                 |
| Lee, 2006<br>IC-SOHO sub-study in<br>Asian country participants<br>12-mo outcomes<br>Korea, Taiwan and<br>Malaysia | <u>Tardive dyskinesia</u><br>% patients:<br>olanzapine=7.9%<br>risperidone=13.3%<br>typicals=13%<br>OR (95% CI):<br>risperidone vs olanzapine=1.04(0.34-3.14)<br>typicals vs olanzapine=4.23(1.02, 17.47)<br>typicals vs risperidone=4.08(0.83, 19.94)     |                 |
| Leon, 1979<br>Colombia   | NR   |                 |
| Leslie, 2004<br>United States  | 7.3% diagnosed with diabetes will on treatment<br>Highest risk:<br>clozapine: 2.03%, quetiapine: 0.80%, olanzapine: 0.63%, risperidone: 0.05%  |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|---------------------------------|---|--|-----------------------|------------------------|
| Lin, 2006<br>Taiwan             | Chart reviews   | Retrospective                                    | 7/1/01-6/30/02        | 2 ys                   |
| Lindstrom, 2007, Sweden         | Patients enrolled in a national, multicenter, point-prevalence, 5-y longitudinal Phase IV trial | Prospective                                      | 1995-2000             | Variable               |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>             | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Lin, 2006<br>Taiwan             | Clozapine, risperidone, typical antipsychotics | Schizophrenia   | 82% male<br>Mean age=39.2 ys<br>100% Taiwanese   |
| Lindstrom, 2007, Sweden         | NR   | <p>Patients with schizophrenia or a related disorder according to DSM-IV and treated with risperidone as the main antipsychotic drug for at least 1 mo. During the following 5 ys, some patients were switched to other antipsychotic compounds or were drug-free</p> <p>Males and females &gt;18y; in- and out-patients; responders or partial responders to antipsychotic drugs</p> | <p>Background variables of all included patients (n=225)</p> <p><u>Age (y)</u>: 38.5±11.7 (range 18-79)</p> <p><u>Gender (n male)</u>: 132</p> <p><u>Ethnicity</u>: NR</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>    | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|---|---|---|
| Lin, 2006<br>Taiwan             | NR/NR/382                                   | 83 (22%)/NR/382                                     | <p>Typical antipsychotic vs clozapine vs risperidone:</p> <p>360 ds follow-up period<br/> Mean time to rehospitalization (ds): 244 vs 240 vs 262, p=NS<br/> Event rate: 49.6% vs 44.3% vs 43%, NS</p> <p>720-d follow-up period<br/> Mean time to rehospitalization (ds): 378 vs 403, vs 426, NS<br/> Event rate: 57.7% vs 49.2% vs 53.1%, NS</p> |
| Lindstrom, 2007, Sweden         | Exposed:225<br>Eligible:225<br>Selected:101 | Withdrawn: NR<br>Lost to FU: NR<br>Analyzed: 101    |   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year</b>     | <b>Safety outcomes</b>   | <b>Comments</b> |
|-------------------------|--|-----------------|
| Lin, 2006<br>Taiwan     | NR   |                 |
| Lindstrom, 2007, Sweden | <p><u>Frequency of Parkinsonism/dystonia according to the ESRS instrument over 5 ys (Score 0-1 / Score 2-4 / Score 5-6 / n):</u><br/> <u>495/574 / 240/158 / 10/13 / 745</u></p> <p><u>Abnormal involuntary movements:</u><br/> <u>23 of 166 patients (14%) had TD</u></p> <p><u>Social Outcomes:</u><br/> <u>Mean number of ds in hospital decreased from 41 to 10 ds</u><br/> <u>Mean number of ds in sheltered accommodations increased from 28 to 63</u><br/> <u>Net decrease in the number of patients who lived independently from 83% to 71%</u><br/> <u>One patient (of 101) had 365 hospital ds during y 5, and 9 others had any hospital ds (range 3-138)</u><br/> <u>15-26% of patients had no social contacts (except with health service staff)</u><br/> <u>29-37% reported meeting friends or peers &lt;1 time per week</u><br/> <u>12% of patients worked or studied full-time</u><br/> <u>14% worked or studied half-time</u><br/> <u>75% were on sick leave or had disability pension</u></p> <p><u>Mortality:</u><br/> <u>8 patients died during the 5 y trial</u></p> |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>      | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>       | <b>Exposure period</b>                          |
|--------------------------------------|--|--|-----------------------------|---|
| Liperoti 2009<br>USA                 | SAGE database containing<br>MDS; data is from 1581<br>nursing homes in 5 US states | Retrospective                                    | Jan 1998-Dec 2000           | 6 mos following first use of any antipsychotic. |
| Lucey, 2003<br>Ireland               | Irish Risperidone Olanzapine<br>Drug Outcomes in<br>Schizophrenia                  | Retrospective                                    | Mean duration: 37.8-40.5 ds | NR  |
| Lund, 2001<br>United States          | Database: Iowa Medicaid prog<br>claims/PD  | Unclear  | 1990 to 1994                | Clozapine=25.5 mos<br>Typical APs =24.5 mos     |
| Madhusoodanan, 1999<br>United States | St. John's Episcopal Hospital  | Retrospective                                    | 4 mos                       | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>      | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>                   |
|--------------------------------------|--|---|---|
| Liperoti 2009<br>USA                 | Atypical antipsychotics (N=6524)<br>Risperidone: n=4406<br>Olanzapine N=1563<br>Quetiapine N=497<br>Clozapine N=59<br>Conventional antipsychotics (N=3205), most frequently haloperidol (N=1413) and phenothiazines (N=546)<br>Mean dose NR. | Nursing home residents with dementia, aged 65+ who were new users of antipsychotics. Excluded comorbid schizophrenia.           | Mean age: 84<br>72% male<br>90.7% White<br>8.4% Black |
| Lucey, 2003<br>Ireland               | risperidone: 4.2 mg/d<br>olanzapine: 12.9 mg/d   | Schizophrenia, schizoaffective disorder   | Mean age: 37 ys<br>55.5% Male<br>Ethnicity NR         |
| Lund, 2001<br>United States          | Clozapine<br>Typical Antipsychotics  | Schizophrenia   | Mean age=41.9<br>59.2% male<br>Race NR                |
| Madhusoodanan, 1999<br>United States | Mean daily doses:<br>risperidone(N=114): 3mg<br>olanzapine(N=37): 10mg   | schizophrenia, schizoaffective disorder, dementia, bipolar disorder, major depressive w/psychotic features, delusional disorder | Mean age: 71 ys<br>60.5% Female<br>Ethnicity NR       |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country              | Exposed<br>Eligible<br>Selected   | Withdrawn<br>Lost to follow-up<br>Analyzed        | Effectiveness outcomes   |
|--------------------------------------|---|---|--|
| Liperoti 2009<br>USA                 | 61,781 exposed<br>9,729 eligible (1st-time monotherapy users)<br>All 9729 eligible were included. | No WDs.<br>Loss to followup NR.<br>9729 analyzed. | NR   |
| Lucey, 2003<br>Ireland               | NR/396/394  | 0/0/396   | Hospital Stay:<br>% discharged on or before d 120:<br>R 95% vs O 94% (NS)<br>Mean length of study duration:<br>O 30 ds vs R 26 d (p=0.27)<br>Duration of hospital stay:<br>O 40.5 vs R 37.8 (p=0.90)<br>Distribution function curve of time to discharge:<br>'similar', p = 0.0.54 |
| Lund, 2001<br>United States          | NR<br>4770<br>3013  | NR<br>NR<br>3013 (clozapine=552,<br>CAPD=2461)    | NR   |
| Madhusoodanan, 1999<br>United States | NR/NR/151   | 22%/NR/151  | % of patients who responded to treatment: R: 78% vs O: 75%<br>CGI scores:<br>Very much/much improved: R: 78% vs O: 75%<br>Minimally improved: R: 56% vs O: 24%<br>No change: R: 20% vs O: 8%   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country              | Safety outcomes   | Comments |
|--------------------------------------|---|----------|
| Liperoti 2009<br>USA                 | <p>Risk of mortality is 26% greater with haloperidol vs atypical antipsychotics.</p> <p>Effect of conventional APs on increased mortality seen only in non-Alzheimer's dementia; absent among those with Alzheimer's dementia.</p> <p>Mortality during 6 mos after index prescription, crude incidence per 100 person-ys:</p> <p>Atypical antipsychotics: 40.0</p> <p>Conventional antipsychotics: 54.3</p> <p>HR for conventional vs atypical APs adjusted for age, race/ethnicity, gender, BMI, ADL score, Cognitive Performance Scale score, severity of behavioral symptoms, CV and cerebrovascular comorbidities, and use of concomitant medications (including CV drugs, aspirin/sntiplatelets/anticoagulants, benzodiazepines, and antidepressants:</p> <p>Residents with Alzheimer's Disease, HR = 1.02 (95%CI 0.75-1.39)</p> <p>Residents with other dementias (non-Alzheimer's), HR = 1.31 (95%CI 1.14,1.50)</p> <p>Haloperidol vs risperidone, adjusted HR: 1.31 (95%CI 1.13-1.53).</p> <p>Mortality was similar among AAPs.</p> |          |
| Lucey, 2003<br>Ireland               | NR  |          |
| Lund, 2001<br>United States          | <p>Diabetes</p> <p>Total cohort</p> <p>21 (4%) vs 78 (3.4%); p=0.62</p> <p>Patients aged 20-34</p> <p>11/222 (5%) vs 15/768 (2%)</p> <p>RR 2.5, 95% CI 1.2 to 5.4</p>   |          |
| Madhusoodanan, 1999<br>United States | <p>AEs reported:</p> <p>R: 20%; EPS, tremor, sedation, hypotension, diarrhea, tardive dyskinesia, chest pain, anxiety, restlessness, itching, insomnia and fall</p> <p>O: 16%; sedation, EPS, postural hypotension</p>  |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>          | <b>Exposure period</b> |
|---|---|--|--------------------------------|------------------------|
| McIntyre, 2003<br>Williams, 2006<br>Canada                                      | Naturalistic: 32 university and<br>community sites across<br>Canada                                     | Prospective                                      | June 1999 and November<br>2000 |                        |
| Canadian National<br>Outcomes Measurement<br>Study in Schizophrenia<br>(CNOMSS) |   |  |                                |                        |
| Medved , 2009<br>Croatia  | cohort of patients admitted to<br>the Department of Psychiatry,<br>Zagreb University Hospital<br>Centre | Prospective                                      | 2004 to 2007                   | 3 mos                  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Interventions<br>mean dose   | Population   | Age<br>Gender<br>Ethnicity                                   |
|---|--|--|--|
| McIntyre, 2003<br>Williams, 2006<br>Canada<br><br>Canadian National<br>Outcomes Measurement<br>Study in Schizophrenia<br>(CNOMSS) | Olanzapine 14.7 mg<br>Quetiapine=324mg<br>Risperidone=3.5 mg   | Consecutive outpatients with<br>schizophrenia, schizophreniform<br>disorder, schizoaffective disorder, or<br>psychosis NOS   | Mean age=36.8<br>67.9% male<br>Race NR                       |
| Medved , 2009<br>Croatia  | Orally administered olanzapine 5-20 mg/d or<br>risperidone 2-5 mg/d for 3 mos ( $\pm$ 1 week) during<br>3-6 WK of hospital treatment and followed by<br>outpatient treatment.<br><br>Mean olanzapine dose (SD): 11.51 (3.9)<br>Mean Risperidone dose (SD): 3.16 (1.09) | Patients who were previously<br>unmedicated (no antipsychotic<br>medication) prior to admission and were<br>diagnosed with DSM-IV schizophrenia<br>spectrum disorders (DSM-IV criteria met<br>for schizophrenia, schizoaffective<br>disorder or delusional disorder, and no<br>other neurological diseases, mental<br>disorders, drug and alcohol abuse and<br>eating disorders). Patients with menstrual<br>cycle irregularities, pregnant, lactating or<br>required treatment with medications<br>other than diazepam and clonazepam for<br>occasional insomnia were not included. | Mean age (SD): 31.07 (7.86)<br>100% female<br>100% Caucasian |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>                 |
|---------------------------------|--|---|---|
| McIntyre, 2003                  | NR                                       | NR  | Admission to hospital for any reason: n/N (%) |
| Williams, 2006                  | NR                                       | NR  | Initial assessment to y 1; y 2                |
| Canada                          | 243                                      | 243 analyzed  |   |
| Canadian National               | (Olanzapine=109,                         |   | Clozapine: 9/59 (15.2%); 12/51 (23.5%)        |
| Outcomes Measurement            | Quetiapine=23,                           |   | Olanzapine: 7/87 (8%); 9/70 (12.8%)           |
| Study in Schizophrenia          | Risperidone=111)                         |   | Quetiapine: 5/20 (25%); 5/16 (31%)            |
| (CNOMSS)                        |  |   | Risperidone: 10/97 (97%); 14/80 (17.5%)       |
| Medved , 2009                   | NR/NR/94                                 | 0/0/94  | NR  |
| Croatia                         |  |   |   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Safety outcomes   | Comments |
|---|---|----------|
| McIntyre, 2003<br>Williams, 2006<br>Canada                                      | Mean weight gain (kg)<br>Olanzapine=3.72<br>Quetiapine=7.55<br>Risperidone=1.62   |          |
| Canadian National<br>Outcomes Measurement<br>Study in Schizophrenia<br>(CNOMSS) | ≥ 7% weight gain (% pts)<br>Olanzapine=24.1%<br>Quetiapine=55.6%<br>Risperidone=23.7%<br>Quetiapine vs risperidone=OR 3.62, 95% CI 1.02 to 12.83<br>≥ 10% weight gain (% pts)<br>Olanzapine=18.5%<br>Quetiapine=38.9%<br>Risperidone=13.2%<br>Quetiapine vs risperidone=OR 3.91; 95% CI 1.02 to 15.08   |          |
| Medved , 2009<br>Croatia  | Olanzapine: 10 (19%) drowsiness; 1 (2%) extrapyramidal syndrome (EPS); 1 (2%) edema<br>Risperidone: 6 (16%) drowsiness; 2 (5) galactorrhea; 1 (2.4%) EPS<br><br>27% patients with metabolic syndrome after 3-mo compared to 15% of patients at baseline.<br>Increase in BMI (SD) of 2.44 (3.01).<br>"BMI was found to be a significant predictor of metabolic syndrome after second-generation antipsychotics treatment"; P<0.001 |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>            | <b>Exposure period</b>          |
|---------------------------------|--|--|----------------------------------|---------------------------------|
| Meyer, 2002<br>United States    | Oregon State Hospital  | Retrospective                                    | July and August 1999             | 1 y                             |
| Miller, 1998<br>United States   | Innsbruck University Clinics,<br>Austria   | Retrospective                                    | <u>&gt;3 mos</u>                 | NR                              |
| Mladi, 2004<br>United States    | Three acute care inpatient<br>mental health facilities   | Retrospective                                    | May 1, 1998 and June 30,<br>2000 | Length of stay- less than 30 ds |
| Mohamed, 2009<br>United States  | Database: National ADs; and<br>the Veterans Affairs Drug<br>Benefit Management System<br>files | Retrospective                                    | 2006                             | 2 y follow-up                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|---|---|--|
| Meyer, 2002<br>United States    | risperidone (N=47): 4.5 mg/d<br>olanzapine (N=47): 16.7 mg/d                              | Schizophrenia, schizoaffective disorder   | Mean age:44.5 ys<br>41% 87% Male<br>Ethnicity NR   |
| Miller, 1998<br>United States   | clozapine: 425.6 mg/d<br>risperidone: 4.7 mg/d<br>conventional antipsychotics: 476.5 mg/d | Schizophrenia, schizoaffective disorder,<br>personality disorder, paranoid subtype  | Mean age: 36.6 ys<br>57.5% Male<br>White: 71.7%<br>Black: 2.6%<br>Hispanic: 3.8%<br>Asian: 1.9%  |
| Mladsj, 2004<br>United States   | Risperidone 4.45 mg<br>Olanzapine 14.04 mg<br>Quetiapine 350.33 mg                        | Schizophrenia 59%<br>Schizoaffective 41%  | Mean age 40 ys<br>62% male<br>52% white<br>39% black<br>9% other   |
| Mohamed, 2009<br>United States  | Long-acting injectable risperidone or oral<br>antipsychotics                              | All veterans seen at Veterans Affairs<br>medical centers nationally who received<br>a prescription for any new antipsychotic<br>medication during fiscal y 2006 and had<br>a diagnosis of schizophrenia.<br>Prescriptions were considered new if<br>there were no prescriptions for the drug<br>during the last 6 mos of fiscal y 2005. | 32.4% at age 40-49 ys<br>48.9% at age 50-64 ys<br>8.6% at age >65 ys<br><br>93.4% male<br><br>21.5% Black<br>5.1% Hispanic<br>1.1% Other<br>20% unknown race |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>   | <b>Effectiveness outcomes</b>  |
|---------------------------------|--|---|--|
| Meyer, 2002<br>United States    | NR/396/394                               | Withdrawn=N/A<br>(retrospective)<br>Lost to follow-up=N/A<br>(retrospective)<br>Analyzed=94 | Fasting triglyceride levels at one y: R: mean increase of 29.7 mg/dL vs O: 88.2 mg/dL<br>Weight increases at one y: R: 11.7-13.9lb vs O: 15.0-26.0lb   |
| Miller, 1998<br>United States   | NR/NR/NR                                 | 0/0/106   | Simpson-Angus Scale scores:<br>Akinesia>0: C: 17.1% vs R: 30.4% vs Conventionals: 38.1%<br>Arm dropping>0: C: 12.2% vs R: 30.4% vs Conventionals: 35.4%<br>Gait>0: C: 4.9% vs R: 21.7% vs Conventionals: 23.8%<br>Salivation>0: C: 36.6% vs R: 8.7 vs Conventionals: 4.8%<br>Tremor>0: C: 19.5 vs R: 21.7% vs Conventionals: 40.5% |
| Mladsj, 2004<br>United States   | NR<br>NR<br>327                          | NA<br>NA<br>327   | Mean length of stay was 12.4 ds (SD 6.5) for risperidone patients, 11.3 ds (SD 5.7) for olanzapine patients, and 13.7 ds (SD 6.5) for quetiapine<br><br>GAF scores at discharge (45.9 [SD 10.3] for risperidone, 46.2 [SD 10.1] for olanzapine, and 44.3 [12.2] for quetiapine)  |
| Mohamed, 2009<br>United States  | 11821/11821/11821                        | 0/0/11821   | Hazard ratio for discontinuing antipsychotics as compared to LA injectable risperidone:<br><br>Aripiprazole: 2.76; P=0.0001<br>Clozapine: 0.37; P=0.0001<br>Conventional: 0.83; P=0.0003<br>Olanzapine: 0.83; P=0.0017<br>Quetiapine: 0.78; P=0.0001<br>Risperidone: 0.83; P=0.0002<br>Ziprasidone: 0.96; P=0.5516                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Meyer, 2002<br>United States    | Triglycerides: O: + 104.8 mg/dL vs R: +31.7 mg/dL (P=.037)<br>Cholesterol: O: +30.7 mg/dL vs R: +7.2 mg/dL (P=.004)<br>Glucose: O: +10.8 mg/dL vs R: +0.74 mg/dL (P=.030)   |                 |
| Miller, 1998<br>United States   | Point prevalence of Akathisia: C: 7.3% vs R: 13% vs Conventionals: 23.8%<br>Point prevalence of Rigidity: C: 4.9% vs R: 17.4% vs Conventionals: 35.7%<br>Point prevalence of Cogwheeling: C: 2.4% vs R: 17.4% vs Conventionals: 26.2% |                 |
| Mladsj, 2004<br>United States   | NR  |                 |
| Mohamed, 2009<br>United States  | NR  |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>              | <b>Exposure period</b> |
|---------------------------------|---|--|------------------------------------|------------------------|
| Moisan, 2005<br>Canada          | Database from the Prescription<br>Drug Insurance Plan<br>administered by the Quebec<br>Health Insurance Board | Retrospective                                    | January 1, 1997-August 31,<br>1999 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b> | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|------------------------------------|---|--|
| Moisan, 2005<br>Canada          | Olanzapine<br>Risperidone          | All drug beneficiaries who had received at least one prescription of an atypical antipsychotic drug during the time period and was under the age of 65. | % in each age group:<br>0-29 ys=20.4<br>30-44 ys=43.8<br>45-59 ys=29.9<br>60-64 ys=6.0<br>% male: 51.5 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|---|---|
| Moisan, 2005<br>Canada          | 38043/19582/19582                        | NR/NR/19582   | Those taking olanzapine were more likely to need to be started on a diabetic and/or lipids medication than those taking risperidone |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Safety outcomes | Comments |
|-------------------------|-----------------|----------|
| Moisan, 2005<br>Canada  | NR              |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b>  | <b>Sampling frame</b>                   | <b>Exposure period</b> |
|---|--|---|---|------------------------|
| Montes, 2003<br>Spain<br>Sub-group Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO) | Multicenter<br>Controlled  | Subjects that<br>required<br>antipsychotic<br>treatment for a first<br>episode of<br>schizophrenia, with<br>an evolution of the<br>illness of less than<br>one y and who<br>were not over the<br>age of 40. Choice<br>of new drug was<br>made by the<br>treating physician. | 6 mos                                   |                        |
| Mullins 2008<br>Maryland  | All pharmacy and medical<br>service encounter and fee-for-<br>service claims from the<br>Maryland Medicaid FFS and<br>HealthChoice progs | Retrospective   | January 1, 2001 to<br>December 31, 2003 |                        |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>                              | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---|---|--|--|
| Montes, 2003<br>Spain<br>Sub-group Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO) | Olanzapine 13.5 mg<br>Risperidone 5.4 mg<br>Haloperidol 12.4 mg | Weight gain  | Mean age=24.2<br>64.8% male<br>Race NR   |
| Mullins 2008<br>Maryland  | NR  | Maryland Medicaid recipients aged 18-64 having a claim for schizophrenia any time during the three y study period for any of the 5 atypicals (aripiprazole, olanzapine, quetiapine, risperidone, or ziprasidone) | Aripiprazole/Olanzapine/Quetiapine/Risperidone/Ziprasidone<br><u>Age Group (%)</u><br>18-39: 39.9/43.5/41.9/41.7/49.9<br>40-54: 48.4/44.5/47.1/46.5/42.1<br>55-64: 11.7/12.0/11.0/11.8/8.0<br><br><u>Gender (% male)</u><br>52.2/54.1/47.6/46.9/49.1<br><br><u>Ethnicity</u><br>White: 53.6/39.1/47.5/38.5/48.7<br>Black: 46.4/60.9/52.5/61.5/51.3 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Exposed<br>Eligible<br>Selected   | Withdrawn<br>Lost to follow-up<br>Analyzed   | Effectiveness outcomes   |
|---|---|--|--|
| Montes, 2003<br>Spain<br>Sub-group Analysis from<br>Estudio<br>Farmacoepidemiologico en<br>la Esquizofrenia con<br>Olanzapine (EFESO) | NR<br>NR<br>182   | 45 (24.7%) withdrawn<br>24 (13.2%) lost to fu<br>182 analyzed  | NR   |
| Mullins 2008<br>Maryland  | NR<br>NR<br>5898 (1705<br>olanzapine, 1580<br>risperidone, 1467<br>quetiapine, 700<br>ziprasidone, 466<br>aripiprazole) | NR<br>NR<br>5898 (1705 olanzapine,<br>1580 risperidone, 1467<br>quetiapine, 700<br>ziprasidone, 466<br>aripiprazole) | Hazard ratios of discontinuation (95% CI), P value:<br>Olanzapine: reference<br>Aripiprazole: 1.047 (0.919-1.193), 0.4911<br>Quetiapine: 1.130 (1.039-1.230), 0.0044<br>Risperidone: 0.973 (0.897-1.055), 0.5014<br>Ziprasidone: 0.990 (0.891-1.100), 0.8514<br>Age: 0.997 (0.994-1.000), 0.0348<br>Black: 1.058 (0.994-1.127), 0.785<br>Male: 0.899 (0.845-0.957), 0.0008<br>Psychiatric hospitalization: 1.276 (1.192-1.367), <0.0001<br>Concurrent medications: 0.225 (0.210-0.241), <0.0001<br><br>Adjusted medication continuation/discontinuation rates:<br>Median time to discontinuation (d)/180-d continuation rate (%)/365-d continuation rate (%)<br>Aripiprazole: 58/19.1/9.0<br>Olanzapine: 59/20.6/10.0<br>Quetiapine: 54/16.8/7.4<br>Risperidone: 61/21.5/10.7<br>Ziprasidone: 59/20.9/10.3 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year</b>      |  |                 |
|--------------------------|--|-----------------|
| <b>Country</b>           | <b>Safety outcomes</b>                                     | <b>Comments</b> |
| Montes, 2003             | <u>Weight gain (% patients)</u>                            | First Episodes  |
| Spain                    | Olanzapine=15 (13.2%)                                      |                 |
| Sub-group Analysis from  | Risperidone=1 (3.2%)                                       |                 |
| Estudio                  | Haloperidol= 0   |                 |
| Farmacoepidemiologico en | p<0.05 for olanzapine > risperidone and haloperidol groups |                 |
| la Esquizofrenia con     |  |                 |
| Olanzapine (EFESO)       |  |                 |
| Mullins 2008             | NR   |                 |
| Maryland                 |  |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>  | <b>Exposure period</b>        |
|---|---|--|--|-------------------------------|
| Novick, 2005<br>SOHO (secondary<br>publication)<br>Europe | Prospectively collected,<br>multicenter study data  | Prospective                                      | 6 mo (interim analysis of<br>planned 3-yr term)  | NR                            |
| Ollendorf, 2004<br>United States                          | Database:<br>PharMetrics Patient-Centric<br>Database  | Retrospective                                    | 1995-2001<br>Mean duration of therapy<br>was 9 mos in both typical AP<br>and AAP groups; mean<br>number of prescriptions was<br>higher in AAP group: 8.5 vs<br>6.6, $p < 0.0001$ | Minimum of 3 mos; mean 435 ds |
| Opolka, 2003<br>United States                             | Medical claims data from the<br>Texas Medicaid Management<br>Information System and<br>pharmacy claims data from the<br>Texas Vendor Drug Prog paid<br>prescription claims database | Retrospective                                    | January 1, 1996 to August<br>31, 1999  | NR                            |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>                             |
|---|--|---|---|
| Novick, 2005<br>SOHO (secondary<br>publication)<br>Europe | Olanzapine 11.8 mg/d (SD 5.7)<br>Risperidone 4.9 mg/d (SD 2.7)<br>Quetiapine 375 mg/d (SD 201)<br>Clozapine 235 (SD 134) | Schizophrenics receiving antipsychotic<br>monotherapy   | Mean age 39.6 yrs<br>57% male<br>Ethnicity NR                   |
| Ollendorf, 2004<br>United States                          | Olanzapine n=937<br>Risperidone n=690<br>Quetiapine n=164<br>Clozapine n=35<br>Mean dose NR                              | Patients with ≥1 medical claims with a<br>diagnosis of schizophrenia, as well as ≥1<br>paid pharmacy claims for an AP<br>medication during 1996-2001; the first<br>observed antipsychotic pharmacy claim<br>in this period was the index date. All<br>medical and pharmacy claims were then<br>compiled for these patients for the<br>exposure period. Patients who used an<br>AP or typical AP in the 6 mos prior to the<br>index date, or had evidence of DM within<br>12 mos prior to the index date were<br>excluded. | Mean age 39.1<br>48.2% male<br>Ethnicity NR                     |
| Opolka, 2003<br>United States                             | Haloperidol<br>Risperidone<br>Olanzapine   | Schizophrenia, schizoaffective disorder   | Mean age: NR<br>Gender: NR<br>45% White<br>39% African American |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>                           | <b>Exposed<br/>Eligible<br/>Selected</b>  | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>                                      | <b>Effectiveness outcomes</b>  |
|---|---|--|--|
| Novick, 2005<br>SOHO (secondary<br>publication)<br>Europe | 10972/8057/6931<br>(olanzapine,<br>risperidone,<br>quetiapine and<br>clozapine cohorts<br>only) | 765/NR/6931<br>(olanzapine,<br>risperidone, quetiapine<br>and clozapine cohorts<br>only) | NR   |
| Ollendorf, 2004<br>United States                          | 18,134<br>2443<br>2443  | NR<br>NR<br>2443   | NR   |
| Opolka, 2003<br>United States                             | NR/NR/3583  | NR/NR/3583   | Adherence to index antipsychotic<br><u>Risperidone users were 15% less adherent than olanzapine users (30 ds less use/study period, P&lt;0.001)</u><br><u>Haloperidol users were 33% less adherent than olanzapine users (65 ds less use/study period, P&lt;0.001) and 21% less adherent than risperidone users (35 ds less use/study period, P&lt;0.001)</u><br><u>African Americans were 12% less adherent than whites (24 ds less use/study period, P&lt;0.001)</u><br><u>Mexican Americans were 13% less adherent than whites (25 ds less use/study period, P=0.003) and 1% less adherent than African Americans (2 ds less use/study period, P=0.838)</u> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                                   | Safety outcomes  | Comments   |
|---|--|--|
| Novick, 2005<br>SOHO (secondary<br>publication)<br>Europe | Proportion of pts reporting weight gain:<br>O 2993/4428 (67.6%) v R 946/1617 (58.5%) v Q 300/610 (49.2%) v C 157/276 (56.9%)<br><br>Subgroup: concomitant medication use - proportion of pts reporting weight gain:<br>O 1772/2546 (69.6%) v R 581/972 (59.8%) v Q 183/373 (49.1%) v C 118/183 (64.5%) |  |
| Ollendorf, 2004<br>United States                          | Patients treated with AAPs had an increased risk of diabetes mellitus after 1 y, compared with typical<br>APs:<br>hazard ratio 1.17, 95% CI 1.06-1.30<br><br>No differences between olanzapine, risperidone, quetiapine, and clozapine were found on risk of<br>diabetes.                              | This analysis controlled for total<br>duration of therapy and number<br>of prescriptions. Actual mean<br>doses are NR. |
| Opolka, 2003<br>United States                             | NR   |  |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>            | <b>Exposure period</b>   |
|---------------------------------|--|--|----------------------------------|--|
| Pelagotti, 2004<br>Italy        | Inpatients to a hospital<br>Psychiatric Unit<br>or as outpatients to a<br>Psychiatric Ambulatory Clinic. | Retrospective                                    | 15 May 2002 to 20 August<br>2002 | Median 11.9 mos  |
| Perez, 2008, Spain              | 77 acute hospital units in Spain   | Prospective                                      | March 2002 - October 2004        | Acute: admission to discharge, and Long-term:<br>discharge to 12 mos |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|---|---|
| Pelagotti, 2004<br>Italy        | Olanzapine daily dose (mg) 13.3 (n=283)<br>Risperidone daily dose (mg) 5.7 (n=170)  | Diagnosis of schizophrenia; > 18 ys;<br>treatment with either olanzapine or<br>risperidone at the date of enrollment;<br>“Stable” therapy over the previous 4<br>mos; Cumulative dose in this period of at<br>least 80% of the respective defined daily<br>doses (DDD values: olanzapine, 10<br>mg/d; risperidone, 5 mg/d). | Mean age 40 ys<br>61.8% male<br>Race NR   |
| Perez, 2008, Spain              | Mean doses at discharge:<br>quetiapine = 719.6 mg/d<br>risperidone = 8.0 mg/d<br>Mean doses at 12 mos:<br>quetiapine = 718.5 mg/d<br>risperidone = 7.0 mg/d | Patients admitted to an acute unit with<br>schizophrenia, schizophreniform or<br>schizoaffective disorder who were<br>prescribed quetiapine or risperidone<br>within the first week of treatment  | <b>Quetiapine/Risperidone:</b><br><u>Mean age:</u> 37.2/36.4<br><u>Gender (% male):</u> 63.6/67.8<br><u>Ethnicity:</u> NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>  | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>   | <b>Effectiveness outcomes</b>  |
|---------------------------------|---|---|--|
| Pelagotti, 2004<br>Italy        | 454/NR/144  | NR/NR/144   | Dropout rate in the primary analysis (with a follow-up of 7 mos: 4 switches from olanzapine to risperidone vs 11 switches from risperidone to olanzapine, $P = 0.01$ ) and in the secondary analysis (with a follow-up longer than 7 mos: 9 switches from olanzapine vs risperidone and 17 switches from risperidone to olanzapine; $P = 0.004$ ). |
| Perez, 2008, Spain              | NR<br>492<br>Selected:<br><u>Intent to Treat</u><br><u>population</u> : 466<br>(quetiapine=345,<br>risperidone=121)<br><u>Per protocol</u><br><u>population</u> : 422<br>(quetiapine=311,<br>risperidone=111)<br><u>Safety population</u> :<br>470_(quetiapine=349,<br>risperidone=121) | <b>Quetiapine/Risperidone:</b><br><b>Withdrawn: NR</b><br><b>Lost to FU:</b><br><b>time of discharge:</b><br><b>43/9</b><br><b>6-mo follow-up: 89/28</b><br><b>12-mo follow-up:</b><br><b>31/13</b><br><b>Analyzed:</b><br><b>baseline: 345/121</b><br><b>time of discharge:</b><br><b>324/116</b><br><b>6-mo follow-up:</b><br><b>235/88</b><br><b>12-mo follow-up:</b><br><b>204/75</b> |  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Safety outcomes | Comments |
|--------------------------|-----------------|----------|
| Pelagotti, 2004<br>Italy | NR              |          |

Perez, 2008, Spain

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b>  |
|---------------------------------|--|--|-----------------------|---|
| Peuskens 2009<br>Belgium        | Participants were recruited from university hospitals, general and psychiatric hospitals and ambulatory practice | Prospective                                      | 2 ys                  | Haloperidol/Olanzapine/Risperidone<br><br>Mean treatment duration (d) based on 294 patients:<br>476±248/545±232/513±257 |
| Philippe, 2005<br>France        | Principal public psychiatric care units in France  | Prospective                                      | 1993 to 2002          | Nine ys   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Interventions<br>mean dose  | Population  | Age<br>Gender<br>Ethnicity  |
|--------------------------|---|---|---|
| Peuskens 2009<br>Belgium | Haloperidol/Olanzapine/Risperidone<br><br>Mean dose (mg/d) based on 294 patients:<br>8.9±6.8/14±6/4.2±1.9 | Adults diagnosed with schizophrenia or schizophreniform disorder and stabilized with haloperidol/haloperidol decanoate, olanzapine or risperidone monotherapy ≤ 1 mo following discharge from full-time (maximum 6 mo) hospitalization due to first episode of schizophrenia or psychotic relapse | Haloperidol/Olanzapine/Risperidone<br><br><u>Age (y):</u> 41.8±14.4/37.2±13.1/35.7±13.2<br><u>Gender (% male):</u> 81/66/59<br><u>Ethnicity:</u> NR |
| Philippe, 2005<br>France | Conventional antipsychotics<br>Risperidone<br>Olanzapine<br>Clozapine<br>Amisulpride                      | ICD-10 criteria for schizophrenia and to be between 18 and 64 ys old<br>Patients hospitalized for more than 1 y were excluded   | Mean age 39.4 ys<br>Male 64%<br>Ethnicity NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed             | Effectiveness outcomes   |
|--------------------------|---------------------------------|--|--|
| Peuskens 2009<br>Belgium | NR<br>NR<br>323                 | 7<br>84<br>273 (1-y follow-up),<br>219 (2-y follow-up) | <p>294/323 patients (91%) had <math>\geq 1</math> follow-up visit<br/>Mean follow-up time of these 294 patients was <math>597 \pm 219</math> ds (haloperidol), <math>630 \pm 186</math> ds (olanzapine), and <math>640 \pm 200</math> ds (risperidone), <math>P=0.026</math></p> <p>Haloperidol/Olanzapine/Risperidone<br/>Continuation rates (%) after 2 ys:<br/><math>\geq 1</math> post-baseline visit: 88/92/92<br/>Completers: 59/66/71<br/>Stable: 47/68/61<br/>Stable completers: 31/50/43<br/>Allocated to treatment group but longer on another drug: 13/10/15<br/>Switches: 39 (1-2 switches per patient)/23 (1-5 switches per patient)/31 (1-4 switches per patient)</p> <p>Of 323 patients, 63% had no antipsychotic treatment switch or addition (stable patients)</p> <p>There were 328 hospitalizations in 150 patients, of which 47 were hospitalized once (15%), and 83 were hospitalized 2-8 times (26%)<br/>165 were never hospitalized (51%); 28 had no follow-up data (9%)<br/>Full-time hospitalization (%):<br/>50/44/35 (NS)<br/>Time to first rehospitalization (d):<br/><math>123 \pm 168</math>/<math>215 \pm 189</math>/<math>209 \pm 184</math> (NS)<br/>Duration of full-time hospitalization:<br/><math>94 \pm 166</math>/<math>48 \pm 91</math>/<math>55 \pm 122</math></p> <p>Social status, living environment and employment all remained stable over the 2-y study</p> |
| Philippe, 2005<br>France | NR/NR/3470                      | NA/NA/3470   | <p>At baseline, 2.2% of schizophrenic patients in the study cohort already had a diagnosis of diabetes vs.. an age and gender matched sample of the general population (1.5%).<br/>Incidence of diabetes from 1993 to 2002<br/>Conventional antipsychotic 2.8%<br/>Risperidone 2.4%<br/>Olanzapine 2.7%<br/>Clozapine 2.1%<br/>Amisulpride 2.4%</p>  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Safety outcomes   | Comments   |
|--------------------------|---|--|
| Peuskens 2009<br>Belgium | <p>Haloperidol/Olanzapine/Risperidone</p> <p>AEs</p> <p>Weight gain<br/>Mean baseline weight (kg):<br/>79.2±12.5/74.9±13.9/75.3±14.2<br/>Overall weight gain (kg):<br/>NR/2.6/2.6<br/>P&lt;0.05 (olanzapine and risperidone)<br/>Patients with weight gain &gt;7% (%):<br/>19/29/33<br/>Weight gain of patients who dropped out from study: 1.5±4.1 kg/y<br/>Weight gain of patients who remained in study: 1.7±9.0 kg/y</p> <p>5 patients died</p> | N for haloperidol group was small, plus the group differed from the other groups in marital, institutionalized, and educational status |
| Philippe, 2005<br>France | The standard mortality ratio was 3.6 (95% CIs: 3.3 and 4.0), indicating a risk of death for schizophrenic patients in the study between three and four times higher than that of the general population.  |  |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>               | <b>Exposure period</b> |
|---------------------------------|---|--|-------------------------------------|------------------------|
| Procyshyn, 1998<br>Canada       | Chart review from Riverview<br>Hospital in British Columbia   | Retrospective                                    | 6 WK                                | NR                     |
| Rascati, 2003<br>United States  | Database: Texas Department<br>of Health Medicaid Prog   | Retrospective                                    | January 1996 through<br>August 1999 | 1 y                    |
| Remington, 2001<br>Canada       | Hospital records from the<br>Schizophrenia and Continuing<br>Care Prog at the Centre for<br>Addiction and Mental Health | Retrospective                                    | <u>&gt;18 mos (1993-1995)</u>       | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                                   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|---|--|
| Procyshyn, 1998<br>Canada       | Mean Doses:<br>risperidone: 5.3mg/d vs olanzapine: 14.5mg/d          | Aged < 65 ys, schizophrenia or<br>schizoaffective disorder, discharged from<br>hospital or >120 ds follow-up in hospital,<br>Types of Schizophrenia: catatonic,<br>disorganized, paranoid, undifferentiated,<br>residual, schizoaffective disease, other<br>schizophrenia | Mean Age: 37 ys<br>57.5% Male<br>Ethnicity NR  |
| Rascati, 2003<br>United States  | olanzapine: 12.87mg/d<br>risperidone 4.40mg/d                        | Schizophrenia or schizoaffective disorder   | Mean age: 41.43 ys<br>53% female<br>42% Caucasian, 34% African-American, 14%<br>Hispanic, 0.97% Asian, 0.24% Native American,<br>& 8.32% other |
| Remington, 2001<br>Canada       | Oral or depot conventional antipsychotic<br>Clozapine<br>Risperidone | Schizophrenia   | Oral Conventional/ Depot<br>Conventional/Clozapine/ Risperidone<br>Mean age (ys): 31.7/36.5/33.4/31.7<br>% male: 55/55/66/53                   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country        | Exposed<br>Eligible<br>Selected                            | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes   |
|--------------------------------|--|--|--|
| Procyshyn, 1998<br>Canada      | 2339/1901/1345<br>Risperidone: N=924,<br>Olanzapine: N=977 | 300/0/1345                                 | NR   |
| Rascati, 2003<br>United States | NR/NR/2885   | NR/NR/2885                                 | <p>% who discontinued medication:<br/>           olanzapine=8.87%<br/>           risperidone =14.5%</p> <p>Affects on medication choice:<br/>           Region: Increase likelihood of being prescribed olanzapine by 3% to 5% when in Austin, Lubbock or Dallas vs decreased likelihood by 3% when in San Antonio or Houston<br/>           Comorbid diagnosis: Having nonorganic mental illness as a comorbid diagnosis decreased likelihood of being prescribed olanzapine by 2% and having diabetes as a comorbid diagnosis also decreased likelihood of being initiated on olanzapine by 3%<br/>           Previous medication use: for each antipsychotic used in the pre-period the likelihood of being started on olanzapine increased by 3.5%. If an atypical was used in the pre-period the likelihood of being initiated on olanzapine increased by 8%</p> <p>Schizophrenia related costs:<br/>           History of clozapine use was associated with an increase of \$3158 (US) per y<br/>           History of depot antipsychotic use was associated with an increase of \$1645 (US) per y<br/>           Total health care costs:<br/>           Previous hospitalization or history of clozapine use was associated with an increase of \$3424 (US) per y and \$2451 (US) per y, respectively</p> |
| Remington, 2001<br>Canada      | 314/66/66  | NR/NR/NR                                   | <p>No significant differences were found between groups for number of hospital visits, ds in hospital, or emergency room visits. Clozapine takers had a higher number of doctor visits compared to those taking either form of conventional antipsychotic, while risperidone takers had a higher number of doctor visits compared only to those taking oral conventional antipsychotics.<br/>           CGI scores were significantly improved over the 18 mos for those treated with clozapine, risperidone, and depot conventional antipsychotics vs oral conventional antipsychotics.</p>   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b> |
|---------------------------------|--|-----------------|
| Procyshyn, 1998<br>Canada       | <p>Number of Patients Discontinued: Due to Side Effects:<br/>R: 36(4%) vs O: 23(2%); P=0.70</p> <p>Number of patients who experienced AE: R: 123(13%) vs O: 109(11%); P=0.20<br/>           Body as a whole: R: 8(0.9%) vs O: 13(1.3%); P=0.30<br/>           Central and peripheral nervous system: R: 73(7.9%) vs O: 56(5.7); P=0.06<br/>           Psychiatric: R: 45(4.9%) vs O: 40(4.1); P=0.40<br/>           GI: R: 21(2.3%) vs O: 13(1.3%); P=0.10<br/>           Metabolic and nutritional: R: 1(0.1%) vs O: 17(1.7%); P=0.04<br/>           Others: 27(2.9%) vs O: 17(1.7%);</p> |                 |
| Rascati, 2003<br>United States  | NR   |                 |
| Remington, 2001<br>Canada       | NR   |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                      | <b>Exposure period</b>                           |
|---------------------------------|--|--|--|--|
| Ren, 2006<br>United States      | Database: VA National administrative data and VA pharmacy benefits management strategic healthcare group | Retrospective                                    | October 1, 1998 through September 30, 1999 | 1 y  |
| Rettenbacher, 2006<br>Austria   | Laboratory measurements of included subjects   | Prospective                                      | NR   | 4 WK   |
| Rettenbacher, 2011<br>Austria   | Laboratory measurements of included subjects   | Prospective                                      | NR   | Mean(SD) duration of treatment: 14.6 (9.5) weeks |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|---|--|--|
| Ren, 2006<br>United States      | Olanzapine<br>Risperidone   | Schizophrenia either paranoid type, disorganized type, catatonic type, undifferentiated type, residual type, schizophreniform disorder or schizoaffective disorder | Olanzapine/Risperidone:<br>Mean age (ys)=50/50.5<br>% male=94.7/94.7<br>% Caucasian=43.7/43.9<br>% African-American=31.5/33.9<br>% Hispanic=6.9/4.7<br>% other ethnicity=17.9/17.6 |
| Rettenbacher, 2006<br>Austria   | Olanzapine<br>Clozapine<br>Amisulpride<br>Ziprasidone   | Schizophrenia  | Age range: 18-65 ys  |
| Rettenbacher, 2011<br>Austria   | Clozapine, 263 mg/d<br>Olanzapine, 16 mg/d<br>Amisulpride, 459 mg/d<br>Risperidone, 3.9 mg/d<br>Quetiapine, 386 mg/d<br>Ziprasidone, 111 mg/d<br>Sertindole, 16.3 mg/d<br>Zotepine, 148 mg/d<br>Aripiprazole, 19.5 mg/d | Schizophrenia ICD-10 code, 18-65 years   | Age: 35.0<br>Gender: 34.1% female<br>Ethnicity NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---------------------------------|--|---|---|
| Ren, 2006<br>United States      | NR/NR/7144                               | NR/NR/NR  | <u>Incidence of comorbid conditions:</u><br>Those initiated on risperidone had more overall comorbid conditions (2.79 vs 2.68; $P<0.05$ ) and more medical comorbid conditions (1.53 vs 1.44; $P<0.05$ ) than olanzapine initiators<br><u>Incidence of concomitant medications</u><br>Those initiated on olanzapine used more mood stabilizers (14.45% vs 12.42%; $P<0.05$ ) and more overall number of drugs for psychiatric conditions (0.78 vs 0.73; $P<0.05$ ) than risperidone<br><u>Incidence of hospitalizations</u><br>No difference was found between the treatment groups regarding individuals having at least one psychiatric hospitalization<br><u>Incidence of discontinuation</u><br>Initiating with olanzapine decreased the incidence of discontinuation by 12%, when adjusted for sociodemographic and clinical information |
| Rettenbacher, 2006<br>Austria   | NR/NR/NR                                 | NR/NR/35  | No significant differences were found between clozapine and olanzapine-treated patients regarding changes in scores of BMI and serum lipids ( $P>0.2$ ).  |
| Rettenbacher, 2011<br>Austria   | NR/NR/132                                | NR/NR/132   | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b> |
|---------------------------------|--|-----------------|
| Ren, 2006<br>United States      | NR   |                 |
| Rettenbacher, 2006<br>Austria   | NR   |                 |
| Rettenbacher, 2011<br>Austria   | Clozapine vs. Risperidone vs. Olanzapine vs. Quetiapine vs. Amisulpride vs. Ziprasidone<br><br>Neutropenia, corrected incidence rates (%): 11.8 vs. 6.3 vs. 13.6 vs. 31.8 vs. 5.9 vs. 18.5; p=0.096<br>Eosinophilia, corrected incidence rates (%): 11.9 vs. 11.5 vs. 14.1 vs. 12.5 vs. 0 vs. 0; p=0.564 |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>          | <b>Data<br/>source</b>                               | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|--|--|--|-----------------------|------------------------|
| Ritsner, 2006<br>Ritsner, 2004<br>Israel | Sha'ar Menashe Mental Health<br>Center Case Register | Prospective                                      | NR                    | 1 y                    |
| Schillevoort, 2001<br>Netherlands        | PHARMO-database                                      | Retrospective                                    | 90 ds                 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>          | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|--|--|--|--|
| Ritsner, 2006<br>Ritsner, 2004<br>Israel | Olanzapine 15.2 mg/d<br>Risperidone 4.4mg/d<br>Typical antipsychotics mean dose NR | Schizophrenia diagnosed based on DSM-<br>IV criteria; age 18-60 ys | ITT population:<br>Mean age=39.6 ys<br>76.7% male<br>Race NR<br><br>PP population (n=124)<br>Mean age=40.0 ys<br>78.2% male<br>Race NR |
| Schillevoort, 2001<br>Netherlands        | haloperidol: 2.2 mg/d, risperidone: 54 mg/d,<br>olanzapine mg/d                    | Schizophrenia  | Mean age: 35.3 ys<br>48.6% Male<br>Ethnicity NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country                  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-up<br>Analyzed              | Effectiveness outcomes   |
|--|---------------------------------|---|--|
| Ritsner, 2006<br>Ritsner, 2004<br>Israel | 150/136/133                     | 9 (6.8%) withdrawn<br>4 (3%) lost to fu<br>124 analyzed | <p><b>Q-LES-Q index</b> (% change from baseline estimated from Figure 2): risperidone= +3.5% vs olanzapine= +14% vs first-generation agents= +6% vs combined therapy= -4%; 2-way ANCOVA test of treatment group effect: F=3.1, p=0.029; effect size for risperidone vs olanzapine= -0.57</p> <p><b>Physical health index</b> (% change estimated from Figure 2): risperidone= +5% vs olanzapine= +17% vs first-generation agents= +14% vs combined therapy= -2%; 2-way ANCOVA test of treatment group effect: F=2.1, p=0.15; effect size for risperidone vs olanzapine= -0.51</p> <p><b>Subjective feelings</b> (% change estimated from Figure 2): risperidone= +9.5% vs olanzapine= +20% vs first-generation agents= +7.5% vs combined therapy= -2%; 2-way ANCOVA test of treatment group effect: F=2.7, p=0.050; effect size for risperidone vs olanzapine= -0.29</p> <p><b>Leisure time activities</b> (% change estimated from Figure 2): risperidone= +13% vs olanzapine= +20.5% vs first-generation agents= +4% vs combined therapy= -2%; 2-way ANCOVA test of treatment group effect: F=3.2, p=0.026; effect size for risperidone vs olanzapine= -0.18</p> <p><b>Social relationships</b> (% change estimated from Figure 2): risperidone= +6% vs olanzapine= +14% vs first-generation agents= +8% vs combined therapy= +0.5%; 2-way ANCOVA test of treatment group effect: F=0.6, p=0.64; effect size for risperidone vs olanzapine= -0.28</p> <p><b>General activity</b> (% change estimated from Figure 2): risperidone= -3% vs olanzapine= +6% vs first-generation agents= +3.5% vs combined therapy= +4%; 2-way ANCOVA test of treatment group effect: F=0.3, p=0.84; effect size for risperidone vs olanzapine= -0.52</p> <p><b>Life satisfaction</b> (% change estimated from Figure 2): risperidone= +3.5% vs olanzapine= +26.5% vs first-generation agents= +22% vs combined therapy= +2%; 2-way ANCOVA test of treatment group effect: F=0.2, p=0.88; effect size for risperidone vs olanzapine= -0.42</p> |
| Schillevoort, 2001<br>Netherlands        | 450,000/NR/848                  | 0/0/848   | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>          | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Ritsner, 2006<br>Ritsner, 2004<br>Israel | NR   |                 |
| Schillevoort, 2001<br>Netherlands        | Use of antiparkinsonian medication at baseline:<br>R: 36.2% vs O: 40.3% vs H: 4.5%; p<0.001<br>No significant differences found at endpoint for use of<br>antiparkinsonian medication with antipsychotic |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                   | <b>Exposure period</b> |
|---------------------------------|--|--|---|------------------------|
| Sernyak, 2002<br>United States  | Veterans Health Administration<br>of the Department of Veterans<br>Affairs (VA)  | Retrospective                                    | October 1, 1999 to<br>September 30 1999 | 4 mos                  |
| Shajahan, 2009, Scotland        | Chart Review: Lanarkshire,<br>Scotland   | Retrospective                                    | 2002-2007                               | ≤5 ys                  |
| Sharif, 2000<br>United States   | Creedmoor Psychiatric Center,<br>Columbia University   | Retrospective                                    | 12 WK                                   | 4 WK                   |
| Snaterse, 2000<br>Canada        | Alberta Hospital Edmonton  | Retrospective                                    | 12 mos                                  | 12 mos                 |
| Soholm, 2003<br>Denmark         | Patient records from the<br>Psychiatric University Clinic,<br>Rigshospitalet, Copenhagen<br>University Hospital, Denmark | Retrospective                                    | >1997                                   | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|---|--|---|
| Sernyak, 2002<br>United States  | Clozapine, olanzapine, risperidone, quetiapine  | Patients prescribed to study drugs   | Mean age: 52.6 ys<br>5.2% Female<br>African-American: 25%<br>Hispanic: 4.3%                                   |
| Shajahan, 2009, Scotland        | Aripiprazole (N=89): starting dose: 10.2 mg/d, max dose 18.7 mg/d; Quetiapine (N=132): starting dose 91 mg/d, max dose 422 mg/d | Diagnosed schizophrenia and related psychoses, onset of treatment with either drug after 2002, and more than one mental health contact | Mean age (Aripiprazole/Quetiapine): 39.6 ys/36.7 ys; % Male (Aripiprazole/Quetiapine): 58%/52%; Ethnicity: NR |
| Sharif, 2000<br>United States   | Clozapine: 520 mg/d<br>Risperidone: 7.5 mg/d  | Schizophrenia, schizoaffective disorder  | Mean age: 35.9 ys<br>54% Male<br>White: 63%<br>Black: 21%<br>Hispanic: 13%<br>Asian: 4%                       |
| Snaterse, 2000<br>Canada        | Risperidone(N=35): 4.17 mg/d<br>Olanzapine(N=21): 15.24 mg/d  | Schizophrenia, schizoaffective disorder  | Mean age: 38.8 ys<br>40.5% Female<br>Ethnicity NR   |
| Soholm, 2003<br>Denmark         | 1st line of treatment: conventional antipsychotic or clozapine<br>2nd line of treatment: atypical antipsychotic                 | Schizophrenia, schizotypal disorder, or schizoaffective disorder   | Mean age (ys): 38.7<br>% male: 63   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>  | <b>Effectiveness outcomes</b>  |
|---------------------------------|--|--|--|
| Sernyak, 2002<br>United States  | NR/NR/38,632                             | NR/NR/38,682   | Analysis of Association Between Atypicals vs Typical: 95% CI; p-value<br>clozapine: 1.07-1.46; P<0.005<br>olanzapine: 1.04-1.18; P<0.002<br>quetiapine: 1.11-1.55; P<0.002<br>risperidone: 0.98-1.12; P=0.15   |
| Shajahan, 2009, Scotland        | NR/22000/221                             | NR<br>NR<br>221 (89 aripiprazole,<br>132 quetiapine) | Medication discontinuation rates (Aripiprazole/Quetiapine): 45%/42% ; Time to discontinuation (Aripiprazole/Quetiapine): 103 ds/175 ds   |
| Sharif, 2000<br>United States   | NR/NR/24                                 | NR/NR/24   | Patients classified as responders to treatment:<br>clozapine: 14(58%) vs risperidone: 6(25%)<br>Response rates:<br>Positive symptoms: clozapine: 38% vs risperidone: 17%<br>Negative symptoms: clozapine: 29% vs risperidone: 8%<br>Aggressive symptoms: clozapine: 71% vs risperidone: 41%  |
| Snaterse, 2000<br>Canada        | NR/NR/56                                 | NR/NR/56   | Time to initial response:<br>R: 14.3 ds vs O: 30.9 ds; P<0.00001<br>Time to discharge:<br>R: 36.6 ds vs 58.2 ds; P=0.0201  |
| Soholm, 2003<br>Denmark         | NR/71/57                                 | NR/NR/57   | Significantly more individuals were in the olanzapine group than in the risperidone group (P=0.0001)<br>Most common diagnosis of individuals was schizophrenia<br>67% of those treated with newer atypical antipsychotics as the first line of treatment, stayed on treatment for the duration<br>Those taking olanzapine had significantly fewer ds in the hospital (P=0.001) |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Sernyak, 2002<br>United States  | NR  |                 |
| Shajahan, 2009, Scotland        | NR  |                 |
| Sharif, 2000<br>United States   | Response rates: Clinical Global Impressions-Improvement scores $\leq 2$ :<br>Global rating: R: 25% vs C: 58%<br>Positive symptoms: R: 17% vs C: 38%<br>Negative symptoms: R: 8% vs C: 29%<br>Aggressivity: R: 41% vs C: 71% |                 |
| Snaterse, 2000<br>Canada        | Re-admission rate at 12 mos:<br>R: 31.4% vs O: 61.9%; P=0.026   |                 |
| Soholm, 2003<br>Denmark         | No significant differences were found between groups for adverse effects. The severity of extrapyramidal symptoms was generally reduced in all groups.  |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b>   | <b>Sampling frame</b>     | <b>Exposure period</b> |
|----------------------------------|--|--|---------------------------|------------------------|
| Still, 1996<br>United States     | a 400-bed state psychiatric<br>hospital  | Prospective  | April to August 1994      | 12 WK                  |
| Strous, 2006<br>Israel           | Clinic visits  | Prospective  | NR                        | 12 WK                  |
| Su, 2005<br>Taiwan               | Clinic visits  | Prospective  | NR                        | 3 mos                  |
| Sumiyoshi, 2004<br>United States | Outpatient community mental<br>health center (Mental Health<br>Cooperative at Nashville, TN) | Prospective (with<br>retrospective<br>epidemiologic<br>survey of clinical<br>and demographic<br>information) | February 2001 to May 2002 | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|----------------------------------|---|--|---|
| Still, 1996<br>United States     | Patients switched from clozapine to risperidone. Risperidone titrated a week to 3mg bid. The mean dosage for the five subjects who completed 12 WK treatment is 7.6 mg at week 9 and 8 mg at week 12. | Schizophrenia or schizoaffective disorder  | Mean age=41.2 ys<br>60% male<br>Ethnicity: NR                               |
| Strous, 2006<br>Israel           | Risperidone, olanzapine, clozapine  | Schizophrenia or schizoaffective disorders   | Mean age=36.7<br>58.0% male<br>Race NR                                      |
| Su, 2005<br>Taiwan               | Olanzapine 7.9mg, risperidone 2.5mg   | DSM-IV criteria for schizophrenia; poor or partial response to current antipsychotic (olanzapine or risperidone) for at least 3 mos  | Mean age=35.7<br>53% male<br>Ethnicity NR                                   |
| Sumiyoshi, 2004<br>United States | Clozapine, Risperidone, Olanzapine or Quetiapine  | Patients who visited the mental health center during the sampling frame and if he or she was receiving clozapine, risperidone, olanzapine or quetiapine<br><br>46.6% diagnosed with schizophrenia spectrum disorders | Mean age (SD): 42.9 (10.6) ys<br>56.9% male<br>60.3% white; 39.7% non-white |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>  | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|----------------------------------|--|---|--|
| Still, 1996<br>United States     | NR/NR/10                                 | 5/0/5   | No subjects improved after being switched to risperidone<br>PANSS, LPCF increased from baseline, but no significant changes: patients who were switched from clozapine tended to worsen when taking risperidone (data NR)<br>The mean total scores on the PANSS, the PANSS positive symptom subscale and the BPRS met the study's 20% criterion for a clinically significant change at week 6 through week 12 (data NR)<br>CGI scores: 2 no change; 3 minimally worse; 4 much worse; 1 very much worse |
| Strous, 2006<br>Israel           | NR/NR/131                                | 0/0/131   | NR   |
| Su, 2005<br>Taiwan               | NR/30/15                                 | NR/NR/15  | NR   |
| Sumiyoshi, 2004<br>United States | NR/NR/116                                | NR/NR/116   | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country          | Safety outcomes   | Comments |
|----------------------------------|---|----------|
| Still, 1996<br>United States     | 3 decreased concentration<br>3 impaired memory<br>4 irritability<br>3 akathisia, confusion<br>Akathisia scale showed significant different worsening of symptoms  |          |
| Strous, 2006<br>Israel           | Proportional increase in weight:<br>Clozapine=6.9%<br>Olanzapine=2.7%<br>Risperidone=2.1%<br>2x3x2 ANOVA results (gender and group as between-subjects and time as within subjects factors):<br>F(2,128)=8.52, p<0.0001<br>Post-hoc Tukey-HSD 2x2 comparisons: Clozapine vs olanzapine (p<0.05) and vs risperidone (p<0.05)   |          |
| Su, 2005<br>Taiwan               | <u>Change in Mean Body Weight in kg: Baseline/endpoint (% change)</u><br>Olanzapine (after switch from risperidone): 70.1/66.1 (-6%), p=0.049<br>Risperidone (after switch from olanzapine): 65.9/69.9 (+6%), p=0.008<br><br><u>Change in BMI: Baseline/endpoint (% change)</u><br>Olanzapine (after switch from risperidone): 25.7/24.2 (-6%), p=0.04<br>Risperidone (after switch from olanzapine): 24.8/25.9 (+4%), p=NS |          |
| Sumiyoshi, 2004<br>United States | Nonparametric survival analysis indicated no statistically significant difference in time to onset of type 1 and type 2 diabetes mellitus between clozapine (median: 112 ds; mean (SD): 495.6 (738.4) ds), risperidone (median: 502 ds; mean (SD): 789.8 (829.9) ds), and olanzapine (median: 399 ds; mean (SD): 602.8 (574) ds). P=0.43  |          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b>               | <b>Sampling frame</b> | <b>Exposure period</b>   |
|---------------------------------|--|--|-----------------------|--|
| Swanson, 2004<br>United States  | Medical records from the North Carolina site of the Schizophrenia Care and Assessment Prog | Retrospective  | 1997 to 1999          | 3 ys   |
| Tadger, 2008, Israel            | Inpatients and their files from inpatient rehabilitation and d care units                  | Prospective (some data was collected retrospectively, however) | NR                    | One y or longer for patients treated with second-generation antipsychotic agents; NR for patients treated with first-generation antipsychotics |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>              | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|---|---|--|
| Swanson, 2004<br>United States  | Olanzapine<br>Risperidone                       | Schizophrenia-related disorders   | Mean age (ys): 46.1<br>% male: 56<br>% African-American: 67.7                    |
| Tadger, 2008, Israel            | Typical antipsychotics, risperidone, olanzapine | Inpatients treated with second-generation antipsychotics for 1+ y (n=70), and inpatients treated with first-generation antipsychotics (n=30).<br>91% of subjects were diagnosed with schizophrenia, 9% were diagnosed with other psychiatric disorders. | <u>Mean age: 47.4±12.4 ys</u><br><u>Gender: 60% male</u><br><u>Ethnicity: NR</u> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>                                | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|---------------------------------|---|---|--|
| Swanson, 2004<br>United States  | NR/NR/124   | NR/NR/124   | Olanzapine takers had a reduced probability of violence over time<br>Trend toward greater compliance with medication among those who remained on olanzapine therapy for > 12 mos (OR=1.94, p=0.07) |
| Tadger, 2008, Israel            | NR<br>NR<br>100 (risperidone<br>N=40, olanzapine<br>N=30, typical N=30) | NR<br>NR<br>NR                                      | N/A  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Swanson, 2004<br>United States  | NR  |                 |
| Tadger, 2008, Israel            | <b>Increase/decrease in BMI (%):</b><br><u>-1.00 (lost weight):</u><br>typical=23.3<br>risperidone=17.9<br>olanzapine=6.9<br><u>0.00 (maintained weight):</u><br>typical=50.0<br>risperidone=59.0<br>olanzapine=48.3<br><u>1.00 (gained weight):</u><br>typical=26.7<br>risperidone=17.9<br>olanzapine=37.9<br><u>2.00 (gained weight):</u><br>typical=N/A<br>risperidone=5.1<br>olanzapine=3.4<br><u>3.00 (gained weight):</u><br>typical=N/A<br>risperidone=N/A<br>olanzapine=3.4 |                 |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>    | <b>Exposure period</b> |
|---------------------------------|--|--|--------------------------|------------------------|
| Taylor, 2006<br>UK- Scotland    | NR   | Prospective                                      | 2002 plus 6 mo follow-up | 6 mos                  |
| Taylor, 2003<br>UK              | U.K. Risperidone Olanzapine<br>Drug Outcomes Studies in<br>Schizophrenia prog (RODOS-<br>UK) | Retrospective                                    | 4 mos                    | NR                     |
| Taylor, 2008, Scotland          | Case record review: Lankshire,<br>Scotland   | Retrospective                                    | February 2002-June 2005  | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>   |
|---------------------------------|--|---|---|
| Taylor, 2006<br>UK- Scotland    | At 6 mos mean doses were amisulpride (n=16) 487.5mg, for clozapine (n=12) 429 mg, for olanzapine (n=65) 13.7 mg, for quetiapine (n=8) 350 mg, and for risperidone (n=56) 3.4 mg. | All patients from adolescent, adult, and old age psychiatry in the Greater Glasgow area (population -1.0 million) with a clinical diagnosis (from a senior psychiatrist) of schizophrenia or schizophreniform disorder. | Mean age 45.9 ys<br>51% male<br>Ethnicity- NR   |
| Taylor, 2003<br>UK              | risperidone: 5.5+2.4 mg/d<br>olanzapine: 14.1+4.7 mg/d   | Schizophrenia, schizoaffective disorder   | Mean age: 36.2 ys<br>68.5% male<br>Ethnicity NR   |
| Taylor, 2008, Scotland          | Mean Dose for Schizophrenia (Amisulpride/Olanzapine/Quetiapine/Risperidone /Clozapine): 589/15.5/441/6.0/427 mg/d  | Schizophrenia or related psychoses (aged 16-65), and initiation of treatment with SGAs after EPR reviews commenced  | Mean age (Amisulpride/Olanzapine/Quetiapine/Risperidone /Clozapine): 41/40/41/43/37 ys; % Male (Amisulpride/Olanzapine/Quetiapine/Risperidone /Clozapine): 63/64/38/62/65%; Ethnicity: NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country      | Exposed<br>Eligible<br>Selected       | Withdrawn<br>Lost to follow-up<br>Analyzed | Effectiveness outcomes  |
|------------------------------|---------------------------------------|--|---|
| Taylor, 2006<br>UK- Scotland | NR study started with<br>373 patients | 81/ NR/ 101                                | Mean change from baseline and % change<br>CGI Amisulpride 0.85 19% Clozapine 1.80 34% Olanzapine 1.18 33% Quetiapine 0.83 11%<br>Positive symps Amisulpride 0.55 30% Clozapine 1.50 54% Olanzapine 0.9 51% Quetiapine 0.67 26%<br>Negative symps Amisulpride 0.40 24% Clozapine 0.40 20% Olanzapine 0.26 11% Quetiapine 1.00<br>39%<br>Side effects, Amisulpride 0.87 54% (1.5) Clozapine 0.10 13% Olanzapine 0.90 51% Quetiapine 1.50<br>53%<br>QOL, Amisulpride 0.38 15% Clozapine 1.10 34% (1.7) Olanzapine 0.96 36% Quetiapine 1.17 31%   |
| Taylor, 2003<br>UK           | NR/NR/501                             | NR/NR/499                                  | % of effectiveness:<br>R: 78% vs O: 74%; P=.39<br>Mean time to onset of effectiveness:<br>R: 17.6 ds vs O: 22.4 ds; P=.01<br>Mean ds in hospitalization:<br>R: 58 ds vs R: 49 ds; P=.007  |
| Taylor, 2008, Scotland       | NR<br>11250<br>1464                   | NR<br>NR<br>1464                           | <u>Medication discontinuation rates (Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine):</u><br><u>51/41/36/28/18%; Adjusted discontinuation rates</u><br><u>(Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine): 0.71/0.64/0.54/0.53/0.25; Medication</u><br><u>discontinuation rate due to side effects (Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine):</u><br><u>35/32/46/0/14%; Medication discontinuation rate due to inefficacy</u><br><u>(Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine): 33/28/36/73/0%; Medication</u><br><u>discontinuation rate due to 'other' (Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine):</u><br><u>32/40/18/27/86%; Mean number of ds to discontinuation</u><br><u>(Amisulpride/Olanzapine/Quetiapine/Risperidone/Clozapine): 232/256/191/152/427 ds</u> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b>                              |
|---------------------------------|--|--|
| Taylor, 2006<br>UK- Scotland    | NR   |  |
| Taylor, 2003<br>UK              | % of patients discontinued due to side effects:<br>R: 3.7% vs O: 2.3%<br>Events reported: body as a whole, central/peripheral nervous system, psychiatric, GI, metabolic/nutritional, heart rate/rhythms |  |
| Taylor, 2008, Scotland          | NR   | Max doses were NR but results were discussed |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>               | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>   | <b>Exposure period</b>  |
|---------------------------------|--------------------------------------|--|---|---|
| Taylor, 2009, UK                | Pharmacy computer records            | Retrospective                                    | <u>Clozapine group</u> : March 2002-October 2006<br><u>Risperidone group</u> : August 2002-October 2004 | Clozapine/Risperidone<br>Mean duration of treatment (mos) (mean±SD):<br>12.3±18.6/5.9±8.7 |
| Tihonen, 2011<br>Finland        | National Hospital Discharge Register | Retrospective                                    | 2000-2007   | Mean: 2 years   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Interventions<br>mean dose  | Population  | Age<br>Gender<br>Ethnicity   |
|--------------------------|---|---|--|
| Taylor, 2009, UK         | Clozapine/Risperidone:<br>Mean dose at cessation (mg/d) (mean±SD):<br>360±159/34.5±12.2   | 161 Clozapine discontinuers matched<br>with 161 Risperidone discontinuers | Clozapine/Risperidone<br><u>Age at discontinuation (mean±SD) (y):</u><br>40.0±12.6/39.9±13.1<br><u>Gender (n male):</u> 99/99<br><u>Ethnicity (n):</u><br>White: 72/61<br>Black (African/Caribbean): 61/79<br>Asian: 13/9<br>Mixed 15/12 |
| Tihonen, 2011<br>Finland | Median doses:<br>Haloperidol injection, 6.6 mg<br>Olanzapine, 17mg<br>Clozapine, 360mg<br>Risperidone injection, 4.1mg<br>Quetiapine, 560mg<br>Perphenazine injection, 7.7mg<br>Zuclopenthixol injection, 18mg<br>Risperidone oral, 4.5mg<br>Zuclopenthixol oral, 36mg<br>Haloperidol oral, 5.6mg<br>Perphenazine, 24mg | Schizophrenia, first hospitalization                                      | Age, mean (SD): 37.8 (13.7)<br>Gender: 38% female<br>Ethnicity NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country  | Exposed<br>Eligible<br>Selected   | Withdrawn<br>Lost to follow-up<br>Analyzed  | Effectiveness outcomes  |
|--------------------------|---|---|---|
| Taylor, 2009, UK         | Clozapine/Risperidone<br>Exposed: 592/277<br>Eligible: 224/250<br>Selected: 161/161 | Clozapine/Risperidone<br>Withdrawn: NR/NR<br>Lost to FU: NR/27<br>Analyzed: 161/161 |   |
| Tihonen, 2011<br>Finland | 1507/1507/1507  | NA/NA/1507  | <p>Adjusted HR for All-Cause Discontinuation of antipsychotic:<br/> Any injection vs. any oral: HR, 0.41; 95%CI, 0.27-0.61; p&lt;0.0001<br/> Haloperidol injection vs. oral: HR, 0.27; 95% CI, 0.08-0.88; p=0.03<br/> Perphenazine injection vs. oral: HR, 0.32; 95% CI, 0.19-0.53; p&lt;0.0001<br/> Risperidone injection vs. oral: HR, 0.44; 95% CI, 0.31-0.62; p&lt;0.0001<br/> Zuclopenthixol injection vs. oral: HR, 0.75; 95% CI, 0.29-1.89; p=0.54</p> <p>Adjusted HR for Rehospitalization, injection vs. oral:<br/> Any injection vs. any oral: HR, 0.36; 95%CI, 0.17-0.75; p=0.007<br/> Haloperidol injection vs. oral: HR, 0.12; 95% CI, 0.01-1.13; p=0.06<br/> Perphenazine injection vs. oral: HR, 0.53; 95% CI, 0.22-1.28; p=0.16<br/> Risperidone injection vs. oral: HR, 0.57; 95% CI, 0.30-1.08; p=0.09<br/> Zuclopenthixol injection vs. oral: HR, 0.49; 95% CI, 0.11-2.14; p=0.35</p> <p>Adjusted HR for Rehospitalization (oral risperidone reference):<br/> Haloperidol, injection: HR, 0.21; 95%CI, 0.03–1.60; p= 0.13<br/> Clozapine: HR, 0.48; 95%CI, 0.31–0.76; p=0.001<br/> Olanzapine: HR, 0.54; 95%CI, 0.40–0.73; p &lt;0.0001<br/> Risperidone, injection: HR, 0.57; 95%CI, 0.30–1.08; p=0.09<br/> Perphenazine, injection: HR, 0.59; 95%CI, 0.31–1.12; p=0.11<br/> Zuclopenthixol, injection: HR, 0.95; 95%CI, 0.37–2.44; p=0.92<br/> Perphenazine, oral: HR, 1.11; 95%CI, 0.57–2.18; p=0.76<br/> Quetiapine: HR, 1.11; 95%CI, 0.75–1.64; p=0.60<br/> Haloperidol, oral: HR, 1.79; 95%CI, 0.63–5.09; p=0.28<br/> Zuclopenthixol, oral: HR, 1.93; 95%CI, 0.57–6.58; p=0.29</p> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b>                          |
|---------------------------------|--|--|
| Taylor, 2009, UK                | Death as a reason for discontinuation (n, (%)):<br>Clozapine/Risperidone/OR (95% CI)/McNemar's $\chi^2$ , df=1<br>21 (13.0)/3 (1.9)/7 (2.09-23.5)/13.5 (p=0.0003)<br><br>Clozapine/Risperidone:<br>Mortality rate: 8.5 (95%CI 5.53-13.07) per 1000 patient ys/5.3 (95% CI 1.7-16.61) per 1000 patient ys | Funder: Janssen-Cilag,<br>Novartis, IVAX |
| Tihonen, 2011<br>Finland        | NR   |  |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>                  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>   | <b>Exposure period</b>                |
|---------------------------------|---|--|---|---------------------------------------|
| Tiihonen, 2006<br>Finland       | Community care                          | Prospective                                      | 1996-2001   | 3.6 ys                                |
| Tiihonen, 2009<br>Finland       | National Hospital Discharge<br>Register | Retrospective                                    | January 1, 1996 to 2006<br>(because prescription data<br>are available only after 1995) | 11-y follow-up with average of 8.6 ys |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>                  |
|---------------------------------|--|--|--|
| Tiihonen, 2006<br>Finland       | Olanzapine, clozapine, risperidone, oral perphenazine, thioridazine, perphenazine depot, chlorprothixene, chlorpromazine, haloperidol, and levomepromazine | All people in Finland who were hospitalized because of a diagnosis of schizophrenia or schizoaffective disorder; index ages 15–45 ys | Mean age 30.7 ys<br>62% male<br>Ethnicity or race NR |
| Tiihonen, 2009<br>Finland       | First generation and second generation antipsychotic drugs either as monotherapy or combinations, as well as no therapy                                    | All patients in Finland who were admitted with a diagnosis of schizophrenia from Jan 1, 1973, to Dec 31, 2004                        | Mean age: 51 ys<br>46.1% male                        |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>                               | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>  |
|---------------------------------|--|---|--|
| Tiihonen, 2006<br>Finland       | NA- all were included that were hospitalized in Finland                | 0/0/2230  | Hospitalization- Drug and crude RR/adjusted RR (sex, calendar y, age at onset of follow-up, number of previous relapses, duration of index hospitalization, and length of follow-up)<br>Perphenazine depot 0.54 (0.41 to 0.70) 0.54 (0.41 to 0.70)<br>Clozapine 0.79 (0.66 to 0.95) 0.64 (0.53 to 0.77)<br>Olanzapine 0.81 (0.67 to 0.97) 0.67 (0.56 to 0.80)<br>Thioridazine 0.73 (0.59 to 0.91) 0.75 (0.60 to 0.93)<br>Perphenazine oral 0.66 (0.54 to 0.80) 0.77 (0.63 to 0.94)<br>Chlorpromazine 0.83 (0.66 to 1.04) 0.89 (0.71 to 1.12)<br>Chlorprothixene 0.85 (0.68 to 1.06) 0.90 (0.72 to 1.13)<br>Mixed or rare 1.05 (0.89 to 1.25) 0.91 (0.76 to 1.08)<br>Haloperidol oral 1.00 1.00<br>Levomepromazine 1.53 (1.22 to 1.93) 1.01 (0.80 to 1.27)<br>Risperidone 0.89 (0.74 to 1.06) 0.87 (0.73 to 1.05) |
| Tiihonen, 2009<br>Finland       | NA: all patients in Finland admitted with a diagnosis of schizophrenia | NA/NA/66881   | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>  | <b>Comments</b> |
|---------------------------------|---|-----------------|
| Tiihonen, 2006<br>Finland       | 84 patients died during follow-up, no significant differences between drugs but, mortality was more than 10 times higher in patients not taking drugs than in patients currently taking antipsychotic drugs: 75 patients not taking drugs died (3362 person ys) and nine patients taking drugs died (4664 person ys) (adjusted RR 12.3) Twenty six suicides occurred in patients not taking drugs compared with one suicide in patients taking drugs (crude RR 36.1, 4.9–266)   |                 |
| Tiihonen, 2009<br>Finland       | Overall risk of death was lower during the current use of any antipsychotic drug than it was with no antipsychotic use; adjusted HR, 0.68; 95% CI, 0.65 to 0.71; $P<0.0001$ ).<br>Risk of death significantly lower in patients with long term (7-11 ys) antipsychotic treatment than in those who had not used any antipsychotic drugs during follow-up; HR, 0.81; 95% CI, 0.77 to 0.84; $P<0.0001$ )<br>Life expectancy of patients with schizophrenia had not declined during the study period compared with the general population (32.5 ys vs 57.5 ys in 1996 respectively; 37.4 ys vs 59.9 ys in 2006 respectively) |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>         | <b>Exposure period</b> |
|---|--|--|-------------------------------|------------------------|
| Usall, 2009 SOHO<br>(Secondary publication)<br>Reporting on gender<br>differences in<br>Schizophrenia | Same as Haro 2005  | Same as Haro 2005                                | 6 mo analysis                 | NR                     |
| van Winkel, 2008, Belgium   | University Psychiatric Center of<br>the Katholieke Universiteit<br>Leuven in Kortenberg, Belgium | Prospective                                      | November 2003-January<br>2007 | 3 mos                  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>             |
|---|---|--|---|
| Usall, 2009 SOHO<br>(Secondary publication)<br>Reporting on gender<br>differences in<br>Schizophrenia | Male vs female<br>Olanzapine: 11.08 (5.37) vs 10.19 (4.99)<br>Risperidone: 4.67 (2.57) vs 4.09 (2.54)<br>Clozapine: 159.68 (125.03) vs 148.01 (125.63)            | Schizophrenia  | age: 39.7<br>% male: 56.7<br>Ethnicity: NR      |
| van Winkel, 2008, Belgium   | amisulpride = 26.5, 27.9<br>aripiprazole = 28.4, 27.3<br>clozapine = 24.8, 26.5<br>olanzapine = 23.5, 25.8<br>quetiapine = 25.2, 26.8<br>risperidone = 24.9, 25.8 | Patients with schizophrenia or<br>schizoaffective disorder, newly started<br>on or switched to specific atypical<br>antipsychotic medication therapy, with<br>OGTT-confirmed non-diabetic status | Mean age: 33.7 ys; % Male: 60.7%; Ethnicity: NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>   | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|---|--|---|---|
| Usall, 2009 SOHO<br>(Secondary publication)<br>Reporting on gender<br>differences in<br>Schizophrenia | NR/NR/7990                               | NR/NR/7990  | <p>Overall CGI response</p> <p>OR for gender [Female reference category], 95% CI, p-value</p> <p>Olanzapine: 0.88 (0.78 to 1.00), p=0.0460</p> <p>Risperidone: 0.90 (0.74 to 1.10), p=0.2969</p> <p>Clozapine: 0.56 (0.34 to 0.93), p=0.0252</p> <p>Typical cohort: 0.62 (0.48 to 0.82), p=0.0006</p><br><p>EQ-VAS change from baseline, differences in rating by gender (female reference category)</p> <p>Olanzapine: -1.52(-2.53 to -0.50), p=0.0033</p> <p>Risperidone: 0.27 (-1.28 to 1.83), p=0.7300</p> <p>Clozapine: -2.03 (-6.06 to 2.00), p=0.3243</p> <p>Typical cohort: -2.16 (-4.33 to 0.01), p=0.0505</p> |
| van Winkel, 2008, Belgium   | NR/415/183                               | NR  | NR  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country   | Safety outcomes  | Comments  |
|---|--|---|
| Usall, 2009 SOHO<br>(Secondary publication)<br>Reporting on gender<br>differences in<br>Schizophrenia |  |   |
| van Winkel, 2008, Belgium   | <p>8 patients developed diabetes within 3 mos after the start of the atypical antipsychotic, resulting in a 3-mo incidence rate of 4.4% .</p> <p>Initiation of clozapine</p> <p>9.5% of patients initiated on clozapine, 8.0% of patients initiated on olanzapine, 4.2% of patients initiated on quetiapine, and 2.1% of patients initiated on risperidone developed new-onset diabetes, whereas no new cases developed in patients initiated on aripiprazole and amisulpride.</p> <p>5 of the 8 (62.5%) had prediabetic abnormalities at baseline; 3 (37.5%) had no glucose abnormalities.</p> <p>Type of initiation (start or switch) did not affect the metabolic parameters.</p> <p>BMI (kg/m<sup>2</sup>) at baseline and after 3 mos:</p> <p>amisulpride = 26.5, 27.9</p> <p>aripiprazole = 28.4, 27.3</p> <p>clozapine = 24.8, 26.5</p> <p>olanzapine = 23.5, 25.8</p> <p>quetiapine = 25.2, 26.8</p> <p>risperidone = 24.9, 25.8</p> | <p>N (183) was small for assessing the low incidence rates typically reported for diabetes.</p> <p>Study was naturalistic: there was no random allocation of antipsychotic medication which resulted in treatment cohorts of different sizes.</p> |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>              | <b>Data<br/>source</b>                         | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b> | <b>Exposure period</b> |
|--|--|--|-----------------------|------------------------|
| Verma, 2001<br>United States                 | Houston VA Medical Center                      | Retrospective                                    | Average: 25 ds        | NR                     |
| Voruganti, 2000<br>Voruganti, 2002<br>Canada | Western Ontario schizophrenia<br>research prog | Retrospective                                    | NR                    | <u>&gt;6 mos</u>       |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>              | <b>Interventions<br/>mean dose</b>  | <b>Population</b> | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|--|---|-------------------|--|
| Verma, 2001<br>United States                 | risperidone: 2.2 mg<br>olanzapine: 13.2 mg  | Schizophrenia     | Mean age: 71.4 ys<br>100% male<br>71% Caucasian, 23% African-American, 6% Hispanic |
| Voruganti, 2000<br>Voruganti, 2002<br>Canada | Risperidone(N=50): 2-8 mg<br>Olanzapine(N=50): 15-40 mg<br>Quetiapine(N=50): 200-800 mg<br>Switched from following conventional drugs (CAPD): chlorpromazine, fluphenazine, flupenthixol, haloperidol, methotrimeprazine, perphenazine, pimozide, Pipothiazine, trifluoperazine | Schizophrenia     | Mean age: 32.1 ys<br>68.7% male  |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>              | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b> | <b>Effectiveness outcomes</b>   |
|--|--|---|---|
| Verma, 2001<br>United States                 | NR/NR/NR                                 | NR/NR/34  | Changes in scores at discharge:<br>Positive and negative symptoms (PANSS): R: 56.90 vs O: 59.0; P=0.735<br>Extrapyramidal side-effect rating scale (ESRS): R: 23.46 vs O: 20.54; P=0.557<br>Rating scale for side effects (RRSE): R: 8.14 vs O: 7.71; P=0.817   |
| Voruganti, 2000<br>Voruganti, 2002<br>Canada | NR/230/150                               | 15 WDs or lose to<br>FU/135                         | 85% of patients benefitted from switching from conventional to novel antipsychotics<br>8(6%) preferred conventional treatment<br>Remained on maintenance treatment:<br>risperidone 82%<br>olanzapine 86%<br>quetiapine 82%<br><br>CAPD (n=44) vs risperidone (n=50) vs olanzapine (n=48) vs quetiapine (n=42) vs clozapine (n=46)<br>Psychosocial functioning and QOL:<br>Sickness impact profile (SIP): 35.3(13.2)* vs 26.9(14.3) vs 29.1(14.8) vs 28.2(10.6) vs 32.1(18.1)<br>QOL (QLS): 58.8(22.6) vs 63.3(15.3) vs 60.8(15.4) vs 61.4(14.2) vs 58.2(14.8)<br>Global assessment of functioning scale (GAF): 59.8(14.5) vs 61.9(10.5) vs 59.4(8.9) vs 56.8(12.6) vs 57.8(10.6)<br>(*p<0.05 on Tukey tests)<br><br>Mean change in scores after a switch from conventional to the novel antipsychotic drugs<br>risperidone (n=43) vs olanzapine (n=44) vs quetiapine (n=31)<br>Symptoms<br>1. PANSS: -23.63 vs -23.67 vs -21.43<br>a. positive symptoms cluster: -5.18 vs -4.11 vs -4.67<br>b. negative symptoms cluster: -8.2* vs -6.3 vs -5.0<br>c. excited symptoms cluster: -3.68 vs 2.79 vs -1.03<br>d. depressive symptoms cluster: 2.68 vs -6.09* vs -1.70<br>e. cognitive symptoms cluster: -3.89 vs -4.38 vs -9.03*<br>QOL<br>1. QLS: 10.30 vs 9.97 vs 9.87<br>2. GAF: 16.0 vs 15.18 vs 14.67<br>3. SIP: -22.32 vs -20.40 vs -21.20<br>(*p<0.05 on post hoc Tukey tests) |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b>              | <b>Safety outcomes</b>   | <b>Comments</b> |
|--|--|-----------------|
| Verma, 2001<br>United States                 | NR   |                 |
| Voruganti, 2000<br>Voruganti, 2002<br>Canada | <p>CAPD (n=44) vs risperidone (n=50) vs olanzapine (n=48) vs quetiapine (n=42) vs clozapine (n=46)</p> <p>Drug attitude inventory scores:</p> <ol style="list-style-type: none"> <li>1. DAI-30 total: 12.9(10.5) vs 19.4(9.1)* vs 18.9(8.9)* vs 18.2(10.2)* vs 16.2(11.0)</li> <li>2. subjective positive: 3.1(4.2) vs 5.4(3.3)* vs 5.5(2.7)* vs 5.8(3.8)* vs 4.9(3.6)</li> <li>3. subjective negative: 2.4(3.5) vs 3.2(2.8) vs 3.5(2.5) vs 2.7(3.2) vs 2.4(3.3)</li> <li>4. health/illness: 1.7(1.1) vs 1.7(1.8) vs 1.6(1.6) vs 1.5(1.2) vs 1.2(1.9)</li> <li>5. professionals: 1.6(0.9) vs 1.7(0.7) vs 1.1(1.5) vs 1.6(0.9) vs 1.5(1.0)</li> <li>6. control issues: 0.6(1.3) vs 1.4(1.1) vs 1.3(1.2) vs 0.9(1.2) vs 1.2(1.2)</li> <li>7. prevention: 1.1(1.0) vs 1.6(0.9) vs 1.3(1.2) vs 1.5(1.1) vs 1.4(1.7)</li> <li>8. harmful effects: 0.4(1.3) vs 0.9(1.3) vs 0.9(1.2) vs 0.8(1.0) vs 0.6(1.5)</li> </ol> <p>Proportion of dysphoric responders: 7(17%)* vs 3(6%) vs 2(5%) vs 3(7%) vs 3(6.5%)</p> <p>Severity of side effects</p> <ol style="list-style-type: none"> <li>1. Simpson-Angus EPS rating scale: 3.4(2.3)* vs 1.34(2.4) vs 0.9(2.0) vs 1.1(2.2) vs 0.4(1.4)</li> <li>2. BAS: 1.2(1.4) vs 0.8(0.9) vs 0.2(0.6) vs 1(1.2) vs 0.6(1.0)</li> <li>3. AIMS: 1.6(2.1) vs 1.2(2.4) vs 1.4(2.8) vs 1.2(3.2) vs 3.5(5.8)</li> <li>4. LUNSERS: 21.1(9.6)* vs 13.4(9.4) vs 13.4(4.0) vs 12.8(7.2) vs 25.4(15.7)*</li> </ol> <p>(*p&lt;0.05 on Tukey tests)</p> <p>Mean change in scores after a switch from conventional to the novel antipsychotic drugs<br/>risperidone (n=43) vs olanzapine (n=44) vs quetiapine (n=31)</p> <p>Side effects</p> <ol style="list-style-type: none"> <li>1. AIMS: -0.21 vs -0.75 vs -0.12</li> <li>2. BAS: 3.40 vs -4.52 vs -3.96</li> <li>3. SAS: -6.02 vs -6.75 vs -6.67</li> <li>4. LUNSERS: -21.86 vs -23.18 vs -30.7*</li> </ol> <p>Subjective tolerability:</p> <ol style="list-style-type: none"> <li>1. DAI: 11.86 vs 14.6* vs 12.12</li> <li>2. proportion of dysphoric responders in the group (%): -6.9 vs -13.6 vs -9.7</li> </ol> <p>(*p&lt;0.05 on post hoc Tukey tests)</p> |                 |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>   | <b>Exposure period</b> |
|---------------------------------|---|--|---|------------------------|
| Wang, 2002<br>U.S.              | Databases: NJ Medicaid prog<br>& NJ Pharmaceutical<br>Assistance to the Aged &<br>Disabled prog plus Medicare | Retrospective                                    | 6 mos before date of 1st<br>prescription for insulin or oral<br>hypoglycemic agent  | 6 mos                  |
| Weiser, 2000<br>Israel          | Tel-Aviv University Medical<br>School   | Retrospective                                    | NR  | NR                     |
| Wirshing, 2002<br>United States | VA Greater Los Angeles<br>Healthcare System   | Retrospective                                    | Mean duration:<br>clozapine: 43.3 mo<br>olanzapine: 13.5 mo<br>risperidone: 28.6 mo<br>quetiapine: 33.0 mo<br>haloperidol: 37.1 mo<br>fluphenazine: 47.0 mo | NR                     |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>  | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>                                      |
|---------------------------------|---|--|--|
| Wang, 2002<br>U.S.              | clozapine vs<br>other psychiatric agents (includes typical APs<br>and risperidone);<br>Dose and duration of treatment during the 6-mo<br>observation period were included in the analysis | Patients with psychiatric disorders,<br>age>20, enrolled in government-<br>sponsored drug benefit progs in New<br>Jersey. Cases were patients with a 1st<br>prescription (index date) for insulin or<br>oral hypoglycemics between 1990-1995.<br>Controls were patients without diabetes,<br>matched on age, gender, and a randomly<br>assigned index date. Subjects were then<br>selected for analysis if they had a<br>psychiatric diagnosis in the previous 6<br>mos. | Mean age 62.5<br>31.8% male<br>64% white                                 |
| Weiser, 2000<br>Israel          | Haloperidol(N=23): 10 mg/d<br>Olanzapine(N=26): 10.56 mg/d<br>Risperidone(N=27): 4.35 mg/d  | Schizophrenia, schizophreniform<br>disorder  | Mean age: 30.9 ys<br>68% Male<br>Ethnicity NR                            |
| Wirshing, 2002<br>United States | Clozapine, olanzapine, risperidone, quetiapine,<br>haloperidol, fluphenazine/mean doses NR  | Schizophrenia  | Mean age: 51.3 ys<br>94.4% Male<br>47.9% White<br>36.7% African-American |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b> | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>   | <b>Effectiveness outcomes</b>  |
|---------------------------------|--|---|--|
| Wang, 2002<br>U.S.              | NR<br>NR<br>14007                        | NR<br>NR<br>14007 analyzed<br>Cases with diabetes<br>mellitus n=7227<br>Controls without<br>diabetes mellitus<br>n=6780 | NR   |
| Weiser, 2000<br>Israel          | NR/NR/NR                                 | NR/NR/76  | Cognitive functioning as measured by VMT:<br>Higher for olanzapine and risperidone vs haloperidol: P=0.002<br>CPT scores: R: 0.541 vs O: 0.516 vs H: 0.300; F=1.003<br>Calgary Depression Scale: R: 6.73 vs O: 4.53 vs H: 7.75; F=1.974<br>Rey VLT: R: 38.0 vs O: 40.3 vs H: 36.0; F=0.674<br>PANSS: R: 66.8 vs O: 63.3 vs 68.2; F=0.568 |
| Wirshing, 2002<br>United States | NR/590/215                               | 0/0/215   | NR   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b>   |
|---------------------------------|--|---|
| Wang, 2002<br>U.S.              | Adjusted odds of diabetes mellitus associated with clozapine use: 0.98 (95% CI 0.74-1.31)<br>Adjusted odds of DM associated with use of other antipsychotics: 1.13 (95% CI 1.05-1.22)<br>Adjusted odds of DM associated with specific antipsychotics (95% CI):<br>risperidone 0.90 (0.96-1.18)<br>chlorpromazine 1.31 (1.09-1.56)<br>perphenazine 1.34 (1.11-1.62)<br>haloperidol 1.06 (0.96-1.18) | Duration of treatment and previous treatment with clozapine, prior to the 6-mo window of observation were not included in the analysis. |
| Weiser, 2000<br>Israel          | Haloperidol and risperidone suffered more severe EPS vs olanzapine: P=0.023  |   |
| Wirshing, 2002<br>United States | Increase in glucose levels from baseline:<br>clozapine: +14%; p=.05<br>olanzapine: +21%; p=.03<br>haloperidol: +7%; p=.04<br>Increase/decrease in total cholesterol levels from baseline:<br>risperidone: -6%, p=.04<br>fluphenazine: -6%; p=.04<br>13% of olanzapine patients (4) required increases in doses of lipid-lowering agents after beginning treatment                                  |   |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>  | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>          | <b>Exposure period</b> |
|---------------------------------|---|--|--------------------------------|------------------------|
| Yood, 2009<br>U.S.A.            | 3 sites:<br>Kaiser Permanente Health<br>Plan, Northern California;<br>HealthCore Integrated<br>Research Network;<br>PharMetrics | Retrospective                                    | Nov 2002 through March<br>2005 | minimum 45 ds          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>   | <b>Population</b>   | <b>Age<br/>Gender<br/>Ethnicity</b>                      |
|---------------------------------|--|---|--|
| Yood, 2009<br>U.S.A.            | % of inception cohort (N=56,037)<br>Aripiprazole 4.5%<br>Clozapine 0.1%<br>Olanzapine 22.2%<br>Quetiapine 18.2%<br>Risperidone 19.6%<br>Ziprasidone 2.9%<br>Typical antipsychotics 10.5%<br>Mean dose NR | Inception cohort subset: all patients aged 18 and older exposed to typical or atypical antipsychotics for at least 45 ds and continuously enrolled in the database for at least 3 mos before and 6 mos after the index date with no evidence of diabetes anytime before the index date, and no previous antipsychotic prescription filled within 3 mos before the index date. | Mean (SD) age: 45.1 (19.4)<br>39.7% male<br>Ethnicity NR |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Exposed<br/>Eligible<br/>Selected</b>   | <b>Withdrawn<br/>Lost to follow-up<br/>Analyzed</b>   | <b>Effectiveness outcomes</b> |
|---------------------------------|--|---|-------------------------------|
| Yood, 2009<br>U.S.A.            | 77946 = simple cohort<br>56037 eligible as inception cohort<br>All eligible were included in analysis. | No WDs, no loss to followup: subjects selected based on continuous enrollment for 6 mos after index date.<br>56,037 analyzed. | NR                            |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b>   |
|---------------------------------|--|---|
| Yood, 2009<br>U.S.A.            | <p>Olanzapine and clozapine were associated with increased risk of diabetes. Aripiprazole, ziprasidone, risperidone, and quetiapine did not show an increased risk.</p> <p>HR (95% CI) for incident diabetes adjusted for sex, study site, history of AP use, exposure to other pharmacotherapy, overweight, schizophrenia and bipolar disorder code: (Typical antipsychotic = referent)</p> <p>Aripiprazole: 0.93 (0.50, 1.76)</p> <p>Clozapine: 2.58 (0.76, 8.80); p=0.13 (based on 3 events in 147 exposed patients)</p> <p>Olanzapine: 1.71 (1.12, 2.61); p=0.01 (based on 139 events in 17119 exposed patients)</p> <p>Quetiapine: 1.04 (0.67, 1.62)</p> <p>Risperidone: 0.85 (0.54, 1.36)</p> <p>Ziprasidone: 1.05 (0.54, 2.08)</p> <p>Multiple: 1.29 (0.64, 2.62)</p> | The effect estimate for clozapine is imprecise due to the small N's |

Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia

| Author, year<br>Country | Data<br>source                        | Prospective<br>Retrospective<br>Unclear | Sampling frame  | Exposure period                  |
|-------------------------|---------------------------------------|---|-----------------|----------------------------------|
| Yu, 2008<br>U.S.A.      | Pennsylvania Medicaid claims<br>data. | Retrospective                           | 4 ys: 1999-2003 | 12 mos after index prescription. |
| Yu, 2009<br>USA         | Pennsylvania Medicaid claims<br>data. | Retrospective                           | 1999-2003       | 2 years                          |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Interventions<br>mean dose   | Population   | Age<br>Gender<br>Ethnicity  |
|-------------------------|--|--|---|
| Yu, 2008<br>U.S.A.      | Olanzapine (N=6929) or quetiapine (n=2321) monotherapy for 30 ds or longer, classified based on the initial atypical antipsychotic received during the observation period, regardless of switching pattern. Dose NR. | Adult schizophrenia patients aged 18-64 who were continuously enrolled at least 1 y before and 1 y after the index prescription date, received a do-d monotherapy of either olanzapine or quetiapine after a 90-d washout period during June 2000 to June 2002. Excluded patients who had a managed care organization claim on or after the index prescription date. | Quetiapine (N=2321) vs. olanzapine (6929) // olanzapine cohort (N=2321) matched on propensity score:<br>Mean age: 41.3 vs 42.8 // 41.6<br>% male: 39.9% vs 52.8% // 40.2%<br>% White: 65.5% vs 55.2% // 64.3%<br>% Black: 28.3% vs 36.7% // 29.1%<br>% Hispanic: 2.0% vs 3.2% // 1.9% |
| Yu, 2009<br>USA         | Olanzapine<br>Quetiapine<br>Mean dose, NR  | Schizophrenia, 18-64 years, new prescription for olanzapine or quetiapine  | Age: 42.4<br>Gender: 50.5% female<br>Ethnicity: 57.8% White, 34.6% Black, 2.9% Hispanic, 4.7% Other   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country | Exposed<br>Eligible<br>Selected  | Withdrawn<br>Lost to follow-up<br>Analyzed   | Effectiveness outcomes   |
|-------------------------|--|--|--|
| Yu, 2008<br>U.S.A.      | Exposed: 22167 had a pharmacy claim for either drug within index window (2000-2002)<br>Eligible: 9250 met all criteria<br>Selected: all eligible were included | No WDs, no loss to followup: subjects selected based on continuous enrollment for 12 mos<br>4642 analyzed. | <p>Compared with quetiapine, patients treated with olanzapine had significantly fewer psychiatric hospitalizations, lower pharmacy utilization, and lower medical service costs.</p> <p>Olanzapine (N=2321) vs quetiapine (N=2321):</p> <p>% any psychiatric hospitalization: 28.8% vs 34.0%; p=0.0001</p> <p>% any emergency visit: 47.0% vs 52.0%; p=0.0007</p> <p>Any use of clozapine: 4.6% vs 7.1%; p=0.0003</p> <p>Any use of antidepressants: 65.0% vs 71.3%; p&lt;0.0001</p> <p>Any use of mood stabilizers: 51.9% vs 57.9%; p&lt;0.0001</p> <p>Any use of benzodiazepines/hypnotics/anxiolytics: 47.6% vs 52.1%; p=0.0020</p> <p>Mean (SD) psychiatric costs, \$: 7352 (14,282) vs 9037 (16,904); p=0.0002</p> <p>Mean (SD) psychiatric hospitalization costs, \$: 3149 (10,638) vs 4220 (13,838); p=0.0024</p> <p>Mean (SD) psychotropic drug costs excluding index drug, \$: 1828 (2131) vs 2459 (2477); p&lt;0.0001</p> <p>Total mean (SD) costs: 16,028 (19,182) vs 17,232 (19,162); p=0.0279</p> <p>Reduction in costs (postindex minus preindex), adjusted for baseline characteristics:</p> <p>Medical service cost: \$2106 vs \$869 p=0.0046</p> <p>Psychiatric cost: \$2017 vs \$587; p=0.0004</p> <p>Psychiatric hospitalization cost: \$1566 vs \$574; p=0.0043</p> <p>Drug cost: \$3578 vs \$3304; p=0.0059</p> <p>Psychotropic drug cost: \$3097 vs \$2736; p&lt;0.0001</p> <p>Total costs: \$1473 vs \$2435; p=0.0320</p> |
| Yu, 2009<br>USA         | 29265/9250/9250  | NA/NR/9250   | <p>Olanzapine vs. Quetiapine</p> <p>Adherence, Medication Possession Ratio: 0.47 vs. 0.43, p&lt;0.0001</p> <p>6-month discontinuation: 65.6% vs. 63.7%, p=0.6666</p> <p>6-month switch to other antipsychotic: 11.0% vs. 10.6%, p=0.6691</p>   |

Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia

| Author, year<br>Country | Safety outcomes   | Comments |
|-------------------------|---|----------|
| Yu, 2008<br>U.S.A.      | Use of antiparkinsonian medication during 12-mo postindex period was slightly but significantly lower with olanzapine vs quetiapine: 25.9% vs 28.9%; p=0.0214 |          |
| Yu, 2009<br>USA         | NR  |          |



**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling frame</b>                     | <b>Exposure period</b> |
|---------------------------------|--|--|---|------------------------|
| Zhang, 2007, China              | Randomly recruited inpatients from Beijing Hui-Long-Guan Hospital, Beijing City, China | Both? (cross-sectional)                          | NR  | 7.5 ± 6.5 ys           |
| Zhao, 2002<br>United States     | IMS Health Lifelink: Integrated Claims Solutions                                       | Retrospective                                    | Average: 181-217 ds                       | NR                     |
| Zhao, 2002<br>United States     | Database: IMS Health Life Link: Integrated Claims Solutions                            | Retrospective                                    | October 1, 1996 through December 31, 1998 | 1 y                    |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Interventions<br/>mean dose</b>                             | <b>Population</b>  | <b>Age<br/>Gender<br/>Ethnicity</b>  |
|---------------------------------|--|--|--|
| Zhang, 2007, China              | Mean dose (in chlorpromazine equivalents): 419<br>± 337.6 mg/d | Chronic schizophrenic patients<br>(chronically treated with clozapine,<br>risperidone or typical antipsychotics) and<br>healthy control subjects | Subjects/Controls<br>Mean age (ys): 47.3/46.2<br>% male: 73.4/72%<br>Ethnicity: 100% Han Chinese for both subjects<br>and controls |
| Zhao, 2002<br>United States     | risperidone(N=985): 4.02 mg<br>olanzapine(N=348): 10.49 mg     | Schizophrenia  | Mean age: 48.6 ys<br>53.5% male<br>Ethnicity NR  |
| Zhao, 2002<br>United States     | Olanzapine= 10.45mg/d<br>Risperidone= 3.32mg/d                 | Schizophrenia  | Olanzapine/Risperidone:<br>Mean age (ys)=48.9/52.4<br>% female=44.4/52.2   |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| Author, year<br>Country     | Exposed<br>Eligible<br>Selected      | Withdrawn<br>Lost to follow-up<br>Analyzed  | Effectiveness outcomes   |
|-----------------------------|--------------------------------------|---|--|
| Zhang, 2007, China          | NR/NR/124patients<br>and 50 controls | Withdrawn: NR<br><u>Lost to FU: NR</u><br><u>Analyzed:</u><br>124 schizophrenic<br>patients (clozapine<br>n=57, risperidone<br>n=23, typical<br>antipsychotics n=44)<br>50 healthy controls | NA   |
| Zhao, 2002<br>United States | NR/NR/1333                           | 0/0/1333  | Average ds of treatment:<br>O: 217 vs R: 181; P<.0001  |
| Zhao, 2002<br>United States | 745/670/670                          | NR/NR/670   | <u>Duration of treatment:</u><br><u>Olanzapine= 213 ds</u><br><u>Risperidone= 162 ds</u><br><u>After controlling for patient demographics, patients initiated on olanzapine stayed on therapy 29.4% longer than those initiated on risperidone (P&lt;0.0001)</u><br><u># of patients with &gt;80% of ds of receiving medication of interest:</u><br><u>Olanzapine= 176 of 423 (41.6%)</u><br><u>Risperidone= 64 of 247 (25.9%)</u><br><u>Incidence of switching:</u><br><u>Patients in olanzapine group were significantly less likely to switch to risperidone than vice versa (OR=0.275, P&lt;0.0001, 95% CI 0.43-0.95)</u><br><u>Use of concomitant medications:</u><br><u>Olanzapine group significantly less likely to be prescribed an anti-Parkinsonian medication than risperidone group (OR=0.639, P=0.03, 95% CI 0.43-0.95) and had fewer treatment ds with such medications (27.4% fewer ds, P&lt;0.0001)</u> |

**Evidence Table 3. Observational studies of safety and adverse events in patients with schizophrenia**

| <b>Author, year<br/>Country</b> | <b>Safety outcomes</b>   | <b>Comments</b>                   |
|---------------------------------|--|-----------------------------------|
| Zhang, 2007, China              | BMI values: subjects (male/female):<br>$23.9 \pm 3.5/25.8 \pm 3.6$<br>BMI values: controls (male/female):<br>$21.5 \pm 1.9/22.4 \pm 2.1$<br>BMI values when matched for BMI on a 1:1 basis: subjects (male/female):<br>$21.5 \pm 1.9/22.5 \pm 1.9$<br>BMI values when matched for BMI on a 1:1 basis: controls (male/female):<br>$21.2 \pm 1.8/22.4 \pm 2.0$<br>BMI/BMI gain ( $\text{kg/m}^2$ ) by drug class:<br>Typical: $23.7 \pm 3.2/2.5 \pm 3.1$<br>Clozapine: $25.4 \pm 3.4/3.9 \pm 3.2$<br>Risperidone: $22.9 \pm 4.1/1.5 \pm 3.7$ | Limited number of female patients |
| Zhao, 2002<br>United States     | NR   |                                   |
| Zhao, 2002<br>United States     | NR   |                                   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b> | <b>Non-biased selection?</b>   | <b>Low overall loss to follow-up?</b>   | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b>   |
|---------------------|--|---|--|---|---|
| Advokat, 2004       | No, excluded patients with incomplete data   | No withdrawals reported   | Yes  | Yes   | No, ratings probably unblinded because performed by psychologists/ psychiatrists on staff at hospital                     |
| Advokat, 2004       | Yes for overall group; but unclear for subset for which length of stay was determined, which was only those who were discharged during study period and N was NR | Unclear; implied that length of stay not available for all patients, but N=NR                                   | Yes for some, no for length of stay.       | No  | Unclear   |
| Agelink, 2001       | Method NR, unable to determine.  | Yes (9%)  | Yes  | Yes   | Yes   |
| Akkaya, 2007        | Yes  | NA: retrospective analysis excluded 32.7% of pts with an initial admission and diagnosis but no follow-up visit | Yes  | Yes   | Possible missing data inherent in chart review - AEs not gathered uniformly - but direction of potential bias is unknown. |
| Alvarez, 1997 Spain | No: AE withdrawals during first 3 weeks not included   | NR  | Yes  | Yes   | Yes   |
| Al-Zakwani, 2003    | No, excluded patients who had a behavioral health benefit carve-out and those who were not continuously enrolled for 18 mos                                      | No withdrawals reported.  | Yes  | Yes   | NR  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>    | <b>Statistical analysis of potential confounders?</b>   | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b> |
|------------------------|---|--|-----------------------------------|-----------------|
| Advokat, 2004          | No and only baseline demographic data reported; unclear if differences in prognostic factors  | Yes                                    | Poor                              |                 |
| Advokat, 2004          | No and there were differences between groups in rates of patients taking concomitant typical AP's : olanzapine= 57%, risperidone=38%, quetiapine = 64%, and clozapine = 14% | No; $\geq 3$ mos                       | Poor                              |                 |
| Agelink, 2001          | Yes   | Yes                                    | Fair                              |                 |
| Akkaya, 2007           | Yes; bivariate comparisons  | N/A                                    | Fair                              |                 |
| Alvarez, 1997<br>Spain | NR  | Yes                                    | Fair                              |                 |
| Al-Zakwani, 2003       | Yes   | Yes                                    | Fair                              |                 |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year   | Non-biased selection?  | Low overall loss to follow-up?  | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?  |
|--|--|---|-------------------------------------|--|---|
| Ascher-Svanum, 2004<br>US-SCAP Study Interim Results | Not entirely clear. Broad range of patients enrolled, with few exclusion criteria but method of obtaining participants not described well enough to determine. Also, for this sub-study, patients discontinuing treatment prior to 1 year were excluded. | None  | Yes                                 | Yes  | No. Data extracted from medical records. Methods not described (e.g. blinding, validation). |
| Ascher-Svanum, 2008                                  | Yes  | Yes   | Yes                                 | Yes  | Yes   |
| Atkin, 1996<br>UK/Ireland                            | Yes  | NR  | Yes                                 | Yes  | Yes   |
| Barak, 2004  | No, excluded patients without treatment charts   | Yes (retrospective study)   | Yes                                 | Yes  | Unclear if database/patient chart reviewer was blind to suicide status                      |
| Bobes, 2003b   | Unclear if the inception cohort (n=901) represented ALL patients hospitalized for an acute psychotic episode during the specified time period; unclear how sample narrowed down to 158   | Unclear for the process of narrowing the sample from 901 to 158; low for LTFU among the 158 | Yes                                 | Yes  | Unclear if the person(s) that administered the instruments were blinded                     |
| Bond, 2004   | No, excluded patients: (1) didn't express goal of employment; (2) were noncompliant with medications; (3) didn't complete baseline interview; (4) discontinued early; (5) switched medications during the study  | Withdrawals not reported  | Yes                                 | Yes  | Unclear; no information about how the Vocational Placement Scale was administered           |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>                                  | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b> |
|--|--|--|-----------------------------------|-----------------|
| Ascher-Svanum, 2004<br>US-SCAP Study Interim Results | Yes  | Yes                                    | Fair                              |                 |
| Ascher-Svanum, 2008                                  | Yes  | Yes                                    | Fair                              |                 |
| Atkin, 1996<br>UK/Ireland                            | NR   | Yes                                    | Fair                              |                 |
| Barak, 2004  | No; only commented regarding similarities in gender, age, distribution of diagnoses  | Unclear                                | Fair                              |                 |
| Bobes, 2003b   | Partial; only covariates were baseline score and years since diagnosis   | Yes                                    | Poor                              |                 |
| Bond, 2004   | No; only attempted adjustment for the few baseline differences in concomitant medication use, indicated adjustment didn't materially change the results, so presented unadjusted results | Yes                                    | Poor                              |                 |



**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                   | Non-biased selection?                         | Low overall loss to follow-up?   | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods? |
|--------------------------------|---|--|-------------------------------------|--|--|
| Brown, 2005                    | No, excluded people who died during follow-up | There was differential loss to F/U<br>Loss to F/U reported as 6/88 (6.8%) for ziprasidone; 27/103 (26%) for olanzapine | Yes                                 | Yes  | Unclear; chart review not duplicated           |
| Buckman, 1999<br>United States | Unclear                                       | NR   | No                                  | No   | Unclear  |
| Caro, 2002<br>Quebec           | Yes   | NR   | Yes                                 | Yes  | Yes  |
| Castro 2007                    | Unclear                                       | Yes  | Yes                                 | Unclear  | Unclear  |
| Castro, 2007                   | Yes; see comment.                             | Yes; length of followup was significantly higher with clozapine than haloperidol or risperidone                        | Yes                                 | Yes  | Yes  |
| Chen, 2008                     | Yes   | NR   | Yes                                 | Yes  | Yes  |
| Cianchetti, 2011               | Yes   | No ( 29% excluded)   | Yes                                 | Yes  | Unclear ( blinding NR)                         |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>            | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b>                        | <b>Comments</b>  |
|--------------------------------|--|--|--|--|
| Brown, 2005                    | No   | Unclear                                | Poor: no adjusting for confounders; F/U interval unclear | retrospective, 2-group cohort  |
| Buckman, 1999<br>United States | NR   | Unclear                                | Poor   |  |
| Caro, 2002<br>Quebec           | Yes  | Yes                                    | Fair   | Between-group differences in age, gender, other characteristics  |
| Castro 2007                    | Some   | Yes                                    | Poor   |  |
| Castro, 2007                   | Yes  | Yes                                    | Fair   | Authors note that patients may differ between treatment groups in their level of treatment resistance and disease severity |
| Chen, 2008                     | Yes  | Yes                                    | Fair   | It is not clear what % of patients included may have lost MediCal eligibility and were therefore lost to follow-up         |
| Cianchetti, 2011               | No, for discontinuation outcome patients had trials of $\geq 2$ different AP's and were counted multiple times in the d/c analysis | Yes                                    | Poor   |  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>            | <b>Non-biased selection?</b>                              | <b>Low overall loss to follow-up?</b>                               | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b>  |
|--------------------------------|---|---|--|---|--|
| Citrome, 2004                  | Unclear<br>Lower % of males in case group vs. control     | NR  | Yes  | Yes   | No<br>Risk factors of BMI and activity level not assessed or controlled for. No assessment of baseline risk for diabetes and how that may have influenced choice of antipsychotic medication |
| Conley, 1999<br>United States  | Yes   | NR  | Yes  | Yes   | Yes  |
| Cooper, 2005<br>Cooper, 2007   | Unclear: groups differed but did adjust                   | NA (retrospective study including persons with available data only) | Yes  | Yes   | Yes; database tested for accuracy  |
| Coulter, 2001<br>International | Unclear   | NR  | Yes  | No  | Unclear  |
| de Haan, 1999                  | Yes   | Yes (retrospective study)   | No; not defined                            | No  | No   |
| de Haan, 2002                  | No; excluded 15 (6.2%) due to noncompliance and crossover | Withdrawals NR  | yes  | Yes   | No; raters were unblinded  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>            | <b>Statistical analysis of potential confounders?</b>   | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>   |
|--------------------------------|---|--|-----------------------------------|---|
| Citrome, 2004                  | Partial   | Yes                                    | Fair                              |   |
| Conley, 1999<br>United States  | Yes   | Yes                                    | Fair                              |   |
| Cooper, 2005<br>Cooper, 2007   | Yes   | Yes, 365-d study period                | Fair                              | retrospective, 2-group cohort in pub #1<br>4 drugs compared in pub #2 |
| Coulter, 2001<br>International | NR  | Unclear                                | Poor                              |   |
| de Haan, 1999                  | No; only commented regarding between-groups comparability for sex, age at admission and diagnosis | Yes                                    | Poor                              |   |
| de Haan, 2002                  | No; there was no information about between-groups comparability of baseline characteristics       | Yes                                    | Poor                              |   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                    | Non-biased selection?   | Low overall loss to follow-up?                                  | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?   |
|---------------------------------|---|---|-------------------------------------|--|--|
| De Hert 2008                    | Unclear;<br>Historical cohort: Consisted of only 148/301 (49%) of patients with complete laboratory data. But, no significant differences between patients with and without complete laboratory data.<br>Current cohort: No details provided on matching process. Significantly higher glucose in historic cohort (89 vs 84 mg/dl ( $P=0.0055$ ). | No; analysis excluded 22% overall (historic=21% vs current=37%) | Yes                                 | Yes  | Yes in "current" cohort of second-generation antipsychotics; unclear in historical cohort due to use of conversion factor for missing waist circumference measurements |
| Delilieri, 2000<br>Italy        | Yes   | NR  | Yes                                 | Yes  | Yes  |
| Devinsky, 1991<br>United States | Yes   | NR  | Yes                                 | No   | Unclear  |
| Dinakar, 2002                   | Method NR, unable to determine.   | Yes   | Yes                                 | Yes  | Not reported if blind or independent assessment of outcomes.   |
| Dolder, 2002                    | Yes   | NA (pharmacy database with all records available)               | Yes                                 | Yes  | Yes  |
| Drew, 2002<br>Australia         | Yes   | NR  | Yes                                 | Yes  | Yes  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>             | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b>  | <b>Comments</b>                                   |
|---------------------------------|--|--|--|---|
| De Hert 2008                    | No and no information reported about comparability of baseline characteristics between groups of patients based on individual atypical antipsychotic agent | Yes                                    | Poor   |   |
| Delilieri, 2000<br>Italy        | NR   | Unclear                                | Fair   |   |
| Devinsky, 1991<br>United States | Yes  | Unclear                                | Fair   |   |
| Dinakar, 2002                   | No   | Yes                                    | Poor- no control for confounding factors, not reported if outcome assessors blinded or independent, unable to determine if selection was unbiased. |   |
| Dolder, 2002                    | No, although baseline groups were similar for known confounders  | Yes; 12 mos                            | Fair   | 2-group cohort study; appears to be retrospective |
| Drew, 2002<br>Australia         | NR   | Yes                                    | Fair   |   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>         | <b>Non-biased selection?</b>   | <b>Low overall loss to follow-up?</b> | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b>  |
|-----------------------------|--|---------------------------------------|--|---|--|
| Eberhard, 2006              | NA (single-group study)  | No (completers 166/223)               | Yes  | Yes   | Yes (validated rating scale for TD)  |
| Eriksson, 2012<br>Sweden    | Yes  | NA                                    | Yes  | No  | NR methods beyond "chart review"   |
| Etminan, 2003<br>Ontario    | No   | NR                                    | Yes  | Yes   | Yes  |
| Feldman, 2004<br>Buse, 2003 | No- only included patients who maintained coverage with AdvancePCS were followed- those who discontinued coverage not analyzed; also excluded those missing information on sex or year of birth. | Yes (for those maintaining coverage)  | Yes  | Yes   | Not reported if independent assessment of outcomes (but outcome was new prescription, so may be objective)   |
| Feng, 2012                  | Unclear (hospitalized patients but selection methods NR)   | Yes (<10% at 8 years)                 | Yes  | Yes   | Unclear (likely performed by psychologists/ psychiatrists on staff at hospital, so not blinded)  |
| Fuller, 2003                | Yes  | NR                                    | Yes  | No  | Yes  |
| Ganguli, 2001               | Yes- consecutive patients  | Not reported                          | Yes  | Yes   | Not reported if independent assessment of outcomes (outcome was weight gain from chart review, objective, but several sources used, and judgment made about which of multiple weights recorded to use) |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>         | <b>Statistical analysis of potential confounders?</b> | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>   |
|-----------------------------|---|--|-----------------------------------|---|
| Eberhard, 2006              | NA (single-group study)                               | Yes: 5 years                           | Fair                              | this is an observational study of AE only (not efficacy); single-group cohort |
| Eriksson, 2012<br>Sweden    | Yes   | Yes                                    | Fair                              |   |
| Etminan, 2003<br>Ontario    | Yes   | NR                                     | Poor                              | Diabetic events NR for 266 patients (reason NR)                               |
| Feldman, 2004<br>Buse, 2003 | Yes   | Yes                                    | Fair                              |   |
| Feng, 2012                  | Yes   | Yes                                    | Fair                              |   |
| Fuller, 2003                | Yes   | Yes                                    | Fair                              |   |
| Ganguli, 2001               | No  | Yes (4 mos)                            | Fair                              |   |



**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year   | Non-biased selection?   | Low overall loss to follow-up?  | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?     |
|--|---|---|-------------------------------------|--|--|
| Gianfrancesco, 2002<br>United States   | Yes   | NR  | Yes                                 | No   | Yes  |
| Gianfrancesco, 2003a<br>United States  | Yes   | NR  | Yes                                 | No   | Yes  |
| Gianfrancesco, 2003b<br>United States  | Yes   | NR  | Yes                                 | No   | Yes  |
| Gianfrancesco, 2006  | Yes   | None  | Yes                                 | Yes  | Yes  |
| Gianfrancesco, 2006<br>(Hospitalization Risks in<br>the Treatment of<br>Schizophrenia)                 | Yes   | NA (retrospective; only patients<br>with data were analyzed)              | Yes                                 | Yes  | Unclear, don't know reliability of<br>the database |
| Gibson, 2004   | Unclear: groups differed but did adjust   | NA (retrospective study<br>including persons with available<br>data only) | Yes                                 | Yes, from Medicaid<br>data                     | Unclear, don't know reliability of<br>the database |
| Gomez, 2000<br>Spain<br>Estudio<br>Farmacoepidemiológico en esquizofrenia<br>con Olanzapine<br>(EFESO) | Yes   | Yes   | Yes                                 | No   | Unclear  |
| Guo 2011   | Unclear; 13% were excluded because<br>of refusal to participate or for "other<br>reasons" | No, (40%)   | Yes                                 | Yes  | No, "open label"                                   |
| Hagg, 1998<br>Sweden   | Yes   | NR  | Yes                                 | Yes  | Yes  |
| Haro, 2008   | Yes   | No<br>58.2% included  | Yes                                 | Yes  | Yes  |
| Haukka 2008  | Yes   | Yes (retrospective study)   | Yes                                 | Yes  | Yes  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year  | Statistical analysis of potential confounders?   | Adequate duration of follow-up?                   | Overall quality assessment | Comments                          |
|---|--|---|----------------------------|-----------------------------------|
| Gianfrancesco, 2002<br>United States  | Yes  | Yes   | Fair                       |                                   |
| Gianfrancesco, 2003a<br>United States   | Yes  | Yes   | Fair                       |                                   |
| Gianfrancesco, 2003b<br>United States   | Yes  | Yes   | Fair                       |                                   |
| Gianfrancesco, 2006   | Some   | Yes   | Fair                       |                                   |
| Gianfrancesco, 2006<br>(Hospitalization Risks in<br>the Treatment of<br>Schizophrenia)                      | Yes  | Unclear; mean<br>treatment episode<br>duration NR | Fair                       |                                   |
| Gibson, 2004  | No, there were many baseline<br>differences, but clinical significance of<br>the differences was unclear | Yes, 1 year                                       | Fair                       | retrospective, 3-<br>group cohort |
| Gomez, 2000<br>Spain<br>Estudio<br>Farmacoepidemi-<br>ologico en esquizofrenia<br>con Olanzapine<br>(EFESO) | Yes  | Yes   | Fair                       |                                   |
| Guo 2011  | Unclear; baseline differences in SES<br>and EPS with no adjustment                                       | Yes   | Fair                       |                                   |
| Hagg, 1998<br>Sweden  | No   | N/A, cross-sectional<br>study                     | Fair                       |                                   |
| Haro, 2008  | Yes  | Yes   | Fair                       |                                   |
| Haukka 2008   | Yes  | Yes   | Good                       |                                   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                     | Non-biased selection?   | Low overall loss to follow-up?                                      | Outcomes pre-specified and defined? | Ascertainment techniques adequately described?                                   | Non-biased and adequate ascertainment methods?  |
|----------------------------------|---|---|-------------------------------------|--|---|
| Hedenmalm, 2002                  | Yes   | Yes (retrospective study)   | Yes                                 | Yes  | Not stated if blinded or independent assessment of outcomes   |
| Henderson, 2000<br>United States | Yes   | NR  | Yes                                 | Yes  | Yes   |
| Henderson, 2005                  | Unclear; only information about sampling frame was observation period   | NA (retrospective; only patients with data were analyzed)           | Yes                                 | Yes  | Unclear, don't know reliability of the research psychiatrist in determining cause of death from autopsy reports and medical records |
| Hennessy, 2002                   | Not clear   | Yes (retrospective study)   | Yes                                 | Yes  | Not reported if independent assessment of outcomes  |
| Herceg 2008                      | Not clear   | Yes (retrospective study)   | Yes                                 | Not clear  | Not clear   |
| Ho, 1999                         | Unclear   | No  | Yes                                 | Yes for group in the Longitudinal Study of Recent-Onset Psychosis, No for others | unclear, blinding NR  |
| Hodgson, 2005                    | Unclear: groups differed but did adjust   | NA (retrospective study including persons with available data only) | Yes                                 | Yes, from pharmacy records   | Unclear   |
| Honigfeld, 1996<br>United States | Yes   | NR  | Yes                                 | Yes  | Yes   |
| Hrdlicka 2009                    | Unclear; eligibility required "medical record quality sufficient to evaluation the patient" and no information reported on comparison between patients with and without "sufficient record quality" | No; 57/109 (52%) did not complete the 6-week study period           | Yes                                 | Yes  | Yes   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>              | <b>Statistical analysis of potential confounders?</b>   | <b>Adequate duration of follow-up?</b>   | <b>Overall quality assessment</b> | <b>Comments</b>               |
|----------------------------------|---|--|-----------------------------------|-------------------------------|
| Hedenmalm, 2002                  | No  | Yes  | Fair                              |                               |
| Henderson, 2000<br>United States | Yes   | Yes  | Fair                              |                               |
| Henderson, 2005                  | NA (single-group study)   | Yes, 10 years  | Poor                              |                               |
| Hennessy, 2002                   | Yes   | Yes  | Fair                              |                               |
| Herceg 2008                      | Some  | Yes  | Fair                              |                               |
| Ho, 1999                         | Partially, ANCOVA analysis was done to assess impact of differences at baseline in EPS, GAS, and QOL measures but other confounders not assessed. | Yes  | Poor                              |                               |
| Hodgson, 2005                    | Yes   | Unclear: study interval 1994-2001 but unclear if all three groups had same median observation period | Fair                              | retrospective, 3-group cohort |
| Honigfeld, 1996<br>United States | NR  | Yes  | Fair                              |                               |
| Hrdlicka 2009                    | No  | No - 6 weeks   | Poor                              |                               |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                 | Non-biased selection?  | Low overall loss to follow-up?                            | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods? |
|------------------------------|--|---|-------------------------------------|--|--|
| Iqbal 2011                   | Unclear; eligibility criteria NR                                   | No, only 37% analyzed at 3 mos, and only 29% at 12 mos.   | Yes                                 | Unclear (NR)                                   | Unclear (NR)                                   |
| Javitt, 2002                 | Unclear; indicates that data was obtained but doesn't indicate how | No loss to follow-up                                      | Yes                                 | No   | No   |
| Jerrell, 2007                | NA (single-group study)  | NA (retrospective; only patients with data were analyzed) | Yes                                 | Yes  | Yes  |
| Jeste, 1999<br>United States | Yes  | NR  | Yes                                 | Yes  | Yes  |
| Joyce, 2005                  | No, multiple exclusions applied depending on data most available.  | None  | Yes                                 | Yes  | Yes  |
| Kane, 1993<br>United States  | No   | NR  | Yes                                 | Yes  | Yes  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                 | Statistical analysis of potential confounders?   | Adequate duration of follow-up?  | Overall quality assessment | Comments  |
|------------------------------|--|--|----------------------------|---|
| Iqbal 2011                   | Unclear (NR)   | Yes  | Poor                       | Mixed population  |
| Javitt, 2002                 | Yes  | Yes  | Fair                       |   |
| Jerrell, 2007                | NA (single-group study)  | Unclear (follow-up 3 years); for vascular outcomes longer follow-up would be more useful | Fair                       | this is an observational study of AE only (not efficacy); single-group cohort (retrospective) |
| Jeste, 1999<br>United States | Partial: univariate regressions for baseline scores, age race, education, neuroleptic type, and daily dose on risk of TD. Subjects were matched for age, diagnosis, and length of neuroleptic exposure at study entry.   | Yes  | Fair                       |   |
| Joyce, 2005                  | No   | Yes  | Poor                       |   |
| Kane, 1993<br>United States  | No and there were nonsignificantly more females (38% vs 24%) and schizoaffective patients (17% vs 8%) in control group and clozapine-treated patients were significantly older (32.4 vs 26.4 years) and had significantly longer exposure to neuroleptics at baseline (6.4 vs 2.3 years) | Yes  | Poor                       | Between group differences in gender and diagnosis   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                 | Non-biased selection?   | Low overall loss to follow-up? | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?                              |
|------------------------------|---|--------------------------------|-------------------------------------|--|---|
| Karagianis, 2009             | Yes   | Yes                            | Yes                                 | Yes  | No<br>Interrater reliability not assessed. Open label - possible rater bias |
| Kasper, 2001                 | No; selected patients in reverse chronological order with 33 from each center; also only included data from centers that completed data collection and verification by a certain date | Yes (retrospective study)      | Yes                                 | No   | Unclear; blinding NR  |
| Killian 2012                 | Unclear; 29% eligible patients refused participation overall and consent rates for each group not separately reported.  | Yes                            | Yes                                 | No   | Unclear; methods for ascertaining rehospitalization NR                      |
| Kilzieh, 2008                | Yes   | Yes                            | Yes                                 | Yes  | Yes   |
| Kim, 2008 (Effectiveness...) | Yes   | Not reported                   | Yes                                 | Yes  | Yes   |
| Kim, 2008 (Time...)          | Yes   | Yes                            | Yes                                 | Yes  | Interrater reliability unclear  |
| Koller, 2003                 | Yes   | Yes                            | Yes                                 | Yes  | Not reported if independent assessment of outcomes.                         |
| Kopala, 2005                 | Unclear   | No (49% drop-out at 2 years)   | yes                                 | Yes  | Yes   |
| Koro, 2002a                  | Yes   | Yes (retrospective study)      | Yes                                 | Yes  | Not reported if independent assessment of outcomes                          |
| Koro, 2002b                  | Yes   | Yes                            | Yes                                 | Yes  | Not reported if independent assessment of outcomes.                         |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>          | <b>Statistical analysis of potential confounders?</b>   | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>  |
|------------------------------|---|--|-----------------------------------|--|
| Karagianis, 2009             | Yes   | Yes                                    | Fair                              | More than half of included patients were using more than 1 antipsychotic medication concurrently |
| Kasper, 2001                 | Yes   | Yes                                    | Fair                              |  |
| Killian 2012                 | Yes (propensity scores)   | Yes                                    | Fair                              |  |
| Kilzieh, 2008                | Yes   | Yes                                    | Good                              |  |
| Kim, 2008 (Effectiveness...) | No analysis of treatment visit frequency as a potential confounder. Frequency for RLAI group was every 2 weeks; oral was moly | Yes                                    | Fair                              |  |
| Kim, 2008 (Time...)          | Yes   | Yes                                    | Fair                              |  |
| Koller, 2003                 | No- descriptive summary statistics only.  | Yes                                    | Fair                              |  |
| Kopala, 2005                 | No  | Yes                                    | Poor                              |  |
| Koro, 2002a                  | Yes   | Yes (3 at least mos)                   | Fair                              |  |
| Koro, 2002b                  | Yes   | Yes (mean 5.2 years)                   | Fair                              |  |



**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                          | Non-biased selection?   | Low overall loss to follow-up?                            | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?   |
|---------------------------------------|---|---|-------------------------------------|--|--|
| Kozma, 2004 (poster)<br>United States | Yes   | NR  | Yes                                 | Yes  | Yes  |
| Kraemer 2012                          | Yes (consecutive enrollment)  | Yes; OC=14%, OD=15%                                       | Yes                                 | No   | Unclear  |
| Kraus, 1999                           | Yes   | Not reported  | Yes                                 | Yes  | Not reported if independent assessment of outcomes (but outcome was weight, so may be objective) |
| Kreyenbuhl 2011                       | Yes   | Yes   | Yes                                 | No   | Unclear (NR)   |
| Lambert, 2005                         | Yes; baseline data similar between groups                                       | NA (retrospective; only patients with data were analyzed) | Yes                                 | Yes  | Unclear: 2 authors examined charts without blinding, but did have high inter-rater reliability   |
| Lambert, 2005                         | No, excluded patients that were not continuously eligible for Medi-Cal benefits | Yes: 5.4% at 24 weeks, 20.1% at 52 weeks                  | Yes                                 | Yes  | Yes  |
| Lambert, 2006                         | Yes   | None  | Yes                                 | Yes  | Yes  |
| Lee, 2002<br>United States            | Yes   | NR  | Yes                                 | Yes  | Yes  |
| Leslie, 2004                          | Not clear   | Yes (retrospective study)                                 | Yes                                 | No   | Not reported if blind or independent assessment of outcomes.                                     |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                          | Statistical analysis of potential confounders?  | Adequate duration of follow-up? | Overall quality assessment   | Comments  |
|---------------------------------------|---|---------------------------------|--|---|
| Kozma, 2004 (poster)<br>United States | Yes   | Unclear                         | Fair   |   |
| Kraemer 2012                          | Yes, some   | Yes (1 year)                    | Fair   |   |
| Kraus, 1999                           | No  | 4 weeks- not sure               | Poor: unclear if all patients analyzed at all time points (no info on dropouts), no control for confounding factors.   |   |
| Kreyenbuhl 2011                       | Yes   |                                 | Fair   |   |
| Lambert, 2005                         | No, although baseline groups were similar for known confounders   | Yes, 18 mos                     | Fair   | Two-group cohort; retrospective   |
| Lambert, 2005                         | No  | Yes                             | Poor   |   |
| Lambert, 2006                         | Yes   | Yes                             | Good   |   |
| Lee, 2002<br>United States            | Partial: Adjusted for age, sex, geographic region, diagnosis, hypertension, heart disease, and length of AP therapy. Did not adjust for dose. | Yes                             | Fair   | 79% of patients were only prescribed the index antipsychotic during the study period. |
| Leslie, 2004                          | No  | Yes? (3 mos)                    | Poor- No control for confounding factors, not reported if outcome assessor blinded, definition of outcomes and ascertainment techniques not adequately described, unable to determine if selection was unbiased. |   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>  | <b>Non-biased selection?</b>   | <b>Low overall loss to follow-up?</b> | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b>   |
|--|--|---------------------------------------|--|---|---|
| Lieberman, 1992<br>Alvir 1993<br>United States   | Yes  | NR                                    | No   | No  | Unclear   |
| Lin, 2006  | Yes  | Unclear                               | Yes  | Yes   | Unclear; 2 senior psychiatrists (first and second authors) verified data but no information provided about inter-rater reliability or overall reliability |
| Lindstrom, 1989  | NA (single-group study)  | Yes (attrition 3/96)                  | Yes  | No  | Unclear   |
| Lindstrom, 2007  | Yes  | Yes                                   | Yes  | No  | Unclear   |
| Lublin, 2003   | Yes  | None                                  | Yes  | No  | Unclear   |
| Lucey, 2003  | Unclear. 396 patients charts reviewed, but selection of these not stated | Yes (retrospective study)             | Yes  | Yes   | Yes   |
| Lund, 2001<br>United States  | Yes  | NR                                    | Yes  | Yes   | Yes   |
| McIntyre, 2003<br>Canada<br>Canadian National<br>Outcomes<br>Measurement Study in<br>Schizophrenia<br>(CNOMSS) | Yes  | NR                                    | Yes  | No  | Unclear   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>  | <b>Statistical analysis of potential confounders?</b>       | <b>Adequate duration of follow-up?</b>            | <b>Overall quality assessment</b> | <b>Comments</b>   |
|--|---|---|-----------------------------------|---|
| Lieberman, 1992<br>Alvir 1993<br>United States   | Yes   | Yes   | Fair                              |   |
| Lin, 2006  | Yes   | Yes   | Fair                              |   |
| Lindstrom, 1989  | NA (single-group study)                                     | Yes, 13 years                                     | Fair-poor                         | Single-group cohort, retrospective; unclear how outcomes were ascertained |
| Lindstrom, 2007  | Partial   | Yes   | Poor                              |   |
| Lublin, 2003   | No  | 12 weeks  | Poor                              |   |
| Lucey, 2003  | Partially, analysis took into account mean dose and center. | Yes, for the outcome measure of time to discharge | Fair                              |   |
| Lund, 2001<br>United States  | Yes   | Yes   | Good                              |   |
| McIntyre, 2003<br>Canada<br>Canadian National Outcomes Measurement Study in Schizophrenia (CNOMSS) | Yes   | Yes   | Fair                              |   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>                                       | <b>Non-biased selection?</b>                      | <b>Low overall loss to follow-up?</b> | <b>Outcomes pre-specified and defined?</b>            | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b> |
|---|---|---------------------------------------|---|---|---|
| Medved 2009   | Unclear   | Yes                                   | Yes for metabolic features; no for metabolic syndrome | Yes   | Yes   |
| Meyer, 2002   | No- excluded patients with incomplete data        | Yes (retrospective study)             | Yes   | Yes   | Not reported if independent assessment of outcomes    |
| Miller, 1998  | Not clear- identified patients from chart review. | Yes                                   | Yes   | Yes   | Yes- blinded assessment of EPS                        |
| Mladsi 2004<br>Fair                                       | Unclear   | NR                                    | Unclear   | Yes   | Yes   |
| Modai, 2000<br>Israel                                     | Yes   | NR                                    | Yes   | Yes   | Yes   |
| Mohamed, 2009   | Yes   | NR                                    | Yes   | Yes   | Yes   |
| Moisan, 2005  | Yes   | None                                  | Yes   | Yes   | Yes   |
| Montes, 2003<br>Spain<br>Sub-group Analysis<br>from EFESO | Yes   | Yes                                   | Yes   | No  | Unclear   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>                                       | <b>Statistical analysis of potential confounders?</b>   | <b>Adequate duration of follow-up?</b>  | <b>Overall quality assessment</b>   | <b>Comments</b> |
|---|---|---|---|-----------------|
| Medved 2009   | Yes for age or duration of illness; higher baseline triglyceride levels for olanzapine (1.91 vs 1.41 mmol; $P=0.017$ ), but none of the clinical features tested as predictors in logistic regression on metabolic syndrome before SGA admission was significant. | No-3 mos  | Fair  |                 |
| Meyer, 2002   | No  | Yes (one year)  | Poor- may be biased selection, independent outcome assessment not reported, no control for potential confounding factors. |                 |
| Miller, 1998  | Yes   | Yes, but time period on medications varied (45.3 mos clozapine, 13.4 mos risperidone, 92.5 mos conventional antipsychotics) | Fair  |                 |
| Mladi 2004<br>Fair  | Yes   | Yes   | Fair  |                 |
| Modai, 2000<br>Israel                                     | Yes   | Unclear   | Fair  |                 |
| Mohamed, 2009   | Partial   | Yes   | Fair  |                 |
| Moisan, 2005  | Yes   | 6 mos   | Good  |                 |
| Montes, 2003<br>Spain<br>Sub-group Analysis<br>from EFESO | Yes   | Yes   | Fair  |                 |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                     | Non-biased selection?   | Low overall loss to follow-up?                                      | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?  |
|----------------------------------|---|---|-------------------------------------|--|---|
| Mullins, 2008                    | Yes   | Yes   | Yes                                 | Yes  | Unclear   |
| Naber, 2001                      | Method NR, unable to determine.   | No (4% missing SWN data, 3% missing PANSS data)                     | Yes                                 | Yes  | Not blinded                                     |
| Ollendorf, 2004<br>United States | Yes   | NR  | Yes                                 | Yes  | Yes   |
| Opolka, 2003                     | Unclear: groups differed but did adjust   | NA (retrospective study including persons with available data only) | Yes                                 | Yes  | Unclear, don't know reliability of the database |
| Ostbye, 2004<br>United States    | Yes   | NR  | Yes                                 | Yes  | Yes   |
| Peacock, 1996<br>Denmark         | No  | NR  | No                                  | No   | Not clear                                       |
| Pelagotti, 2004                  | Yes   | None  | Yes                                 | No   | Unclear   |
| Perez 2008                       | Unclear; groups differed but did adjust (e.g., quetiapine group had significantly greater proportions of comorbid mood disorders, previous hospitalizations, lower proportions of first episode status, and higher mean Calgary Depression Scale (CDSS) scores) | No; 50% for quetiapine and 42% for risperidone                      | Yes                                 | Yes  | Yes   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>              | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b>                                 | <b>Overall quality assessment</b> | <b>Comments</b>               |
|----------------------------------|--|--|-----------------------------------|-------------------------------|
| Mullins, 2008                    | Partial  | Yes  | Fair                              |                               |
| Naber, 2001                      | Yes  | Yes  | Fair                              |                               |
| Ollendorf, 2004<br>United States | Yes  | Yes  | Fair                              |                               |
| Opolka, 2003                     | Yes  | Yes, 1 year  | Fair                              | retrospective, 3-group cohort |
| Ostbye, 2004<br>United States    | Partial: does not control for dose and duration of treatment   | Yes  | Poor                              |                               |
| Peacock, 1996<br>Denmark         | NR   | Yes  | Poor                              |                               |
| Pelagotti, 2004                  | No   | Minimal (4-7 mos) for Primary outcome<br>72 mos for secondary outcomes | Poor                              |                               |
| Perez 2008                       | Adjusted means analysis using ANCOVA performed for efficacy outcomes (i.e., adjusted for unspecified clinical relevant and unbalanced baseline variables); no adjustment for weight gain or rehospitalization, but neither demonstrated a significantly significant difference | Yes  | Poor                              |                               |



**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                | Non-biased selection?   | Low overall loss to follow-up?  | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?  |
|-----------------------------|---|---|-------------------------------------|--|---|
| Peuskens 2009               | Unclear; some differences in baseline disease history, e.g., lower proportion of "first antipsychotic prescription" with olanzapine than risperidone (18% vs 30%) | No, 33% in olanzapine group and 29% in risperidone group did not complete the study | Yes                                 | No   | Unclear whether weight was self-reported or measured and whether outcome assessor was blinded                 |
| Phillippe, 2005             | Yes   | No, n = 3470 at enrollment, n = 1574 at analysis                                    | Not clearly                         | Survey   | Not clear   |
| Procyshyn, 1998             | Yes   | None (retrospective)  | Yes                                 | No   | No; method of determining classification as "responder" from physician note NR; blinding of chart reviewer NR |
| Rascati, 2003               | Yes, Used instrumental variables to adjust for differences  | NA (retrospective study including persons with available data only)                 | Yes                                 | Yes  | Unclear, don't know reliability of the database   |
| Ray 2009                    | Yes   | Yes   | Yes                                 | No; who ascertained NR                         | Unclear; use of blinded, independent assessment NR; reliability of assessments NR                             |
| Reid, 1998<br>United States | Unclear   | NR  | Yes                                 | No   | Unclear   |
| Remington, 2001             | Unclear   | None  | Yes                                 | No   | No  |
| Ren, 2006                   | Unclear: groups differed but did adjust   | NA (retrospective study including persons with available data only)                 | Yes                                 | Yes  | Unclear, don't know reliability of the database   |
| Rettienbacher 2010          | Unclear (how pts selected not clearly described)  | Unclear (132 were "included into the analysis").                                    | Yes                                 | Unclear (NR)                                   | Unclear (NR)  |
| Rettienbacher, 2006         | Unclear   | Unclear   | Yes                                 | No   | No  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year                | Statistical analysis of potential confounders? | Adequate duration of follow-up?  | Overall quality assessment | Comments   |
|-----------------------------|--|--|----------------------------|--|
| Peuskens 2009               | No   | Yes  | Poor                       |  |
| Phillippe, 2005             | Yes  | Yes  | Fair                       |  |
| Procyshyn, 1998             | No   | Yes  | Fair                       |  |
| Rascati, 2003               | Yes, used instrumental variables               | Yes, 365-d study period  | Good                       | retrospective, 2-group cohort  |
| Ray 2009                    | Yes  | Yes  | Fair                       |  |
| Reid, 1998<br>United States | NR   | Unclear  | Poor                       |  |
| Remington, 2001             | No   | Yes  | Poor                       |  |
| Ren, 2006                   | Yes  | Yes, 6-mo  | Fair                       | retrospective, 2-group cohort  |
| Rettienbacher 2010          | Yes  | Unclear (f/u for at least 6 mos but unclear if this is long enough for this outcome) | Fair                       | Not sure what a clinically significant amount of time of f/u is for neutropenia. |
| Rettienbacher, 2006         | No   | Unclear  | Poor                       |  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b> | <b>Non-biased selection?</b>   | <b>Low overall loss to follow-up?</b> | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b>   | <b>Non-biased and adequate ascertainment methods?</b>   |
|---------------------|--|---------------------------------------|--|---|---|
| Sax, 1998           | Method NR, unable to determine.  | No                                    | Yes  | Yes   | Not reported if blind or independent assessment of outcomes.  |
| Schillevoort, 2001a | Yes  | Yes                                   | Yes  | Yes   | Not reported (outcome assessor not specified)   |
| Schillevoort, 2001b | Yes  | Yes (retrospective study)             | Yes  | Yes   | Not reported if blind or independent assessment of outcomes.  |
| Sernyak, 2002       | Yes  | Yes                                   | Yes  | Yes   | Not reported (outcome assessor not specified)   |
| Shajahan, 2009      | Yes  | NA: Retrospective chart review        | Yes  | Yes   | Probably OK. Investigators assigned CGI scores retrospectively based on medical record notes. Author states the validity of this method has been previously established.  |
| Sharif, 2000        | Yes  | None (retrospective)                  | Yes  | No information about the method the research assistant used to "assess symptom domain response" when reviewing the charts | No; after filling out structured rating forms during chart review, same unblinded research assistant blacked out identifying information, randomly assigned "X" or "O" to the blacked out forms and gave to research psychiatrists for interpretation |
| Snaterse, 2000      | Unclear if chart review included ALL potential patients during the specified time period | None (retrospective)                  | Yes  | No  | Unclear; blinding NR  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b> | <b>Statistical analysis of potential confounders?</b> | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b>  | <b>Comments</b> |
|---------------------|---|--|--|-----------------|
| Sax, 1998           | No  | Yes                                    | Poor- no control for confounding factors, not reported if outcome assessors blinded or independent, unable to determine if selection was unbiased. |                 |
| Schillevoort, 2001a | Yes   | Yes                                    | Fair   |                 |
| Schillevoort, 2001b | Yes   | Yes                                    | Fair   |                 |
| Sernyak, 2002       | Yes   | Not sure- 4-mo period studied.         | Fair   |                 |
| Shajahan, 2009      | Yes   | Yes                                    | Fair   |                 |
| Sharif, 2000        | No  | Yes                                    | Poor   |                 |
| Snaterse, 2000      | Yes; but no demographics                              | Yes                                    | Fair   |                 |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year           | Non-biased selection?   | Low overall loss to follow-up?   | Outcomes pre-specified and defined? | Ascertainment techniques adequately described?   | Non-biased and adequate ascertainment methods?       |
|------------------------|---|--|-------------------------------------|--|--|
| Spivak, 1998<br>Israel | Yes   | NR   | Yes                                 | Yes  | Yes  |
| Strassnig, 2007        | Yes   | None   | Yes                                 | Yes  | Yes  |
| Strous, 2006           | Unclear; referrals from treating physicians and sampling frame time period NR   | None   | Yes                                 | Yes  | Unclear, details about weight measurement methods NR |
| Su, 2005               | Not clear   | Unclear - only states that 15 completed the study  | Not clear                           | Yes  | Unclear  |
| Sumiyoshi 2004         | Unclear; "on randomly assigned ds, all patients who visited the mental health center were contacted" and ultimately, "clinical data were obtained from 116 subjects meeting the study criteria" | Yes  | Yes                                 | Yes  | Yes  |
| Swanson, 2004          | Unclear: groups differed but did adjust   | 75% retention both groups over 3 years; unclear if varied between groups                         | Yes                                 | Yes  | Yes; had multiple ascertainment methods              |
| Tadger 2008            | Unclear; selection methods NR   | Yes; 4/70 excluded from analysis of increase/ decrease in BMI from risperidone/olanzapine groups | No                                  | No   | Unclear  |
| Taylor, 2003           | Unclear if sample of charts that were reviewed represent those of ALL potentially eligible charts; also excluded 2 charts with inadequate dosing information                                    | None (retrospective)   | Yes                                 | No description of how "documented positive statement of treatment effectiveness" was defined | No, efficacy outcome very subjective and blinding NR |
| Taylor, 2005           | Unclear   | Yes  | Yes                                 | Yes  | No   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>    | <b>Statistical analysis of potential confounders?</b> | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>             |
|------------------------|---|--|-----------------------------------|-----------------------------|
| Spivak, 1998<br>Israel | NR  | Yes                                    | Fair                              |                             |
| Strassnig, 2007        | Some  | Yes                                    | Fair                              |                             |
| Strous, 2006           | Some  | No - 12 weeks                          | Fair                              |                             |
| Su, 2005               | No  | 3 mos                                  | Poor                              |                             |
| Sumiyoshi 2004         | Yes for length of treatment, gender, age and race     | Yes                                    | Fair                              |                             |
| Swanson, 2004          | Yes   | Yes (3 years)                          | Fair                              | Prospective, 2-group cohort |
| Tadger 2008            | No  | Yes                                    | Poor                              |                             |
| Taylor, 2003           | Yes   | Yes                                    | Fair                              |                             |
| Taylor, 2005           | No  | No - 6 mos                             | Poor                              |                             |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>             | <b>Non-biased selection?</b> | <b>Low overall loss to follow-up?</b> | <b>Outcomes pre-specified and defined?</b> | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b> |
|---------------------------------|------------------------------|---------------------------------------|--|---|---|
| Taylor, 2008                    | Yes                          | N/A: Retrospective chart review       | Yes  | Yes   | Yes   |
| Taylor, 2009                    | Yes                          | Yes                                   | Yes  | Yes   | Yes   |
| Tiihonen 2009                   | Yes                          | Yes                                   | Yes  | Yes   | Yes   |
| Tiihonen 2011                   | Yes                          | Yes<br>Unclear; data completeness NR  | Yes  | Unclear (NR)  | Unclear (NR)  |
| Tiihonen, 2006                  | Yes                          | None                                  | Yes  | Yes   | Yes   |
| Umbricht, 1994<br>United States | No                           | NR                                    | Yes  | Yes   | Yes   |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b>             | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>   |
|---------------------------------|--|--|-----------------------------------|---|
| Taylor, 2008                    | Bivariate only   | Yes                                    | Fair                              | Unclear whether a patient that switched AAPs would occur multiple times in the analysis, potentially contributing discontinuation data to more than one drug.   |
| Taylor, 2009                    | Insufficient. Matched on age and gender, but was not able to adjust for smoking; there were 3 lung cancer deaths in clozapine. | Yes                                    | Fair                              | Unclear how meaningful the mortality difference is. In risperidone there were only 3 deaths (ages 45, 65, 81), so the 95%CI's for observed and expected mortality were large and overlapped with the clozapine mortality estimates. |
| Tiihonen 2009                   | Yes  | Yes                                    | Good                              |   |
| Tiihonen 2011                   | Yes  | Yes                                    | Fair                              |   |
| Tiihonen, 2006                  | Yes  | Yes                                    | Good                              |   |
| Umbricht, 1994<br>United States | Yes  | Yes                                    | Fair                              |   |



**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year       | Non-biased selection?  | Low overall loss to follow-up?   | Outcomes pre-specified and defined? | Ascertainment techniques adequately described? | Non-biased and adequate ascertainment methods?   |
|--------------------|--|--|-------------------------------------|--|--|
| Van Winkel 2008    | Yes  | Yes  | Yes                                 | Yes  | Yes  |
| Verma, 2001        | No   | Yes  | Yes                                 | Yes  | No, unblinded raters   |
| Voruganti, 2000    | No, convenience sample probably does not represent all of the patients among the 600 that would meet inclusion criteria                    | No withdrawals reported.   | No                                  | Yes  | Yes  |
| Wang, 2002<br>U.S. | Yes  | n/a  | Yes                                 | Yes  | Yes  |
| Weiser, 2000       | Yes ("recruited randomly")   | No withdrawals reported.   | Yes                                 | Yes  | No- raters of ESRS not blinded; other assessments computerized                               |
| Wirshing, 2002     | No- included only records with adequate laboratory data, and excluded those with a lack of compliance (excluded 63.6% of charts reviewed). | Yes (retrospective study)  | Yes                                 | Yes  | Not stated if blinded or independent assessment of outcomes (but lab test, may be objective) |
| Kelly 2010         | Unclear; numbers and reasons for exclusions NR   | Unclear; racial distinction missing on 14%                                       | Yes                                 | Yes  | Unclear how cause of death was adjudicated   |
| Yood 2009          | Yes  | Yes (retrospective study)  | Yes                                 | Unclear  | Unclear  |
| Yu 2008            | Yes  | Yes  | Yes                                 | Yes  | Unclear  |
| Yu 2009            | Yes  | Unclear; completeness of data NR.  | Yes                                 | Yes  | Unclear (lack of information about a validation study for accuracy)                          |
| Yu, 2009           | Yes  | N/A: Subjects were selected on minimum 1-year enrollment after prescription date | Yes                                 | Yes  | Yes  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| Author, year       | Statistical analysis of potential confounders?  | Adequate duration of follow-up?   | Overall quality assessment | Comments |
|--------------------|---|---|----------------------------|----------|
| Van Winkel 2008    | No, and BMI was significantly greater for aripiprazole than olanzapine (28.4 vs 23.5 kg/m <sup>2</sup> ; $P < 0.05$ ) | No - 3 mos  | Poor                       |          |
| Verma, 2001        | No  | Unclear, follow-up ended at discharge, but mean duration of inpatient stay not reported | Poor                       |          |
| Voruganti, 2000    | No, and there were baseline differences in disease severity (clozapine patients were sicker)                          | Yes   | Poor                       |          |
| Wang, 2002<br>U.S. | Yes   | N/A (case-control)  | Fair                       |          |
| Weiser, 2000       | Controlled for age only.  | Yes   | Fair                       |          |
| Wirshing, 2002     | Yes   | Yes (tests within 2 1/2 years included)   | Fair                       |          |
| Kelly 2010         | Unclear; higher proportion of smokers in clozapine group (61% vs 48%; $P = 0.0002$ ) and no adjustment                | Yes   | Fair                       |          |
| Yood 2009          | Yes   | Yes   | Fair                       |          |
| Yu 2008            | Yes - propensity score matching   | Yes   | Fair                       |          |
| Yu 2009            | Yes (propensity scores)   | Yes   | Good                       |          |
| Yu, 2009           | Yes   | Yes; followup fixed at 12 mos by design   | Good                       |          |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b> | <b>Non-biased selection?</b>            | <b>Low overall loss to follow-up?</b>                               | <b>Outcomes pre-specified and defined?</b>  | <b>Ascertainment techniques adequately described?</b> | <b>Non-biased and adequate ascertainment methods?</b> |
|---------------------|---|---|---|---|---|
| Zhang 2007          | Yes, recruited randomly                 | Yes   | No; no specification of primary outcome variable or whether both endpoint BMI and BMI gain were pre-planned | Yes   | Yes   |
| Zhao, 2002          | Unclear: groups differed but did adjust | NA (retrospective study including persons with available data only) | Yes   | Yes   | Unclear, don't know reliability of the database       |
| Zhao, 2002          | Yes                                     | No withdrawals reported   | No  | Yes   | No  |

**Evidence Table 4. Quality assessment of observational studies in patients with schizophrenia**

| <b>Author, year</b> | <b>Statistical analysis of potential confounders?</b>  | <b>Adequate duration of follow-up?</b> | <b>Overall quality assessment</b> | <b>Comments</b>               |
|---------------------|--|--|-----------------------------------|-------------------------------|
| Zhang 2007          | Unclear; states "where there was a significance in ANOVA, the effect of age, sex, duration of illness and neuroleptic dose were tested by adding these variables to the analysis model as co-variate", but no mention of results of these tests of co-variate regarding impact on significance of difference in BMI and BMI change between clozapine and risperidone | Yes                                    | Poor                              |                               |
| Zhao, 2002          | Yes  | Yes, 1 year                            | Fair                              | retrospective, 2-group cohort |
| Zhao, 2002          | Yes  | Yes                                    | Fair                              |                               |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Study design<br>Setting          | Eligibility criteria   | Therapy type<br>Interventions<br>Duration   |
|---------------------------------------|----------------------------------|--|---|
| Berwaerts 2012<br>U.S.                | RCT, DB, parallel<br>Multicenter | Patients 18-65 years with DSM-IV criteria for bipolar disorder with most recent manic or mixed episodes with or without psychotic features at the time of screening. ≥2 previous documented mood episodes, one of which had to be manic or mixed episode) requiring treatment within 3 years before screening and a score of ≥20 on YMRS | Monotherapy<br>Paliperidone ER 3-12 mg/d<br>Olanzapine: 5-20 mg/d<br>3 week acute treatment, 12 week continuation phase, maintenance phase till at least 140 recurrences occurred among patients originally assigned to paliperidone ER |
| Bobo, 2011<br>USA                     | Randomized, open-label           | 18-60 years, principal diagnosis of bipolar I, II or NOS, MADRS score ≥15, BMI 21-32<br>Exclusions: diabetes, fasting blood glucose >124, random blood glucose >240, history of non-affective psychotic disorder   | Olanzapine orally disintegrating tablets, titrated to 10-20 mg/d, mean dose 13.3 mg/d<br>Olanzapine solid oral tablets, titrated to 10-20 mg/d, mean dose 16.5 mg/d<br>8 weeks  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Allowed other medications/<br>interventions   | Age<br>Gender<br>Ethnicity  | Other population characteristics  | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed                |
|---------------------------------------|---|---|---|--|---|
| Berwaerts 2012<br>U.S.                | Benzodiazepine upto 8mg/day, clonazepam upto 4mg/ day, or diazepam upto 80mg/day allowed as rescue medications . Nonbenzodiazapine hypnotics at standard doses allowed for insomnia, beta adrenergic blockers for the relief of treatment emergent akathisia, and antiparkinsonianism medications for the relief of extrapyramidal symptoms allowed at any time during DB phases. | <u>Acute/continuation phase</u><br>Mean age (SD): 40 years (11.9)<br>Women: 52%<br>White: 62%<br>Black: 12%<br>Asian: 25%<br>Other: 1%<br>American Indian or Alaska Native: 1%<br><br><u>Maintenance phase</u><br>Mean age (SD): 20 (12.5) years<br>Female: 55%<br>White: 61%<br>Black: 6%<br>Asian: 31%<br>Other: 1%<br>American Indian or Alaska native: 1% | Acute/continuation phase<br>Mean (SD)baseline BMI (kg/m2): 27 (6.5)<br><u>Region</u><br>North Americana nd European Union: 41%<br>Rest of the world: 59%<br><br>Prior antipsychotic use: 60%<br><br>Primary diagnosis<br>Manic: 80%, mixed: 20%<br>Mean (SD) baseline YMRS score: 28.4 (5.75)<br>Mean (SD) baseline MADRS score: 9.1 (7.32)<br><br><u>Maintenance phase</u><br>Mean (SD)baseline BMI (kg/m2): 27 (6.4)<br><u>Region</u><br>North Americana nd European Union:27%<br>Rest of the world: 73%<br><br>Prior antipsychotic use: 63%<br><br>Primary diagnosis<br>Manic: 85%, mixed: 15%<br>Mean (SD) baseline YMRS score: 28.2 (5.63)<br>Mean (SD) baseline MADRS score: 7.6 (6.35) | NR/NR/766                                    | acute/continuation phase: 372/30/750<br>maintennace phase: 147/23/372 |
| Bobo, 2011<br>USA                     | Non-benzodiazepine sleep-promoting agents   | Mean age: 37.83<br>Gender: 56.5% female<br>Ethnicity: 65.2% white, 34.8% African American   | Diagnosis: Bipolar I, depressed: 47.8%<br>Bipolar II, depressed: 30.4%<br>Bipolar I, mixed: 13.0%<br>Bipolar, NOS: 8.7%   | 39/27/23                                     | 4/4/2023  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Results   | Adverse effects reported   |
|---------------------------------------|---|--|
| Berwaerts 2012<br>U.S.                | <p>Paliperidone vs risperidone vs placebo</p> <p><u>Mood symptoms</u></p> <p>Patients with recurrence: 45% vs 23% vs 54%</p> <p>Time to recurrence of mood symptoms significantly longer with paliperidone ER vs placebo (p=0.017)</p> <p>Median time to recurrence (days): 558 vs NA (23% reported recurrence of any mood symptoms) vs 283. Post-hoc pairwise comparison time to recurrence significantly longer with olanzapine vs either treatment group (p≤0.001 vs either treatment group)</p> <p>Recurrence rate, NNT (95% CI) for prevention of recurrence of any mood symptoms at 12 months 38.6 %vs 15.8% vs 51.6%, NNT-Paliperidone: 8 (4 to 885), olanzapine 3 (2 to 5)</p> <p>at 24 months: 58.2% vs 34.3% vs 71.9%, NNT Paliperidone: 8 (4 to -322), Olanzapine: 3 (2 to 5)</p> <p><u>Manic symptoms</u></p> <p>Time to recurrence significantly longer in paliperidone ER group vs placebo (p&lt;0.001), HR (placebo paliperidone ER) 2.06 (95% CI 1.32 to 3.22).</p> <p>time to recurrence based on post-hoc pairwise comparison olanzapine with placebo (p≤0.001), olanzapine with paliperidone ER (p=0.014)</p> <p><u>Depressive symptoms</u></p> <p>Placebo vs paliperidone ER: [HR (95% CI): 0.88 (0.53 to 1.46), p=NS]</p> <p>mean (SD) change from maintenance phase baseline in YMRS at endpoint, (p vs placebo): 4.2 (9.33) p&lt;0.001, vs 1.3 (6.26) vs 9.0 (11.78), LSM (SE) minus placebo for paliperidone -4.5 (1.25) 95% CI (-6.92 to -1.98)</p> <p>mean (SD) change from maintenance phase baseline in MADRS endpoint, (p vs placebo): 6.1 (10.10), p=0.763, vs 2.5 (7.10) vs 6.0 (9.16) LSM difference (SE) minus placebo 0.3 (1.12) 95% CI (-1.87 to 2.55)</p> | <p>Paliperidone ER vs olanzapine</p> <p><u>Acute phase</u></p> <p>Patients with TEAE: 61% vs 56%</p> <p>TEAE leading to death: &lt;1% vs 0</p> <p>Serious TEAE: 7% vs 7%</p> <p>Insomnia: 14% vs 10%</p> <p>Akathisia: 14% vs 7%</p> <p>Somnolence: 12% vs 16%</p> <p>Extrapyramidal disorder: 9% vs 3%</p> <p>Weight increased: 8% vs 12%</p> <p>Dizziness: 7% vs 3%</p> <p>Sedation: 6% vs 17%</p> <p>Tremor: 6% vs 3%</p> <p>Depression: 3% vs 3%</p> <p>Dry mouth: 5% vs 9%</p> <p>Increased appetite: 4% vs 9%</p> <p>Mania: 2% vs 5%</p> <p>Weight decreased: 1% vs 0</p> <p><u>Maintenance phase</u></p> <p>Paliperidone ER vs Olanzapine vs Placebo</p> <p>Patients with TEAE: 55% vs 64% vs 59%</p> <p>TEAE leading to death: 1% vs 0 vs 0</p> <p>Serious TEAE: 11% vs 10% vs 22%</p> <p>Insomnia: 9% vs 8% vs 10%</p> <p>Akathisia: 1% vs 2% vs 1%</p> <p>Somnolence: 3% vs 1% vs 0</p> <p>Extrapyramidal disorder: 1% vs 1% vs 1%</p> <p>Weight increased: 8% vs 8% vs 7%</p> <p>Dizziness: 3% vs 0 vs 1%</p> <p>Sedation: 0 vs 2% vs 0</p> <p>Tremor: 1% vs 4% vs 0</p> <p>Depression: 5% vs 2% vs 5%</p> <p>Dry mouth: 1% vs 1% vs 1%</p> <p>Increased appetite: 1% vs 0% vs 0%</p> <p>Mania: 5% vs 6% vs 18%</p> <p>Weight decreased: 3% vs 1% vs 6%</p> |
| Bobo, 2011<br>USA                     | NR  | <p>Orally disintegrating tablets vs. solid oral tablets, LS Mean (SE)</p> <p>Weight, kg: Week 1: 77.4(0.6) vs. 77.6(0.7); Week 2: 77.8(0.6) vs. 78.3(0.7); Week 4: 78.6(0.6) vs. 78.7(0.7); Week 6: 78.9(0.7) vs. 79.4(0.7); Week 8: 79.1(0.7) vs. 80.1(0.7); NSD between</p>  |

Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder

| Author, Year           |  | Total withdrawal; withdrawal due to averse events | Comment |
|------------------------|--|---|---------|
| Country                | Trial name   |   |         |
| Berwaerts 2012<br>U.S. | Acute phase<br>Paliperidone ER vs olanzapine<br>Total withdrawals: 50% vs 42%<br>Withdrawals due to AE: 10% vs 9%                              |   |         |
|                        | Maintenance phase<br>Paliperidone ER vs olanzapine vs placebo<br>Total withdrawals: 37% vs 47% vs 35%<br>Withdrawals due to AE: 3% vs 8% vs 3% |   |         |
| Bobo, 2011<br>USA      |  | 4;0   |         |



**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name                    | Study design<br>Setting   | Eligibility criteria  | Therapy type<br>Interventions<br>Duration  |
|--|---------------------------|---|--|
| Harvey, 2007<br>USA                                      | Randomized, DB cross-over | 18-55 ys old DSM-IV diagnosis of bipolar I disorder in partial or full remission and a Young Mania Rating Scale score $\leq 8$<br>Exclusion- use of sedating medications; current diagnosis of MDD, mania, hypomania, psychosis, dysthymia, or catatonic behaviors.   | Risperidone-quetiapine sequence received 2 mg of risperidone with dinner and P with breakfast during period 1 and 100 mg of quetiapine with dinner and 100 mg with breakfast during period 2.  |
| Kwentus; Ortho<br>NCT00309699-2007<br>U.S., Europe, Asia | DB RCT<br>Multicenter     | Men and women aged 18-65 with DSM-IV-diagnosed Bipolar I disorder, most recent episode manic or mixed, currently experiencing an acute manic or mixed episode; history of at least 1 previously documented manic or mixed episode requiring medical treatment within 3 ys, and a total score $\geq 20$ on YMRS at screening and baseline. | Oral paliperidone XR, 3 to 12 mg/d<br>Oral quetiapine 400 to 800 mg/d P<br><br>3-week DB acute phase, subjects hospitalized for first 7 ds;<br>9-week DB maintenance phase<br>Subjects randomized to active treatment acute phase remained on same treatment in maintenance phase. Subjects initially on P crossed over to paliperidone ER (blinded) in maintenance phase. |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name                    | Allowed other medications/<br>interventions            | Age<br>Gender<br>Ethnicity   | Other population characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed |
|--|--|--|--|--|--|
| Harvey, 2007<br>USA                                      | Yes if they were stable for the proceeding<br>8 weeks. | Mean age 40.9 ys<br>71% male<br>32% white<br>61% black<br>7% other | DSM-IV diagnosis (patients)<br>Hypomanic or manic episode:<br>Partial remission: 1 (3.6%)<br>Full remission: 3 (10.7%)<br>Major depressive episode<br>Partial remission: 1 (3.6%)<br>Full remission: 19 (67.8%)<br>Mixed episode in full remission: 2 (7.1%)<br>Current or most recent episode in full remission: 2<br>(7.1%)<br>ys since diagnosis: 10.0<br>YMRS total score: 2.9<br>MADRS total score: 5.6 | NR/NR/30                                     | 2/NR/28  |
| Kwentus; Ortho<br>NCT00309699-2007<br>U.S., Europe, Asia | NR   | NR   | NR   | NR/NR/493                                    | 37/0/491   |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name                    | Results  | Adverse effects reported  |
|--|--|---|
| Harvey, 2007<br>USA                                      | see AEs  | Risperidone vs. Quetiapine<br>Total AEs 18 vs. 36<br>at least 1 AE 14 vs. 25 $p < 0.05$ vs. risperidone<br>Somnolence 9 vs. 24 $p < 0.05$ vs. risperidone<br>Fatigue 4 vs. 6<br>Dry mouth 0 vs. 3<br>Headache 2 vs. 0<br>Carpal tunnel 1 vs. 0<br>Dystonia 1 vs. 0<br>Nausea 1 vs. 0<br>Blurred vision 0 vs. 1<br>Nasal congestion 0 vs. 1  |
| Kwentus; Ortho<br>NCT00309699-2007<br>U.S., Europe, Asia | Paliperidone ER vs quetiapine vs P:<br><br>% of responders: 55.8 vs 49.0 vs 34.6<br><br>Mean (SD) change from baseline to 3-week endpoint (LOCF); P-value for paliperidone vs P:<br>YMRS total score: -13.2 (8.68) vs -11.7 (9.28) vs -7.4 (10.74); $p < 0.001$<br>GAF 12.2 (11.17) vs 11.6 (11.96) vs 6.7 (13.56); $p < 0.001$<br><br>P-value for paliperidone ER relative to P at 3 weeks, results NR:<br>CGI-BP-S: $p < 0.001$<br>PANSS: $p = 0.002$<br>Sleep VAS: $p < 0.001$<br><br>Paliperidone ER v. quetiapine, mean (SD) change from baseline to 12-week endpoint (LOCF):<br>YMRS total score -15.2 (10.26) vs -13.5 (11.02); $p = \text{NS}$ | 1 suicide in quetiapine during maintenance phase;<br>1 suicide in P/paliperidone ER group 5 ds after WDa1 from study (timing of WDa1 NR).<br>Depression: 5 (5%) in P/paliperidone ER and 14 (7%) in paliperidone ER; 0 in quetiapine<br><br>Paliperidone ER vs quetiapine vs P:<br>% of subjects with abnormally high heart rate: 20 vs 19 vs 10<br>% of subjects with $\geq 7\%$ weight increase at end of maintenance phase: 8 v 17 v 6<br>EPS: akathisia, hypertonia, drooling, extrapyramidal disorder, and muscle spasms more frequent in paliperidone ER than P, results NR. % of subjects receiving anticholinergic medications during acute treatment phase: 17 vs 7 vs 5.<br>% of subjects with prolactin-related AEs during combined acute and maintenance phases: 5 vs 3 vs 2.<br>Mean (SD) increases in prolactin (ng/mL) at 3-week endpoint:<br>Paliperidone ER: 24.61 (23.98) in males; 89.77 (81.47) in females.<br>P: -1.03 (14.08) in males; 7.15 (31.82) in females<br>Quetiapine: No increase in mean prolactin. |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder****Author, Year****Country**

| <b>Trial name</b>   | <b>Total withdrawal; withdrawal due to adverse events</b> | <b>Comment</b> |
|---------------------|---|----------------|
| Harvey, 2007<br>USA | WD 2<br>due to AEs 0                                      |                |

|  |                                  |
|--|----------------------------------|
| Kwentus; Ortho<br>NCT00309699-2007<br>U.S., Europe, Asia | Total WD NR;<br>37 WD due to AEs |
|--|----------------------------------|

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Study design<br>Setting           | Eligibility criteria   | Therapy type<br>Interventions<br>Duration  |
|--|-----------------------------------|--|--|
| McIntyre 2009<br>Olympia Clinical Trial<br>Program<br>United States, India,<br>Russia, Ukraine, Korea,<br>Bulgaria, Philippines,<br>Romania, Turkey,<br>Malaysia | RCT, DB<br>Multicenter (55)       | Inclusion: Patients ≥18 ys old with DSM-IV diagnosed bipolar I disorder; with current manic or mixed bipolar I episode that began ≤3 mos before screening visit; YMRS total score ≥20; history of >1 previous episode.<br>Exclusion: women who were or could become pregnant; psychotic disorder; rapid-cycling bipolar disorder during past y; DSM-IV substance dependence; positive screen for psychomotor stimulants; seizure disorder; HIV; unstable medical condition or lab abnormality; previously participated in asenapine trial; clozapine within 12 weeks; investigational drug within 30 ds of baseline. | Asenapine sublingual, flexible dose (5 or 10 mg BID; mean 18.2 mg/d), N=194<br>Oral olanzapine (5-20 mg QD, mean 15.8 mg/d), N=191.<br>P, N=104.<br>3 weeks  |
| McIntyre, 2009<br>Bulgaria, India, Malaysia,<br>Philippines, Republic of<br>Korea, Romania, Russia,<br>Turkey, Ukraine, and the<br>United States                 | DB extension trial<br>Multicenter | Patients who completed one of the 3-week trials (Ares 7501004, Ares 7501005) were eligible for the extension study if they wished to participate, if they had no major protocol violations, and if the investigator judged that continued treatment could be of clinical benefit; those who did not complete a 3-week trial were excluded from the extension study.  | Flexible dose<br>Sublingual asenapine (5-10 mg) BID vs oral olanzapine (5-20mg) QD extended for 9 weeks<br><br>Note: Patients who had received P in the 3-week trials were blindly switched to asenapine (labelled as P/asenapine group) |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Allowed other medications/<br>interventions  | Age<br>Gender<br>Ethnicity  | Other population characteristics  | Number<br>screened/<br>eligible/<br>enrolled    | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed |
|--|--|---|---|---|--|
| McIntyre 2009<br>Olympia Clinical Trial<br>Program<br>United States, India,<br>Russia, Ukraine, Korea,<br>Bulgaria, Philippines,<br>Romania, Turkey,<br>Malaysia | EPS medications, benzodiazepines, and<br>non-benzodiazepine sedative-hypnotics<br>allowed only for first 7 ds.<br>Allowed hormonal birth control, anti-<br>hypertensives, diuretics, and oral<br>hypoglycemics. Aspirin and NSAIDs as<br>needed.   | Mean age 39.4<br>57.4% male<br>White 60.5%<br>Black 16.6%<br>Asian 18.0%<br>Other 4.9%  | Type of episode:<br>Mania 69.3%<br>Mixed 30.7%  | 654 screened /<br>NR eligible /<br>489 enrolled | 151/9/488  |
| McIntyre, 2009<br>Bulgaria, India, Malaysia,<br>Philippines, Republic of<br>Korea, Romania, Russia,<br>Turkey, Ukraine, and the<br>United States                 | Lorazepam up to 4 mg/d for agitation,<br>aspirin or nonsteroidal anti-inflammatory<br>drugs for pain, and antiparkinsonian<br>medications for EPS; hypnotics/<br>benzodiazepines (zolpidem 10 mg/d,<br>zaleplon 20 mg/d, or temazepam up to 30<br>mg/d for no more than 3 nights per week)<br>were permitted for insomnia. | P/Asenapine vs<br>Asenapine vs<br>Olanzapine<br><br>Mean age (SD): 40<br>(13.1) vs 39.1 (13.0) vs<br>39.6 (11.9) ys<br><br>Male: 48% vs 54% vs<br>59%<br><br>White: 59 (63) vs 108<br>(60) vs 131 (57)<br>Black: 19 (20) vs 20<br>(11) vs 27 (12)<br>Asian or other: 16 (17)<br>vs 53 (29) vs 71 (31) | Asenapine vs Olanzapine<br><br>Mean YMRS total score (SD): 29.0 (6.1) vs 28.8 (5.9)<br>Mean MADRS (SD): 9.7 (7.3) vs 10.3 (7.1) | 680/NR/504                                      | 196/42/397 (see<br>comments)                           |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Results   | Adverse effects reported   |
|--|---|--|
| McIntyre 2009<br>Olympia Clinical Trial<br>Program<br>United States, India,<br>Russia, Ukraine, Korea,<br>Bulgaria, Philippines,<br>Romania, Turkey,<br>Malaysia | Asenapine vs. olanzapine vs. P:<br>Change in total score from baseline to d 21, mean $\pm$ SD; P-value vs. P:<br>YMRS: $-10.8 \pm 0.8$ vs. $-12.6 \pm 0.8$ vs. $-5.5 \pm 10$ ; both treatments $P < 0.0001$ .<br>CGI-BP: $-1.2 \pm 0.1$ ; $P \leq 0.01$ vs. $-1.4 \pm 0.1$ ; $P \leq 0.0001$ vs. $-0.7 \pm 0.13$<br>MADRS: $-3.2 \pm 0.5$ ; $P = \text{ns}$ vs. $-4.2 \pm 0.5$ ; $P \leq 0.01$ vs. $-1.8 \pm 0.7$<br><br>Response rate: 42.3% vs. 50% vs. 25.2%<br>Proportion of remitters: 40.2% vs. 39.4% vs. 22.3% | Asenapine (N=194) vs P (N=105) vs olanzapine (N=189), % of group:<br>Mania 3.1 vs 2.9 vs 1.1<br>Agitation 1.0 vs 0 vs 1.1<br>Sedation 18.6 vs 4.8 vs 18.5<br>Dizziness 11.9 vs 3.8 vs 8.5<br>Somnolence 8.8 vs 1.9 vs 7.4<br>Fatigue 6.2 vs 1.0 vs 4.8<br>Oral hypoesthesia 5.2 vs 1.0 vs 1.1<br>Dry mouth 4.1 vs 1.0 vs 14.3<br>Weight increase 3.1 vs 1.0 vs 6.9<br>Any EPS related AE 7.2 vs 2.9 vs 7.9<br>AIMS score $\geq 2$ : 1.1 vs 1.0 vs 1.6<br>BARS global score $\geq 2$ : 7.4 vs 5.2 vs 7.9<br>SAS mean total score $> 0.3$ : 5.5 vs 2.0 vs 2.8<br>Mean weight change kg: 1.6 vs 0.3 vs 1.9<br>P/Asenapine vs Asenapine vs Olanzapine<br><br>Mean change in SAR-S (SD): -0.2 (1.07) vs 0.1 (1.3) vs -0.1 (1.74)<br>Mean change in BARS (SD): -0.4 (1.55) vs 0.1 (1.3) vs -0.1 (1.13)<br>Mean change in AIMS (SD): 0 (0.33) vs 0 (0.31) vs 0 (0.23)<br><br>n (%)<br>All AEs: 72 (77) vs 139 (77) vs 178 (78)<br>All serious AEs: 13 (14) vs 22 (12) vs 22 (10)<br>Sedation: 8 (9) vs 26 (14) vs 40 (18)<br>Dizziness: 7 (7) vs 24 (13) vs 15 (7)<br>Insomnia: 8 (9) vs 23 (13) vs 23 (10)<br>Headache: 13 (14) vs 21 (12) vs 34 (15)<br>Somnolence: 13 (14) vs 21 (12) vs 33 (14)<br>Nausea: 11 (12) vs 15 (8) vs 7 (3)<br>Weight gain: 3 (3) vs 14 (8) vs 33 (14)<br>Constipation: 10 (11) vs 10 (6) vs 10 (4)<br>Dry mouth: 3 (3) vs 7 (4) vs 25 (11)<br>Akathisia: 4 (4) vs 13 (7) vs 20 (9)<br>Parkinsonism: 3 (3) vs 10 (6) vs 4 (2)<br>Dystonia: 3 (3) vs 6 (3) vs 5 (2)<br>Bradykinesia: 0 vs 4 (2) vs 3 (1) |
| McIntyre, 2009<br>Bulgaria, India, Malaysia,<br>Philippines, Republic of<br>Korea, Romania, Russia,<br>Turkey, Ukraine, and the<br>United States                 | asenapine vs olanzapine<br><br>Mean change YMRS total score (SD): -20.1 (10.7) vs -21.3 (9.6)<br>Response rate: 77% vs 82%<br>Remission rate: 75% vs 79%<br><br>Mean change MADRS (SE): -3.6 (0.69) vs -2.4 (0.61); $P = \text{NS}$   |  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder****Author, Year****Country**

| <b>Trial name</b>  | <b>Total withdrawal; withdrawal due to adverse events</b> | <b>Comment</b> |
|--|---|----------------|
| McIntyre 2009  | 151 WD  |                |
| Olympia Clinical Trial Program   | 35 due to AEs   |                |
| United States, India, Russia, Ukraine, Korea, Bulgaria, Philippines, Romania, Turkey, Malaysia |   |                |

|  |                   |   |
|--|-------------------|---|
| McIntyre, 2009   | Total WD: 196     | Patients who had received P in the 3-week trials were blindly switched to asenapine and these patients were included in the safety analyses only. |
| Bulgaria, India, Malaysia, Philippines, Republic of Korea, Romania, Russia, Turkey, Ukraine, and the United States | WD due to AEs: 64 |   |



**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Study design<br>Setting         | Eligibility criteria   | Therapy type<br>Interventions<br>Duration  |
|---------------------------------------|---------------------------------|--|--|
| Nejtek 2008<br>Texas, USA             | DB RCT<br>2 psychiatric centers | Men and women, 20-50 ys, concurrent DSM-IV-defined bipolar I or II disorder and cocaine or methamphetamine dependence.   | Monotherapy<br>quetiapine 303.6 +/- 151.9 mg/d<br>risperidone 3.1 +/- 1.2 mg/d .<br><br>20 weeks |
| Perlis, 2007<br>USA                   | RCT, DB. Multicenter            | 18-70 ys old; YMRS => 20; DSM-IV criteria for bipolar I disorder, manic or mixed episode, without psychotic features.<br>Exclusion- serious suicide risk; DSM-IV substance abuse w/in 2 mos (except caffeine and nicotine); current hospitalization > 3 weeks; >= 90 ds current manic or mixed episode: previous failure to study drugs in past. | Olanzapine (5-20 mg/d; N = 165) and risperidone (1-6 mg/d; N = 164) 3 weeks                      |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Allowed other medications/<br>interventions   | Age<br>Gender<br>Ethnicity   | Other population characteristics  | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed |
|---------------------------------------|---|--|---|--|--|
| Nejtek 2008<br>Texas, USA             | Allowed to enter study with up to 2 psychotropics and treatments for general medical condition i.e. hypertension treatments, acute antibiotics and OTC cold and allergy medications | Quetiapine vs.<br>Risperidone<br>Age 52 (25) vs.. 54 (25)<br>White (%) 71 vs.. 70<br>Black (%) 29 vs. 24<br>Hispanic (%) 0 vs. 6 | Quetiapine vs. Risperidone<br>Bipolar 1 79% vs. 89%<br>Bipolar 2 21% vs. 11%<br>Duration of illness yrs 24.7 vs.. 23.3                              | 651/NR/124                                   | 80 (32 quetiapine and 34 risperidone)/25/80            |
| Perlis, 2007<br>USA                   | Benzotropine mesylate and lorazepam   | Mean age 38 ys<br>45.3% male<br>73.6 white   | Bipolar subtypes (% patients)<br>Mixed: 58.7<br>Rapid cycling: 45.3<br>Mean scale scores<br>CGI-BP=4.4<br>YMRS=26.6<br>HAM-D-21: 15.8<br>MADRS=16.3 | NR/329/329                                   | 90/16/329  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country   |   |  |
|---------------------------|---|--|
| Trial name                | Results   | Adverse effects reported   |
| Nejtek 2008<br>Texas, USA | <p>Most results in graphs</p> <p>Kaplan -Meier survival analyses</p> <p>Quetiapine vs. Risperidone</p> <p>YMRS &lt;9 at 3 weeks 40% vs. 24%</p> <p>IDS-C-30 remission by 6 weeks 40% vs. 50%</p> <p>51% abstained from drug use during the intervention</p> | <p>Quetiapine vs. Risperidone</p> <p>Dizziness 2 vs. 1</p> <p>Clumsiness 2 vs. 2</p> <p>Blurred vision 1 vs. 3</p> <p>Headache 3 vs. 3</p> <p>Nervousness 7 vs. 3</p> <p>Nausea or vomiting 2 vs. 1</p> <p>Sexual difficulties 3 vs. 3</p> <p>Diarrhea 1 vs. 1</p> <p>Constipation 1 vs. 0</p> <p>Dry mouth 3 vs. 1</p> <p>Decreased appetite 3 vs 3</p> <p>Increased appetite 6 vs 2</p> <p>Tiredness 9 vs 6</p> <p>Increased perspiration 1 vs 1</p> <p> daytime sleepiness 6 vs 5</p> |
| Perlis, 2007<br>USA       | <p>Between treatments, there was no difference in mean change in the YMRS, MADRS, CTD, PGWB, or SF-12 measures or in remission or response rates</p> <p>Olanzapine vs. risperidone</p> <p>Study completers 78.7% vs. 67.0%; p = .019</p>                    | <p>Olanzapine vs. risperidone (%)</p> <p>Sedation 31.5 vs. 27.4</p> <p>Headache 12.7 vs. 15.2</p> <p>Dry mouth 28.5 vs. 14.0</p> <p>Appetite increase 13.9 vs. 11.0</p> <p>Dizziness 13.9 vs 11.0</p> <p>Akathisia 7.9 vs. 10.4</p> <p>Weight increase 16.4 vs. 3.7</p>  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder****Author, Year****Country**

| <b>Trial name</b>         | <b>Total withdrawal; withdrawal due to adverse events</b> | <b>Comment</b> |
|---------------------------|---|----------------|
| Nejtek 2008<br>Texas, USA | 80 WD, none due to AEs                                    |                |

Perlis, 2007  
USATotal WD 90  
due to AEs 23

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Study design<br>Setting         | Eligibility criteria   | Therapy type<br>Interventions<br>Duration   |
|--|---------------------------------|--|---|
| Schering-Plough, Data on File, Study 7501004<br>United States, Bulgaria, India, Korea, Malaysia, Philippines, Romania, Russia, and Ukraine | DB RCT<br>Multicenter           | Inclusion: adult patients (>18 ys of age) with a primary diagnosis of bipolar I disorder; a YMRS score >20 at screening and baseline; a manic or mixed episode that began within 3 mos of screening; at least one previous moderate to severe mood episode, with or without psychotic features. .  | Flexible dose<br>Sublingual asenapine (5-10 mg) BID vs P<br>BID vs Olanzapine (5-20mg) QD<br>3 weeks  |
| Vieta, 2010<br>Worldwide   | RCT, DB<br>Hospitalized ≥7 days | 18-65 years, DSM-IV diagnoses of bipolar I disorder, experiencing acute manic or mixed episodes, ≥1 manic or mixed episode requiring treatment in prior 3 years, Young Mania Rating Scale of ≥20. Excluded DSM-IV criteria for rapid cycling, shizoaffective disorder, known or suspected antisocial personality disorder or history of substance abuse. | A. Paliperidone ER, 3-12 mg/d flexible dose<br>B. Quetiapine, 400-800 mg/d initially titrated, then flexible dose<br>C. Placebo<br><br>Placebo patients switched to Paliperidone after 3 week acute treatment phase, but remained blinded |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Allowed other medications/<br>interventions                 | Age<br>Gender<br>Ethnicity   | Other population characteristics  | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed |
|--|---|--|---|--|--|
| Schering-Plough, Data on<br>File, Study 7501004<br>United States, Bulgaria,<br>India, Korea, Malaysia,<br>Philippines, Romania,<br>Russia, and Ukraine | NR  | asenapine vs P vs<br>olanzapine<br><br>Mean age (SD): 39.1<br>(12.26) vs 38.1 (12.49)<br>vs 38.4 (10.82) ys<br>Female: 50.3% vs 51%<br>vs 42.9%<br><br>Caucasian: 56.2% vs<br>56.1% vs 53.7%<br>Black: 20.5% vs 16.3%<br>vs 20%<br>Asian: 21.6% vs 22.4%<br>vs 21.5%<br>Other: 1.6% vs 5.1% vs<br>4.9% | asenapine vs P vs olanzapine<br><br>Diagnosed with Bipolar I disorder, manic: 69.7% vs<br>67.3% vs 68.8%<br>Diagnosed with Bipolar I disorder, mixed: 30.3% vs<br>32.7% s 31.2% | NR/NR/488                                    | 146/11/480   |
| Vieta, 2010<br>Worldwide   | lorazepam, diazepam, anticholinergics and<br>antihistamines | Age: 39.18<br>Gender: 42.4% female<br>Ethnicity: White, 67.9%;<br>Black, 21.2%; Asian,<br>9.87%; Other, 1.03   | Bipolar disorder, Manic, 64.8%<br>Bipolar disorder, Mixed, 35.2%<br>Duration of current episode: 23.69 days   | 643/NR/493                                   | 261/36/486   |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Results  | Adverse effects reported   |
|--|--|--|
| Schering-Plough, Data on File, Study 7501004<br>United States, Bulgaria, India, Korea, Malaysia, Philippines, Romania, Russia, and Ukraine | asenapine vs P vs olanzapine<br><br>Mean change in YMRS score (SE): -11.5 (0.8) vs -7.8 (1.11) vs -14.6 (0.76); P<0.007 for asenapine vs P and P<0.0001 for olanzapine vs P<br>YMRS response rate: 42.6% vs 34% vs 54.7%; P=0.001 for olanzapine vs P<br>YMRS remission rate: 35.5% vs 30.9% vs 46.3%; P=0.016 for olanzapine vs P   | asenapine vs P vs olanzapine<br><br>n (%)<br>≥1 treatment-emergent AE: 140 (75.7) vs 55 (56.1) vs 136 (66.3)<br>Somnolence: 22 (11.9) vs 3 (3.1) vs 23 (11.2)<br>Dizziness: 19 (10.3) vs 2 (2.0) vs 13 (6.3)<br>Sedation: 16 (8.6) vs 3 (3.1) vs 29 (14.1)<br>Weight Increase: 12 (6.5) vs 0 (0.0) vs 19 (9.3)<br>Vomiting: 10 (5.4) vs 2 (2.0) vs 4 (2.0)<br>Increased appetite: 7 (3.8) vs 1 (1.0) vs 13 (6.3)<br>Extrapyramidal symptoms: 19 (10.3) vs 3 (3.1) vs 14 (6.8)<br>--Akathisia: 10 (5.4) vs 3 (3.1) vs 10 (4.9)<br><br>Mean changes from baseline in laboratory values, metabolic parameters, and vital signs were not of clinical significance. |
| Vieta, 2010<br>Worldwide   | Change from baseline, placebo vs. paliperidone vs. quetiapine; p-values vs. placebo<br>3-weeks:<br>PANSS: -5.3 (11.90) vs. -9.2 (11.13), p=0.002 vs. -8.1 (10.77), p=0.015<br>CGI-BP-S: -0.5 (-3 to 2) vs. -2.0 (-4 to 2), p<0.001 vs. -1.0 (-4 to 2), p<0.001<br>12-weeks, placebo/paliperidone vs. paliperidone vs. quetiapine:<br>PANSS: -4.8 (12.15) vs. -8.7(12.46) vs. -9.9(12.48), p=0.227<br>CGI-BP-S: -1.0 (-4 to 2) vs. -2.0 (-5 to 1) vs. -2.0 (-5 to 2), p=0.723<br><br>Responders at 12-weeks, paliperidone vs. quetiapine: 64.7% vs. 57.8% | Acute treatment phase, placebo vs. paliperidone vs. quetiapine:<br>All AEs: 66 vs. 127 vs. 147<br>EPS-related AEs ≥3% more frequently in paliperidone group vs. placebo:<br>Treatment and Maintenance phases: akathisia, hypertonia, drooling,<br>Treatment phase only: extrapyramidal disorder, and muscle spasm<br>Maintenance phase, placebo/paliperidone vs. paliperidone vs. quetiapine:<br>Death: 1 vs. 0 vs. 1  |

**Evidence Table 5. Randomized controlled trials in patients with bipolar I disorder****Author, Year****Country**

| <b>Trial name</b>  | <b>Total withdrawal; withdrawal due to adverse events</b> | <b>Comment</b>   |
|--|---|--|
| Schering-Plough, Data on File, Study 7501004<br>United States, Bulgaria, India, Korea, Malaysia, Philippines, Romania, Russia, and Ukraine | Total WD: 146<br>WD due to AEs: 34                        | Inconsistency in reporting of discontinuation due to AEs: reported 28 cases (page 51) and reported 34 cases (Table 1; page 53). The higher number was extracted. |

|                          |        |
|--------------------------|--------|
| Vieta, 2010<br>Worldwide | 261/38 |
|--------------------------|--------|



**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| <i>Internal validity</i> |                         |                                  |  |                                 |                           |                         |                         |
|--------------------------|-------------------------|----------------------------------|--|---------------------------------|---------------------------|-------------------------|-------------------------|
| Author, year             | Randomization adequate? | Allocation concealment adequate? | Groups similar at baseline?  | Eligibility criteria specified? | Outcome assessors masked? | Care provider masked?   | Patient masked?         |
| Altamura, 2003           | NR                      | NR                               | Yes  | Yes                             | Unclear                   | No                      | No                      |
| Amsterdam, 2005          | Method not described    | NR                               | No; differences in illness duration among the arms (range 15-24 years) and episode duration (12-30 months) | Yes                             | Unclear, reported as DB   | Unclear, reported as DB | Unclear, reported as DB |
| AZ-D1447C00144           | Method not described    | Method not described             | Yes  | Yes                             | Stated as double-blind    | Stated as double-blind  | Yes                     |
| AZ-D144CC00004           | Method not described    | Method not described             | Yes  | Yes                             | Stated as double-blind    | Stated as double-blind  | Yes                     |
| Berwaerts, 2012          | Yes                     | Yes, IVRS                        | Yes  | Yes                             | Yes                       | Yes                     | Yes                     |
| Bobo, 2011               | Yes                     | Unclear                          | Mostly, slightly more bipolar 1 patients in the orally disintegrating tablet group                         | Yes                             | NR                        | No, open label          | No, open label          |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year    | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high  | Intent-to-treat analysis  | Maintenance of comparable groups  | Quality rating | Comments   |
|-----------------|--|---|---|---|----------------|--|
| Altamura, 2003  | NR, NR, NR, NR   | NR<br>NR  | Unclear   |   | Poor           |  |
| Amsterdam, 2005 | Yes, NR, NR, NR  | ~41% discontinued before end of trial<br>Differential: NR                           | NR; preliminary efficacy analyses were descriptive; did not specify which population they used for their analyses and how missing data were to be handled |   | Poor           | Is 8 weeks long enough time to assess whether fluoxetine doesn't induce mania? |
| AZ-D1447C00144  | Yes, NR, NR, Yes   | NR<br>NR  | No<br>1172/1226 (95.6%) included  | NR  | Fair           |  |
| AZ-D144CC00004  | NR, NR, NR, NR   | NR<br>NR  | No<br>Not all randomized were evaluated. Reported 96.1% and 98.8% in efficacy ITT   | NR  | Fair           |  |
| Berwaerts, 2012 | Crossover-unclear<br>adherence-yes<br>contamination-unclear      | Overall-Yes 59% overall, 37% from the maintenance phase, Differential-No            | No; analysis excluded 6% in the maintenance phase   | Yes   | Fair           |  |
| Bobo, 2011      | Yes, NR, NR, NR  | Differential: Yes, 31% from orally disintegrating tablets group vs. 0%, Overall: No | Yes   | Unclear, completers and noncompleters did not differ but NR for each group. | Fair           |  |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b>                     | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b>  |
|---|--------------------------------|---|--|--|----------------------------------|------------------------------|-------------------------|
| Brecher, 2003<br>Poster                 | NR                             | NR                                      | Yes  | Yes                                    | Yes                              | Yes                          | Yes                     |
| Brown 2008                              | NR                             | NR                                      | Mostly, quetiapine group had more white participants than the placebo group and YMRS scores were higher in the placebo group | Yes                                    | NR                               | Stated as double-blind       | Yes                     |
| Calabrese, 2004<br>Poster               | NR                             | NR                                      | Yes  | Yes                                    | Yes                              | Yes                          | Yes                     |
| Cutler; Ortho -<br>NCT00299715-<br>2007 | NR                             | NR                                      | Sample characteristics NR  | Yes                                    | NR                               | Stated as double-blind       | Yes                     |
| Harvey, 2007                            | Method not described           | NR                                      | Yes  | Yes                                    | Unclear, reported as DB          | Unclear, reported as DB      | Unclear, reported as DB |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year                            | Reporting of attrition, crossovers, adherence, and contamination                       | Loss to follow-up: differential/high     | Intent-to-treat analysis  | Maintenance of comparable groups | Quality rating | Comments  |
|---|--|--|---|----------------------------------|----------------|---|
| Brecher, 2003<br>Poster                 | Yes, NR, NR, NR  | No<br>No                                 | LOCF  |                                  | Fair           |   |
| Brown 2008                              | Yes, No, No, No  | No<br>No                                 | Yes; only (13; 11%) excluded participants from analysis without a postbaseline assessment |                                  | Fair           |   |
| Calabrese, 2004<br>Poster               | Yes, NR, NR, NR  | NR<br>NR                                 | LOCF  |                                  | Fair           |   |
| Cutler; Ortho -<br>NCT00299715-<br>2007 | Partially: reported attrition due to AEs<br>No<br>No<br>No                             | No<br>No                                 | Yes; 2 (0.4%) subjects excluded from efficacy analysis                                    | NR                               | Fair           |   |
| Harvey, 2007                            | Yes, Yes,<br>Adherence-subjects stayed at the testing site to ensure compliance,<br>NR | ~7% (2/30) withdrew<br>Differential: low | No, but 93% completed the study   |                                  | Fair           | Evaluating cognitive fxn is important but this study did not evaluate the long-term effects. The duration of the study needs to longer in |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b> | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>                | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|---------------------|--------------------------------|---|---|--|----------------------------------|------------------------------|------------------------|
| Hirschfeld, 2004    | Yes                            | Yes                                     | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |
| Houston, 2009       | NR                             | NR                                      | Yes   | Yes                                    | Stated as DB                     | Stated as DB                 | Stated as DB           |
| Keck 2009           | NR                             | NR                                      | Mostly; placebo group had more white participants | Yes                                    | NR                               | Stated as double-blind       | Stated as double-blind |
| Keck, 2003          | NR                             | NR                                      | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year     | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high | Intent-to-treat analysis   | Maintenance of comparable groups | Quality rating | Comments |
|------------------|--|--------------------------------------|--|----------------------------------|----------------|----------|
| Hirschfeld, 2004 | Yes, NR, NR, NR  | No<br>No                             | No; 12 (4.6%) excluded from endpoint analysis; 3 because they didn't have "at least two efficacy assessments", and 9 from one site due to GCP noncompliance or protocol violations ("repeat patients"); no mention of results from "worst case scenario" sensitivity analysis that included those 12 patients; data on file, submitted 11/9/04 was included in this consideration. |                                  | Fair           |          |
| Houston, 2009    | Yes, No, No, No  | No, No                               | NR<br>Reported ITT was conducted but data to support ITTY not provided   | Yes                              | Fair           |          |
| Keck 2009        | Yes, No, No, No  | No; No                               | Yes; only people (8) excluded from analysis were those without a postbaseline assessment   |                                  | Fair           |          |
| Keck, 2003       | Yes, NR, NR, NR  | NR<br>NR                             | No   |                                  | Fair           |          |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b>             | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b>   | <b>Care provider masked?</b> | <b>Patient masked?</b>  |
|---------------------------------|--------------------------------|---|---|--|--|------------------------------|-------------------------|
| Keck, 2006                      | Method not described           | NR                                      | No; more males were randomized to aripiprazole than placebo; more patients with mania randomized to placebo arm and more subjects with mixed-type BPAD randomized to aripiprazole arm | Yes                                    | Unclear reported as DB. Note: 'experienced raters' administered efficacy scales and effort was made to ensure that same raters were used but the authors did not specify whether they were blinded to treatment allocation | Unclear, reported as DB      | Unclear, reported as DB |
| Khanna, 2003                    | NR                             | NR                                      | Yes   | Yes                                    | Yes  | Yes                          | Yes                     |
| Kwentus; Ortho NCT00309699-2007 | NR                             | NR                                      | Sample characteristics NR   | Yes                                    | NR   | Stated as double-blind       | Yes                     |
| Macfadden 2009                  | NR                             | NR                                      | No RLAT group older at 1st diagnosis of bipolar I   | Yes                                    | Yes for relapse (independent relapse monitoring board)   | Stated as double-blind       | Yes                     |
| McElroy 2010 (EMBOLDEN II)      | NR                             | Yes                                     | Yes   | Yes                                    | Yes  | Yes                          | Yes                     |
| McIntyre 2009                   | NR                             | NR                                      | Yes<br>Very little comparison data provided   | Yes                                    | Stated as double-blind   | Stated as double-blind       | Yes                     |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year                    | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high   | Intent-to-treat analysis   | Maintenance of comparable groups | Quality rating                                    | Comments |
|---------------------------------|--|--|--|----------------------------------|---|----------|
| Keck, 2006                      | Yes, NR, NR, NR  | 58.4% withdrew<br><br>Differential: ~16% difference between placebo and aripiprazole arm | Yes  |                                  | Fair  |          |
| Khanna, 2003                    | Yes, NR, NR, NR  | No<br>No   | LOCF   |                                  | Fair  |          |
| Kwentus; Ortho NCT00309699-2007 | Yes<br>No<br>No<br>No  | No<br>No   | Yes; analyses only excluded 2 (0.4%) patients who discontinued before receiving study medication | NR                               | Fair  |          |
| Macfadden 2009                  | Yes, No, Yes, No   | No<br>No   | No<br>Patients with > 1 dose study medication included. Data not reported                        | NR                               | 271 (240 enrolled in stabilization phase)/183/124 |          |
| McElroy 2010 (EMBOLDEN II)      | Yes, No, No, No  | No, Yes (36%)  | Yes, 95% included in analysis using LOCF   | Yes                              | Fair  |          |
| McIntyre 2009                   | Yes, No, No, No  | No<br>No   | No<br>480/489 (98.2%) included   | Yes                              | 654/NR/489  |          |



**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b>                    | <b>Randomization adequate?</b>  | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|--|---|---|--|--|----------------------------------|------------------------------|------------------------|
| McIntyre 2009 3-week                   | Method not described  | NR                                      | No<br>MADRS, ALT,AST,CK higher in placebo group                                    | Yes                                    | Stated as double-blind           | Stated as double-blind       | Yes                    |
| McIntyre 2009 Asenapine vs. olanzapine | Method not described  | NR                                      | Yes  | Yes                                    | Stated as double-blind           | Stated as double-blind       | Stated as double-blind |
| Morozova; Ortho NCT00132678-2007       | NR  | NR                                      | NR between treatment groups  | Yes                                    | NR                               | Stated as double-blind       | Yes                    |
| Muzina 2008                            | NR  | NR                                      | Mostly, placebo group had more white participants                                  | Yes                                    | NR                               | Stated as double-blind       | Yes                    |
| Nejtek 2008                            | Assigned in blocks of 10  | No                                      | Yes  | Yes                                    | Yes                              | Yes                          | Yes                    |
| Nierenberg, 2006                       | No. Equipoise randomization - considering which options were acceptable to patient. 3 subjects included in more than one group. | NR                                      | Some differences; Bipolar I range 16.7% to 68.8%, Bipolar II range 31.2% to 83.3%. | Yes                                    | No                               | No                           | No                     |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year                                 | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high  | Intent-to-treat analysis  | Maintenance of comparable groups | Quality rating | Comments  |
|--|--|---|---|----------------------------------|----------------|---|
| McIntyre 2009<br>3-week                      | Yes, No, No, No  | No<br>No  | No<br>480 /489 (98%) included   | Yes                              | Fair           |   |
| McIntyre 2009<br>Asenapine vs.<br>olanzapine | Yes, No, No, No  | No<br>No  | No<br>491/504 (97%) included  | Yes                              | Fair           |   |
| Morozova; Ortho<br>NCT00132678-<br>2007      | Partially: reported attrition<br>due to AEs<br>No<br>No<br>No    | No<br>NR  | No; excluded 9.2% of subjects<br>from efficacy analysis   | NR                               | Fair           | Excluded 28 of 303<br>from efficacy analysis,<br>reasons not stated.<br>All 303 included in<br>safety analysis. |
| Muzina 2008                                  | Yes, No, No, No  | No<br>No  | Used a last observation carried<br>forward approach   |                                  | Fair           |   |
| Nejtek 2008                                  | Yes<br>No<br>No<br>No  | 31% lost to follow-up<br>(32% in risperidone<br>group and 31% in<br>quetiapine group) | Yes   |                                  | Fair           |   |
| Nierenberg, 2006                             | Yes<br>NR<br>NR<br>NR  | Unclear.  | Yes; but 3 patients crossed<br>over into more than one group<br>and were accounted for twice<br>in the analysis |                                  | Poor           |   |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b>                | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>                                    | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|------------------------------------|--------------------------------|---|---|--|----------------------------------|------------------------------|------------------------|
| Paulsson, 2003                     | NR                             | NR                                      | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |
| Perlis, 2006                       | Unclear- "1:1 fashion"         | NR                                      | Yes   | Yes                                    | NR                               | NR                           | NR                     |
| Potkin, 2005                       | Yes                            | Yes                                     | Some differences; ># manic in Placebo, ># mixed in ziprasidone groups | Yes                                    | Yes                              | Yes                          | Yes                    |
| Riesenberg; Ortho NCT00309686-2007 | NR                             | NR                                      | NR between treatment groups   | Yes                                    | NR                               | Stated as double-blind       | Yes                    |
| Sachs, 2004                        | NR                             | NR                                      | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year                       | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high   | Intent-to-treat analysis  | Maintenance of comparable groups | Quality rating | Comments |
|------------------------------------|--|--|---|----------------------------------|----------------|----------|
| Paulsson, 2003                     | Yes<br>NR<br>NR<br>NR  | No<br>No   | No, 2 (0.6%) excluded for unspecified reasons   |                                  | Fair           |          |
| Perlis, 2006                       | Yes<br>NR<br>NR<br>NR  | Yes reported; > in olanzapine group (21.3%) vs. risperidone group (33%) (p= 0.019)<br>Differential, not high | Yes   |                                  | Fair           |          |
| Potkin, 2005                       | Yes<br>NR<br>NR<br>NR  | 41% discontinued study overall<br>39% ziprasidone<br>46% placebo   | Yes; LOCF for missing data  |                                  | Fair           |          |
| Riesenberg; Ortho NCT00309686-2007 | Partially: reported attrition due to AEs<br>No<br>No<br>No       | No<br>No   | Yes; 1 subject (0.3%) did not receive the DB medication and was excluded from analysis  | NR                               | Fair           |          |
| Sachs, 2004                        | Yes<br>NR<br>NR<br>NR  | No<br>No   | No, 21 (11%) were excluded (includes patients with no post baseline assessments and patients from one complete center due to protocol violations) |                                  | Fair           |          |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b>     | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b>   | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|-------------------------|--------------------------------|---|--|--|----------------------------------|------------------------------|------------------------|
| Sachs, 2005             | NR                             | NR                                      | Yes  | Yes                                    | NR                               | Yes                          | Yes                    |
| Schering-Plough 7501004 | Yes                            | NR                                      | Yes  | Yes                                    | Yes                              | Stated as double-blind       | Yes                    |
| Schering-Plough 7501008 | Yes                            | NR                                      | Yes  | Yes                                    | Yes                              | Stated as double-blind       | Yes                    |
| Sheehan 2009            | NR                             | NR                                      | No<br>Risperidone gp higher proportion of mixed mood state & current depression and > patients with lifetime panic disorder, higher Simpson Angus Scale scores | Yes                                    | Stated as double-blind           | Stated as double-blind       | Yes                    |
| Suppes 2009             | NR                             | NR                                      | Yes  | Yes                                    | NR                               | Stated as double-blind       | Stated as double-blind |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year            | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high  | Intent-to-treat analysis   | Maintenance of comparable groups                           | Quality rating | Comments |
|-------------------------|--|---|--|--|----------------|----------|
| Sachs, 2005             | Yes<br>NR<br>Yes<br>NR   | NR<br>NR  | No, 4 (1.4%) patients excluded from efficacy analysis, and 3 (1.1%) patients excluded from safety analysis |  | Fair           |          |
| Schering-Plough 7501004 | Yes<br>No<br>No<br>No  | No / No<br>Completion rates (%)<br>Asenapine v. placebo<br>v. Olanzapine:<br>67 v. 58.2 v. 78.5 | Stated to be. Analysis excluded 8 (1.6%) of 488 randomized   | NR   | Fair           |          |
| Schering-Plough 7501008 | Yes<br>No<br>No<br>No  | High, not differential.<br>Completion rates (%)<br>Asenapine v. placebo:<br>38.4 v. 32.9        | Stated to be. Analysis excluded 8 (2.5%) of 326 randomized.  | NR   | Fair           |          |
| Sheehan 2009            | Yes, No, No, No  | No<br>No  | No<br>103/111 (92.8%) in ITT   | Yes<br>9 with no post baseline data excluded from analysis | NR/NR/111      |          |
| Suppes 2009             | Yes<br>No<br>No<br>No  | No<br>No  | Yes  |  | Fair           |          |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b> | <b>Randomization adequate?</b>  | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b> | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b>   | <b>Care provider masked?</b>       | <b>Patient masked?</b>             |
|---------------------|---|---|------------------------------------|--|------------------------------------|------------------------------------|------------------------------------|
| Suppes 2010         | NR  | NR                                      | Yes                                | Yes                                    | Unclear, described as double-blind | Unclear, described as double-blind | Unclear, described as double-blind |
| Thase, 2006         | Unclear; "interactive voice-response central randomization service"; 2:1 ratio for bipolar diagnosis, (1:1:1 for placebo, 300 mg or 600 mg groups). | Unclear                                 | Yes                                | Yes                                    | Unclear                            | Unclear                            | Yes                                |
| Thase, 2008         | Yes   | NR                                      | Yes                                | Yes                                    | Yes                                | Yes                                | Yes                                |
| Tohen 2008          | Yes   | Yes                                     | Yes                                | Yes                                    | Unclear                            | Yes                                | Yes                                |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high  | Intent-to-treat analysis   | Maintenance of comparable groups | Quality rating | Comments  |
|--------------|--|---|--|----------------------------------|----------------|---|
| Suppes 2010  | Yes, Yes, No, No   | No<br>Yes, 33%  | Yes, 270 (96%) analyzed using LOCF   | Yes                              | Fair           |   |
| Thase, 2006  | Yes<br>NR<br>NR<br>NR  | Yes reported; Overall non-completion rates: 34.5% placebo, 41.3% in quetiapine 300mg group, 46.7% in quetiapine 600 mg group. Highest in 600 mg group.            | Yes; stating using LOCF  |                                  | Fair           |   |
| Thase, 2008  | Yes<br>No<br>No<br>No  | Discontinuations were high and differential in both Study 1 and 2<br>Study 1: aripiprazole=46.8% vs placebo=35.1%<br>Study 2: aripiprazole=41.2% vs placebo=29.8% | Efficacy sample:<br>Study 1: aripiprazole=164 (88.2%) vs placebo=177 (94.1%)<br>Study 2: aripiprazole=176 (94.1%) vs placebo=178 (94.5%) |                                  | Fair           |   |
| Tohen 2008   | Yes<br>No<br>No<br>No  | No<br>No  | Yes  |                                  | Good           | "Olanzapine versus divalproex versus placebo in the treatment of mild to moderate mania: a randomized, 12-week, double-blind study" |



**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| <i>Internal validity</i> |                                |  |   |  |                                  |                              |                        |
|--------------------------|--------------------------------|--|---|--|----------------------------------|------------------------------|------------------------|
| <b>Author, year</b>      | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b>                                | <b>Groups similar at baseline?</b>  | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
| Tohen 2008               | Yes                            | Yes  | Somewhat  | Yes                                    | NR                               | Stated as double-blind       | Yes                    |
| Tohen, 1999              | NR                             | NR   | NR  | Yes                                    | Yes                              | Yes                          | Yes                    |
| Tohen, 2000              | Yes                            | No; personnel at the site assigned a patient to the next available kit | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |
| Tohen, 2003              | NR                             | Yes  | No; Mean length of current depressive episode shorter for olanzapine group      | Yes                                    | Yes                              | Yes                          | Yes                    |
| Tohen, 2004              | NR                             | Yes  | Yes   | Yes                                    | Yes                              | Yes                          | Yes                    |
| Tohen, 2006              | NR                             | NR   | Yes for demographics, however randomization ratio of 2:1 in favor of olanzapine | Yes                                    | NR                               | NR                           | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high   | Intent-to-treat analysis  | Maintenance of comparable groups | Quality rating | Comments  |
|--------------|--|--|---|----------------------------------|----------------|---|
| Tohen 2008   | Yes<br>No<br>No<br>No  | No<br>No   | Yes   |                                  | Fair           | "Olanzapine plus carbamazepine v. carbamazepine alone in treating manic episodes" |
| Tohen, 1999  | Yes<br>NR<br>NR<br>NR  | NR<br>NR   | No, 3 (2.2%) patients excluded due to not having a post-baseline assessment |                                  | Fair           |   |
| Tohen, 2000  | Yes<br>NR<br>NR<br>NR  | No<br>No   | No, 5 (4.3%) patients excluded due to not having a post-baseline assessment |                                  | Fair           |   |
| Tohen, 2003  | Yes<br>NR<br>NR<br>NR  | No<br>No   | No  |                                  | Fair           |   |
| Tohen, 2004  | Yes<br>NR<br>NR<br>NR  | NR<br>NR   | Yes   |                                  | Fair           |   |
| Tohen, 2006  | Yes<br>NR<br>Yes<br>NR   | Yes/7.1% open-label phase, 8.4% olanzapine double-blind phase, 3.7% placebo double-blind phase | Yes for both open-label and double-blind phase                              |                                  | Fair           |   |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b> | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b> | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|---------------------|--------------------------------|---|------------------------------------|--|----------------------------------|------------------------------|------------------------|
| Vieta 2008          | NR                             | NR                                      | Yes                                | Yes                                    | Unclear                          | Stated as double-blind       | Stated as double-blind |
| Vieta 2008          | NR                             | NR                                      | Yes                                | Yes                                    | Unclear                          | Stated as double-blind       | Stated as double-blind |
| Vieta, 2010         | Yes                            | Unclear                                 | Imbalance in manic, mixed episodes | Yes                                    | Unclear                          | Yes                          | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high   | Intent-to-treat analysis   | Maintenance of comparable groups | Quality rating | Comments  |
|--------------|--|--|--|----------------------------------|----------------|---|
| Vieta 2008   | Yes<br>No<br>No<br>No  | No<br>No   | Unclear  |                                  | Fair           | "Efficacy and safety of quetiapine in combination with lithium or divalproex for maintenance of patients with bipolar I disorder (international trial 126)"                         |
| Vieta 2008   | Yes<br>No<br>No<br>No  | No<br>No   | Yes  |                                  | Fair           | "Efficacy of Adjunctive Aripiprazole to Either Valproate or Lithium in Bipolar Mania Patients Partially Nonresponsive to Valproate/Lithium Monotherapy: A Placebo-Controlled Study" |
| Vieta, 2010  | unclear  | Overall: Yes 25%<br>Differential: Yes, >10% between drugs in maintenance phase | acute phase: yes for primary outcome and no for secondary outcomes.<br>Maintenance phase: No, PP population 411/493 included | Yes                              | Fair           | Benzodiazepines were taken as rescue up to 14 days of acute treatment phase. 2 deaths related to study drugs  |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| <i>Internal validity</i>   |   |   |                                    |  |                                  |                              |                        |
|----------------------------|---|---|------------------------------------|--|----------------------------------|------------------------------|------------------------|
| <b>Author, year</b>        | <b>Randomization adequate?</b>  | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b> | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
| Yatham, 2003 International | Yes   | Yes                                     | Yes                                | Yes                                    | Yes                              | Yes                          | Yes                    |
| Yatham, 2007               | NR; larger portion received Li vs DVP - investigators were asked to choose the appropriate med for each patient based on clinical history/condition | NR                                      | Yes                                | Yes                                    | NR                               | NR                           | Yes                    |
| Young 2009                 | NR  | NR                                      | Yes                                | Yes                                    | NR                               | Stated as double-blind       | Stated as double-blind |
| Young 2010                 | NR  | Yes                                     | Yes                                | Yes                                    | Yes                              | Yes                          | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| Author, year               | Reporting of attrition, crossovers, adherence, and contamination | Loss to follow-up: differential/high   | Intent-to-treat analysis  | Maintenance of comparable groups | Quality rating  | Comments |
|----------------------------|--|--|---|----------------------------------|---|----------|
| Yatham, 2003 International | Yes<br>NR<br>NR<br>NR  | No<br>No   | No; 10 (6.7%) excluded from endpoint analysis; 8 because they didn't have "at least two efficacy assessments", and reasons for other 2 not specified; no mention of results from "worst case scenario" sensitivity analysis that included those 10 patients; data on file, submitted 11/9/04 was included in this consideration |                                  | Fair  |          |
| Yatham, 2007               | Yes<br>NR<br>NR<br>NR  | Yes reported; overall discontinuation rates: 39.8% placebo vs. 33% quetiapine group (significance not reported). | Yes   |                                  | Fair [not sure how investigator choice of Li or DVP may change study results] |          |
| Young 2009                 | Yes<br>No<br>No<br>No  | No<br>No   | Yes   |                                  | Fair  |          |
| Young 2010                 | Yes, No, No, No  | No, No   | Yes; analysis included 783 (98%) using LOCF   | Yes                              | Good  |          |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder***Internal validity*

| <b>Author, year</b> | <b>Randomization adequate?</b> | <b>Allocation concealment adequate?</b> | <b>Groups similar at baseline?</b> | <b>Eligibility criteria specified?</b> | <b>Outcome assessors masked?</b> | <b>Care provider masked?</b> | <b>Patient masked?</b> |
|---------------------|--------------------------------|---|------------------------------------|--|----------------------------------|------------------------------|------------------------|
| Zimbroff 2007       | NR                             | NR                                      | Yes                                | Yes                                    | Yes                              | Yes                          | Yes                    |

**Evidence Table 6. Quality assessment of randomized controlled trials of patients with bipolar disorder**

| <b>Author, year</b> | <b>Reporting of attrition, crossovers, adherence, and contamination</b> | <b>Loss to follow-up: differential/high</b> | <b>Intent-to-treat analysis</b>                                     | <b>Maintenance of comparable groups</b> | <b>Quality rating</b> | <b>Comments</b> |
|---------------------|---|---|---|---|-----------------------|-----------------|
| Zimbroff 2007       | Yes   | No  | Yes; analyses only excluded   |   | Fair                  |                 |
|                     | No  | No  | 10 (3%) patients who discontinued before receiving study medication |   |                       |                 |
|                     | No  |   |   |   |                       |                 |
|                     | No  |   |   |   |                       |                 |



**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                          | Data<br>source   | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period | Mean duration of<br>follow-up   | Interventions<br>Mean dose  | Population                                   |
|--|--|---|----------------------------------|---|---|--|
| Bhalerao, 2012<br>USA                            | VA registries  | Retrospective                           | Fiscal years<br>2001-2008        | 180 days  | Olanzapine, mean dose:<br>6.615 mg/d<br>Quetiapine, mean dose:<br>72.691mg/d<br>Risperidone, mean dose:<br>1.082mg/d<br>Valproic acid and<br>derivatives, mean dose:<br>776mg/d | ≥65 years<br>VA patients                     |
| Chengappa, 2005<br>Hennen, 2004<br>United States | Patients in an Eli Lilly RCT<br>doing a 1-year follow-up<br>with Olanzapine (follow-up<br>to Tohen 1999) | Prospective                             | 1 year                           | 52 weeks total: 3<br>weeks DB, 49 weeks<br>open label (OL)<br>mean: 27.9 weeks<br><br>Mean duration of<br>participation: 30.0 (+/-<br>19.8) weeks | Quetiapine or ziprasidone   | Bipolar I mania<br>episode or mixed<br>state |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                          | Age<br>Gender<br>Ethnicity   | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes  |
|--|--|---------------------------------|--|---|
| Bhalerao, 2012<br>USA                            | Age:<br>65-69: 33.2%, 70-<br>74: 24.9%, 75-79:<br>22.2%, 80-84:<br>14.0%, 85+: 5.7%<br>Gender: 3.2%<br>female<br>Ethnicity: 80.6%<br>White, 7.0% Black | NR/NR/4717                      | NA/NA/4717                                     | Olanzapine vs. Quetiapine vs. Risperidone vs. Valproic acid and derivatives<br>Death rate (95% CI) per 100 person-years:<br>10.3 (7.5-3.9) vs. 5.3 (3.6-7.7) vs. 11.8 (9.0-15.3) vs. 4.6 (3.2-6.3)<br>Propensity Weighted Hazard ratios of 180-day Mortality, vs. Risperidone:<br>Olanzapine: HR, 0.67; 95%CI, 0.36-1.25; p=0.2073<br>Quetiapine: HR, 0.27; 95%CI, 0.13-0.55; p=0.0003<br>Valproic acid and derivatives: HR, 0.36; 95%CI, 0.17-0.75; p=0.0061   |
| Chengappa, 2005<br>Hennen, 2004<br>United States | Mean age: 39.4<br>years<br>51.7% male<br>Ethnicity NR<br><br>(values from<br>Hennen a little<br>different in<br>Chengappa)                             | NR<br>NR<br>139                 | NR<br>NR<br>113                                | Symptomatic remission of mania during 1 year: 79 (69.9%)<br>remission by week 8: 50%<br>CGI-BP:<br>remitted vs not remitted = 4.38 (0.76) vs 4.85 (0.85), p=0.006<br>plausible, nearly ninefold, greater rate of trial completion:<br>remitted vs not remitted = 53% vs 6%, p<0.001<br>Of the 79 subjects who achieved symptomatic remission:<br>became symptomatic again: 82.3% (65/79)<br>failed to sustain remission for at least 2 months: 49.4% (39/79)<br>Achieved sustained recovery: 35.4% (40/113)<br>Time-in-remission: 19.3(15.3) weeks, 52.2 (26.5)% patients<br>Time-in-sustained-recovery: 31.65 (13.7) weeks |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                          | Safety outcomes  | Comments  |
|--|--|---|
| Bhalerao, 2012<br>USA                            | NR   | Is there an addendum or correction? 95% CI for deaths per 100 person years is reported incorrectly. |
| Chengappa, 2005<br>Hennen, 2004<br>United States | <p>Only 15% (3 women and 3 men = 6/40) who recovered did so without weight gain</p> <p>Body weight increase (SD) at the endpoint: +6.53 (8.9) kg<br/> Increase of BMI: 2.17 (3.0) kg/m<sup>2</sup> to 31.0 (6.1) kg/m<sup>2</sup><br/> 50.4% of subjects had BMI ≥30 kg/m<sup>2</sup> (i.e., reached obesity criteria) at endpoint<br/> 33.9% of subjects experienced increases of BMI of ≥10%</p> | 30.1% of OL patients were obese to begin with (BMI ≥30 kg/m <sup>2</sup> )                          |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| <b>Author, year<br/>Country</b>      | <b>Data<br/>source</b>   | <b>Prospective<br/>Retrospective<br/>Unclear</b> | <b>Sampling<br/>frame time<br/>period</b> | <b>Mean duration of<br/>follow-up</b> | <b>Interventions<br/>Mean dose</b>   | <b>Population</b>              |
|--------------------------------------|--|--|---|---------------------------------------|--|--------------------------------|
| Dennehy, 2003<br>United States       | NR   | Prospective                                      | 1998-1999                                 | 8 weeks                               | Olanzapine 5-12 mg   | Bipolar I disorder             |
| Gianfrancesco, 2007<br>United States | PharMetrics database;<br>medical and prescription<br>claims data | Retrospective                                    | 1999 through<br>August 2003               | NR                                    | Risperidone 1.7mg,<br>olanzapine 8.3mg,<br>quetiapine 160mg,<br>ziprasidone 70mg | Bipolar and manic<br>disorders |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country              | Age<br>Gender<br>Ethnicity                          | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes  |
|--------------------------------------|---|---------------------------------|--|---|
| Dennehy, 2003<br>United States       | Mean age: 39<br>years<br>26.7% male<br>Ethnicity NR | NR<br>NR<br>15                  | 5<br>3<br>15                                   | YMRS scores decreased: 14(93%)<br>YMRS mean scores: 9.86, 2-30 point deduction<br>IDS-C depressive symptoms: average 4.47 points reduction<br>HAM-D: average 4 points reduction<br>IDS-C depressive symptoms:<br>8 patients experienced a reduction of 1-37 points<br>7 patients experienced a increase of 3-16 points<br>HAM-D: 2 patients experienced increased depression and contributed to the early withdrawal<br>GAF: no significant change over the 8 weeks trial   |
| Gianfrancesco, 2007<br>United States | Mean age=36<br>years<br>50% male<br>Ethnicity NR    | NR/NR/10,037                    | NA/NA/10,037                                   | Hazard Ratio (95% CI) for hospitalization:<br>Olanzapine vs risperidone: 1.00 (0.88, 1.15)<br>Risperidone vs quetiapine: 1.19 (1.01, 1.40)<br>Risperidone vs ziprasidone: 1.44 (0.99, 2.12)<br>Olanzapine vs quetiapine: 1.19 (1.01, 1.40)<br>Olanzapine vs ziprasidone: 1.45 (0.99, 2.12)<br>Quetiapine vs ziprasidone: 1.22 (0.82, 1.81)<br><br><u>Subgroup analyses:</u><br>Age: 0.986 (0.982, 0.990)<br>Gender (male vs female): 0.931 (0.827, 1.048)<br>Substance dependence/abuse (yes vs no): 2.596 (2.307, 2.922) |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| <b>Author, year<br/>Country</b>      | <b>Safety outcomes</b>   | <b>Comments</b> |
|--------------------------------------|--|-----------------|
| Dennehy, 2003<br>United States       | Side effects:<br>80% moderate to severe dry mouth<br>60% mild dizziness<br>53% edema<br>53% mild to moderate drowsiness<br>47% constipation<br>Weight gain:<br>Of 13 patients with more than one weight measurement: 10(77%)<br>patients<br>range from 0.91-7.26 kg<br>Of 7 patients who completed at least 7 visits: average gain 2.2 kg<br>1 patient with a weight loss of 10.89 kg in 3 weeks, putatively due<br>to stimulant use<br>6 patients who gained weights: gained average 4.39kg |                 |
| Gianfrancesco, 2007<br>United States | NR   |                 |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country    | Data<br>source                             | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period                    | Mean duration of<br>follow-up | Interventions<br>Mean dose   | Population   |
|----------------------------|--|---|---|-------------------------------|--|--|
| Guo, 2006<br>United States | Multi-site managed care<br>claims database | Retrospective                           | January 1, 1998<br>to December 31,<br>2002          | NR                            | Atypical Antipsychotics:<br>Olanzapine<br>Risperidone<br>Quetiapine<br>Ziprasidone<br>Clozapine<br>Conventional<br>antipsychotics:<br>Haloperidol<br>Chlorpromazine<br>Fluphenazine<br>Loxapine<br>Molindone<br>Perphenazine<br>Thioridazine<br>Trifluoperazine<br>Thiothixene<br>Pimozide | An affective<br>disorder or<br>cyclothymia:<br>controls and<br>diabetics |
| Hassan, 2007<br>USA        | Medicaid administrative<br>claims database | Retrospective                           | January 1, 1999, 2 years<br>to December 31,<br>2001 |                               | Risperidone, olanzapine,<br>quetiapine, or typical<br>antipsychotic  | Under 65 years<br>Medicaid<br>recipients                                 |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country    | Age<br>Gender<br>Ethnicity  | Exposed<br>Eligible<br>Selected      | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes   |
|----------------------------|---|--------------------------------------|--|--|
| Guo, 2006<br>United States | Age: 4.47% were<br>≤12 years<br>9.74% 13-17<br>years<br>29.13% 18-34<br>36.65% 35-49<br>17.64% 50-64<br>2.36% ≥65<br>39.34% males | NR/NR/920 cases and<br>5258 controls | NR/NR/920<br>cases and 5258<br>controls        | Of the 920 cases, 41% received atypical antipsychotics: 20% olanzapine; 14% risperidone; 9% quetiapine; and 1% ziprasidone.<br>Risk of developing diabetes was greatest among clozapine users, ziprasidone users, olanzapine users, risperidone users, patients receiving switched atypical antipsychotics, and patients receiving conventional antipsychotics. Compared to conventional antipsychotics, risk of developing diabetes was greatest among those taking clozapine, olanzapine, risperidone and quetiapine.  |
| Hassan, 2007<br>USA        | NR<br>NR<br>NR  | NR/832/825                           | NA/NA/825                                      | Medication Possession Ratio =<br>(total days supplied for index drug) / (total days from index to date of last prescription of index drug + days supplied for last fill)<br>olanzapine 0.68 ±0.27<br>risperidone 0.68 ± 0.29<br>quetiapine 0.71 ± 0.25<br>typical antipsychotics 0.46 ± 0.34<br>Persistence - total days from the index prescription fill date until the occurrence of a filled prescription for any other index or nonindex antipsychotic or until discontinuation of therapy with the index drug.<br>risperidone 194.8 ± 127.8 days<br>olanzapine 200.9 ± 130.4<br>quetiapine 219.8 ± 128.9 days<br>typical antipsychotic 179.2 ± 123.0 days for the cohort. |



Evidence Table 7. Observational studies in patients with bipolar disorder

| Author, year<br>Country    | Safety outcomes | Comments |
|----------------------------|-----------------|----------|
| Guo, 2006<br>United States | NR              |          |
| Hassan, 2007<br>USA        | NR              |          |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country | Data<br>source  | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period | Mean duration of<br>follow-up | Interventions<br>Mean dose   | Population   |
|-------------------------|---|---|----------------------------------|-------------------------------|--|--|
| Iqbal, 2011<br>Pakistan | Aga Khan University<br>Hospital                                 | Retrospective                           | 2003-2007                        | NR                            | Median dose:<br>Risperidone: 2 mg/d<br>Olanzapine: 10 mg/d<br>Quetiapine: 200 mg/d<br>Haloperidol: 10 mg/d<br>Trifluoperazine: 2 mg/d          | outpatient<br>psychiatry patients  |
| Jing, 2011<br>USA       | Thomson Reuters<br>MarketScan® Multi-State<br>Medicaid Database | Retrospective                           | 2003 through<br>June 2008        | NR                            | Aripiprazole, 13.7<br>Olanzapine, 9.6<br>Quetiapine, 194<br>Risperidone, 1.7<br>Ziprasidone, 94.4  | 18-64 years,<br>Medicaid, bipolar<br>disorder  |
| Kim, 2009<br>USA        | Ingenix I3/LabRx claims<br>dataset                              | Retrospective                           | 2003-2006                        | NR                            | Mean maximum dose:<br>Aripiprazole, 12.4mg/d<br>Ziprasidone, 100.2mg/d<br>Olanzapine, 10.2mg/d<br>Quetiapine, 169.8mg/d<br>Risperidone 1.8mg/d | 18-65 years, ICD-<br>9 code for bipolar<br>disorder, manic,<br>mixed or<br>hypomanic |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country | Age<br>Gender<br>Ethnicity  | Exposed<br>Eligible<br>Selected  | Withdrawn<br>Lost to follow-<br>up<br>Analyzed   | Effectiveness outcomes   |
|-------------------------|---|--|--|--|
| Iqbal, 2011<br>Pakistan | Age, mean (SEM): 34.61(1.44)<br>Gender: 44% female<br>Ethnicity: NR   | NR/NR/124  | NR/NR/29 at 1 year   | Adjusted mixed model analyses showed weight significantly different over various follow up times (p=0.001)<br>Maximum weight gain: olanzapine (29%), trifluoperazine (28%), quetiapine (24%), haloperidol (13%)  |
| Jing, 2011<br>USA       | Age: 36.13 years<br>Gender: 69.1% female<br>Ethnicity: 80.5% Caucasian, 12.8% African American, 0.7% Hispanic | 1,102,270/NR/22479   | NA/NA/22479  | Aripiprazole vs. Olanzapine vs. Quetiapine vs. Risperidone vs. Ziprasidone<br>Psychiatric hospitalizations per 1000 patient years:<br>234 vs. 321 vs. 349 vs. 288 vs. 315<br>Hazard ratio for time to psychiatric hospitalization:<br>Aripiprazole vs. Olanzapine: HR, 1.52; 95%CI, 1.22-1.89<br>Aripiprazole vs. Quetiapine: HR, 1.40; 95% CI, 1.40-1.17<br>Aripiprazole vs. Ziprasidone: HR, 1.33; 95% CI, 1.02-1.73 |
| Kim, 2009<br>USA        | Age: 37.65<br>Gender: 35.4% female<br>Ethnicity NR  | 198,919/6,162/Propensity score matched samples: 431 aripiprazole vs. 431 ziprasidone; 690 aripiprazole vs. 690 olanzapine; 840 aripiprazole vs. 840 quetiapine; 829 aripiprazole vs. 829 risperidone | NA/NA/431 aripiprazole vs. 431 ziprasidone; 690 aripiprazole vs. 690 olanzapine; 840 aripiprazole vs. 840 quetiapine; 829 aripiprazole vs. 829 risperidone | Aripiprazole vs. ziprasidone vs. olanzapine vs. quetiapine vs. risperidone:<br>Hospitalization rate (propensity-matched sample): 6.5% vs. 10.2% vs. 8.7% vs. 8.5% vs. 8.6%<br>Hazard ratios for hospitalization vs. aripiprazole:<br>Ziprasidone: HR, 1.7; p=0.04<br>Olanza  |

Evidence Table 7. Observational studies in patients with bipolar disorder

| Author, year<br>Country | Safety outcomes | Comments |
|-------------------------|-----------------|----------|
| Iqbal, 2011<br>Pakistan | NR              |          |
| Jing, 2011<br>USA       | NR              |          |
| Kim, 2009<br>USA        | NR              |          |

Evidence Table 7. Observational studies in patients with bipolar disorder

| Author, year<br>Country | Data<br>source                     | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period | Mean duration of<br>follow-up | Interventions<br>Mean dose   | Population   |
|-------------------------|------------------------------------|---|----------------------------------|-------------------------------|--|--|
| Kim, 2011<br>USA        | Ingenix I3/LabRx claims<br>dataset | Retrospective                           | 2003 through<br>2006             | NR                            | Aripiprazole<br>Ziprasidone<br>Olanzapine<br>Quetiapine<br>Risperidone<br><br>Mean dose NR | 18-65 years, ICD-<br>9 code for bipolar<br>disorder, manic,<br>mixed or<br>hypomanic |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country | Age<br>Gender<br>Ethnicity  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes   |
|-------------------------|---|---------------------------------|--|--|
| Kim, 2011<br>USA        | Propensity score-<br>matched samples:                                     | 198,919/7,169/2,739             | NA/NA/2739                                     | Aripiprazole vs. Ziprasidone<br>Psychiatric hospitalization: 7.6% vs. 12.8%<br>Medical hospitalization: 1.7% vs. 2.4%<br>Risk of hospitalization (aripiprazole reference): HR, 1.962; 95%CI, 1.269-3.033; p<0.01 |
|                         | Aripiprazole vs.<br>Ziprasidone:<br>Age: 37.65<br>Gender: 27.3%<br>female |                                 |  | Aripiprazole vs. Olanzapine<br>Psychiatric hospitalization: 6.4% vs. 9.0%<br>Medical hospitalization: 1.5% vs. 1.9%<br>Risk of hospitalization (aripiprazole reference): HR, 1.554; 95%CI, 1.035-1.333; p<0.05   |
|                         | Aripiprazole vs.<br>Olanzapine:<br>Age: 37.6<br>Gender: 35.5%<br>female   |                                 |  | Aripiprazole vs. Quetiapine<br>Psychiatric hospitalization: 6.2% vs. 10.1%<br>Medical hospitalization: 1.3% vs. 1.0%<br>Risk of hospitalization (aripiprazole reference): HR, 1.556; 95%CI, 1.078-2.245; p<0.05  |
|                         | Aripiprazole vs.<br>Quetiapine:<br>Age: 36.8<br>Gender: 31.4%<br>female   |                                 |  | Aripiprazole vs. Risperidone<br>Psychiatric hospitalization: 6.4% vs. 9.3%<br>Medical hospitalization: 1.4% vs. 1.8%<br>Risk of hospitalization (aripiprazole reference): HR, 1.368; 95%CI, 0.940-1.989; p=NS    |
|                         | Aripiprazole vs.<br>Risperidone:<br>Age: 37.1<br>Gender: 33.5%<br>female  |                                 |  |  |
|                         | Ethnicity NR  |                                 |  |  |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country | Safety outcomes | Comments |
|-------------------------|-----------------|----------|
| Kim, 2011<br>USA        | NR              |          |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                  | Data<br>source   | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period         | Mean duration of<br>follow-up | Interventions<br>Mean dose  | Population   |
|--|--|---|--|-------------------------------|---|--|
| Kraemer, 2012<br>Germany, Greece, France | Prospective, multi-site,<br>open-label study                             | Prospective                             | April 2007-May<br>2009                   | 1 year                        | Olanzapine-coated<br>tablets: schizophrenia,<br>11.2 mg/d; bipolar, 9.7<br>mg/d<br>Olanzapine-<br>orodispersible<br>formulation:<br>schizophrenia, 15.1<br>mg/d; bipolar, 15 mg/d | Adult outpatient,<br>DSM-IV<br>schizophrenia or<br>bipolar disorder  |
| Pelletier 2013<br>US                     | Medical and pharmacy<br>claims data from the IMS<br>PharMetrics Database | Retrospective                           | January 2007<br>through<br>December 2008 | 6 months                      | NR  | Age ≥ 18 to < 65<br>years; ≥ 2<br>diagnoses of<br>bipolar disorder<br>based on ICD-9-<br>CM codes on 2<br>separate days<br>within 6 months<br>prior to or on<br>index date |



**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                  | Age<br>Gender<br>Ethnicity  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes   |
|--|---|---------------------------------|--|--|
| Kraemer, 2012<br>Germany, Greece, France | Age:<br>Schizophrenia,<br>39.2; Bipolar, 44.6<br>Gender: 44.9%<br>female<br>Ethnicity: NR | NR/927/903                      | 128/43/903                                     | <p>Schizophrenia</p> <p>Change from baseline, Coated vs. Orodispersible</p> <p>CGI: -0.9 (1) vs. -1.5 (1.2), <math>p &lt; 0.001</math></p> <p>GAF: 9.8 (14) vs. 14 (15.6), <math>p &lt; 0.001</math></p> <p>Psychological General Well-being Index (PGWBI): 12.2 (20) vs. 22.3 (23.4), <math>p &lt; 0.001</math></p> <p>Therapeutic Alliance Questionnaire (WAI): 5.4 (18.9) vs. 7.6 (22.5), <math>p = 0.32</math></p> <p>Patients with at least one relapse: 19% vs. 15%, <math>p = 0.28</math></p> <p>Bipolar Disorder</p> <p>Change from baseline, Coated vs. Orodispersible</p> <p>CGI: -1.1 (1.4) vs. -1.8 (1.6), <math>p &lt; 0.001</math></p> <p>GAF: 11.9 (15) vs. 16.8 (18.5), <math>p = 0.018</math></p> <p>Psychological General Well-being Index: 14.4 (24.6) vs. 16.0 (23.4), <math>p = 0.027</math></p> <p>Therapeutic Alliance Questionnaire: 2.0 (17.8) vs. 6.8 (18.8), <math>p = 0.060</math></p> <p>Patients with at least one relapse: 21% vs. 26%, <math>p = 0.58</math></p> |
| Pelletier 2013<br>US                     | Age: 42.1<br>32% male<br>Ethnicity NR   | NR/NR/4841                      | NR/NR/NR                                       | <p>Quetiapine XR vs aripiprazole</p> <p>Time to first hospitalization in days: 93.4 vs 77.3, <math>P = \text{NR}</math></p> <p>Change in proportion of patients with <math>\geq</math> admission: -16.4% vs -11.3%, <math>P = \text{NR}</math></p> <p>Change in mean length of stay in days: -1.4 vs -0.2; <math>P = \text{NR}</math></p>  |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country                  | Safety outcomes  | Comments |
|--|--|----------|
| Kraemer, 2012<br>Germany, Greece, France | Coated vs. Orodispersible<br>Schizophrenia<br>Hospitalization: 10% vs. 6%<br>At least one suicide attempt, n: 9 vs. 4<br>Weight change greater than 7% from baseline: 20% vs. 25%, p=0.15<br><br>Bipolar Disorder<br>Hospitalization: 10% vs. 7%<br>At least one suicide attempt, n: 7 vs. 6<br>Weight change greater than 7% from baseline: 26% vs. 31%, p=0.43 |          |
| Pelletier 2013<br>US                     | NR   |          |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country    | Data<br>source   | Prospective<br>Retrospective<br>Unclear | Sampling<br>frame time<br>period      | Mean duration of<br>follow-up | Interventions<br>Mean dose  | Population   |
|----------------------------|--|---|---------------------------------------|-------------------------------|---|--|
| Rascati, 2011<br>USA       | Texas Medicaid Vendor<br>Drug, Texas Medicaid<br>Medical Services, and<br>Thomson Reuters<br>MarketScan databases                                  | Retrospective                           | July 2002<br>through<br>December 2007 | 1 year                        | Aripiprazole, mean dose:<br>20.4 mg/d<br>Olanzapine: 20.2 mg/d<br>Quetiapine: 206.8 mg/d<br>Risperidone: 7.7 mg/d<br>Ziprasidone: 106.8 mg/d  | 18-64 years,<br>Medicaid, bipolar<br>disorder                                |
| Ulcickas Yood, 2010<br>USA | Kaiser Permanente Health<br>Plan of Northern<br>California, HealthCore<br>Integrated Research<br>Network, Henry Ford<br>Health System              | Retrospective                           | November 2002 - NR<br>December 2005   |                               | Aripiprazole<br>Clozapine<br>Olanzapine<br>Quetiapine<br>Risperidone<br>Ziprasidone   | Schizophrenia or<br>bipolar disorder,<br>≥18 years                           |
| Van Dorn, 2011<br>USA      | Florida Medicaid, Florida<br>Department of Children<br>and Families (treatment<br>provided), Florida<br>Department of Law<br>Enforcement (arrests) | Retrospective                           | July 2002<br>through March<br>2008    | NR                            | First-generation<br>antipsychotics (any not<br>on list of second<br>generation list)<br>Second-generation<br>antipsychotics<br>(aripiprazole, clozapine,<br>olanzapine, paliperidone,<br>quetiapine, risperidone,<br>RLAT, ziprasidone) | Schizophrenia,<br>schizoaffective<br>disorder, bipolar I<br>and II disorders |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country    | Age<br>Gender<br>Ethnicity  | Exposed<br>Eligible<br>Selected | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes   |
|----------------------------|---|---------------------------------|--|--|
| Rascati, 2011<br>USA       | Age: 37<br>Gender: 74%<br>female<br>Ethnicity: 76%<br>white   | NR/NR/2446                      | NA/NA/2446                                     | Ziprasidone vs. Aripiprazole vs. Olanzapine vs. Quetiapine vs. Risperidone<br><br>Adherence: 62% vs. 60% vs. 58% vs. 55% vs. 58%<br>Likelihood of nonadherence (ziprasidone reference), OR(95%CI): 1.06 (0.70-1.63) vs. 1.12 (0.66-1.89) vs. 1.30 (0.84-2.00) vs. 1.09 (0.70-1.71)<br><br>Persistence for 1 year: 17% vs. 18% vs. 14% vs. 19% vs. 18%<br>Likelihood of nonpersistence (ziprasidone reference), OR (95%CI): 1.04 (0.83-1.31) vs. 1.34 (1.02-1.76), p=0.04 vs. 0.93 (0.74-1.17) vs. 1.05 (0.87-1.12) |
| Ulcickas Yood, 2010<br>USA | Age: 39.1<br>Gender: 60.4%<br>female<br>Ethnicity: NR   | NR/NR/20489                     | NA/NA/20489                                    | NR   |
| Van Dorn, 2011<br>USA      | Age: 42 years<br>Gender: 51.9%<br>female<br>Ethnicity: 51.2%<br>White, 19.9%<br>African American,<br>19.6% Hispanic | NR/NR/36519                     | NA/NA/36518                                    | Hazard Ratio for Arrest (Second Generation Antipsychotics vs. First Generation Antipsychotic):<br>HR, 0.91; 95% CI, 0.81-1.02; p=0.11<br>Interaction of first generation antipsychotic and at least 80% of 30-day periods during episode<br>with outpatient visit: HR, 0.81; 95% CI, 0.60-1.10; p, NS<br>Interaction of second generation antipsychotic and at least 80% of 30-day periods during<br>episode with outpatient visit: HR, 0.68; 95% CI, 0.50-0.93; p=0.02  |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country    | Safety outcomes   | Comments |
|----------------------------|---|----------|
| Rascati, 2011<br>USA       |   |          |
| Ulcickas Yood, 2010<br>USA | <p>Suicide events (attempts, completed), rate per 1000 patient-years:</p> <p>Aripiprazole: 20.69</p> <p>Clozapine: 0</p> <p>Olanzapine: 23.99</p> <p>Quetiapine: 32.33</p> <p>Risperidone: 19.69</p> <p>Ziprasidone: 48.52</p> <p>Multiple antipsychotics: 31.24</p> <p>Older antipsychotics: 21.26</p> <p>Adjusted HR for suicide events, aripiprazole vs. other SGAs: HR, 0.69; 95% CI, 0.42-1.14</p> |          |
| Van Dorn, 2011<br>USA      | NR  |          |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country       | Data<br>source   | Prospective<br>Retrospective<br>Unclear            | Sampling<br>frame time<br>period         | Mean duration of<br>follow-up | Interventions<br>Mean dose   | Population   |
|-------------------------------|--|--|--|-------------------------------|--|--|
| Yang 2013<br>Taiwan           | Psychiatric Inpatient<br>Medical Claims database<br>of the National Health<br>Insurance Research<br>Database (NHIRD) | Retrospective                                      | July 1, 1998 and<br>December 31,<br>2006 |                               | Defined Daily Dose<br>Equivalents from the<br>Anatomic Therapeutic<br>Chemical Classification<br>System (e.g., 10 mg<br>olanzapine or 300 mg of<br>clozapine was equivalent<br>to 1 defined daily dose):<br>Clozapine: 0.1-0.3<br>Olanzapine: 0.6-0.9<br>Quetiapine: 0.8-0.9<br>Risperidone: 0.6-0.7 | Stable diagnosis<br>of bipolar disorder<br>for ≥ 2 years |
| Zarate, 1995<br>United States | McLean Hospital records  | Retrospective recruitment<br>prospective follow up | Unclear                                  | At least 3 months             | Clozapine<br>at discharged: 182<br>mg/day<br>follow-up: 304.4 mg/day   | Refractory bipolar<br>disorder                           |
| Zhu, 2007<br>United States    | PharMetrics Integrated<br>Database for medical<br>and pharmacy claims  | Retrospective                                      | January 2003 to<br>December 2004         | 1 year                        | Olanzapine 11.0 ± 7.1<br>mg/day, quetiapine 192.6<br>±183.1 mg/day<br>risperidone 2.1 ± 1.7<br>mg/day, ziprasidone<br>101.2 ± 60.8 mg/day  | Bipolar disorder   |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country       | Age<br>Gender<br>Ethnicity                          | Exposed<br>Eligible<br>Selected    | Withdrawn<br>Lost to follow-<br>up<br>Analyzed | Effectiveness outcomes  |
|-------------------------------|---|------------------------------------|--|---|
| Yang 2013<br>Taiwan           | Age: 44<br>61% male<br>Ethnicity NR                 | NR/NR/Cases=571,<br>Controls=2,277 | NR/NR/Cases=<br>571,<br>Controls=2,277         | NR  |
| Zarate, 1995<br>United States | Mean age: 38.6<br>years<br>53% male<br>Ethnicity NR | 193<br>17<br>17                    | 0<br>0<br>17                                   | CGI responders, very much or much improved:<br>at discharged: 11(64%)<br>follow-up: 15(88%)<br>CGI mean score:<br>at discharged: 2.3(0.2)<br>follow-up: 1.8(2.2)<br>at discharged vs follow-up, p=0.02  |
| Zhu, 2007<br>United States    | Mean age 37<br>years<br>32% male<br>Ethnicity NR    | NR<br>NR<br>1516                   | NA<br>NA<br>1516                               | Initiation of monotherapy olanzapine 51% vs. quetiapine- (36%, p < 0.01), ziprasidone- (25%, p < 0.01), and risperidone-initiated patients (40%, p < 0.01)<br><br>For one year olanzapine initiated patients used this index antipsychotic as monotherapy for significantly more days (73.4) than patients initiating quetiapine (56.2, p < 0.01), risperidone (52.9, p < 0.01) or ziprasidone (36.6, p < 0.01)<br><br>Annual healthcare costs \$15 208 for olanzapine, \$14 216 for risperidone, \$18 087 for quetiapine ( vs. olanzapine p < 0.01) to \$18 729 for ziprasidone ( vs. olanzapine p < 0.01) |

**Evidence Table 7. Observational studies in patients with bipolar disorder**

| Author, year<br>Country       | Safety outcomes   | Comments |
|-------------------------------|---|----------|
| Yang 2013<br>Taiwan           | Pneumonia, adjusted risk ratio (95% CI):<br>Clozapine: 2.59 (1.46-4.63)<br>Olanzapine: 2.97 (1.90-4.66)<br>Quetiapine: 2.12 (1.48-3.03)<br>Risperidone: 1.74 (1.21-2.50)  |          |
| Zarate, 1995<br>United States | Side effects:<br>30% sedation<br>23% vertigo or dizziness<br>24% weight gain<br>18% salivation<br>6% constipation<br>6% tachycardia<br>Rehospitalization rate:<br>before starting clozapine: 0.8(1.2)<br>follow-up during clozapine: 0.4(1.2)<br>before vs follow-up, p=0.025 |          |
| Zhu, 2007<br>United States    | NR  |          |



**Evidence Table 8. Quality assessment of observational studies in patients with bipolar disorder**

| Author, year        | Non-biased selection?  | Low overall loss to follow-up?   | Outcomes prespecified and defined                  | Adverse events pre-specified and defined? | Ascertainment techniques adequately described?       | Non-biased and adequate ascertainment methods?   | Statistical analysis of potential confounders? | Adequate duration of follow-up?   | Adequate sample size?                     | Overall adverse event assessment quality | Comments           |
|---------------------|--|----------------------------------|--|---|--|--|--|---|---|--|--------------------|
| Bahlerao 2012       | Yes  | Unclear                          | Yes  | Yes                                       | No, "data obtained", no information about how        | Unclear; NR  | Yes (propensity scores)                        | Yes (180 days)  | Unclear - 4717                            | Fair                                     |                    |
| Gianfrancesco, 2007 | Yes  | NA (case-control study)          | Yes  | NA  | Yes  | Unclear; limitations of using ICD-9 for diagnosis of bipolar disorder                            | Yes  | Unclear; mean treatment episode duration NR                                       | Yes; N=10,037                             | Fair                                     |                    |
| Guo, 2006           | Yes: case-control study: controls matched on age, sex, bipolar diagnosis         | NA (case-control study)          | Yes; drug exposure and diabetes were pre-specified | Yes                                       | Yes, for diabetes diagnosis and for drug consumption | Unclear; limitations of using ICD-9 for diagnosis of diabetes                                    | yes  | Unclear; exposure examined over 4 years; perhaps prior exposure could have effect | Yes (cases 920, controls 5258)            | Fair                                     | Case control study |
| Hassan, 2007        | Yes  | Yes                              | Yes  | NA  | Yes  | Yes  | Yes  | 12 months   | Unclear - 825                             | Fair                                     |                    |
| Jing 2011           | Yes; eligibility criteria described and #'s and reasons for exclusions reported. | Unclear; completeness of data NR | Yes  | N/A, no harms                             | Yes<br>KP: No  | (Unclear, blinding NR)<br>KP: Agree with unclear, but would also mention database reliability NR | Yes, (propensity score)                        | Yes   | Yes? 22,479 (for hospitalization outcome) | Fair                                     |                    |
| Kim 2011            | Yes; eligibility criteria described and #'s and reasons for exclusions reported. | Unclear; completeness of data NR | Yes  | N/A                                       | No   | Unclear; blinding and database reliability NR  | Yes  | Yes   | Yes                                       | Fair                                     |                    |

**Evidence Table 8. Quality assessment of observational studies in patients with bipolar disorder**

| Author, year       | Non-biased selection?   | Low overall loss to follow-up?   | Outcomes prespecified and defined                 | Adverse events pre-specified and defined? | Ascertainment techniques adequately described?  | Non-biased and adequate ascertainment methods?                | Statistical analysis of potential confounders?   | Adequate duration of follow-up?           | Adequate sample size? | Overall adverse event assessment quality | Comments  |
|--------------------|---|----------------------------------|---|---|---|---|--|---|-----------------------|--|---|
| Rascati 2011       | Yes (included all patients in claims data base with eligible RX and ICD-9 code. | Unclear; completeness of data NR | Yes   | NA  | No  | Unclear (NR)  | Yes (controlled for proxy measures for disease severity including baseline comorb conditions and pre index cost [utilization]) | Yes                                       | Unclear - 1,102       | Fair                                     |   |
| Ulcickas Yood 2010 | Unclear; eligibility criteria specified, but #'s and reasons for exclusions NR  | Unclear; completeness of data NR | Yes   | Yes                                       | Yes (DX codes and death certificates)   | Yes   | Yes  | Unclear, NR                               | Yes, N=20,489         | Good                                     | Mixed pop (85% bipolar). Note: this was funded by industry. |
| Van Dorn 2011      | Yes   | Unclear; completeness of data NR | Yes   | NA? (not looking at AE?)                  | No  | Unclear (NR)  | Yes  | Unclear (TX ranged from 60 days to 1,552) | Yes; 85,572 episodes  | Fair                                     |   |
| Vieta, 2001        | Yes   | Yes                              | No, definition of "weight gain" was not specified | No  | No  | No  | NR   | Yes                                       | No, 23                | Fair                                     |   |
| Zhu 2007           | Yes   | Yes                              | Yes   | NA  | Unclear how 'total number of days used' was calculated and how gaps in refills were handled | Unclear; limitations of using ICD-9 for diagnosis of diabetes | Yes  | 12 months                                 | Unclear - 1516        | Fair                                     |   |

**Evidence Table 9. Systematic reviews of atypical antipsychotics in youths**

| Author<br>Year | Aims   | Literature search<br>dates      | Population included  | Drugs included   | Study designs included  | Additional study<br>eligibility criteria   |
|----------------|--|---------------------------------|--|--|---|--|
| Canitano, 2008 | To review the use of risperidone in children and adolescents with autistic spectrum disorders, particularly regarding the treatment of associated behavioral disorders   | Through February 2007           | Autism spectrum disorders  | Risperidone only   | Randomized, placebo controlled trials, observational or retrospective studies and case reports. | Not reported   |
| Dinca, 2005    | To report a systematic review of the randomized or quasi-randomized controlled trials concerning the effectiveness of atypical antipsychotics and SSRIs in the treatment of behavioral problems associated with pervasive developmental disorders. | 1966-2004                       | Diagnosed with a pervasive developmental disorder, excluding Rett's disorder and Childhood Disintegrative Disorder. Diagnosis must have been made using established diagnostic criteria (DSM-III-R, DSM-IV, DSM-IV-R, ICD-10, and/or using a standardized diagnostic instrument. | Oral atypical antipsychotics (also SSRIs): Trials of risperidone, amisulpride and olanzapine identified  | Random or quasi-random trials, control group with placebo or alternative medication             | At least one standardized measure such as a behavior checklist used for the intervention and control group |
| Jensen, 2007   | To provide a descriptive review of treatment studies of atypical antipsychotics in pediatric psychiatric disorders   | January 1994 through March 2006 | Pediatric psychiatric disorders  | Quetiapine, risperidone, olanzapine, aripiprazole, clozapine, ziprasidone: Trials of olanzapine and risperidone were identified for disruptive behavior disorders and pervasive developmental disorders. | Double-blind or open label clinical trials of $\geq 8$ weeks duration with $\geq 20$ patients   | Unpublished data or abstracts not included   |

**Evidence Table 9. Systematic reviews of atypical antipsychotics in youths**

| Author<br>Year | Main results  | Subgroups   | Adverse events  | Quality assessment   |
|----------------|---|---|---|--|
| Canitano, 2008 | Qualitative synthesis only.<br>Moderate efficacy and safety of risperidone for treating maladaptive behaviors, including aggression, hyperactivity, self injury and irritability.   | Efficacy and tolerability of risperidone in the various types of pervasive developmental disorders, including different degrees of severity of core symptoms, are still undetermined. | Weight gain most frequent adverse event, ranging from 1 to 10 kg. Weight gain stabilized over time, was more pronounced in first 2 to 3 months of therapy.  | 1. Report clear review question, state inclusion and exclusion criteria of primary studies? No<br>2. Substantial effort to find relevant research? No<br>3. Adequate assessment of validity of included studies? No<br>4. Sufficient detail of individual studies presented? Yes<br>5. Primary studies summarized appropriately? Yes<br>Overall quality rating=Fair                |
| Dinca, 2005    | No quantitative synthesis. No information on long-term effectiveness and safety.<br>No data on quality of life.<br>Risperidone (2 studies: McCracken 2002, McDougale 1998) effective in moderate-to-severe behavioral problems in children and adolescents with autistic disorder.<br>Olanzapine (1 study: Malone 2001) at low dosage effective for behavioral problems in children with autism and PDD-NOS.                                  | Effectiveness of risperidone and olanzapine cannot be generalized to children with other forms of PDDs.   | Risperidone well tolerated, low risk of EPS. Weight gain in children.<br>Olanzapine well tolerated, with no EPS. Weight gain.   | 1. Report clear review question, state inclusion and exclusion criteria of primary studies? Yes<br>2. Substantial effort to find relevant research? Yes<br>3. Adequate assessment of validity of included studies? Yes<br>4. Sufficient detail of individual studies presented? Yes<br>5. Primary studies summarized appropriately? Yes<br>Overall quality rating=Good             |
| Jensen, 2007   | No quantitative synthesis.<br>Olanzapine (10.7 mg/day) and risperidone (0.49-1.8 mg/day) demonstrated efficacy in reducing symptoms in children with PDD.<br>Risperidone: Effect size vs placebo in 2 studies, based on change from baseline in Aberrant Behavior Checklist-Irritability subscale=-1.2 (McCracken) and -0.8 (Shea)<br>Olanzapine: 1 observational study (Kemner, before-after study) found improvement in ABC and CGI scores. | No information  | Risperidone: most common side effects were mild transient somnolence and weight gain. Caregiver-reported tremor or "abnormal movements" (p=0.06 vs placebo)<br>Olanzapine: EPS that resolved with dose adjustment reported. | 1. Report clear review question, state inclusion and exclusion criteria of primary studies? Partially<br>2. Substantial effort to find relevant research? Yes<br>3. Adequate assessment of validity of included studies? Partially<br>4. Sufficient detail of individual studies presented? Yes<br>5. Primary studies summarized appropriately? Yes<br>Overall quality rating=Fair |

**Evidence Table 9. Systematic reviews of atypical antipsychotics in youths**

| <b>Author<br/>Year</b>               | <b>Aims</b>  | <b>Literature search<br/>dates</b>                                   | <b>Population included</b>  | <b>Drugs included</b>                                  | <b>Study designs included</b>  | <b>Additional study<br/>eligibility criteria</b>  |
|--------------------------------------|--|--|---|--|--|---|
| Jesner, 2007<br>(Cochrane<br>Review) | To determine the efficacy and safety of risperidone for people with autism spectrum disorder   | 1966-April 2006  | Autism spectrum disorders   | Risperidone only                                       | Randomized controlled trials of risperidone vs placebo               | Trials had to have at least one standardized outcome measure used for both intervention and control group     |
| Parikh, 2008                         | To systematically and critically examine the evidence for the pharmacological management of aggression and self-injurious behavior in children with autism spectrum disorders. | Searched from beginning of PubMed; end date of searches not reported | Children and adolescents with autism or autism spectrum disorders | Risperidone, others (no other atypical antipsychotics) | Randomized controlled trials of agent versus placebo or active agent | The use of at least one primary outcome measure with a standardized assessment of aggression and self-injury. |

**Evidence Table 9. Systematic reviews of atypical antipsychotics in youths**

| Author<br>Year                    | Main results  | Subgroups      | Adverse events  | Quality assessment  |
|-----------------------------------|---|----------------|---|---|
| Jesner, 2007<br>(Cochrane Review) | <p>Overall conclusion: Risperidone beneficial for some features of autism, but limited data available from studies with small sample sizes.</p> <p>Meta-analysis for ABC, CGI, and weight gain</p> <p>ABC mean score vs placebo (Shea 2004 and RUPP 2002):</p> <p>Irritability subscale: -8.09 (95% CI -12.99, -3.19)</p> <p>Social withdrawal/lethargy: -3.00 (95% CI -5.03, -0.97)</p> <p>Hyperactivity: -8.98 (95% CI -12.01, -5.94)</p> <p>Stereotypy: -1.71 (95% CI -2.97, -0.45)</p> <p>Inappropriate speech: -1.93 (95% CI -3.79, -0.07)</p> <p>CGI (McDougle 1998, RUPP 2002, Shea 2004):</p> <p>Relative risk of improvement vs placebo 4.83 (95% CI 2.21, 10.59); significant heterogeneity</p> | No information | <p>Most frequent AEs were somnolence, URTI, rhinitis, and increased appetite.</p> <p>Meta-analysis of weight gain (RUPP 2002, Shea 2004):</p> <p>Risperidone +1.78 kg (95% CI 1.15, 2.41)</p> <p>Placebo 1.0 kg</p> | <p>1. Report clear review question, state inclusion and exclusion criteria of primary studies? Yes</p> <p>2. Substantial effort to find relevant research? Yes</p> <p>3. Adequate assessment of validity of included studies? Yes</p> <p>4. Sufficient detail of individual studies presented? Yes</p> <p>5. Primary studies summarized appropriately? Yes</p> <p>Overall quality rating=Good</p>       |
| Parikh, 2008                      | <p>Qualitative synthesis only.</p> <p>Risperidone decreased aggression and self-injurious behavior in 3 placebo-controlled trials</p>   | Not addressed  | Weight gain associated with risperidone treatment   | <p>1. Report clear review question, state inclusion and exclusion criteria of primary studies? Yes</p> <p>2. Substantial effort to find relevant research? Yes</p> <p>3. Adequate assessment of validity of included studies? Partially</p> <p>4. Sufficient detail of individual studies presented? Yes</p> <p>5. Primary studies summarized appropriately? Yes</p> <p>Overall quality rating=Fair</p> |

**Evidence Table 10. Quality assessment of trials in youths**

| <i>Internal validity</i>   |                                    |   |   |  |  |                                  |                            |
|--|------------------------------------|---|---|--|--|----------------------------------|----------------------------|
| <b>Author, year<br/>Country</b>  | <b>Randomization<br/>adequate?</b> | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar<br/>at baseline?</b>  | <b>Eligibility<br/>criteria<br/>specified?</b> | <b>Outcome<br/>assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> | <b>Patient<br/>masked?</b> |
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US | Method not reported                | Not reported                                    | Differences in IQ,<br>but controlled for<br>in analysis                         | Yes  | Yes                                      | Yes                              | Yes                        |
| Armenteros, 2007<br>US   | Yes                                | Not reported                                    | Yes   | Yes  | Yes                                      | Yes                              | Yes                        |
| Buitelaar, 2001<br>Netherlands   | Yes                                | Not reported                                    | Yes   | Yes  | Yes                                      | Yes                              | Yes                        |
| Connor 2008  | NR                                 | NR  | Yes   | Yes  | NR (described<br>as double-<br>blind)    | Yes                              | Yes                        |
| Findling et al, 2000<br>US   | Yes                                | Yes   | Trends:<br>risperidone group<br>older (p=0.006)<br>and weighed<br>more (p=0.12) | Yes  | Yes                                      | Yes                              | Yes                        |

**Evidence Table 10. Quality assessment of trials in youths**

| Author, year<br>Country  | Reporting of attrition,<br>crossovers, adherence,<br>and contamination? | Loss to follow-up:<br>Differential/ high?   | Intent-to-treat<br>analysis?  | Quality<br>rating | Funding  | Comments |
|--|---|---|---|-------------------|--|----------|
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US | Attrition and adherence yes,<br>others no.                              | Yes- 78%<br>risperidone, 70%<br>placebo.  | No- 3 risperidone<br>patients with no<br>efficacy data not<br>included in analysis. | Fair              | Supported by the Janssen<br>Research Foundation.   |          |
| Armenteros, 2007<br>US   | Yes, No, No, No   | None  | Yes   | Good              | First author has received research<br>support and is on speakers panel<br>of Janssen   |          |
| Buitelaar, 2001<br>Netherlands   | Yes   | No  | Yes (LOCF)  | Fair              | Janssen-Cilag, The Netherlands   |          |
| Connor 2008  | Yes, No, No, No   | Differential: Yes<br>High: Yes<br>8/9 (88%) completed<br>in Quetiapine group<br>3/10 (30%)<br>completed in<br>placebo group (most<br>dropped due to lack<br>of efficacy; N=5) | Yes   | Fair              | AstraZeneca  |          |
| Findling et al, 2000<br>US   | Attrition and adherence yes,<br>others no.                              | Withdrawals- 40%<br>risperidone, 70%<br>placebo   | Yes   | Fair              | Supported in part by the Janssen<br>Research Foundation, the Stanley<br>Foundation, and NICHD Pediatric<br>Pharmacology Research Unit<br>contract. |          |



**Evidence Table 10. Quality assessment of trials in youths**

| <i>Internal validity</i>   |                            |  |   |                                       |                                       |                                       |                                      |
|--|----------------------------|--|---|---------------------------------------|---------------------------------------|---------------------------------------|--------------------------------------|
| Author, year<br>Country  | Randomization<br>adequate? | Allocation<br>concealment<br>adequate? | Groups similar<br>at baseline?  | Eligibility<br>criteria<br>specified? | Outcome<br>assessors<br>masked?       | Care provider<br>masked?              | Patient<br>masked?                   |
| Hollander, 2006<br>US<br>double blind placebo-<br>controlled<br>Olanzapine<br>Poor | Method not reported        | Method not<br>reported                 | Yes   | Yes                                   | NR                                    | NR                                    | Yes                                  |
| Kent 2013<br>U.S.  | Unclear                    | Yes                                    | Unclear;<br>proportion of<br>caucasians<br>higher in high<br>dose risperidone<br>group  | Yes                                   | Yes                                   | Yes                                   | Yes                                  |
| Luby, 2006<br>US<br>Randomized, placebo-<br>controlled<br>Risperidone<br>Fair      | Yes                        | Yes                                    | Yes on most<br>measures; tx<br>group greater<br>severity of autism<br>symptoms at<br>baseline, poorer<br>language skills,<br>and poorer motor<br>skill development. | Yes                                   | Yes                                   | No                                    | Yes                                  |
| Marcus 2009  | Method not reported        | Method not<br>reported                 | No<br>Placebo group<br>heavier  | Yes                                   | NR (described<br>as double-<br>blind) | NR (described<br>as double-<br>blind) | NR<br>(described as<br>double-blind) |

**Evidence Table 10. Quality assessment of trials in youths**

| Author, year<br>Country  | Reporting of attrition,<br>crossovers, adherence,<br>and contamination? | Loss to follow-up:<br>Differential/ high?           | Intent-to-treat<br>analysis?  | Quality<br>rating | Funding   | Comments   |
|--|---|---|---|-------------------|---|--|
| Hollander, 2006<br>US<br>double blind placebo-<br>controlled<br>Olanzapine<br>Poor | Attrition, Yes<br>Cross over, NA<br>Adherence, No<br>Contamination, No  | 6 tx; 4 completed<br>5 placebo; 4<br>completed      | No  | Poor              | This study was supported by an investigator- initiated research grant from Lilly Research Laboratories. Olanzapine and matching placebo were supplied by Lilly Research Laboratories. We acknowledge Charles Cartwright, M.D., and Sallie Jo Hadley, M.D. | Small study, No ITT, No details on randomization |
| Kent 2013<br>U.S.  | Yes, no, no no  | Overall: no 19.8%<br>withdrawal<br>Differential: no | Yes   | Fair              | Janssen   |  |
| Luby, 2006<br>US<br>Randomized, placebo-<br>controlled<br>Risperidone<br>Fair      | Attrition, Yes<br>Cross over, NA<br>Adherence, No<br>Contamination, No  | No/No<br>1 subject of 24 total                      | No; may not be<br>applicable since only<br>one did not<br>complete? | Fair              | Funded by Janssen Pharmaceutica   | small study                                      |
| Marcus 2009  | Yes, No, Yes, No  | No, no  | No<br>3/218 excluded from<br>efficacy sample                        | Fair              |   |  |

**Evidence Table 10. Quality assessment of trials in youths**

| <i>Internal validity</i>   |   |   |  |  |  |                                       |                                      |
|--|---|---|--|--|--|---------------------------------------|--------------------------------------|
| <b>Author, year<br/>Country</b>  | <b>Randomization<br/>adequate?</b>                      | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar<br/>at baseline?</b>   | <b>Eligibility<br/>criteria<br/>specified?</b> | <b>Outcome<br/>assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b>      | <b>Patient<br/>masked?</b>           |
| McCracken et al, 2002<br>Arnold et al, 2003<br>Research Units on Pediatric<br>Psychopharmacology<br>Autism Network<br>RUPP | Method not reported                                     | Not reported                                    | Yes  | Yes  | Yes                                      | Yes                                   | Yes                                  |
| Nagaraj, 2006<br>India<br>double blind placebo-<br>controlled<br>Risperidone<br>Fair                                       | Yes   | Yes   | Yes  | Yes  | Yes                                      | NR                                    | Yes                                  |
| Owen 2009  | Yes   | Yes   | No<br>Drug group older<br>and heavier  | Yes  | Yes                                      | NR (described<br>as double-<br>blind) | NR<br>(described as<br>double-blind) |
| Pathak 2013<br>US  | Unclear, no<br>information about<br>sequence generation | Yes   | Unclear; Overt<br>Aggression<br>Scale-Modified<br>total scores<br>higher in<br>quetiapine groups | Yes  | Unclear                                  | Yes                                   | Yes                                  |

**Evidence Table 10. Quality assessment of trials in youths**

| Author, year<br>Country  | Reporting of attrition,<br>crossovers, adherence,<br>and contamination? | Loss to follow-up:<br>Differential/ high?           | Intent-to-treat<br>analysis?  | Quality<br>rating | Funding  | Comments  |
|--|---|---|---|-------------------|--|---|
| McCracken et al, 2002<br>Arnold et al, 2003<br>Research Units on Pediatric<br>Psychopharmacology<br>Autism Network<br>RUPP | Attrition yes, others no.   | No  | Yes   | Fair              | Supported by contracts from the National Institute of Mental Health, General Clinical Research Center grants from the National Institutes of Health, and a grant from the Korczak Foundation. Study medication donated by Janssen Pharmaceutica. |   |
| Nagaraj, 2006<br>India<br>double blind placebo-<br>controlled<br>Risperidone<br>Fair                                       | Attrition, Yes<br>Cross over, NA<br>Adherence, No<br>Contamination, No  | No/No<br>1 of 20 placebo                            | No; may not be<br>applicable since only<br>one did not<br>complete? | Fair-Good         | Funding provided by Department of Pediatrics and the institute's internal finances. [Sun Pharmaceuticals, Mumbai, India, provision of the drug and placebo in the required format for the study.]  |   |
| Owen 2009  | Yes, No, No, No   | No, no  | No<br>2/98 excluded from<br>efficacy sample                         | Fair              |  | A high fair - if all had been included in ITT, rating would be good |
| Pathak 2013<br>US  | Yes, no. yes, no  | Yes for high<br>overall=22%;<br>No for differential | No  | Fair              | Astra Zeneca   |   |

**Evidence Table 10. Quality assessment of trials in youths**

| <i>Internal validity</i>   |   |   |  |  |  |                                  |                            |
|--|---|---|--|--|--|----------------------------------|----------------------------|
| <b>Author, year<br/>Country</b>  | <b>Randomization<br/>adequate?</b>  | <b>Allocation<br/>concealment<br/>adequate?</b> | <b>Groups similar<br/>at baseline?</b> | <b>Eligibility<br/>criteria<br/>specified?</b> | <b>Outcome<br/>assessors<br/>masked?</b> | <b>Care provider<br/>masked?</b> | <b>Patient<br/>masked?</b> |
| Reyes, 2006<br>International [8 countries,<br>non-US]<br>double blind placebo-<br>controlled<br>Risperidone<br>Fair-Poor | Unclear; the<br>randomization code<br>was generated by the<br>study sponsor, with<br>treatment numbers<br>allocated at each<br>investigative<br>center in chronological<br>order. | Yes   | Yes                                    | Yes  | NR                                       | NR                               | Yes                        |
| Shea et al, 2004<br>Pandina et al, 2004<br>(subgroup analysis)<br>Canada   | Method not reported   | Not reported                                    | Yes                                    | Yes  | Yes                                      | Not reported                     | Yes                        |
| Snyder et al, 2002<br>Risperidone Conduct Study<br>Group<br>Canada, US, South Africa                                     | Method not reported   | Not reported                                    | Yes                                    | Yes  | Yes                                      | Yes                              | Yes                        |

**Evidence Table 10. Quality assessment of trials in youths**

| Author, year<br>Country  | Reporting of attrition,<br>crossovers, adherence,<br>and contamination? | Loss to follow-up:<br>Differential/ high?  | Intent-to-treat<br>analysis? | Quality<br>rating | Funding   | Comments  |
|--|---|--|------------------------------|-------------------|---|---|
| Reyes, 2006<br>International [8 countries,<br>non-US]<br>double blind placebo-<br>controlled<br>Risperidone<br>Fair-Poor | Attrition, Yes<br>Cross over, NR<br>Adherence, NR<br>Contamination, NR  | Discontinuation due<br>to adverse effects<br>1.7% with<br>risperidone, 0.6%<br>with placebo<br>(maintenance<br>phase). | No                           | Fair-Poor         | This study was supported by<br>Johnson & Johnson<br>Pharmaceutical Research and<br>Development                  | 3 phases in the study,<br>acute, continuation, and<br>maintenance. Only<br>patients who responded<br>to initial treatment phase<br>were randomized,<br>Adverse events reported<br>in 47.7% with<br>risperidone; versus<br>36.2% with placebo in<br>continuation phase of<br>study. During the<br>maintenance phase,<br>21% of Tx group and<br>22% were on<br>concomitant<br>psychostimulants, the<br>effect of these on<br>outcomes not assessed. |
| Shea et al, 2004<br>Pandina et al, 2004<br>(subgroup analysis)<br>Canada   | Attrition yes, others no.   | No   | Yes (1 not analyzed)         | Fair              | Supported by Janssen-Ortho Inc,<br>Canada, and Johnson & Johnson<br>Pharmaceutical Research and<br>Development. |   |
| Snyder et al, 2002<br>Risperidone Conduct Study<br>Group<br>Canada, US, South Africa                                     | Attrition yes, others no.   | Yes- 33.3% placebo, No<br>11.3% risperidone<br>withdrew (p=0.006)  | No                           | Fair              | Funded by Janssen Research<br>Foundation  |   |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year</b>  |          |                 |                                |                                  |  |
|--|----------|-----------------|--------------------------------|----------------------------------|--|
| <b>Country</b>   |          |                 |                                |                                  |  |
| <b>Trial name</b>  |          |                 | <b>Study design</b>            |                                  |  |
| <b>(Quality score)</b>   | <b>N</b> | <b>Duration</b> | <b>setting</b>                 | <b>Population</b>                | <b>Eligibility criteria</b>  |
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US<br>(FAIR)<br>Biederman 2006 (post<br>hoc subgroup analysis) | 118      | 6 weeks         | Double-blind,<br>multicenter   | Disruptive Behavior<br>Disorders | Healthy and ages 5 to 12 years with symptoms sufficiently severe that the investigator felt there was a need for antipsychotic treatment; DSM-IV axis I diagnosis of conduct disorder, oppositional defiant disorder, or disruptive behavior disorder not otherwise specified; and axis II diagnosis of subaverage IQ (36-84), and a Vineland Adaptive Behavior Scale score 84 or less. Total rating of 24 or higher on the conduct problem subscale of the Nisonger Child Behavior Rating Form. Individuals with attention deficit hyperactivity disorder were also eligible if they met all other inclusion criteria.                  |
| Buitelaar, 2001<br>The Netherlands<br>(FAIR)   | 38       | 6 weeks         | Double-blind, single<br>center | Disruptive Behavior<br>Disorders | Adolescent inpatients with subaverage cognitive skills. Included if their overt aggressive behavior persisted during hospitalization, as reflected in a score of at least 1 on the modified Overt Aggression Scale (OAS-M) rated by nurses in the ward at the end of the baseline phase; their aggressive behavior failed to respond to behavioral treatment approaches; there was a clinical indication for drug treatment; they were between 12 and 18 years old; they had a principal diagnosis of conduct disorder, oppositional defiant disorder, or ADHD according to DSM-IV, and a full-scale IQ between 60 and 90 on the WISC-R. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>   | <b>Exclusions</b>   | <b>Interventions</b>  | <b>Allowed other medications/interventions</b>   |
|--|---|---|--|
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US<br>(FAIR)<br>Biederman 2006 (post<br>hoc subgroup analysis) | Diagnosis of pervasive developmental disorder, schizophrenia, or other psychotic disorder; head injury as a cause of intellectual disability; or a seizure disorder requiring medication. Known hypersensitivity to risperidone or neuroleptics, history of tardive dyskinesia or neuroleptic malignant syndrome, serious or progressive illnesses, presence of HIV, and use of an investigational drug within the previous 30 days; previous treatment with risperidone. | Risperidone mean dose 1.16 mg/day (range 0.006-0.092 mg/kg/day) | Use of other antipsychotics, anticonvulsants, antidepressants, lithium, carbamazepine, valproic acid, or cholinesterase inhibitors was not permitted. Use of consistent doses of psychostimulants permitted if the dose had been stable for at least 30 days. Behavioral therapy permitted if initiated at least 30 days before the start of the study. No changes to psychostimulant use or behavioral therapy were allowed, no medications for sleep or anxiety were to be initiated during the trial. Subjects receiving antihistamines, chloral hydrate, or melatonin for sleep before the screening visit could continue use unchanged. Medications commonly used to treat EPS were discontinued at study entry. If EPS arose during the study, dose of study medication was decreased. If this resulted in deterioration of conduct disorder symptoms or failed to improve the EPS, anti-EPS medication could be considered. |
| Buitelaar, 2001<br>The Netherlands<br>(FAIR)   | Neurologic, cardiac, pulmonary, or hepatic diseases, primary mood disorders, schizophrenia or other active psychosis, or suicidality, comorbid substance abuse disorder according to DSM-IV; if female, pregnant or used inadequate contraception; major change in treatment strategy (such as transition to another ward) was expected in the near future; or it was not considered feasible to discontinue current psychotropic medication.                             | risperidone 1 mg or placebo                                     | Concomitant medication for acute or chronic somatic illnesses was allowed at the discretion of the clinician in charge.  |



**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)   | Age<br>Gender<br>Ethnicity  | Other population characteristics   | Number screened/<br>eligible/enrolled     | Number withdrawn/<br>lost to follow-up/<br>analyzed   |
|--|---|--|---|---|
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US<br>(FAIR)<br>Biederman 2006 (post<br>hoc subgroup analysis) | Mean age 8 years (SD 2<br>years)<br>82% male<br>57% white, 34% black, 5%<br>Hispanic, <1% Asian, 3% other<br>ethnicity. | DSM-IV axis I diagnosis:<br>21% oppositional defiant disorder<br>32% oppositional defiant disorder plus<br>ADHD<br>18% conduct disorder<br>22% conduct disorder plus ADHD<br>2% disruptive behavior disorder not<br>otherwise specified<br>5% disruptive behavior disorder plus<br>ADHD<br><br>DSM-IV axis II diagnosis:<br>51% borderline intellectual disability<br>32% mild intellectual disability<br>17% moderate intellectual disability | 142 screened/119<br>eligible/118 enrolled | 12 risperidone, 19<br>placebo patients<br>withdrew, 115<br>analyzed (3 in<br>risperidone group had<br>no efficacy data, not<br>analyzed). |
| Buitelaar, 2001<br>The Netherlands<br>(FAIR)   | 14.0<br>86.8% male<br>Ethnicity NR  | Principal diagnosis:<br>Conduct disorder: 78.9%<br>Oppositional defiant disorder: 15.8%<br>Disruptive behavior disorder NOS: 5.3%  | 145/48/38                                 | 2 (placebo)/NR/38   |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                           | Results  | Overall withdrawals/<br>Withdrawals due to<br>adverse events | Adverse events  |
|--|--|--|---|
| Aman et al, 2002<br>Risperidone Disruptive<br>Behavior Study Group<br>US<br>(FAIR) | Change in Nisonger Child Behavior Rating Form conduct<br>problem subscale score at 6 weeks<br>(risperidone vs placebo):<br>-15.2 vs -6.2 (p<0.001)   | 3/118 (2.5%)/<br>2/118 (1.7%)                                | No serious adverse events<br>Most common adverse events, placebo vs risperidone:<br>somnolence:10% vs 51%, headache: 14% vs 29%,<br>vomiting: 6% vs 20%, dyspepsia: 6% vs 15%, weight<br>increase: 2%<br>vs 15%, elevated serum prolactin: 2% vs 13%, increased<br>appetite: 6% vs 11%, and rhinitis: 5% vs 11%.<br>Amount of weight gain not reported. |
| Biederman 2006 (post<br>hoc subgroup analysis)                                     | CGI change score<br>(risperidone vs placebo):<br>improved: 76.9% vs 33.4% (p<0.0001)<br>much to very much improved: 7.9% vs 53.8% (p<0.001)<br><br>Biederman 2006 analysis of affective symptoms:<br>Risperidone effective in treating factors explosive irritability;<br>agitated/expansive/grandiose; and depression.<br>No difference from placebo on factors |  |   |
| Buitelaar, 2001<br>The Netherlands<br>(FAIR)                                       | risperidone vs placebo<br>Markedly or severely disturbed: 21% vs 84%<br>Mean (SD) CGI-Severity score: 2.7 (1.2) vs 4.4 (1.0)   | 2 overall/<br>0 due to AEs                                   | Extrapyramidal symptoms were absent or very mild during<br>risperidone treatment. Transient tiredness in 11/19 (58%)<br>drug-treated subjects. Weight gain: mean 3.5% of body<br>weight in risperidone group  |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>N</b> | <b>Duration</b>                     | <b>Study design<br/>setting</b>                                  | <b>Population</b>                    | <b>Eligibility criteria</b>   |
|--|----------|-------------------------------------|--|--------------------------------------|---|
| Connor 2008<br>USA   | 19       | 6 weeks plus<br>1 week<br>screening | Double-blind, single<br>center                                   | Adolescents with conduct<br>disorder | 12 and 17 years inclusive and to meet criteria for a<br>primary psychiatric diagnosis of conduct disorder;<br>patients had to have a moderate-to-severe degree of<br>aggressive behavior as documented by an overt<br>aggression scale score > 25 and at least moderate<br>severity of symptoms as documented by a Clinical<br>Global Impressions–Severity (CGI-S) score > 4<br>. |
| Findling et al, 2000<br>US<br>(FAIR)                               | 20       | 10 weeks                            | Double-blind, single,<br>inner-city, academic<br>medical center. | Disruptive Behavior<br>Disorders     | Outpatients who met DSM-IV criteria for conduct<br>disorder as a primary diagnosis; ages 5 to 15 years,<br>with at least a moderate degree of overall symptom<br>severity as based on the CGI Scale, and an Aggression<br>subscale T score 2 SD or more above the mean for age-<br>and gender-matched peers on the Child Behavior<br>Checklist (CBCL).                            |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>Exclusions</b>  | <b>Interventions</b>   | <b>Allowed other medications/interventions</b>                                     |
|--|--|--|--|
| Connor 2008<br>USA   | Co-morbid psychiatric diagnosis of schizophrenia, schizoaffective disorder, psychotic disorder not otherwise specified(NOS), bipolar disorder, psychotic depression, or bipolar disorder NOS; alcohol or substance abuse or dependence within 3 months; significantly subaverage IQ ; lenticular abnormality or juvenile cataracts; seizure disorder; concurrent administration of any psychoactive medication, including stimulants; pregnant or lactating females; and any unstable medical disease  | Mean quetiapine was $294 \pm 78$ mg/day (range 200–600 mg/day) vs.. Placebo  | Oral benztropine was permitted for EPS.  |
| Findling et al, 2000<br>US<br>(FAIR)                               | Moderate or severe attention deficit/hyperactivity disorder, significant psychiatric comorbidity (including mood disorders), treatment with a psychotropic medication within one week of initiating double-blind therapy, a positive toxicology screen, suicide attempt within the past month, clinically significant general medical condition, organic mental syndromes, pregnant or nursing females, females of childbearing potential who were not using an acceptable method of birth control, and a standard score equivalent to <70 on the Peabody Picture Vocabulary Test-Revised. | Risperidone 0.25 mg if weight less than 50 kg; 0.50 mg if weight 50 kg or greater. Starting dose was 1 tablet per day; dose could be increased by 1 tablet per day each week to a maximum daily dose of 6 tablets per day. All dose adjustments were to occur during the first 6 weeks of the study. | For patients in whom EPS developed, treatment with oral benztropine was available. |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Age<br>Gender<br>Ethnicity   | Other population characteristics   | Number screened/<br>eligible/enrolled                 | Number withdrawn/<br>lost to follow-up/<br>analyzed   |
|--|--|--|---|---|
| Connor 2008<br>USA                                       | Mean age 14.1 (1.6) yrs<br>74% male<br>76% Caucasian<br>16% Hispanic<br>10% African American                             | Conduct disorder 100%<br>Oppositional defiant disorder (ODD) 95%<br>ADHD 79%   | NR/68/20  | 8/0/19  |
| Findling et al, 2000<br>US<br>(FAIR)                     | Mean age 9.2 years (SD 2.9),<br>range 6-14<br>19/20 (95%) male<br>50% white (no other ethnicity<br>information reported) | 9 patients had not improved with<br>treatments with other psychotropic<br>medications (methylphenidate). Other<br>medications previously prescribed<br>included dextroamphetamine (n=4),<br>clonidine (n=3), an antidepressant (n=5),<br>divalproex sodium (n=2), and thioridazine<br>(n=1). | Number screened, eligible<br>not reported/20 enrolled | 4/10 risperidone, 6/10<br>placebo patients<br>withdrew/1 placebo<br>patient lost to<br>followup/20 analyzed |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events      | Adverse events  |
|--|---|---|---|
| Connor 2008<br>USA                                       | Baseline/endpoint<br>CGI-S<br>Quetiapine 5.9 (0.6) / 3.4 (1.1)<br>Placebo 5.5 (1.2)/ 5.0 (0.6)<br>OAS<br>Quetiapine 73.2 (34.3) / 43.3 (55.6)<br>Placebo 40.4 (23.8) / 49.4 (27.8)<br>CPRS-CP<br>Quetiapine 17.1 (5.1) / 11.3 (7.7)<br>Placebo 11.4 (3.6) / 12.2 (4.4)<br>Q-LES-Q<br>Quetiapine 36.9 (8.6) 48.2 (10.2)<br>Placebo 39.3 (9.5) 35.2 (8.0)   | 8 overall<br>1 due to AEs   | Quetiapine vs. placebo n (%)<br>Agitation 6 (66) vs. 9 (90)<br>Anxiety 6 (66) 7 vs. (70)<br>Decreased energy 3 (33) vs. 5 (50)<br>Decreased mental alertness 3 (33) vs. 9 (90) P = 0.01<br>Diminished emotional expression<br>1 (11) vs. 7 (70) P = 0.009<br>Diminished facial expression 1 (11) vs. 6 (60) P = 0.03<br>Drooling 2 (22) vs. 0 (0)<br>Irritability 7 (78) vs. 8 (80)<br>Muscle stiffness 1 (11) vs. 2 (20)<br>Overeating 1 (11) vs. 2 (20)<br>Pacing 4 (44) vs. 5 (50)<br>Restlessness 7 (78) vs. 7 (70)<br>School refusal 2 (22) vs. 4 (40)<br>Sedation 6 (67) vs. 9 (90)<br>Social withdrawal 4 (44) vs. 5 (50)<br>Tremor 0 (0)0 vs. 3 (30)<br>Weight gain 3 (33) vs. 1 (10) |
| Findling et al, 2000<br>US<br>(FAIR)                     | Rating of Aggression Against People and/or Property Scale<br>(RAAPP) score<br>Difference from baseline, weeks 7-10:<br>risperidone: -1.91<br>placebo: -0.70<br>(p=0.0007)<br>Difference from baseline, week 10:<br>risperidone: -1.65<br>placebo: -0.16<br>(p=0.03)<br><br>Mean CGI-I score at weeks 7-10:<br>risperidone: 1.80<br>placebo: 3.19<br>(p=0.0006)<br>Mean CGI-I score at week 10:<br>risperidone: 1.80<br>placebo: 3.60<br>(p=0.002) | 5/17 (29.4%)<br>withdrew overall, no<br>withdrawals due to<br>AEs | No extrapyramidal symptoms  |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | N  | Duration | Study design<br>setting                     | Population  | Eligibility criteria  |
|--|----|----------|---|---|---|
| Hollander, 2006<br>US<br>(FAIR)                          | 11 | 8 weeks  | Double-blind, RCT,<br>single center         | Children and adolescents<br>with pervasive<br>developmental disorders | Between ages of 6 and 17 years, fulfilling DSM-IV and ADI-R criteria with a rating of at least moderate (4 or greater) on the CGI. Patients were not selected for particular scores of aggressive or disruptive behaviors on study measures.  |
| Kent, 2013<br>US   | 96 | 6 weeks  | Double-blind<br>randomized, multi<br>center | Children and adolescents<br>with autistic disorder                    | Aged 5-17 years, weighing at least 20kg with a diagnosis of autistic disorder using DSM-IV criteria, score of at least 4 on CGI-S at baseline, mental age of more than 18 months, seizure free for at least 6 consecutive months or a stable dosage of antiepileptic drugs for 4 weeks before screening. No psychotropic medications for at least 1 week. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>Exclusions</b>   | <b>Interventions</b>   | <b>Allowed other medications/interventions</b>   |
|--|---|--|--|
| Hollander, 2006<br>US<br>(FAIR)                                    | Patients who were responding well to prior pharmacological treatment; psychotic disorders and a history of any clinically significant medical illness (with the exception of a stable seizure disorder).  | Olanzapine, titrated according to weight up to a maximum of 20 mg/day vs placebo<br>Mean doses 10 (SD 2.04) mg/day; range 7.5 mg-12.5 mg | None of the patients was taking any concomitant medications during the study.  |
| Kent, 2013<br>US   | DSM-IV diagnosis of psychotic disorder or pervasive developmental disorder other than autism, neurological disorders, moderate or severe extrapyramidal symptoms or tardive dyskinesia and lack of response to risperidone treatment in the past. | Risperidone low dose: 0.125mg/d, 0.175 mg/d<br>Risperidone high dose: 1.25mg/d, 1.75mg/d<br>Placebo                                      | Anticholinergics, antihistamines for the treatment of emergent EPS restricted to the lowest dose and for shortest duration possible. Lorazepam 0.25-2mg, diphenhydramine upto 50mg were allowed if the patient was had been stable on a particular dose for at least 30 days before study start. |



**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Age<br>Gender<br>Ethnicity  | Other population characteristics  | Number screened/<br>eligible/enrolled | Number withdrawn/<br>lost to follow-up/<br>analyzed |
|--|---|---|---------------------------------------|---|
| Hollander, 2006<br>US<br>(FAIR)                          | Mean age 9.1 years (range 6.0-14.8)<br>81.8% male<br>63.6% white, 18.2% black,<br>9.1% Hispanic, 9.1% Asian | 6/11 autism, 1 Asperger's syndrome, 4 PDD-NOS<br>36.4% normal cognitive functioning,<br>45.5% mild mental retardation, 0% moderate, 18.2% severe, 0% profound | 20/NR/11                              | 3/0/NR  |
| Kent, 2013<br>US   | Mean age: 9 years (SD 3.1)<br>88% male<br>White: 70%<br>Black: 20%<br>Asian: 7%<br>Other: 3%                | Baseline BMI(kg/m2): 19.7 (SD 5.05)<br>Median age at first diagnosis of autism: 3 (range 2-14)<br>Previous antipsychotic use: 9%                              | 145/NR/96                             | 19/2/96   |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Results  | Overall withdrawals/<br>Withdrawals due to<br>adverse events   | Adverse events  |
|--|--|--|---|
| Hollander, 2006<br>US<br>(FAIR)                          | Response on CGI-I: 50% risperidone and 20% placebo<br>No evidence for significant change on other outcome measures   | 3 overall/<br>0 due to AEs   | Weight gain:<br>7.5 (SD 4.8) lbs olanzapine vs 1.5 (SD 1.5) lb placebo;<br>p=0.028<br>66.6% olanzapine vs 20% placebo subjects had a more than 7% weight gain.<br>Most common side effects were increased appetite and sedation<br>No abnormal movements, dyskinesias, or EPS   |
| Kent, 2013<br>US   | RIS low dose vs RIS high dose vs placebo<br>Mean (SD) change from baseline in ABC-irritability subscale score: -7.4 (8.12) vs -12.4 (6.52) vs -3.5 (10.67), p-values vs placebo for low dose p= 0.164, for high dose p<0.001<br>Mean(SD) change from baseline in CGI-severity: -0.4 (0.73) vs -1.0 (0.78) vs -0.3 (0.79), p-values vs placebo for low dose p=0.769, for high dose p<0.001<br>Response rates: 52% vs 83% vs 41%, p values vs placebo for low dose p=0.817, for high dose p<0.004<br>Proportion of patients with much or very much improvement on CGI: 17% vs 63% vs 15%, p-values vs placebo for low dose p=0.985, for high dose p=<0.001<br>ABC subscale on hyperactivity: high dose p=0.019 vs placebo.<br>No other data provided.<br>ABC stereotypic behavior subscale: low dose p=0.008 vs placebo. No other data provided.<br>ABC inappropriate speech or social withdrawal subscale score: low dose p=0.716 vs placebo, high dose: 0.511 vs placebo | RIS low dose vs RIS high dose vs placebo<br>Total withdrawal: 5 (17%) vs 6 (19%) vs 8 (23%)<br>Withdrawal due to AE: 0 vs 1 (3%) vs 1 (3%) | RIS low dose vs RIS high dose vs placebo<br>TEAE: 60% vs 87% vs 80%<br>Mean(SD) weight(kg) gain from baseline: 1.2 (1.3) vs 2.4 (2.7) vs 0.7 (1.19)<br>AE occurring in at least 2 people high dose group and with twice the frequency<br>Increased appetite: 35% vs 17%<br>Sedation: 26% vs 3%<br>Somnolence: 23% vs 0%<br>weight gain: 11% (both groups combined)<br>EPS- most frequently reported in ris high dose group: 16% (akathisia 13%)<br>No meaningful change from baseline in AIMS total score, BARS or SARS rating scales |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>N</b> | <b>Duration</b> | <b>Study design<br/>setting</b>     | <b>Population</b>                                       | <b>Eligibility criteria</b>   |
|--|----------|-----------------|-------------------------------------|---|---|
| Luby, 2006<br>US<br>(FAIR)   | 24       | 6 months        | Double-blind, RCT,<br>single center | Preschool children with<br>autism spectrum<br>disorders | Preschool children between age 2.5 and 6.0 years who<br>met DSM-IV criteria for autism or PDD-NOS, previously<br>diagnosed and referred by a clinician.   |
| Nagaraj, 2006<br>India<br>(FAIR)                                   | 40       | 6 months        | Double-blind, RCT,<br>single center | Children with autism                                    | Consecutive children up to 12 years of age, diagnosed<br>with autism according to the DSM-IV criteria. Referred<br>with varying symptoms, including hyperactivity,<br>aggression, stereotypes, and language difficulties. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>Exclusions</b>   | <b>Interventions</b>   | <b>Allowed other medications/interventions</b>   |
|--|---|--|--|
| Luby, 2006<br>US<br>(FAIR)   | Other known significant CNS disorders; significant medical problems or other psychiatric disorders requiring pharmacotherapy.   | Risperidone 0.5-1.5 mg or placebo<br>Mean dose 1.14 mg (SD 0.32) | Participating families were strongly encouraged to minimize the use of adjunctive medications and/or supplements (hormones, vitamins, diets) over the duration of treatment. |
| Nagaraj, 2006<br>India<br>(FAIR)                                   | Severe mental retardation, any significant coexisting disease or illness (neurologic, cardiovascular, respiratory, genetic), or severe malnutrition (weight for age <60% of National Center for Health Statistics median) | risperidone 1 mg vs placebo                                      | None   |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Age<br>Gender<br>Ethnicity                       | Other population characteristics   | Number screened/<br>eligible/enrolled | Number withdrawn/<br>lost to follow-up/<br>analyzed |
|--|--|--|---------------------------------------|---|
| Luby, 2006<br>US<br>(FAIR)                               | 49 months<br>17/23 male (73.9%)<br>92% Caucasian | All were receiving behavioral therapy<br>(risperidone 21.2 hours per week, placebo<br>11.3 hours per week; p=0.13) | NR/NR/24                              | 1/NR/23   |
| Nagaraj, 2006<br>India<br>(FAIR)                         | Mean age 5 years<br>92.3% male                   | 43.6% borderline IQ, 28.2% mild mental<br>retardation, 28.2% moderate mental<br>retardation                        | NR/NR/40                              | 1/0/39  |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events | Adverse events  |
|--|---|--|---|
| Luby, 2006<br>US<br>(FAIR)                               | CARS total score at endpoint:<br>risperidone 33.0 (SD 4.3)<br>placebo 31.5 (SD 5.1)<br>p=0.059<br>Controlled for motor development: p=0.12<br>Controlled for language skills: p=0.67  | 0/0  | No deaths or serious treatment-related adverse events.<br>Mean weight change (SD) from baseline to endpoint,<br>risperidone vs placebo: 2.96 kg (2.53) vs 0.61 kg (1.10);<br>p=0.008.<br>Most common adverse events were transient sedation<br>(n=5), increased appetite (n=6), and hypersalivation (n=2).<br>One child had transient staring spells and periods of<br>apparent waxy flexibility (after minor head injury, not<br>attributed to medication) |
| Nagaraj, 2006<br>India<br>(FAIR)                         | CARS:<br>63% risperidone vs 0% placebo had improvement of at least<br>20%<br>Median score (range) at end of treatment, risperidone vs<br>placebo: 39.5 (32.5-46) vs 38.5 (31.5-43); p<0.001<br><br>Children's Global Assessment Scale Score:<br>89% risperidone vs 10% placebo had improvement of at least<br>20%<br>Mean score (SD) at end of treatment, risperidone vs placebo:<br>40.94 (7.83) vs 35.2 (9.38); p=0.035 | 1 withdrew/<br>0 due to AEs                                  | Increased appetite and improved eating habits in 17/19<br>children receiving risperidone (89.5%)<br>Mean weight change, risperidone vs placebo:<br>2.81 kg (SD 2.04, 17% increase) vs 1.71 kg (1.3, 9.3%<br>increase); NS   |

Evidence Table 11. Placebo-controlled trials in youths

| Author, year              |    |          |               |                      |   |
|---------------------------|----|----------|---------------|----------------------|---|
| Country                   |    |          |               |                      |   |
| Trial name                |    |          | Study design  |                      |   |
| (Quality score)           | N  | Duration | setting       | Population           | Eligibility criteria                                  |
| Pandina, 2007             | 55 | 8 weeks  | Double-blind, | Children with autism | Physically healthy male and female outpatients ages 5 |
| Canada                    |    |          | multicenter   |                      | to 12 years with a DSM-IV of autistic disorder and a  |
| Subgroup analysis of      |    |          |               |                      | total score of 30 or more on the Childhood Autism     |
| Shea, 2004                |    |          |               |                      | Rating Scale (CARS).                                  |
| Previously included as an |    |          |               |                      |   |
| abstract only             |    |          |               |                      |   |
| (FAIR)                    |    |          |               |                      |   |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>  | <b>Exclusions</b>   | <b>Interventions</b>   | <b>Allowed other medications/interventions</b>   |
|---|---|--|--|
| Pandina, 2007<br>Canada<br>Subgroup analysis of<br>Shea, 2004<br>Previously included as an<br>abstract only<br>(FAIR) | Schizophrenia or other psychotic disorders;<br>history of drug or alcohol abuse, tardive<br>dyskinesia, neuroleptic malignant syndrome,<br>seizure within the previous 3 months, or previous<br>intolerance or unresponsiveness to risperidone. | Risperidone oral solution 0.01 mg/kg/day on<br>treatment days 1 and 2 and increased to<br>0.02 mg/kg/day on day 3. Depending on<br>therapeutic response at day 8, the dose<br>could be increased by a maximal increment<br>of 0.02 mg/kg/day. Thereafter, the dose<br>could be adjusted at the investigator's<br>discretion at weekly intervals by<br>increments/decrements not to exceed 0.02<br>mg/kg/day. The maximal allowable dose<br>was 0.06 mg/kg/day. In case of drowsiness,<br>the study medication could be administered<br>once daily in the evening, or the total daily<br>dose could be divided and administered on<br>a morning and evening schedule. | Medications that are used to treat EPSs were to be<br>discontinued at the time of entry into the trial. However,<br>during the trial, anticholinergics could be initiated to treat<br>emergent EPSs after the ESRS had been completed.<br>Prohibited medications included antipsychotics other than<br>the study medication, antidepressants, lithium, alpha-2<br>antagonists, clonidine, guanfacine, cholinesterase<br>inhibitors, psychostimulants, and naltrexone. A single<br>anticonvulsant and/or medications for sleep or anxiety were<br>permitted only in the case in which the subject was already<br>taking them at a stable dose for the 30 days before<br>enrollment. Similar restrictions were placed on the use of<br>behavior intervention therapy. Medications for preexisting<br>organic disorders were allowed provided that the dose and<br>schedule of administration were kept as constant as<br>possible. |



**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year</b>                                  | <b>Age</b>                |   |   | <b>Number withdrawn/<br/>lost to follow-up/<br/>analyzed</b> |
|--|---------------------------|---|---|--|
| <b>Country</b>                                       | <b>Gender</b>             |   | <b>Number screened/<br/>eligible/enrolled</b> |  |
| <b>Trial name</b>                                    | <b>Ethnicity</b>          | <b>Other population characteristics</b> |   |  |
| <b>(Quality score)</b>                               |                           |   |   |  |
| Pandina, 2007  | Mean age 7.2 years        | 0% risperidone vs 25% placebo patients  | NR  | 6/0/55/52  |
| Canada   | 78.2% male                | had an IQ>84 (p=0.02); mean IQ (SD)     | NR  |  |
| Subgroup analysis of                                 | 61.8% white, 18.2% black, | 50.8 (19.8) risperidone vs 60.1 (26.9)  | 55  |  |
| Shea, 2004   | 20% other race            | placebo; p=0.213                        |   |  |
| Previously included as an<br>abstract only<br>(FAIR) |                           |   |   |  |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)  | Results  | Overall withdrawals/<br>Withdrawals due to<br>adverse events | Adverse events   |
|---|--|--|--|
| Pandina, 2007<br>Canada<br>Subgroup analysis of<br>Shea, 2004<br>Previously included as an<br>abstract only<br>(FAIR) | <p>Mean score at endpoint (SD), risperidone vs placebo; p-value for mean change between group difference):</p> <p>ABC (Irritability): 7.2 (5.9) vs 14.1 (11.3); p=0.002</p> <p>ABC (Lethargy/social withdrawal): 4.7 (4.4) vs 8.2 (8.9); p=0.020</p> <p>ABC (Stereotypic behavior): 3.9 (4.2) vs 6.9 (6.9); p=0.053</p> <p>ABC (Hyperactivity/noncompliance): 13.3 (8.7) vs 26.4 (12.8); p=0.001</p> <p>ABC (Inappropriate speech): 1.9 (2.2) vs 3.1 (3.5); p=0.058</p> <p>N-CBRF (Adaptive/social): 5.3 (2.4) vs 4.3 (2.4); p=0.072</p> <p>N-CBRF (Compliant/calm): 8.7 (3.3) vs 6.9 (2.9); p=0.072</p> <p>N-CBRF (Conduct problem): 6.5 (5.7) vs 15.5 (11.9); p=0.0025</p> <p>N-CBRF (Hyperactive): 9.4 (5.4) vs 14.9 (8.4); p=0.021</p> <p>N-CBRF (Insecure/anxious): 3.2 (4.3) vs 5.4 (4.8); p=0.217</p> <p>N-CBRF (Overly sensitive): 2.8 (2.3) vs 4.3 (3.3); p=0.029</p> <p>N-CBRF (Self-injurious/stereotypic): 2.2 (3.1) vs 2.8 (3.9); p=0.0183</p> <p>N-CBRF (Self-isolated/ritualistic): 2.4 (2.5) vs 4.5 (5.5); p=0.078</p> <p>Change from baseline in VAS for most troublesome symptom (least squares mean estimate, SE):</p> <p>-40.2 (6.6) vs -24.9 (6.4); p=0.066</p> <p>Improvement as assessed by the CGI-C: 58.3% vs 21.4% (p=0.008)</p> | 2 of 55 (4%)/<br>1 risperidone, 1<br>placebo                 | <p>Mean weight (SD) at baseline and end point:</p> <p>risperidone: 30.4 (11.8); 32.8 (12.6) kg</p> <p>placebo: 27.3 (8.9); 28.4 (9.8) kg</p> <p>p=0.276</p> <p>1 case of hyperkinesia and 1 case of extrapyramidal disorder in patients receiving risperidone.</p> |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                            | N   | Duration | Study design<br>setting  | Population   | Eligibility criteria  |
|---|-----|----------|--|--|---|
| Pathak, 2013<br>USA   | 284 | 3 weeks  | Randomized, DB, PCT,<br>multicenter, inpatient<br>and outpatient           | 10-17 years, DSM-IV<br>bipolar I with manic<br>episodes  | 10-17 years, DSM-IV criteria for bipolar I with manic<br>episodes, YMRS total score $\geq 20$ , permitted to have<br>secondary diagnosis of ADHD  |
| Reyes, 2006<br>International [8 countries,<br>non-US]<br>Risperidone<br>(FAIR-POOR) | 335 | 6 months | Randomized, single-<br>blind, multicenter;<br>Maintenance vs<br>withdrawal | Children and adolescents<br>with disruptive behavior<br>disorders who had<br>responded to risperidone<br>treatment over 12 weeks | Children and adolescents (ages 5-17 years) without<br>moderate or severe intellectual impairment ( $IQ \geq 55$ ),<br>who met DSM-IV criteria for conduct disorder,<br>oppositional defiant disorder, or disruptive behavior<br>disorder not otherwise specified, with the diagnosis<br>confirmed by the K-SADS-PL. Inclusion required that<br>the conduct problem be serious enough to warrant<br>clinical treatment with risperidone and be associated<br>with a score $> +24$ on the conduct problem subscale of<br>the Nisonger Child Behavior Rating Form-parent<br>version at both screening and treatment initiation.<br>Children and adolescents with comorbid ADHD were<br>not excluded. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>               | <b>Exclusions</b>   | <b>Interventions</b>  | <b>Allowed other medications/interventions</b>  |
|--|---|---|---|
| Pathak, 2013<br>USA  | DSM-IV diagnosis of other Axis I disorder, history of serious suicide attempts, current risk of suicide or homicide | Quetiapine 400 mg/d, in 2 or 3 doses, titrated over 5 days<br>Quetiapine 600 mg/d, in 2 or 3 doses, titrated over 7 days<br>Placebo                         | Psychostimulant at stable dose for ADHD, Diphenhydramine, hydroxyzine, lorazepam, benztrapine for treatment-emergent EPS (not prophylactic)                                     |
| Reyes, 2006<br>International [8 countries, non-US]<br>Risperidone<br>(FAIR-POOR) | Serious medical or psychiatric conditions such as schizophrenia or bipolar disorder.                                | risperidone vs placebo (maintenance vs withdrawal). Flexible dose depending on body weight. Maximum dose 0.75 mg (patients <50 kg) or 1.5 mg (those ≥50 kg) | Concomitant therapy with stable psychostimulant dosing was permitted. Treatment with additional antipsychotics, lithium, anticonvulsants, or antidepressants was not permitted. |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                            | Age<br>Gender<br>Ethnicity   | Other population characteristics  | Number screened/<br>eligible/enrolled | Number withdrawn/<br>lost to follow-up/<br>analyzed |
|---|--|---|---------------------------------------|---|
| Pathak, 2013<br>USA   | Age: 43.7% 10-12 years,<br>56.3% 13-17 years<br>Gender: 43.7% female<br>Ethnicity: 76.5% White, 13.7%<br>Black | Current or past history of ADHD: 44.8%  | 393/289/284                           | 61/3/277 ITT; 283<br>safety                         |
| Reyes, 2006<br>International [8 countries,<br>non-US]<br>Risperidone<br>(FAIR-POOR) | Mean age 10.9 years<br>86.6% male<br>87% Caucasian   | 36.7% Conduct disorder, 60.9%<br>Oppositional defiant disorder, 2.4%<br>Disruptive behavior disorder, NOS | 575/NR/335                            | 49/0/335  |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                         | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events | Adverse events  |
|--|---|--|---|
| Pathak, 2013<br>USA  | <p>Quetiapine 400 mg/d vs. Quetiapine 600 mg/d vs. Placebo</p> <p>YMRS, Least squares mean change at day 21 (95%CI): -14.25 (-16.15 to -12.35) vs. -15.60 (-17.51 to -13.70) vs. -9.04 (-11.24 to -6.84), <math>p &lt; 0.001</math> treatment vs. placebo</p> <p>CDRS-R mean (SD) changes at day 21: -5.2 (8.47) vs. -6.2 (7.56) vs. -3.8 (8.02), 600mg/d vs. placebo: <math>p &lt; 0.05</math></p> <p>CGI-BP Severity, least squares mean change at day 21 (95%CI): -1.55 (-1.83 to -1.27) vs. -1.62 (-1.88 to -1.37) vs. -0.98 (-1.26 to -0.71), <math>P = 0.005</math> for 400mg vs. placebo, <math>P &lt; 0.001</math> for 600mg vs. placebo</p>  | 61/26  | <p>Quetiapine 400mg/d vs. Quetiapine 600mg/d vs. Placebo</p> <p>Weight gain &gt;7%: 14.5% vs. 9.9% vs. 0%</p> <p>EPS: 4.2% vs. 3.1% vs. 1.1%</p> <p>Suicidal ideation, n: 1 vs. 0 vs. 0</p> <p>SAE: 7 vs. 4 vs. 3</p> <p>Total cholesterol <math>\geq 170</math>mg/dL after normal baseline: 15 vs. 15 vs. 2</p> <p>Triglycerides <math>\geq 150</math>mg/dL after normal baseline: 14 vs. 15 vs. 8</p> <p>Prolactin &gt;26 ng/mL females or &gt;20ng/mL males: 12 vs. 10 vs. 2</p> |
| Reyes, 2006<br>International [8 countries, non-US]<br>Risperidone<br>(FAIR-POOR) | <p>Risperidone vs placebo</p> <p>Time to symptom recurrence shorter with placebo (<math>p = 0.002</math>)</p> <p>Symptom recurrence occurred in 25% of patients after 119 days with risperidone vs 37 days with placebo</p> <p>Rate of symptom recurrence: 27.3%, N=47 vs 42.3%, N=69 (<math>p = 0.002</math>)</p> <p>Change from beginning to end of maintenance phase: Mean (SD), risperidone vs placebo</p> <p>Nisonger Child Behavior Rating Form</p> <p>Conduct problems: 5.0 (9.5) vs 8.8 (11.2); <math>p &lt; 0.001</math></p> <p>Insecure/anxious: 1.9 (6.2) vs 2.7 (6.5); <math>p = 0.20</math></p> <p>Hyperactive: 0.8 (4.4) vs 2.4 (5.4); <math>p = 0.007</math></p> <p>Self-injury/stereotypic behavior: 0.3 (1.5) vs 0.5 (1.8); <math>p = 0.34</math></p> <p>Self-isolated/ritualistic: 0.8 (2.6) vs 0.9 (2.8); <math>p = 0.67</math></p> <p>Overly sensitive: 0.4 (2.8) vs 1.0 (3.19); <math>p = 0.054</math></p> <p>Compliant/calm: -1.5 (3.8) vs -2.8 (4.4); <math>p &lt; 0.001</math></p> <p>Adaptive/social: -0.9 (2.5) vs -1.7 (2.9); <math>p = 0.006</math></p> <p>VAS rating of most troublesome symptom: 7.2 (26.9) vs 14.1 (27.8); <math>p = 0.01</math></p> <p>CGI Severity: 0.6 (1.2) vs 1.2 (1.4); <math>p &lt; 0.001</math></p> <p>CGI Change: 3.6 (1.8) vs 4.3 (1.9); <math>p &lt; 0.001</math></p> <p>Children's Global Assessment Scale score: -3.5 (12.4) vs -10.2 (14.5); <math>p &lt; 0.001</math></p> | 49/335 (14.6%)/<br>8/335 (2.4%)                              | <p>Most frequent adverse events were headache, rhinitis, URTI, pharyngitis, abdominal pain, somnolence, fatigue, increased appetite, and weight gain</p> <p>Risperidone vs placebo:</p> <p>Serious adverse events: 3.5% vs 3.1%</p> <p>Weight gain: 1.2% vs 0.6%</p> <p>Mean weight gain from beginning to end of maintenance phase: 2.1 kg (SD 2.7) vs -0.2 kg (SD 2.2)</p>  |

Evidence Table 11. Placebo-controlled trials in youths

| Author, year<br>Country<br>Trial name<br>(Quality score)                                  | N   | Duration | Study design<br>setting       | Population | Eligibility criteria  |
|---|-----|----------|-------------------------------|------------|---|
| RUPP Trial<br>McCracken, 2002<br>Arnold, 2003<br>Aman 2005<br>Arnold 2010<br>US<br>(FAIR) | 101 | 8 weeks  | Double-blind,<br>multicenter. | Autism     | Ages 5 to 17 years, weight at least 15 kg, mental age of at least 18 months; meeting criteria for autistic disorder described in DSM-IV, with tantrums, aggression, self-injurious behavior, or a combination of these. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>                        | <b>Exclusions</b>  | <b>Interventions</b>  | <b>Allowed other medications/interventions</b>  |
|---|--|---|---|
| RUPP Trial<br>McCracken, 2002<br>Arnold, 2003<br>Aman 2005<br>Arnold 2010<br>US<br>(FAIR) | Serious medical disorders and other psychiatric disorders requiring medication; receiving a psychotropic drug that was deemed effective for the treatment of aggression, tantrums, or self-injurious behavior. | Children 20 to 45 kg:<br>risperidone 0.5 mg, increased to 1 mg on day 4. Dose gradually increased in 0.5 mg increments to a maximum of 2.5 mg per day by day 29<br>Children over 45 kg:<br>slightly accelerated dose schedule used, maximum dose of 3.5 mg.<br>Children less than 20 kg:<br>initial dose 0.25 mg.<br>Scheduled dose increases could be delayed because of adverse effects or because of marked improvement at a lower dose. Dose reductions to manage side effects were allowed at any time, but there were no dose increases after day 29. | Treatment with an anticonvulsant agent for seizure control was allowed if the dose had been unchanged for at least 4 weeks and if there had been no seizures for at least 6 months. |



**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                                  | Age<br>Gender<br>Ethnicity  | Other population characteristics  | Number screened/<br>eligible/enrolled     | Number withdrawn/<br>lost to follow-up/<br>analyzed |
|---|---|---|---|---|
| RUPP Trial<br>McCracken, 2002<br>Arnold, 2003<br>Aman 2005<br>Arnold 2010<br>US<br>(FAIR) | Mean age 8.8 (SD 2.7), range<br>5-17<br>81% male<br>66% white, 11% black, 7%<br>Hispanic, 8% Asian, 8% other<br>ethnicity | Mental development (risperidone vs<br>placebo)<br>Average or above-average IQ:<br>7% vs 4%<br>Borderline IQ:<br>17% vs 9%<br>Mild or moderate retardation:<br>43% vs 51%<br>Severe retardation:<br>33% vs 36%<br>(NS) | 270 screened/158<br>eligible/101 enrolled | 18 withdrawn/3 lost to<br>followup/101<br>analyzed/ |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                                  | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events   | Adverse events  |
|---|---|--|---|
| RUPP Trial<br>McCracken, 2002<br>Arnold, 2003<br>Aman 2005<br>Arnold 2010<br>US<br>(FAIR) | <p>Change in mean Irritability score from baseline to 8 weeks<br/>risperidone: -14.9 (56.9% decrease)<br/>placebo: -3.6 (14.1% decrease)<br/>(<math>p&lt;0.001</math>)</p> <p>Positive response (at least 25% improvement on Irritability subscale and rating of much improved or improved on CGI-I)<br/>risperidone: 34/49 (69%)<br/>placebo: 6/52 (12%)<br/>(<math>p&lt;0.001</math>)</p> <p><u>Moderator analysis: Mean decrease in ABC irritability subscale score from baseline at 8 weeks [reported as mean, (SD)]</u></p> <p>Placebo vs risperidone<br/>sex: interaction: <math>\chi^2=2.21</math>, <math>p=0.14</math>, Pool variance=78.61<br/>male: 5.17 (7.43) vs 15.25 (10.34), female: 0.83 (8.98) vs 18.33 (7.48)</p> <p>Age: interaction: <math>\chi^2=0.16</math>, <math>p=0.69</math>, pooled variance=79.75<br/>&gt;8.15 years: 2.87 (8.10) vs 14.61 (10.81), &lt;8.15 years: 6.05 (7.34) vs 16.70 (9.24)</p> <p>Education: interaction <math>\chi^2=1.61</math>, <math>p=0.20</math>, pooled variance: 77.18<br/>university degree: 3.70 (7.00) vs 13.00 (7.87), &lt;university degree 4.86 (8.66) vs 18.61 (10.87)</p> <p>Ethnicity: interaction <math>\chi^2=0.01</math>, <math>p=0.91</math>, pooled variance=81.56<br/>non-caucasian: 4.67 (10.53) vs 15.50 (8.82), caucasian: 4.11 (6.10) vs 16.03 (10.39)</p> <p>Income: interaction <math>\chi^2=0.09</math>, <math>p=0.91</math>, pooled variance: 81.56<br/>High: 5.20 (5.01) vs 15 (10.43), low: 4.48 (8.87) vs 16.32 (8.98)</p> | <p>3/49 (6%) risperidone<br/>18/52 (35%) placebo<br/>(<math>p=0.001</math>)/<br/>No withdrawals due to AEs</p> | <p>Mean weight gain at 8 weeks:<br/>risperidone: 2.7 kg (SD 2.9)<br/>placebo: 0.8 kg (SD 2.2)<br/>(<math>p&lt;0.001</math>)</p> <p>No extrapyramidal symptoms in either group.<br/>No serious adverse events in risperidone group.<br/>Parents reported 5 neurological side effects, of these, tremor was significantly more common in the risperidone group (<math>p=0.06</math>)<br/>60 different adverse events recorded, 29 of which occurred in 5% or more of patients.<br/>Adverse events with a significantly different incidence (risperidone vs placebo)<br/>Increased appetite (mild): 49% vs 25% (<math>p=0.03</math>)<br/>Increased appetite (moderate): 24% vs 4% (<math>p=0.01</math>)<br/>Fatigue: 59% vs 27% (<math>p=0.003</math>)<br/>Drowsiness: 49% vs 12% (<math>p&lt;0.001</math>)<br/>Drooling: 27% vs 6% (<math>p=0.02</math>)<br/>Dizziness: 16% vs 4% (<math>p=0.05</math>)</p> |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>           | <b>N</b> | <b>Duration</b> | <b>Study design<br/>setting</b> | <b>Population</b>                    | <b>Eligibility criteria</b>  |
|--|----------|-----------------|---------------------------------|--------------------------------------|--|
| Shea, 2004<br>Canada<br>(FAIR)   | 80       | 8 weeks         | Double-blind,<br>multicenter    | Pervasive developmental<br>disorders | Physically healthy male and female outpatients ages 5 to 12 years with a DSM-IV Axis I diagnosis of pervasive developmental disorder and a total score of 30 or more on the Childhood Autism Rating Scale (CARS), with or without mental retardation.  |
| Snyder et al, 2002<br>Risperidone Conduct<br>Study Group<br>Canada<br>(FAIR) | 110      | 6 weeks         | Double-blind,<br>multicenter    | Disruptive Behavior<br>Disorders     | DSM-IV diagnosis of conduct disorder, oppositional defiant disorder, or disruptive behavior disorder, not otherwise specified; rating (parent/caregiver) of 24 or higher on the Conduct Problem subscale of the Nisonger Child Behavior Rating Form (NCBRF); IQ between 36 and 84; Vineland Adaptive Behavior Scale score of 84 or less; healthy on the basis of a pretrial physical examination, medical history, and ECG; and consent by parent/caregiver. |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b>        | <b>Exclusions</b>  | <b>Interventions</b>   | <b>Allowed other medications/interventions</b>  |
|---|--|--|---|
| Shea, 2004<br>Canada<br>(FAIR)  | Schizophrenia, other psychotic disorders, clinically relevant nonneurologic disease, clinically significant laboratory abnormalities, or a seizure disorder for which they were receiving >1 anticonvulsant or if they had had a seizure in the last 3 months. History of hypersensitivity to neuroleptics, tardive dyskinesia, neuroleptic malignant syndrome, drug or alcohol abuse, or HIV infection. Also excluded subjects who had used risperidone in the last 3 months, had been previously unresponsive or intolerant to risperidone, or were using a prohibited medication. | Risperidone oral solution 0.01 mg/kg/day on treatment days 1 and 2 and increased to 0.02 mg/kg/day on day 3. Depending on therapeutic response at day 8, the dose could be increased by a maximal increment of 0.02 mg/kg/day. Thereafter, the dose could be adjusted at the investigator's discretion at weekly intervals by increments/decrements not to exceed 0.02 mg/kg/day. The maximal allowable dose was 0.06 mg/kg/day. In case of drowsiness, the study medication could be administered once daily in the evening, or the total daily dose could be divided and administered on a morning and evening schedule. | Medications that are used to treat EPSs were to be discontinued at the time of entry into the trial. However, during the trial, anticholinergics could be initiated to treat emergent EPSs after the ESRS had been completed. Prohibited medications included antipsychotics other than the study medication, antidepressants, lithium, alpha-2 antagonists, clonidine, guanfacine, cholinesterase inhibitors, psychostimulants, and naltrexone. A single anticonvulsant and/or medications for sleep or anxiety were permitted only in the case in which the subject was already taking them at a stable dose for the 30 days before enrollment. Similar restrictions were placed on the use of behavior intervention therapy. Medications for preexisting organic disorders were allowed provided that the dose and schedule of administration were kept as constant as possible. |
| Snyder et al, 2002<br>Risperidone Conduct Study Group<br>Canada<br>(FAIR) | Diagnosis of pervasive developmental disorder, schizophrenia, or other psychotic disorder; head injury as a cause of impaired IQ; seizure condition requiring medication; females who were sexually active without a reliable form of birth control; serious or progressive illness or clinically abnormal laboratory values; history of tardive dyskinesia, neuroleptic malignant syndrome, or hypersensitivity to any antipsychotic drug; known presence of HIV; and previous treatment with risperidone.  | Risperidone oral solution beginning at 0.01 mg/kg for the first 2 days and at 0.02 mg/kg for the next 5 days. Physician could increase the dosage weekly by 0.02 mg/kg per day to a maximum of 0.06 mg/kg per day, or decrease the dose by any amount for the remainder of the trial.<br>6 weeks   | Patients taking previously prescribed stable dosages of concomitant medication (e.g., medication for preexisting medical conditions, psychostimulants for comorbid ADHD, and sleep medication [antihistamines, chloral hydrate, and melatonin]) for 30 days prior to trial entry were included provided the medication was expected to remain stable for the duration of the trial. No other medication was allowed with the exception of anticholinergic medication to treat EPS should it occur during the trial.   |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                     | Age<br>Gender<br>Ethnicity   | Other population characteristics   | Number screened/<br>eligible/enrolled   | Number withdrawn/<br>lost to follow-up/<br>analyzed |
|--|--|--|---|---|
| Shea, 2004<br>Canada<br>(FAIR)   | Mean age (range):<br>7.6 years (5-12) risperidone<br>7.3 years (5-12 placebo)<br>72.5% risperidone, 82.1%<br>placebo males<br>15% risperidone, 15.4%<br>placebo black; 67.5%<br>risperidone, 71.8% placebo<br>white; 17.5% risperidone,<br>12.8% placebo other race. | DSM-IV Axis I diagnosis, risperidone vs<br>placebo:<br>Autistic disorder: 67.5% vs 71.8%<br>Asperger's disorder: 12.5% vs 17.9%<br>Childhood disintegrative disorder: 2.5% vs<br>0%<br>PDD not otherwise specified: 17.5% vs<br>10.3%<br><br>78% of risperidone and 90% of placebo<br>patients had an IQ test performed.<br>Of these (risperidone vs placebo):<br>Normal, score > 85: 9.7% vs 31.4%<br>Borderline, score 71-84: 19.4% vs 11.4%<br>Mild, score 50-70: 38.7% vs 22.9%<br>Moderate, score 35-49: 32.3% vs 34.3% | NR<br>NR<br>80  | 3 withdrawn/0 lost to<br>followup/77 analyzed       |
| Snyder et al, 2002<br>Risperidone Conduct<br>Study Group<br>Canada<br>(FAIR) | Mean age 8.7 (SD 0.27) years<br>75% male<br>75% white, 7% black, 16%<br>other ethnicity  | DSM-IV diagnoses:<br>9% conduct disorder<br>31% conduct disorder plus ADHD<br>15% oppositional defiant disorder,<br>destructive behavior disorder<br>53% oppositional defiant disorder,<br>destructive behavior disorder plus ADHD<br>26% combined/no ADHD<br>76% combined plus ADHD<br><br>48% borderline IQ (70-85)<br>38% mild mental retardation (IQ 50-69)<br>14% moderate mental retardation (IQ 35-<br>49)  | Number screened not<br>reported/133 eligible/110<br>enrolled (23 placebo<br>responders not<br>randomized) | 24 withdrawn/1 lost to<br>followup/110 analyzed     |

**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score)                  | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events           | Adverse events  |
|---|---|--|---|
| Shea, 2004<br>Canada<br>(FAIR)  | <p>Change from baseline to endpoint, risperidone vs placebo:<br/> ABC (Irritability): -12.1 vs -6.5 (p&lt;0.001)<br/> ABC (Hyperactivity/noncompliance): -14.9 vs 7.4 (p&lt;0.001)<br/> ABC (Inappropriate speech): -2.6 vs -1.6 (p&lt;0.05)<br/> ABC (Lethargy/social withdrawal): -8.6 vs -5.7 (p&lt;0.01)<br/> ABC (Stereotypic behavior): -4.3 vs -2.4 (p&lt;0.05)</p> <p>N-CBRF (Conduct problem): -10.4 vs -6.6 (p&lt;0.001)<br/> N-CBRF (Hyperactive): -8.1 vs -5.6 (p&lt;0.05)<br/> N-CBRF (Self-isolated/ritualistic): -4.8 vs -3.6 (NS)<br/> N-CBRF (Insecure/anxious): -4.6 vs -3.5 (p&lt;0.05)<br/> N-CBRF (Overly sensitive): -3.8 vs -2.7 (p&lt;0.05)<br/> N-CBRF (Self-injurious/stereotypic): -2.6 vs -1.3 (NS)</p> <p>VAS (most troublesome symptom): -38.4 vs -26.2 (p&lt;0.05)</p> <p>Improvement as assessed by the CGI-C: 87.2% vs 39.5%</p> | <p>8.9% (2 risperidone, 5 placebo)/<br/> 1 risperidone, 1 placebo.</p> | <p>Mean weight gain at 8 weeks:<br/> risperidone: 2.7 kg (SD 2.0)<br/> placebo 1.0 kg (SD 1.6)<br/> (p&lt;0.001 vs placebo)</p> <p>Most common adverse events among risperidone-treated subjects were somnolence (72.5%), upper respiratory tract infection (37.5%), rhinitis (27.5%), and increased appetite (22.5%).</p> <p>5 (12.5%) risperidone-treated subjects experienced adverse events categorized as severe and related to study medication (1 hyperkinesia and somnolence and 1 case each of weight gain, somnolence, aggressive reaction with impaired concentration, and extrapyramidal disorder as a result of an accidental overdose).</p> <p>Five cases of mild to moderate tachycardia in the risperidone group were reported as adverse events. Changes from baseline in EKG recordings were deemed to be clinically important for one subject in risperidone group; changes included tachycardia and a possible mild conduction anomaly.</p> |
| Snyder et al, 2002<br>Risperidone Conduct Study Group<br>Canada<br>(FAIR) | <p>Change in Nisonger Child Behavior Rating Form conduct problem subscale score at 6 weeks (risperidone vs placebo):<br/> -15.8 vs -6.8 (p&lt;0.001)</p>  | 24 overall   | <p>Most common side effects included somnolence, headache, appetite increase, and dyspepsia. Side effects related to extrapyramidal symptoms were reported in 7 (13.2%) and 3 (5.3%) of the subjects in the risperidone and placebo groups, respectively (p = .245)</p>   |

**Evidence Table 11. Placebo-controlled trials in youths**

| <b>Author, year<br/>Country<br/>Trial name<br/>(Quality score)</b> | <b>N</b> | <b>Duration</b>  | <b>Study design<br/>setting</b> | <b>Population</b>                    | <b>Eligibility criteria</b>   |
|--|----------|--|---------------------------------|--------------------------------------|---|
| Troost, 2005<br>The Netherlands                                    | 24       | 8 weeks<br>(placebo-<br>controlled<br>discontinuation phase) | Double-blind, single<br>center  | Pervasive developmental<br>disorders | DSM-IV criteria for a pervasive developmental disorder. Patients were required to demonstrate clinically significant tantrums, aggression, self-injurious behavior, or a combination of these problems. Age 5 to 17 years, a weight of at least 15 kg, and a mental age of at least 18 months.<br>Only short-term responders to risperidone as judged within the first 8 weeks of treatment could complete the protocol. Short-term response was defined as at least a 25% ABC Irritability score reduction and a rating of "much improved" or "very much improved" on the CGI-S. |

Evidence Table 11. Placebo-controlled trials in youths

| Author, year<br>Country<br>Trial name<br>(Quality score) | Exclusions   | Interventions  | Allowed other medications/interventions  |
|--|--|--|--|
| Troost, 2005<br>The Netherlands                          | On effective psychotropic drug treatment for disruptive behavior | Children on effective psychotropic drug treatment for disruptive behavior were excluded. | Anticonvulsants used for the treatment of a seizure disorder were permitted if the dose had been stable for at least 4 weeks and the patient was seizure free for at least 6 months. |



**Evidence Table 11. Placebo-controlled trials in youths**

| Author, year<br>Country<br>Trial name<br>(Quality score) | Age<br>Gender<br>Ethnicity  | Other population characteristics   | Number screened/<br>eligible/enrolled   | Number withdrawn/<br>lost to follow-up/<br>analyzed                           |
|--|---|--|---|---|
| Troost, 2005<br>The Netherlands                          | Mean age 9.1 years<br>91.7% male<br>91.7% white, 0% black, 8.3%<br>other race | 25% Autistic disorder, 8.3% Asperger's<br>disorder, 66.7% pervasive developmental<br>disorder, NOS | 36 entered 8-week open<br>label phase/26 classified<br>as responders after 24-<br>week open-label<br>treatment/24 enrolled in 8-<br>week discontinuation<br>phase | 2 withdrew before<br>randomization in<br>discontinuation phase<br>24 analyzed |

Evidence Table 11. Placebo-controlled trials in youths

| Author, year<br>Country<br>Trial name<br>(Quality score) | Results   | Overall withdrawals/<br>Withdrawals due to<br>adverse events | Adverse events   |
|--|---|--|--|
| Troost, 2005<br>The Netherlands                          | 3/12 (25%) risperidone vs 8/12 (67%) placebo relapsed (p=0.049)<br>Increase in ABC Irritability scores at study endpoint: 14% risperidone vs 60% placebo (p=0.043). No differences between groups in other ABC subscales. | 2 for unacceptable weight gain                               | Increased appetite and weight gain (5.7 ± 2.8 kg in 24 weeks, range 1.2–11.7 kg; p < .0001).<br>No changes on Simpson-Angus scale or AIMS.<br>Neurological side effects included tremor (once), muscle rigidity (twice), and restlessness (twice). |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Study design<br>Setting  | Eligibility criteria  | Therapy type<br>Interventions<br>Duration                              | Allowed other medications/<br>interventions   |
|---------------------------------------|--|---|--|---|
| AstraZeneca,<br>2011<br>D144AC00001   | DB RCT<br>Multi-center   | 10-17 years, bipolar I or bipolar II with most recent episode depressed, CDRS-R score $\geq 45$ and YMRS score $\geq 16$  | Quetiapine XR 150-300 mg/d vs.<br>Placebo<br>8 Weeks                   | NR  |
| Biederman<br>2005<br>USA              | Open-label,<br>randomized if they<br>had not received<br>treatments previously,<br>however if they had<br>then they were put on<br>the other.<br>Single center | Male or female subjects, aged 4–6 ys, DSM-IV bipolar I disorder, DSM-IV bipolar II disorder, or bipolar disorder not otherwise specified (NOS) and were currently displaying manic, hypomanic, or mixed symptoms (with or without psychotic features) | Risperidone mean 1.4+ 0.5 mg/d,<br>Olanzapine mean 6.3+2.3 mg/d.       | Stimulants if on stable dose for at least 30 ds (none were on this), benztropine mesylate for EPS and lorazepam |
| Delbello 2002<br>USA                  | DB RCT<br>Single center  | 12–18 ys old, met DSM-IV criteria for bipolar I disorder currently mixed or manic, and had a Young Mania Rating Scale (YMRS) score of $\geq 20$ .   | Adjunctive to divalproex (DVP)<br>quetiapine, 450 mg/d or P<br>6 weeks | 2 mg of lorazepam per d   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Age<br>Gender<br>Ethnicity  | Other population<br>characteristics  | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed                       | Results   |
|---------------------------------------|---|--|--|--|---|
| AstraZeneca,<br>2011<br>D144AC00001   | Mean age: 14.0 ys<br>Gender: 49.5% female<br>Ethnicity: 65.1% White | NR   | NR/262/193                                   | 49/6/NR  | Least squares mean reduction in CDRS-R score, quetiapine vs. placebo: -29.6 vs. -27.3, difference = -2.29 (95%CI, -6.22 to 1.65), p=0.252<br><br>NSD remission or response rates, reducing depression severity on CGI-BP-S or CGI-BP-C, improvement in overall bipolar illness on CGI-BP-C  |
| Biederman<br>2005<br>USA              | Mean age 5 ys<br>71% male<br>97% Caucasian                          | 27 met criteria for bipolar I disorder and 4 met criteria for bipolar disorder NOS<br>Mania 100%<br>Major depression 73%<br>Conduct disorder 42%<br>ADHD 94% | NR/NR/31                                     | 7 (6 olanzapine, 1 risperidone)/2 LTF/31                                     | Risperidone vs. olanzapine<br>YMRS 30% reduction 69% vs. 53% P = 0.4<br>YMRS 50% reduction 53% vs. 33% P = 0.3<br>Risperidone baseline/endpoint vs. olanzapine baseline/endpoint<br><b>YMRS</b> 35.2( 8.2)/16.4(12.0) vs. 34.2( 6.4)/22.1(8.3) P = 0.2<br>Increased motor activity 3.5(.5)/1.8(1.5) vs. 3.3(.5)/ 2.7(1.2) P = 0 .04<br>Pressured speech 5.1(1.4)/2.7(2.0) vs. 4.5(1.9)/3.7(2.1) P = 0.04<br><b>BPRS</b> 46.4(12.4)/33.3(10.6) vs. 46.7(13.5)/37.8(11.9) P = 0.4<br><br><b>CDRS</b> 39.7 10.5 27.0 6.3a 42.4 14.8 34.1 11.5 F(1,30) .8, p .4 |
| Delbello 2002<br>USA                  | Mean age 14.3 ys<br>% male 53<br>% Caucasian 83                     | % mixed 77<br>% psychosis 47<br>% ADHD 60  | 50/30/30                                     | 7 (DVP+quetiapine 6, DVP+P 1) WD, 0 LTF (though one moved away), 30 analyzed | DVP + quetiapine group vs. DVP + P<br>YMRS response rate 87% vs. 53% P = 0.05<br>Other results reported graphically and there were no between group differences   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country             | Trial name   | Adverse effects reported | Total withdrawal;<br>withdrawal due to adverse<br>events           | Comments |
|-------------------------------------|--|--------------------------|--|----------|
| AstraZeneca,<br>2011<br>D144AC00001 | Quetiapine XR vs. Placebo:<br>Overall AEs: 73.9% vs. 66.0%<br>SAE: 1 vs. 4<br>Discontinuation due to AE: 3.3% vs. 12.0%<br>EPS-related AE: 1.1% vs. 0<br>Suicide: 0<br>Suicidality: 1.1% vs. 0<br>Diabetes: 3.3% vs. 0<br>Weight change >7%: 15.2% vs. 10.0%   |                          | 49/15<br>Quetiapine: 3 vs. Placebo: 12                             |          |
| Biederman<br>2005<br>USA            | Results shown in graph, authors state, "...the rate of spontaneously reported side effects did not differ between risperidone- and olanzapine treated subjects. In both groups, the most commonly reported side effects were increased appetite, common cold symptoms, headaches, and sedation."   |                          | 7 WD (olanzapine 6 vs..<br>Risperidone 1 P = 0.03)<br>1 due to Aes |          |
| Delbello 2002<br>USA                | DVP + quetiapine group vs. DVP + P<br>Change in EPS ratings, mean (SD)<br>AIMS 0 (0) vs. 0 (0)<br>Barnes Akathisia Scale -0.1 (0.3) vs. 0.1 (0.3)<br>Simpson-Angus Scale 0 (0.8) vs. -0.1 (1.1)<br><br>Sedation 12 (80) vs. 5 (33) P = 0.03<br>Nausea/vomiting 4 (27) vs. 6 (40)<br>Dizziness 5 (33) vs. 3 (20)<br>Headache7 (47) vs. 7 (47)<br>GI irritation 7 (47) vs. 5 (33)<br>Joint pain 2 (13) vs. 2 (13)<br>Dry mouth 5 (33) vs. 2 (13) |                          | 7 WD , none due to AEs   |          |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country | Study design             | Therapy type   | Interventions   | Allowed other medications/<br>interventions   |
|-------------------------|--------------------------|--|---|---|
| Trial name              | Setting                  | Eligibility criteria   | Duration  |   |
| DeBello, 2009<br>USA    | DB RCT<br>two-site study | Adolescents (ages 12-18 ys) with a depressive episode associated with bipolar I disorder according to DSM-IV, text revised and determined by the Washington University at St. Louis Kiddie Schedule for Affective Disorders and Schizophrenia interview; screening and baseline Children's Depression Rating Scale-Revised Version score $\geq 40$ , a standard score that is considered consistent with clinically significant depression | Quetiapine vs P<br>8 weeks<br><br>100 mg quetiapine IR (or P) on d 1, 300 mg/d on d 3, with flexible titration to 600 mg/d in the evening | The use of lorazepam (a maximum of 4 mg/d for ds 0-7 and 2 mg/d for ds 8-14) was permitted during the study for agitation or anxiety. |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Age<br>Gender<br>Ethnicity  | Other population<br>characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed | Results  |
|---------------------------------------|---|---|--|--|--|
| DeBello, 2009<br>USA                  | Quetiapine vs P   | Quetiapine vs P   | 49/32/32                                     | 12/1 lost to FU/32                                     | Quetiapine vs P  |
|                                       | Mean age (SD): 16 (2)<br>vs 15 (2) ys<br>Females: 71% vs 67%<br>White: 82% vs 80% | Length of current episode<br>(SD): 7 (2) vs 5 (4) weeks<br>Age at onset of bipolar<br>disorder (SD): 12 (2) vs 11 (3)<br>ys<br>Psychosis: 12% vs 7%<br>ADHD: 12% vs 13%<br>Anxiety disorders: 29% vs<br>20%<br>Disruptive behavior disorders:<br>35% vs 13% |  |  | Mean change in Children's Depression Rating Scale-Revised Version score (SD): -<br>19 (14) vs -20 (17); P=0.89<br><br>Change in Hamilton Anxiety Rating Scale: -4 vs -5; P=0.74<br><br>Change in YMRS: -5 vs -4; P=0.76<br><br>Change in Clinical Global Impression Bipolar Disorder Version Severity scores for<br>overall illness: -1.8 vs -1.6; P=0.9 |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country |   | Total withdrawal;<br>withdrawal due to adverse<br>events | Comments  |
|-------------------------|---|--|---|
| Trial name              | Adverse effects reported  |  |   |
| DeBello, 2009<br>USA    | <p>Quetiapine (n=17) vs P (n=15)</p> <p>GI upset: 11 (65%) vs 5 (33%)</p> <p>Sedation: 10 (59%) vs 5 (33%)</p> <p>Dizziness: 7 (41%) vs 1 (7%)</p> <p>Cold symptoms: 4 (24%) vs 3 (20%)</p> <p>Tooth pain: 3 (18%) vs 0</p> <p>Headaches: 3 (18%) vs 5 (33%)</p> <p>Shortness of breath: 3 (18%) vs 0</p> <p>Fast heart rate: 3 (18%) vs 0</p> <p>Dry mouth: 2 (12%) vs 0</p> <p>Increased appetite: 2 (12%) vs 0</p> <p>Difficulty swallowing: 2 (12%) vs 0</p> <p>Chest pain or pressure: 2 (12%) vs 0</p> <p>Back and/or neck pain: 2 (12%) vs 5 (33%)</p> <p>EPS: NS between groups</p> <p>Mean change in prolactin levels (SD): 2.47 (8.53) vs 0.05 (4.27) ng/ml; P=0.3</p> <p>Mean change in supine blood pressure (SD): 6 (9) vs -6 (9) mm Hg; P=0.001</p> <p>Mean change in pulse (SD): 11 (13) vs -3 (11) beats/min; P=0.003</p> | <p>Total WD: 12</p> <p>WD due to AE: 2</p>               | <p>The mean (SD) quetiapine dose at endpoint was 403 (133) mg/d. For P, the mean dose at endpoint was 413 (141) mg/d.</p> |



**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country | Study design<br>Setting          | Eligibility criteria  | Therapy type<br>Interventions<br>Duration                   | Allowed other medications/<br>interventions  |
|-------------------------|----------------------------------|---|---|--|
| Findling, 2009<br>USA   | DB RCT<br>multicenter (59 sites) | <p>Aged 19 to 17 ys with a confirmed DSM-IV diagnosis of bipolar I disorder with current manic or mixed episodes, with or without psychotic features, and a YMRS total score <math>\geq 20</math> at baseline.</p> <p>Subjects with comorbid ADHD, conduct disorder, oppositional defiant disorder, or anxiety disorders (except posttraumatic stress disorder or obsessive-compulsive disorder) were eligible.</p> | Aripiprazole 10mg/d vs Aripiprazole 30 mg/d vs P<br>4 weeks | Benzodiazepine and anticholinergic therapy was permitted as rescue medication and for extrapyramidal symptom relief, although not within 4 or 12 hs of efficacy or safety assessments, respectively.           |
| Findling, 2012<br>USA   | DB RCT<br>Single center          | 4-9 ys old, who met DSM-IV for bipolar I and bipolar II disorders.  | Aripiprazole = 15 mg, max dose vs..<br>P 72 weeks           | <ul style="list-style-type: none"> <li>• Adjunctive psychostimulants</li> <li>• Open-label methylphenidate &amp; amphetamine</li> </ul> <p>Other psychotropic medications were not permitted in the study.</p> |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Age<br>Gender<br>Ethnicity                                     | Other population<br>characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed | Results   |
|---------------------------------------|--|---|--|--|---|
| Findling, 2009<br>USA                 | Mean age (SD): 13.4<br>(2.2) ys<br>Male: 53.7%<br>White: 65.2% | Mean age at onset (SD): 12.1<br>(3.0) ys<br>Mean duration of bipolar<br>disease (SD): 1.3 (2.2) ys<br>Mean YMRS total score (SD):<br>30.0 (6.5)<br>Treatment with antipsychotics<br>within past mo: 12.2%<br>Family history of bipolar I<br>disorder: 44.3% | 413/NR/296                                   | 59/11/289  | Aripiprazole 10 mg vs Aripiprazole 30 mg vs P<br><br>Mean changes in YMRS total score from baseline: -14.2 vs -16.5 vs -8.2; P<0.001 for aripiprazole vs P<br>Mean changes in CGAS score: 15.1 vs 17.3 vs 5.8; P<0.001 for aripiprazole vs P<br>Mean changes in Clinical Global Impressions Scale-Bipolar Version severity score-<br>mania: -1.6 vs -2.1 vs -0.8; P<0.001 for aripiprazole vs P<br>Mean changes in Clinical Global Impressions Scale-Bipolar Version-depression: -<br>0.9 vs -0.9 vs -0.6; P=NS<br>Mean changes in Clinical Global Impressions Scale-Bipolar Version-overall bipolar<br>illness: -1.6 vs -2.0 vs -0.8; P<0.001 for aripiprazole vs P<br>Mean changes in Children's' Depression Rating Scale-Revised score: -7.2 vs -6.1<br>vs -4.9; P=NS<br>Mean changes in General Behavior Inventory total scores-parent/guardian (mania): -<br>9.9 vs -9.5 vs -4.0; P<0.001 for aripiprazole vs P<br>Mean changes in General Behavior Inventory total scores-parent/guardian<br>(depression): -5.9 vs -4.1 vs -3.8; P=0.04 for 10 mg vs P; P=NS for 30 mg vs P<br>Mean changes in General Behavior Inventory total scores-patient (mania): -6.4 vs -<br>6.6 vs -4.6; P<0.05 for aripiprazole vs P<br>Mean changes in General Behavior Inventory total scores-patient (depression): -3.4<br>vs -3.3 vs -3.4; P=NS<br>Mean changes in ADHD-Rating Scale-Version IV total scores: -12.5 vs -11.9 vs -<br>3.7; P<0.001 for aripiprazole vs P |
| Findling, 2012<br>USA                 | Mean age: 7 ys<br>Male: 70%<br>Female: 30%<br>Ethnicity: NR    | Bipolar disorder NOS: 55%<br>Bipolar I disorder: 35%  |  |  |   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country |   | Total withdrawal;<br>withdrawal due to adverse<br>events | Comments  |
|-------------------------|---|--|---|
| Trial name              | Adverse effects reported  |  |   |
| Findling, 2009<br>USA   | <p>Aripiprazole 10mg vs Aripiprazole 30 mg vs P</p> <p>Serious AEs: 5.1% vs 2% vs 5.2%</p> <p>Any AEs: 73.5% vs 27.3% vs 3.1%</p> <p>Any extrapyramidal symptom event: 23.5% vs 39.4% vs 7.2%</p> <p>Change in Simpson-Angus Scale scores: 0.6 vs 1.2 vs -0.1; P=0.03 for 10 mg vs P; P&lt;0.001 for 30 mg vs P</p> <p>Change from baseline on the physician-rated BARS and AIMS did not differ from P at week 4.</p> <p>No deaths or suicides during the study.</p> <p>No clinically meaningful changes from baseline in fasting serum glucose, total cholesterol, triglycerides, HDL-cholesterol, heart rate, blood pressure, ECG parameters.</p> | <p>Total WD: 59</p> <p>WD due to AE: 12</p>              | <p>AEs resulting in study discontinuation in the 10 mg group were fatigue (n=2), sedation (n=2), akathisia (n=1), aggression (n=1), and suicidal ideation (n=1). In the 30 mg group, extrapyramidal disorder (n=3), exacerbation of bipolar disorder (n=2), vomiting (n=1), dystonia (n=1), and somnolence (n=1) led to study WDal (1 subject discontinued because of aggression and fatigue). Anxiety (n=1) and exacerbation of bipolar disorder (n=1) were AEs leading to discontinuation in the P group.</p> |
| Findling, 2012<br>USA   |   |  |   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country  | Study design          | Therapy type  | Interventions   | Allowed other medications/<br>interventions  |
|--|-----------------------|---|---|--|
| Trial name   | Setting               | Eligibility criteria  | Duration  |  |
| Findling 2013<br>USA (26-week<br>double-blind<br>extension phase<br>of Findling<br>2009) | DB RCT<br>multicenter | <p>Aged 19 to 17 ys with a confirmed DSM-IV diagnosis of bipolar I disorder with current manic or mixed episodes, with or without psychotic features, and a YMRS total score <math>\geq 20</math> at baseline.</p> <p>Subjects with comorbid ADHD, conduct disorder, oppositional defiant disorder, or anxiety disorders (except posttraumatic stress disorder or obsessive-compulsive disorder) were eligible.</p> | Aripiprazole 10mg/d vs Aripiprazole 30 mg/d vs P<br>4 weeks | Benzodiazepine and anticholinergic therapy was permitted as rescue medication and for extrapyramidal symptom relief, although not within 4 or 12 hs of efficacy or safety assessments, respectively. |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name  | Age<br>Gender<br>Ethnicity                     | Other population<br>characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed | Results   |
|--|--|---|--|--|---|
| Findling 2013<br>USA (26-week<br>double-blind<br>extension phase<br>of Findling<br>2009) | Mean age: 13.2 years<br>Male: NR<br>White: 66% | Mean YMRS total score: 30.0<br>CDRS-R suicidal ideation<br>score: 1.1<br>Previous treatment for bipolar<br>disorder | 296/296/210                                  | 228/10/296   | Aripiprazole 10mg vs Aripiprazole 30 mg vs Placebo<br>Median weeks to discontinuation: 15.6 vs 9.5 vs 5.3<br>Response, % patients with $\geq 50\%$ reduction from baseline YMRS total score: 58.7%<br>vs 64.8% vs 29.7%<br>Pediatric Quality of Life Enjoyment and Satisfaction Questionnaire overall global<br>assessment (PQ-LES-Q): No statistically significant differences (data NR) |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country  |  | Total withdrawal;<br>withdrawal due to adverse<br>events | Comments |
|--|--|--|----------|
| Trial name   | Adverse effects reported   |  |          |
| Findling 2013<br>USA (26-week<br>double-blind<br>extension phase<br>of Findling<br>2009) | Aripiprazole 10mg vs Aripiprazole 30 mg vs Placebo<br><br>Serious AE's: 1.3% vs 7.0% vs 3.1%<br>Suicide attempts: None<br>Deaths: None<br>Mean weight gain, kg: 6.5 vs 6.6 vs 3.0, both $P<0.05$<br>Transition from non-obese to obese based on weight:<br>2.9% vs 9.1% vs 0%<br>Transition from non-obese to obese based on BMI:<br>8.8% vs 13.6% vs 0%<br>Extrapyramidal disorder, % patients: 13.3% vs 25.4%<br>vs 3.1% | Total WD: 228=77%<br>WD due to AE: 14=5%                 |          |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country | Study design               | Therapy type  | Interventions  | Allowed other medications/<br>interventions   |
|-------------------------|----------------------------|---|--|---|
| Trial name              | Setting                    | Eligibility criteria  | Duration   |   |
| Haas, 2009<br>USA       | DB RCT<br>Multicenter (21) | Children and adolescents (10–17 ys, inclusive) without known intellectual impairment were eligible for enrollment as inpatients or outpatients if they met criteria from the DSM-IV for bipolar I disorder, current episode manic or mixed, and were medically stable as determined by the investigator; scored $\pm$ 20 on the scale at screening and baseline | Dose-titration<br>risperidone 0.5–2.5 mg/d,<br>risperidone 3–6 mg/d, or P<br>3 weeks | Medications for treatment-emergent movement disorders [extrapyramidal symptoms (EPS)] were allowed. Use of sedatives/hypnotics such as lorazepam and diphenhydramine was allowed, but strictly for the control of agitation, irritability, restlessness, insomnia, and hostility during washout and the double-blind treatment phase (week 1 only). |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Age<br>Gender<br>Ethnicity   | Other population<br>characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed | Results  |
|---------------------------------------|--|---|--|--|--|
| Haas, 2009<br>USA                     | Median age (range): 13<br>(10-17) ys<br>49% male<br><br>77% White<br>17% Black or African<br>American<br>4% Mixed<br>2% American Indian/<br>Native Alaskan<br>1% Asian | 36% bipolar I disorder, manic<br>episode (DSM-IV)<br>64% bipolar I disorder, mixed<br>episode (DSM-IV)<br><br>50% ADHD<br><br>58% with euphoria/elation<br>(YMRS)<br>70% with irritability (YMRS) | 237/170/170                                  | 32/3/166   | risperidone 0.5-2.5 mg/d vs risperidone 3-6 mg/d vs P<br><br>Mean change in YMRS total score (SD): -18.5 (9.7) vs -16.5 (10.3) vs -9.1 (11.0);<br>P<0.001 for both risperidone doses vs P<br>Clinical response rate at endpoint: 59% vs 63% vs 26%; P=0.002 for risperidone<br>0.5-2.5 mg/d vs P; P<0.001 for risperidone 3-6 mg/d vs P<br><br>Remission rates, defined as YMRS score ≤12: 43% vs 43% vs 16% |



**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country | Trial name  | Adverse effects reported  | Total withdrawal;<br>withdrawal due to adverse<br>events | Comments |
|-------------------------|---|---|--|----------|
| Haas, 2009<br>USA       | risperidone 0.5-2.5 mg/d vs risperidone 3-6 mg/d vs P | <p>Mean change in AIMS: -0.02 (0.43) vs -0.08 (0.59) vs 0.11 (1.39)</p> <p>Mean change in SAR-S: 0.3 (0.11) vs 0.10 (0.32) vs -0.04 (0.16)</p> <p>n(%)</p> <p>Total prolactin-related AEs: 2 (4) vs 3 (5) vs 1 (2)</p> <p>Total AEs: 45 (90) vs 58 (95) vs 44 (76)</p> <p>Somnolence: 21 (42) vs 34 (56) vs 11 (19)</p> <p>Headache: 20 (40) vs 23 (38) vs 19 (33)</p> <p>Fatigue: 9 (18) vs 18 (30) vs 2 (3)</p> <p>Abdominal pain: 9 (18) vs 9 (15) vs 3 (5)</p> <p>Dizziness: 8 (16) vs 8 (13) vs 3 (5)</p> <p>Rhinitis: 7 (14) vs 8 (13) vs 6 (10)</p> <p>Nausea: 8 (16) vs 8 (13) vs 4 (7)</p> <p>Vomiting: 6 (12) vs 6 (10) vs 4 (7)</p> <p>Dyspepsia: 8 (16) vs 3 (5) vs 2 (3)</p> <p>Agitation: 2 (4) vs 7 (11) vs 6 (10)</p> <p>Pharyngitis: 5 (10) vs 2 (3) vs 3 (5)</p> <p>Total serious AEs: 3 (6) vs 5 (8) vs 3 (5)</p> <p>Psychosis manic-depressive: 1 (2) vs 4 (7) vs 2 (3)</p> <p>Suicide attempt: 2 (4) vs 2 (3) vs 1 (2)</p> <p>Manic reaction: 0 vs 0 vs 1 (2)</p> <p>Allergic reaction 0 vs 1 (2) vs 0</p> <p>Asthma: 1 (2) vs 0 vs 0</p> <p>Bronchospasm: 1 (2) vs 0 vs 0</p> | Total WD: 32<br>WD due to AEs: 17                        |          |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Study design<br>Setting   | Eligibility criteria   | Therapy type<br>Interventions<br>Duration   | Allowed other medications/<br>interventions  |
|---------------------------------------|---|--|---|--|
| Pathak, 2013<br>U.S.                  | Randomized, DB,<br>PCT, multicenter,<br>inpatient and<br>outpatient | 10-17 years, DSM-IV criteria for bipolar I with<br>manic episodes, YMRS total score $\geq 20$ ,<br>permitted to have secondary diagnosis of ADHD                     | Quetiapine 400 mg/d, in 2 or 3<br>doses, titrated over 5 days<br>Quetiapine 600 mg/d, in 2 or 3<br>doses, titrated over 7 days<br>Placebo<br>Duration=3 weeks | Psychostimulant at stable dose for ADHD,<br>Diphenhydramine, hydroxyzine, lorazepam,<br>benztropine for treatment-emergent EPS (not<br>prophylactic) |
| Tohen 2007<br>USA and Puerto<br>Rico  | DB RCT<br>Multicenter (24)  | 13-17 ys old, inpatient or outpatient, with manic<br>or mixed bipolar episodes (with or without<br>psychotic features)   | Switch<br>olanzapine (2.5–20.0 mg/d, mean<br>8.9 mg/d) or P.<br>3 weeks   | No   |
| Tramontina<br>2009<br>Brazil          | DB RCT<br>Single center   | Children and adolescents were extensively<br>assessed according to DSM-IV criteria for<br>bipolar disorder comorbid with ADHD in acutely<br>manic or in mixed states | Stand alone treatment<br>Aripiprazole vs.. P<br>6 weeks   | No   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country<br>Trial name | Age<br>Gender<br>Ethnicity   | Other population<br>characteristics   | Number<br>screened/<br>eligible/<br>enrolled | Number<br>withdrawn/<br>lost to follow-up/<br>analyzed | Results  |
|---------------------------------------|--|---|--|--|--|
| Pathak, 2013<br>U.S.                  | Age: 43.7% 10-12 years,<br>56.3% 13-17 years<br>Gender: 43.7% female<br>Ethnicity: 76.5% White,<br>13.7% Black | Current or past history of<br>ADHD: 44.8%                                   | 393/289/284                                  | 61/3/277 ITT; 283<br>safety                            | <p>Quetiapine 400 mg/d vs. Quetiapine 600 mg/d vs. Placebo</p> <p>YMRS, Least squares mean change at day 21 (95%CI): -14.25 (-16.15 to -12.35) vs. -15.60 (-17.51 to -13.70) vs. -9.04 (-11.24 to -6.84), <math>p &lt; 0.001</math> treatment vs. placebo</p> <p>CDRS-R mean (SD) changes at day 21: -5.2 (8.47) vs. -6.2 (7.56) vs. -3.8 (8.02), 600mg/d vs. placebo: <math>p &lt; 0.05</math></p> <p>CGI-BP Severity, least squares mean change at day 21(95%CI): -1.55 ( -1.83 to -1.27) vs. -1.62 (-1.88 to -1.37) vs. -0.98 (-1.26 to -0.71), <math>P = 0.005</math> for 400mg vs. placebo, <math>P &lt; 0.001</math> for 600mg vs. placebo</p> |
| Tohen 2007<br>USA and Puerto Rico     | Mean age 15.3<br>53% male<br>70% Caucasian   | 89% mixed<br>18% psychotic<br>36% ADHD<br>31% Oppositional defiant disorder | 214/177/161                                  | 41/0/161   | <p>Olanzapine vs.. P</p> <p>Mean change in -<br/>YMRS -17.65 vs -9.99, <math>P &lt; 0.001</math></p> <p>Clinical Global Impressions— Bipolar Version overall -1.63 vs -0.99, <math>P &lt; 0.001</math></p> <p>Clinical Global Impressions—Bipolar Version severity of mania -1.73 vs -1.05, <math>P &lt; 0.001</math></p> <p>Response: 48.6% vs 22.2%, <math>P = 0.002</math></p> <p>Remission: 35.2% vs 11.1%, <math>P = 0.001</math></p>   |
| Tramontina<br>2009<br>Brazil          | Mean age 12 ys<br>47% male<br>91% white  | BP I 81%<br>BP II 19%<br>37% psychosis                                      | 710/NR/43                                    | 2 WDn/ 0 LTF/ 43<br>analyzed                           | <p>Aripiprazole vs.. P</p> <p>Change in YMRS 27.22 vs. 19.52 <math>P = 0.02</math></p> <p>Response 88.9% vs. 52%</p> <p>Remission 72% vs. 32% <math>P = 0.01</math></p> <p>Change in SNAP-IV 0.79 vs. 0.55 <math>P = 0.39</math></p>   |

**Evidence Table 12. Randomized controlled trials in patients with bipolar I disorder**

| Author, Year<br>Country           | Trial name  | Adverse effects reported  | Total withdrawal;<br>withdrawal due to adverse<br>events | Comments |
|-----------------------------------|---|---|--|----------|
| Pathak, 2013<br>U.S.              | Quetiapine 400mg/d vs. Quetiapine 600mg/d vs. Placebo   | Weight gain >7%: 14.5% vs. 9.9% vs. 0%<br>EPS: 4.2% vs. 3.1% vs. 1.1%<br>Suicidal ideation, n: 1 vs. 0 vs. 0<br>SAE: 7 vs. 4 vs. 3  | 61/26  |          |
| Tohen 2007<br>USA and Puerto Rico | Incidence of treatment-emergent AEs frequency ≥5% significantly higher in the olanzapine group for appetite increase, weight increase, and somnolence and sedation items. | Abnormal Involuntary Movement Scale (olanzapine, -0.10 [SD=0.71] vs P, 0.00 [SD=0.19], p=0.289),<br>Simpson-Angus (olanzapine, 0.02 [SD=0.93] vs P, -0.02 [SD=0.14], p=0.769),<br>Barnes scales (olanzapine, -0.04 [SD=0.44] vs P, 0.06 [SD=0.60], p=0.264) | 41 WD<br>4 due to AEs                                    |          |
| Tramontina<br>2009<br>Brazil      | Aripiprazole vs. P<br>Incidence of AEs shown in graph   |   | 2 WD<br>1 due to AEs                                     |          |

**Evidence Table 13. Quality assessment of randomized controlled trials in pediatrics with bipolar disorder**

| Author,<br>Year<br>Country       | Randomization<br>adequate?                                    | Allocation<br>concealment<br>adequate?  | Groups similar at<br>baseline?  | Eligibility<br>criteria<br>specified? | Outcome<br>assessors<br>masked?          | Care provider<br>masked?              | Patient<br>masked?                   |
|----------------------------------|---|---|---|---------------------------------------|--|---------------------------------------|--------------------------------------|
| AstraZeneca, 2011<br>D144AC00001 | Unclear, states<br>randomized but no<br>description of method | Unclear, no<br>description of<br>method | Unclear, reported that there<br>were no differences in<br>demographics but data NR  | Yes                                   | NR (described<br>as double-<br>blind)    | NR (described<br>as double-<br>blind) | NR<br>(described as<br>double-blind) |
| Biederman 2005                   | NR  | NA                                      | Yes   | Yes                                   | Open-label                               | Open-label                            | Open-label                           |
| DelBello 2002                    | Unclear, used<br>random number<br>generator                   | Unclear                                 | Yes   | Yes                                   | Yes                                      | Yes                                   | Yes                                  |
| Delbello 2009                    | Unclear, used<br>random number<br>generator                   | NR                                      | Yes   | Yes                                   | Yes                                      | Yes                                   | Yes                                  |
| Findling 2009                    | NR  | NR                                      | Unclear<br>Missing data on some<br>clinical characteristics.<br>Reported data shows<br>differences in age, % non-<br>Hispanic/Latino, % without<br>psychotic features,%<br>without ADHD | Yes                                   | NR (described<br>as double-<br>blind)    | NR (described<br>as double-<br>blind) | Yes                                  |
| Findling 2012                    | Unclear   | Unclear                                 | Yes   | Yes                                   | Unclear,<br>described as<br>double-blind | Yes                                   | Yes                                  |

**Evidence Table 13. Quality assessment of randomized controlled trials in pediatrics with bipolar disorder**

| <b>Author,<br/>Year<br/>Country</b> | <b>Reporting of attrition,<br/>crossovers, adherence,<br/>and contamination</b> | <b>Loss to follow-up:<br/>differential/high</b> | <b>Maintenance of<br/>comparable<br/>groups</b> | <b>Intent-to-treat<br/>analysis</b>               | <b>Funding</b>  | <b>Quality<br/>rating</b> |
|-------------------------------------|---|---|---|---|---|---------------------------|
| AstraZeneca, 2011<br>D144AC00001    | Yes, No, No, No   | Differential: No<br>High: Yes                   | Unclear   | Unclear, states<br>modified ITT but<br>numbers NR | AstraZeneca   | Fair                      |
| Biederman 2005                      | Yes, No, No, No   | Differential: Yes<br>High: No                   | Unclear   | Yes   | Center grant from the<br>Stanley Medical<br>Research Institute  | Fair                      |
| DelBello 2002                       | Yes, No, No, No   | Differential: Yes<br>High: No                   | Unclear   | Yes   | AstraZeneca   | Fair                      |
| Delbello 2009                       | Yes, No, No, No   | No/No   | Yes   | Yes   | AstraZeneca<br>Pharmaceuticals  | Fair                      |
| Findling 2009                       | Yes, No, Yes, No  | No/No   | Yes   | No  | Otsuka<br>Pharmaceutical Co.,<br>Ltd.   | Fair                      |
| Findling 2012                       | Yes, no, no, no   | Overall: Yes 90%<br>Differential: Yes 20%       | unclear   | Yes   | Clinical Research<br>Center Grant, Grant<br>from the Stanley<br>Medical Research<br>Institute, NIMH grant<br>MH P20 MH-66054,<br>Bristol Myers Squibb,<br>Otsuka<br>Pharmaceuticals | Fair                      |

**Evidence Table 13. Quality assessment of randomized controlled trials in pediatrics with bipolar disorder**

| Author,<br>Year<br>Country | Randomization<br>adequate?                              | Allocation<br>concealment<br>adequate? | Groups similar at<br>baseline?   | Eligibility<br>criteria<br>specified? | Outcome<br>assessors<br>masked?       | Care provider<br>masked?              | Patient<br>masked?                   |
|----------------------------|---|--|--|---------------------------------------|---------------------------------------|---------------------------------------|--------------------------------------|
| Findling 2013              | Unclear   | Unclear                                | Yes  | Yes                                   | Unclear                               | Yes                                   | Yes                                  |
| Haas 2009                  | NR  | NR                                     | Yes  | Yes                                   | NR (described<br>as double-<br>blind) | NR (described<br>as double-<br>blind) | NR<br>(described as<br>double-blind) |
| Pathak, 2013<br>USA        | Unclear, no<br>information about<br>sequence generation | Yes                                    | Unclear; Overt Aggression<br>Scale-Modified total scores<br>higher in quetiapine groups                              | Yes                                   | Unclear                               | Yes                                   | Yes                                  |
| Tohen 2007                 | NR  | NR                                     | Yes  | Yes                                   | NR (described<br>as double-<br>blind) | NR (described<br>as double-<br>blind) | NR<br>(described as<br>double-blind) |
| Tramontina 2009            | Yes   | Yes                                    | Mostly: SES was<br>significantly different,<br>placebo group having more<br>in the upper middle than<br>aripiprazole | Yes                                   | Yes                                   | Yes                                   | Yes                                  |

**Evidence Table 13. Quality assessment of randomized controlled trials in pediatrics with bipolar disorder**

| <b>Author,<br/>Year<br/>Country</b> | <b>Reporting of attrition,<br/>crossovers, adherence,<br/>and contamination</b> | <b>Loss to follow-up:<br/>differential/high</b>  | <b>Maintenance of<br/>comparable<br/>groups</b> | <b>Intent-to-treat<br/>analysis</b> | <b>Funding</b>   | <b>Quality<br/>rating</b> |
|-------------------------------------|---|--|---|-------------------------------------|--|---------------------------|
| Findling 2013                       | Yes, No, No, No   | Overall: Yes, 77%<br>Differential: Yes,<br>aripiprazole 10 mg=65%,<br>30 mg=78%, placebo=77% | Unclear   | Yes                                 | Otsuka<br>Pharmaceutical Co.,<br>Ltd.                                    | Fair                      |
| Haas 2009                           | Yes, No, Yes, No  | No/No  | Yes   | No 169/170<br>included              | Johnson & Johnson<br>Pharmaceutical<br>Research and<br>Development, LLC. | Fair                      |
| Pathak, 2013<br>USA                 | Yes, No, Yes, No  | Yes for high overall=22%;<br>No for differential   | Yes   | No                                  | AstraZeneca  | Fair                      |
| Tohen 2007                          | Yes, No, No, No   | 79.4% completed<br>olanzapine group<br>64.8% completed placebo<br>group                      | Unclear   | Yes                                 | Eli Lilly & Co.  | Fair                      |
| Tramontina 2009                     | Yes, No, No, No   | No/No  | Yes   | Yes                                 | Bristol-Myers Squibb   | Good                      |



**Evidence Table 14. Observational studies in patients with major depressive disorder**

| Author,<br>year | Country | Study design               | Time period covered<br>Data source  | Sample size                              | Population characteristics  |
|-----------------|---------|----------------------------|---|--|---|
| Barbee<br>2004  |         | Retrospective chart review | Time period covered: NR<br>Data source: Charts from a fee-for-service psychiatric outpatient clinic   | 76 medication trials in 49               | Patients treated with 1+ doses of olanzapine, risperidone, quetiapine, or ziprasidone as augmentation for treatment-resistant, nonpsychotic MDD after being treated with an established antidepressant medication regimen for a minimum of 6 weeks<br><br>% Male: 30.6              |
| Seo 2009        |         | Prospective cohort study   | Time period covered: 2002-2006<br>Data source: patients admitted to a psychiatric inpatient unit for the treatment of MDD at two university hospitals in Seoul and Daejeon, Korea | AAP group: n=100<br>Non-AAP group: n=172 | Patients with MDD who were treated with only one antidepressant during the admission period (non-AAP group) or were treated with augmentation with an AAP for >2 weeks (AAP group)<br><br>Sex (% male): 22<br>Mean age (y ± SD): 51.9±16.5<br>Duration of illness (y ± SD): 7.4±8.2 |

**Evidence Table 14. Observational studies in patients with major depressive disorder**

| Author,<br>year | Country | Efficacy/effectiveness outcomes  | Harms  |
|-----------------|---------|--|--|
| Barbee<br>2004  |         | Mean treatment duration (w):<br>Olanzapine: 19.59 ± 21.66 (range 1-92 w)<br>Risperidone: 35.86 ± 32.08 (range 4-94 w)<br>Quetiapine: 17.94 ± 21.94 (range 2-74 w)<br>Ziprasidone: 9.40 ± 10.97 (range 1-28 w)  | Withdrawals (%) due to weight gain:<br>Olanzapine: 43<br>Risperidone: 0<br>Quetiapine: 10<br>Ziprasidone: 14 |
| Seo 2009        | NR      | <p>Comparisons of weight changes in subjects of the AAP group using different combination therapies:</p> <p>n (%)/Change in weight (kg ± SD)/Statistics*/P-value</p> <p>SSRIs + olanzapine: 25 (25.0)/4.21±1.90/21.934/&lt;0.001**</p> <p>SSRIs + quetiapine: 15 (15.0)/2.89±1.40/0.002/0.962</p> <p>SSRIs + risperidone: 11 (11.0)/2.40±2.38/2.356/0.128</p> <p>Mirtazapine + olanzapine: 10 (10.0)/2.44±1.26/1.734/0.191</p> <p>Mirtazapine + quetiapine: 9 (8.3)/1.99±1.46/5.242/0.024**</p> <p>Venlafaxine + quetiapine: 8 (8.0)/3.16±1.81/0.017/0.896</p> <p>Venlafaxine + olanzapine: 16 (16.0)/-/-</p> <p>Others:</p> <p>*ANCOVA was performed with duration of AAP prescription and duration of illness as covariates. P-value was derived from t-statistic based on the change in weight according to each type medications versus all others combined</p> <p>**P&lt;0.05</p> |  |

Evidence Table 14. Observational studies in patients with major depressive disorder

| Author,<br>year | Country | Comments   | Funder   |
|-----------------|---------|--|--|
| Barbee<br>2004  |         | Does not report all-cause discontinuations and did not analyze between-drug differences in duration of treatment | Eli Lilly and Co.  |
| Seo 2009        |         |  | Korea Health 21 R&D Project,<br>Ministry of Health, Welfare and<br>Family Affairs, Republic of Korea |

**Evidence Table 15. Quality assessment of observational studies in major depressive disorder**

| Author<br>Year<br>Country | Non-biased<br>selection?  | High overall loss<br>to follow-up or<br>differential loss<br>to follow-up? | Outcomes pre-<br>specified and<br>defined? | Ascertainment<br>techniques<br>adequately<br>described? | Non-biased and<br>adequate<br>ascertainment<br>methods? | Statistical<br>analysis of<br>potential<br>confounders?                               | Adequate<br>duration of<br>follow-up?  | Overall<br>quality<br>rating |
|---------------------------|---|--|--|---|---|---|--|------------------------------|
| Barbee 2004               | Yes   | No   | No   | No  | Unclear   | No  | No for<br>olanzapine,<br>quetiapine, and<br>ziprasidone. Yes<br>for risperidone. | Poor                         |
| Seo 2009                  | Unclear whether 272<br>enrolled represented all<br>eligible patients<br>admitted between 2002<br>and 2006 | Yes  | Yes  | Yes   | Yes   | Yes for duration<br>of atypical<br>antipsychotic<br>treatment and<br>illness duration | No   | Fair                         |

**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country              | Study design                               | Time period covered<br>Data source   | Sample size  | Population characteristics  |
|--------------------------------------|--|--|--|---|
| Correll, 2009<br>Queens, New<br>York | Non-randomized<br>prospective cohort study | Between December 2001 and<br>September 2007<br><br>Patients recruited from pediatric<br>inpatient and outpatient clinics   | 338 patients enrolled<br>(aripiprazole n=47;<br>olanzapine n=52; quetiapine<br>n=45; risperidone n=168;<br>comparison group n=20)<br><br>analyzed patients n=272 | Youth naive to antipsychotic medication and a psychiatric<br>comparison group consisting of patients who refused or<br>discontinued taking antipsychotic medications within 4 weeks<br>of starting.<br>Age of 4 to 19 years and 1 week or less of lifetime<br>antipsychotic treatment; psychiatric illness prompting<br>antipsychotic medication initiation; and consent, or baseline<br>anthropometric and biochemical assessments obtained<br>within 7 days of antipsychotic medication initiation<br><br>Mean age (SD): 13.9 (3.6) years<br>Male: 57%<br><br>White: 48.5%<br>Black: 25.9%<br>Hispanic: 8.9%<br>Asian: 4.1%<br>Mixed: 12.5%<br><br>Mean weight: 53.5 kg |
| Fleischhaker,<br>2008<br>Germany     | Prospective Cohort Study                   | From July 1999 to October 2003<br>Four child and adolescent psychiatric<br>departments in four mental health<br>centers in Germany (Aachen,<br>Freiburg, Marburg, and Wuerzburg) | 61 inpatients considered for<br>inclusion<br><br>Final study sample n=33<br>(clozapine n=15; olanzapine<br>n=8; risperidone n=10)                                | clozapine vs olanzapine vs risperidone<br><br>Age: 17.2 vs 15.7 vs 1.3 years<br>Males: 33.3% vs 15.2% vs 24.2%<br>Medication dose (SD): 311.7 (137.5) vs 10.2 (3.5) vs 2.6<br>(1.7) mg  |

**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country              | Efficacy/ effectiveness<br>outcomes | Harms  | Funder   |
|--------------------------------------|-------------------------------------|--|--|
| Correll, 2009<br>Queens, New<br>York | NR                                  | <p>Antipsychotic medication was associated with increased weight, fat mass, BMI and waist circumference (<math>P&lt;0.001</math>)</p> <p>aripiprazole vs olanzapine vs quetiapine vs risperidone vs untreated</p> <p>Weight change over time: 4.4 vs 8.5 vs 6.1 vs 5.3 vs 0.2 kg</p> <p>Weight % change of baseline: 8.1 vs 15.2 vs 10.4 vs 10.4 vs 0.7</p> <p>Fat mass over time: 2.4 vs 4.1 vs 2.8 vs 2.5 vs 0.4 kg</p> <p>BMI change over time 1.7 vs 3 vs 2.1 vs 1.9 vs -0.003</p> <p>BMI % change: 7.2 vs 14 vs 9.3 vs 9.1 vs 0.1</p> <p>Waist circumference: 5.4 vs 8.6 vs 5.3 vs 5.1 vs 0.7 cm</p> <p><u>Metabolic parameter (*<math>P&lt;0.05</math>)</u></p> <p>Glucose change: 0.54 vs 3.14* vs 2.64 vs 1.14 vs 0.69 mg/dL</p> <p>Total cholesterol change: 3.75 vs 15.58* vs 9.05* vs 3.46 vs 2.38 mg/dL</p> <p>LDL cholesterol change: 7.38 vs 11.54* vs 3.88 vs 0.21 vs 2.99 mg/dL</p> <p>HDL cholesterol change: 0.29 vs -1.27 vs -1.47 vs 0.33 vs 1.49 mg/dL</p> <p>Triglycerides change: -2.4 vs 24.34* vs 36.96* vs 9.74* vs -11.84 mg/dL</p> | Supported in parts by<br>National Institute of<br>Health, National Alliance<br>for Research in<br>Schizophrenia and<br>Depression Award,<br>Feinstein Island Jewish<br>Health System General<br>Clinical Research Center,<br>National Center for<br>Research Resources |
| Fleischhaker,<br>2008<br>Germany     | NR                                  | <p>clozapine vs olanzapine vs risperidone</p> <p>All 3 groups experienced significant weight gain from baseline</p> <p>Weight change (SD) from baseline in kg: 9.5 (10.4); <math>P&lt;0.004</math> vs 16.2(8.8); <math>P&lt;0.002</math> vs 7.2 (5.3); <math>P&lt;0.002</math></p> <p>The absolute (<math>\pm</math>SD) and percentage (<math>\pm</math>SD) average weight gains were significantly higher for the olanzapine group (16.2 <math>\pm</math> 8.8 kg; 30.1 <math>\pm</math> 18.9%) than for the clozapine (9.5 <math>\pm</math> 10.4 kg; 14.8 <math>\pm</math> 15.8%) and the risperidone (7.2 <math>\pm</math> 5.3 kg; 11.5 <math>\pm</math> 6.0%) groups.</p> <p>(Mean proportional weight change over the 45 weeks of study shown as figure)</p>   | Non-restricted grant from<br>Janssen-Cilag, Neuss,<br>Germany  |

**Evidence Table 16. Observational studies in youths**

| <b>Author, year</b> | <b>Study design</b>      | <b>Time period covered</b>  | <b>Sample size</b>   | <b>Population characteristics</b>  |
|---------------------|--------------------------|---|--|--|
| <b>Country</b>      |                          | <b>Data source</b>  |  |  |
| Fraguas, 2008       | Prospective Cohort Study | Time period covered: March 2005-October 2006<br><u>Data source:</u> The adolescent unit of the Psychiatric Department at Hospital General Universitario Gregorio Marañon, Madrid, Spain | n=66<br>(risperidone n=22, olanzapine n=20, quetiapine n=24) | Children and adolescents treated with a new prescription of risperidone, olanzapine, or quetiapine within the 30 days prior to enrollment and who had no history of prior lifetime antipsychotic treatment and who were treated with the new medication for 6 months<br><br><u>Sex (% male):</u> 66.7<br><u>Mean age (y ± SD):</u> 15.2 ±2.9 |

**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country | Efficacy/ effectiveness<br>outcomes | Harms  | Funder   |
|-------------------------|-------------------------------------|--|--|
| Fraguas, 2008           | NR                                  | <p><b>Baseline and outcome measurements after 6 months of antipsychotic treatment (baseline/change):</b></p> <p><u>Risperidone (Mean <math>\pm</math>SD)</u><br/> Weight (kg): 57.5<math>\pm</math>20.3/5.0<math>\pm</math>4.8**<br/> BMI (kg/m<sup>2</sup>): 21.8<math>\pm</math>4.5/1.4<math>\pm</math>1.8**<br/> BMI z score: 0.56<math>\pm</math>1.41/0.48<math>\pm</math>0.73**</p> <p><u>Olanzapine (Mean <math>\pm</math>SD)</u><br/> Weight (kg): 61.7<math>\pm</math>15.1/11.1<math>\pm</math>7.8**<br/> BMI (kg/m<sup>2</sup>): 22.7<math>\pm</math>5.2/3.7<math>\pm</math>2.7**<br/> BMI z score: 0.26<math>\pm</math>1.49/1.10<math>\pm</math>0.82**</p> <p><u>Quetiapine (Mean <math>\pm</math>SD)</u><br/> Weight (kg): 60.5<math>\pm</math>11.4/2.5<math>\pm</math>6.8<br/> BMI (kg/m<sup>2</sup>): 21.5<math>\pm</math>3.2/0.9<math>\pm</math>2.7<br/> BMI z score: -0.12<math>\pm</math>0.97/0.27<math>\pm</math>0.86</p> <p><u>All Subjects (Mean <math>\pm</math>SD)</u><br/> Weight (kg): 59.9<math>\pm</math>15.8/6.0<math>\pm</math>7.4**<br/> BMI (kg/m<sup>2</sup>): 22.0<math>\pm</math>4.3/1.9<math>\pm</math>2.7**<br/> BMI z score: 0.22<math>\pm</math>1.31/0.59<math>\pm</math>0.87**<br/> **P&lt;0.01 (Wilcoxon)</p> <p><b>Change score between treatment groups:</b></p> <p><u>Risperidone-Olanzapine</u><br/> Weight (kg): p=0.037<br/> BMI (kg/m<sup>2</sup>): p=0.46<br/> BMI z score: NS</p> <p><u>Risperidone-Quetiapine</u><br/> Weight (kg): NS<br/> BMI (kg/m<sup>2</sup>): NS<br/> BMI z score: NS</p> <p><u>Olanzapine-Quetiapine</u><br/> Weight (kg): p&lt;0.001<br/> BMI (kg/m<sup>2</sup>): p&lt;0.001<br/> BMI z score: p=0.001</p> <p>aANCOVA Sidak post hoc adjusted for multiple comparisons. Analysis of differences in change score between treatment groups were done by means of ANCOVA, controlling for age, baseline BMI, z score, psychosis, and duration of prior total lifetime antipsychotic usage</p> | Spanish Ministry of Health, Instituto de Salud Carlos III, RETICS, Fondo de Investigacion Sanitaria, Asociacion adriana de Salud Mental, NARSAD 2005: Independent Investigator Award |



**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country    | Efficacy/ effectiveness<br>outcomes | Harms   | Funder |
|----------------------------|-------------------------------------|---|--------|
| Fraguas, 2008<br>(Cont...) |                                     | <p><b>Risk for adverse health outcome (baseline/month 6):</b></p> <p><u>Risperidone (%)</u><br/> BMI ≥ 95th percentile: 13.6/31.8<br/> BMI ≥ 85th percentile: 27.3/40.9<br/> Weight gain (≥ 0.5 increase in BMI z score): --/50.0</p> <p><u>Olanzapine (n, %)</u><br/> BMI ≥ 95th percentile: 10.0/50.0<br/> BMI ≥ 85th percentile: 20.0/60.0<br/> Weight gain (≥ 0.5 increase in BMI z score): --/75.0</p> <p><u>Quetiapine (n, %)</u><br/> BMI ≥ 95th percentile: 4.2/8.3<br/> BMI ≥ 85th percentile: 12.5/20.8<br/> Weight gain (≥ 0.5 increase in BMI z score): --/29.2</p> <p><u>All Subjects (n, %)</u><br/> BMI ≥ 95th percentile: 10.6/28.8<br/> BMI ≥ 85th percentile: 19.7/39.4<br/> Weight gain (≥ 0.5 increase in BMI z score): --/50.0</p> <p><b>Change score between treatment groups:</b><br/> BMI ≥ 95th percentile: p=0.091<br/> BMI ≥ 85th percentile: p=0.048c<br/> Weight gain (≥ 0.5 increase in BMI z score): p=0.010d<br/> cDifference between baseline and month 6 in having BMI ≥ 85th percentile post hoc (Fisher exact test when needed) comparisons: risperidone-olanzapine, p=0.035; risperidone-quetiapine, p=0.625; olanzapine-quetiapine, p=0.049<br/> Difference in weight gain (≥ 0.5 increase in BMI z score) post hoc (Fisher exact test when needed) comparisons: risperidone-olanzapine, p=0.096; risperidone-quetiapine, p=0.148; olanzapine-quetiapine, p=0.002</p> |        |

**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country | Study design                  | Time period covered<br>Data source  | Sample size                              | Population characteristics   |
|-------------------------|-------------------------------|---|--|--|
| Khan 2009<br>U.S.       | Retrospective chart<br>review | Time period covered: September 1,<br>2003-August 25, 2005<br>Data source: Chart review of children<br>and adolescents at the psychiatric<br>unit of the Austin State Hospital | 49<br>Olanzapine: 25, Risperidone:<br>24 | Mean age: 13 yrs<br>%Male: 73.5%<br>African American: 18.4%<br>Asian: 2%<br>Hispanic: 14.3%<br>Caucasian: 65.3%<br>fasting blood glucose: 86.5mg/dL<br>Triglyceride: 72mg/dl<br>High density lipoprotein: 44.6mg/dL<br>Low density lipoprotein: 93.5mg/dL<br>Systolic blood pressure: 109.4mmHg<br>Diastolic blood pressure: 69mmHg<br><br>Risk factors at baseline<br>Cardiovascular disease(smoing risk factor included): 0.7<br>Diabetes mellitus: 1.0<br>Metabolic syndrome: 0.75<br><br>Mean duration of treatment: 27 days |

**Evidence Table 16. Observational studies in youths**

| Author, year<br>Country | Efficacy/ effectiveness<br>outcomes | Harms  | Funder |
|-------------------------|-------------------------------------|--|--------|
| Khan 2009<br>U.S.       | NR                                  | <p>Olanzapine vs risperidone</p> <p>Proportion of patients with BMI&gt;85%: 7 (28%) vs 4 (17%) during treatment</p> <p>Mean(SD) change from baseline in BMI: 1.7 (1.5) vs 1.3 (1.5), <math>p&lt;0.001</math> for both groups, difference between groups=NS</p> <p>Proportion of patients classified as "overweight" at endpoint: 7 (28%) vs 4 (16.7%)</p> <p>Proportion of patients classified as "at risk for being overweight at endpoint: 5 (20%) vs 7 (29.2%)</p> <p>Mean (SD) increase in weight from baseline to endpoint: 7.4 lbs (range -7 to +28 pounds) vs 91 lbs (range -7 to +38 pounds)</p> <p>Mean (SD) change in systolic blood pressure (mmHg) from baseline to endpoint: 5.4 (15)* vs -3.2 (14), * <math>p&lt;0.044</math>, <math>p=NS</math> between groups</p> <p>Mean (SD) change in diastolic blood pressure (mmHg) from baseline to endpoint: 1.4 (12) vs -4.2 (14), <math>p=NS</math> for change from baseline or between groups</p> <p>Risk factors at endpoint</p> <p>Cardiovascular disease (smoking risk factor included): Olanzapine 0.6 (0.5), <math>z=0.00</math>, <math>p=1.00</math>. Risperidone: 0.3 (0.5), <math>z=-3.00</math>, <math>p=0.003</math></p> <p>Cardiovascular disease (smoking risk factor excluded): Olanzapine 0.6 (0.5), <math>z=-1.667</math>, <math>p=0.096</math>. Risperidone: 0.3 (0.5), <math>z=-1.414</math>, <math>p=0.157</math></p> <p>Diabetes mellitus: Olanzapine: 1.2 (0.9), <math>z=-2.653</math>, <math>p=0.008</math>. Risperidone: 1.2 (1.0), <math>z=0</math>, <math>p=0.782</math>,</p> <p>Metabolic syndrome: Olanzapine: 1.0 (0.9), <math>z=-2.484</math>, <math>p=0.013</math>, Risperidone: 0.9 (1.0), <math>z=0</math>, <math>p=1.00</math></p> |        |

**Evidence Table 17. Quality assessment of observational studies in youths**

| Author<br>Year<br>Country | Non-biased selection?  | High overall loss to follow-up<br>or differential loss to follow-up?  | Outcomes pre-<br>specified and<br>defined? | Ascertainment<br>techniques<br>adequately<br>described? |
|---------------------------|--|---|--|---|
| Correll 2009 (SATIETY)    | Unclear; 173/505 (34%) who refused to participate or were ineligible had less autism-spectrum disorders, substance abuse comorbidity, and mixed ethnicity  | 18% excluded from analysis overall due to lack of post-baseline assessment<br>20% excluded from analysis for quetiapine and risperidone, vs 13% for aripiprazole and olanzapine | Yes  | Yes   |
| Fleischhaker 2006         | Unclear; distribution across comparison groups of different diagnoses, prior experience with antipsychotic agents and use of co-medications<br>NR; numerically lower proportion of males in olanzapine group compared to clozapine and risperidone (56% vs 69% vs 68%) | Attrition NR; all 51 participants included in analysis  | Yes  | Yes   |
| Fleischhaker 2008         | Yes  | 46% (28/61) excluded due to early discontinuation (34%), low number of weight and height measurements (8%) and anorexia nervosa (3%); attrition per treatment group NR          | Yes  | Yes   |
| Fraguas 2008              | Yes  | Yes/Yes<br>Overall=28%, risperidone=42%, olanzapine=20%, quetiapine=17%   | Yes  | Yes   |

**Evidence Table 17. Quality assessment of observational studies in youths**

| <b>Author<br/>Year<br/>Country</b> | <b>Non-biased and<br/>adequate ascertainment<br/>methods?</b>  | <b>Statistical analysis of potential<br/>confounders?</b>  | <b>Adequate<br/>duration of<br/>follow-up?</b> | <b>Overall<br/>quality rating</b> |
|------------------------------------|--|--|--|-----------------------------------|
| Correll 2009 (SATIETY)             | Yes  | Some, categorical outcomes adjusted for differences at baseline, others analyzed by stratification and other methods.  | No   | Fair                              |
| Fleischhaker 2006                  | Unclear about reliability/validity of adapted version of Dosage Record Treatment Emergent Symptom Scale (DOTES) (e.g., computerized, German language, included additional information from chart review) | Stratified by drug-naïveté and comedication use for weight gain  | No; mean=7.4 weeks                             | Poor                              |
| Fleischhaker 2008                  | Yes  | Yes for change in BMI standard deviation scores (SDS), unclear for others. Reported that "since the groups differed significantly in age [at baseline], several analyses were conducted to test the influence of age that is confounded with medication group. " No linear or monotone relationships found for BMI-SDS. Results for others NR. | Yes  | Fair                              |
| Fraguas 2008                       | Yes  | Yes for age, BMI z score, psychosis, duration of prior total lifetime antipsychotic usage  | Yes  | Fair                              |

**Evidence Table 17. Quality assessment of observational studies in youths**

| Author<br>Year<br>Country     | Non-biased selection?  | High overall loss to follow-up<br>or differential loss to follow-up? | Outcomes pre-<br>specified and<br>defined? | Ascertainment<br>techniques<br>adequately<br>described? |
|-------------------------------|--|--|--|---|
| Khan 2009                     | Unclear (eligibility criteria described, but #'s and reasons for exclusion NR) | No   | Yes  | No  |
| Roke, 2012<br>The Netherlands | Unclear. Significantly more subjects with DBD in control group                 | No, No. 17.6% overall withdrawal and less than 10% differential      | Yes  | Yes   |

**Evidence Table 17. Quality assessment of observational studies in youths**

| <b>Author<br/>Year<br/>Country</b> | <b>Non-biased and<br/>adequate ascertainment<br/>methods?</b> | <b>Statistical analysis of potential<br/>confounders?</b>  | <b>Adequate<br/>duration of<br/>follow-up?</b> | <b>Overall<br/>quality rating</b> |
|------------------------------------|---|--|--|-----------------------------------|
| Khan 2009                          | Unclear (NR)  | No (controlled for smoking but not for nutritional status also higher proportion males in risperidone group-83% vs 64%)  | No, 27 days                                    | Poor                              |
| Roke, 2012<br>The Netherlands      | Yes   | Yes, controlled for age, BMI, tanner stage, type of medication, duration of antipsychotic use, use of dosage, risperidone levels, 9-OH risperidone levels on hyperprolactinemia in patients using risperidone corrected for age and BMI Z-score. | Yes, 52 months                                 | Fair                              |